

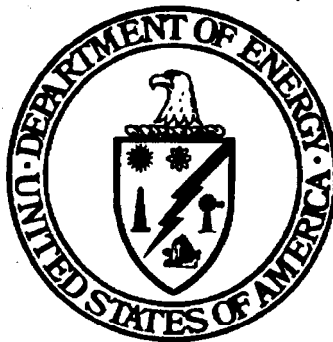
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# ANNUAL REPORT TO CONGRESS

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DEPARTMENT OF ENERGY ACTIVITIES  
RELATING TO THE  
DEFENSE NUCLEAR FACILITIES SAFETY BOARD

CALENDAR YEAR 1993



WASHINGTON, D.C. 20585

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FEBRUARY 1994

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## Department of Energy

Washington, DC 20585

March 9, 1994

The Honorable Albert Gore, Jr.  
President of the Senate  
Washington, D.C. 20510

Dear Mr. President:

Section **316(b)** of the Atomic Energy Act of 1954 (42 U.S.C. **2286e(b)**) requires the Department of Energy to submit a written report annually to Congress concerning the Department's activities with regard to Recommendations received from the Defense Nuclear Facilities Safety Board. We are pleased to enclose for your information the Department's annual report for calendar year **1993**.

The Department is committed to cooperate fully with the Board and provide ready access to each defense nuclear facility. We recognize the important role the Board has played in identifying significant safety related issues at our defense nuclear facilities.


We believe that the Department has hewn to **improve** its level of performance during 1993. **Nevertheless**, we recognize that a need for significant improvement remains. I am determined that the Department develop a more disciplined approach to making commitments to the Board and coordinate the many corrective actions within the Department more effectively. We also recognize that the Department must more effectively evaluate our outstanding commitments to the Board in terms of management focus and expenditure of resources required. The Annual Report describes specific initiatives underway within the Department to address these matters.

An important area where our progress has been unsatisfactory in 1993 involves implementation of the Board's **Recommendation** 90-2 concerning Codes and Standards. We began a renewed initiative in early **1994** to develop a consistent and effective approach to Recommendation 90-2 in a timely manner.



In calendar year 1993, the Board issued six Recommendations, five of which the Department accepted. The Department accepted the sixth Recommendation on February 2, 1994. The Department is implementing corrective action or is developing Implementation Plans for each of these six Recommendations. Progress continues within the Department in completing actions required under the Implementation Plans for the eleven outstanding Recommendations issued prior to 1993. Completion of the Implementation Plans for certain Recommendations will require multi-year efforts. In addition, the Department concluded all actions necessary to implement two Recommendations in 1993.

Sincerely,



Hazel R. O'Leary

Enclosure

## EXECUTIVE SUMMARY

This report for calendar year **1993** is the fourth Annual Report to Congress by the United States Department of Energy (Department) of the activities of the Department in response to the Defense Nuclear Facilities Safety Board (Board). The Annual Report is required by Section 316(b) of the Atomic Energy Act of 1954, as amended (the Act), 42 **U.S.C. 2286e(b)**.

The Board, an independent body within the executive branch, was established under Section 311 of the Act. The Board provides advice to the Secretary of Energy on issues which the Board considers necessary to ensure adequate protection of public health and safety. Such advice is provided in Recommendations to the Secretary of Energy which are based on the Board's independent **review** of design, construction, operations, and decommissioning activities at the Department's defense nuclear facilities. A Recommendation may consist of a set of individual topics or recommendations from the Board concerning a particular issue.

Since its formation in 1989, the Board has issued twenty-six (26) Recommendations to the Secretary. The Department's **commitments** and schedules are documented to the Board in Implementation Plans for each respective Recommendation. At the end of calendar year 1993, seventeen (17) Recommendations remain open with activity underway to complete the Department's commitments. Nine (9) Recommendations **have been** closed in the period from 1990 through December 1993, including two (2) closed during calendar year 1993.

Six (6) Recommendations were issued by the Board in 1993. These include:

- o Recommendation 93-1, Standards Utilization in Defense Nuclear Facilities;
- o Recommendation 93-2, The Need for Critical Experiment Capability;
- o Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs;
- o Recommendation 93-4, Environmental Restoration Management Contracts;
- o Recommendation 93-5, Hanford Waste Tanks Characterization Studies; and
- o Recommendation 93-6, Maintaining Access to Nuclear **Weapons** Expertise in the Defense Nuclear Facilities Complex.

Implementation Plans have been submitted to the Board for the first four (4) of these **Recommendations**. Each of these Implementation Plans has been accepted as responsive and adequate by the Board. The Department's activities are underway in accordance with commitments made in each respective Implementation Plan. These specific activities are described in the Annual Report.

Recommendation 93-5, Hanford **Waste** Tanks Characterization Studies, has been accepted by the Secretary of Energy. The Implementation Plan for this Recommendation was submitted to the Board in January 1994. Recommendation

93-6, Maintaining Access to Nuclear Weapons Expertise in the Defense Nuclear Facilities Complex, was received on December 23, 1993. The Department's response is due to the Board in February 1994. There is a close working relationship between the staffs of the Department and the Board, and it is expected that the Department's Implementation Plans and commitments in relation to Recommendations 93-5 and 93-6 also will be acceptable to the Board.

There are eleven (11) Recommendations issued prior to 1993 which are still active. Activities during 1993 in accordance with the respective Implementation Plans for these eleven (11) Recommendations are described in the Annual Report.

Two (2) Recommendations were closed by the Board in 1993. These are:

- o Recommendation 91-5, Savannah River K-Reactor Power Limits; and
- o Recommendation 92-7, Training and Qualification, which was superseded by Recommendation 93-3. Recommendation 93-3 concerns Improving Technical Capability in Defense Nuclear Programs.

The Board's continuing emphasis in the Department's standards identification, utilization, and compliance activities is in accordance with the congressional mandate in Section 312 of the Act which directs the Board to review and evaluate the content and implementation of DOE standards and to recommend to the Secretary of Energy specific measures that should be adopted to ensure that public health and safety are adequately protected.

Likewise, the Board's continuing emphasis in the Department's training and qualifications activities to raise the level of technical expertise within the Department is in response to the congressional mandate in Section 312 to make Recommendations to the Secretary of Energy associated with the defense nuclear facilities, including the operations of the facilities, as the Board determines are necessary to ensure adequate protection of public health and safety.

The Department's interaction with and response to the Board have improved significantly during 1993. This progress results from the Department-wide emphasis on cooperation with the Board and the dedicated emphasis within the Office of the Assistant Secretary of Environment, Safety and Health and the Office of the Departmental Representative to the Board (Departmental Representative) to ensure quality, timeliness, and responsiveness throughout each interaction which the Department has with the Board.

In addition to the Department's activities in response to Board Recommendations, the Department also has responded to other written communications from the Board including Trip Reports and letters requiring responses.

The Department has participated in meetings and effective person-to-person Interfaces with the Board and its staff in many venues such as Public Meetings, meetings with several Assistant Secretaries of Energy and Office

Directors, site visits by the Board and its staff, as well as other less formal or less structured interactions. During 1993, the Department supported more than 170 site visits by the **DNFSB** and its staff. Effective and timely exchanges of information have taken place to provide the Board and the Department a better understanding of the concerns, priorities, and limitations **of** each organization. As examples, interactions between the Board **and** the Department have included visits by individual Board members to the Department of Energy Offices to meet with several Assistant Secretaries on specific issues. The Departmental Representative accompanies the Board on each Board visit to Department of Energy facilities. Department of Energy Headquarters personnel participate in each site visit by the Board staff. As a final example, representatives from the Board's staff participated in the strategic planning sessions conducted by the Offices of Defense Programs, Environment, Safety and Health, and Environmental Restoration and **Waste** Management in which the future directions for these Offices were evaluated.

In 1993, the Department's proactive approach in interactions with the Board was the culmination of several initiatives which are described in the following paragraphs:

- o The Secretary of Energy's May 17, 1993, policy statement stipulating that Department personnel are to cooperate fully with and be responsive to the Board to enhance and improve public health and safety.
- o The emphasis of the Assistant Secretary of Environment, Safety and Health through the Office of the Departmental Representative to ensure quality, timeliness, and responsiveness in the Department's interaction with and response to the Board. This specific emphasis has ensured that the Department communicates effectively with the Board and its staff to understand fully the Board's interests and concerns. This understanding is essential in the Department's development of an effective and prudent Implementation Plan which meets the Board's expectations and provides a workable plan of action within the Department.

In the development of each Implementation Plan, the Departmental Representative facilitates interactions between the Department staff and the Board staff to accomplish these objectives. Periodic meetings are **held** with the Board's staff to monitor the Department's progress in the completion of activities and schedules as presented in each respective Implementation Plan.

A significant role of the Departmental Representative involves encouragement of a level of performance, within both the Department's senior management and line management, which results in a proactive posture throughout the Department's infrastructure. This includes efforts to **fully** involve each appropriate departmental organization in the Department's interactions with or responses to the Board. The Departmental Representative chairs scheduled weekly Defense Nuclear Facilities Safety Board Issues Meetings which are attended by appropriate Deputy Secretary, **Under** Secretary, and Assistant Secretary **level** personnel or their representatives. These weekly meetings focus on maintaining the emphasis throughout the Department's infrastructure



on effective and timely interactions with the Board. In this regard, the Secretary of Energy also has emphasized the necessity of the Department senior management's early and direct involvement in departmental activities related to the Board.

The Departmental Representative's role, which is implemented through a single position of responsibility, is instrumental in coordinating the development of a consensus in the Department's position, strategy, and response to the Board. This coordination and consensus are essential in each response to the Board and in the development and performance of each respective Implementation Plan,

Key initiatives within the Department during 1993 have brought significant improvements in the coordination, cohesiveness, and effectiveness of the Department's interactions with the Board. These initiatives include:

- o An improved process for assessment of the Board's **Recommendations** and development of Implementation Plans: The Department has implemented a significantly improved process for assessment of the Board's Recommendations and development of the respective Implementation Plans. This process resulted from meetings of the Departmental Assistant Secretaries in July 1993 in which directions were provided to the Department staff for an initial methodology and schedule of milestones for assessment of the Board's Recommendations. These directions subsequently have been developed into departmental guidelines as discussed below.

A standard departmental format for Implementation Plans, which is **modelled** after the Implementation Plan for Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs, serves as the model for this process. Implementation Plans for Recommendations 92-4, Multi-Function Waste Tank Facility at Hanford, and 93-5, Hanford Waste Tanks Characterization Studies, were being developed at the end of calendar year 1993 using this approach.

- o Guidelines for interface with the Board: At the direction of the Assistant Secretary of Environment, Safety and Health, the Office of the Departmental Representative has developed Guidelines for the Department's interface with the Board. These Interface Guidelines will help in achieving uniform and coordinated responses to and interfaces with the Board throughout the Department. The Guidelines inject the Departmental Representative into the role of ensuring the quality, timeliness, and responsiveness of the Department's response to and interface with the Board. Both Department of Energy Field and Headquarters personnel participated in the development and review of the Guidelines.
- o Commitment identification and management: Also as directed by the Assistant Secretary of Environment, Safety and Health, the Departmental Representative's Office has interfaced extensively with the Board's staff to identify and assemble the formal communications which have been transmitted between the two organizations. They have also interfaced

in regard to items which either organization considers as commitments to the Board.

The Office of the Departmental Representative has reviewed the Implementation Plans submitted for Board Recommendations and has identified approximately 1150 items that the Department believes to be commitments to the Board. These identified items have been grouped into a manageable set of "consolidated commitments." The Office of the Departmental Representative is negotiating with the responsible Departmental Elements and the Board to obtain their concurrence with the consolidated commitments.

In **1993**, the Department has aggressively:

- o Reemphasized, throughout the Department, the Secretary's intent to cooperate **fully** with and be responsive to the Board.
- o Established the Department-wide leadership role in Office of the Departmental Representative to coordinate departmental activities to ensure quality, timeliness, and responsiveness in each interaction with the Board.
- o Participated in the definition and determination of the status of a manageable set of the Department's commitments to the Board and the associated schedules. These negotiations will be finalized concurrently within the Department and with the Board.
- o Developed Interface Guidelines to ensure the effectiveness of the Department's response to and interface with the Board.

ANNUAL REPORT TO CONGRESS

**DEPARTMENT OF ENERGY ACTIVITIES RELATING TO THE  
DEFENSE NUCLEAR FACILITIES SAFETY BOARD**  
Calendar Year 1993

I. INTRODUCTION

A. Background

This is the fourth Annual Report to the Congress by the United States Department of Energy, hereafter referred to as the "Department" or "DOE," on its activities in interacting with the Defense Nuclear Facilities Safety Board, hereafter referred to as the "**DNFSB**" or the "Board." This report is required to be submitted to the Committees on Armed Services and Appropriations of the Senate and to the Speaker of the House of Representatives each year when the President's budget is submitted to Congress. The statutory reference for this requirement is Section 316(b) of the Atomic Energy Act of 1954, as amended (the Act), 42 **U.S.C. 2286e(b)**.

In November 1991, the Department established the Office of the Departmental Representative to the Defense Nuclear Facilities Safety Board, hereafter referred to as the "Office of the Departmental Representative," to provide a central communication link and liaison from the Department to the Board. The Departmental Representative originally reported directly to the Secretary of Energy. After a realignment of the Department in early 1993, the Departmental Representative now reports to the Assistant Secretary of Environment, Safety and Health.

The Department firmly believes the relationships and interactions with the Board have improved as a result of the Secretary of Energy's emphasis to cooperate fully with and be responsive to the Board, and are more effectively coordinated and controlled through the concentrated efforts of the Office of the Departmental Representative.

This report covers Calendar Year 1993 Departmental interactions with the Board and provides an updated status on all Board Recommendations.

B. Overview of Department Activities in Response to the Board's Focus Areas

Since 1990, the Board's Recommendations to the Secretary have emphasized specific areas which are important to the safe and efficient operations of defense nuclear facilities. The Recommendations have focused on:

- o Standards. This includes the identification of **applicable** standards and requirements, assessment of their adequacy, and determination of the extent to which they have been implemented.

- 0 **Training and Qualifications.** This includes selection, training, qualification, and retention of operations, maintenance, technical, and other personnel in the civil service ranks or employed by the Department's contractors to make available to the Department a sufficient number of highly qualified technical and management personnel.
- o **Operational Readiness Reviews and Conduct of Operation.** This includes development and implementation of systematic approaches to evaluating and upgrading existing facilities and programs to ensure the capability to safely startup or restart operations.
- 0 **Criticality.** This includes the need to address criticality issues to ensure that a criticality accident will not occur and the need to ensure maintenance of an appropriate **level** of criticality expertise in the Department. The Board's concerns involve the potential accumulation of **fissile** material in an amount or configuration that would sustain a nuclear chain reaction.
- 0 **Departmental and Contract Management.** This includes development, implementation, and control of effective management relationships with contractors to ensure safe and efficient operations.

Rarely did a Recommendation address only one of these focus areas. Typically, the Board incorporated elements from more than one of these focus areas into a comprehensive Recommendation for enhancement of the safe operation of the Department's defense nuclear facilities. The principal focus areas addressed in the Recommendations are discussed below.

1. **Standards.**

DOE recognizes that much still remains to be accomplished in ensuring that DOE and Management and Operating contractor personnel implement the health and safety standards and Orders.

Recommendation 90-2, Standards Compliance, is the cornerstone of the standards Recommendations. Recommendation 91-1 concerning the adequacy of the content and implementation of applicable nuclear safety standards and Recommendation 91-6 concerning radiation safety are also significant in recommending that applicable nuclear safety standards be reviewed for adequacy.

The standards issue is a common thread through many of the Recommendations as it cuts across the various issues of concern to the Board including the Hanford Waste Tanks, operational readiness reviews, the systematic evaluation process, radiation protection, operations, maintenance, training, personnel, and management. Recommendation 93-1 concerns those standards used at facilities that assemble, disassemble, and test nuclear weapons. Although Recommendation 93-3 concerns improving the Department's technical capability, the successful implementation of Recommendation 93-3

will depend heavily upon applying government and commercial standards in determining appropriate qualification and training requirements for Department personnel.

2. **Training and Qualifications.**

Recommendation 93-3 expresses the Board's assessment that the single most serious and far-reaching problem affecting the safety of defense nuclear facilities is the insufficient number of **highly** qualified technical and management personnel available to the Department. Recommendation **90-1**, Savannah River Operator Training, expresses the Board's concern about the Department's standards for training reactor plant operators and supervisors, Recommendations on operational readiness reviews, including Recommendation 90-4 concerning plutonium operations at Rocky Flats and Recommendation 92-3 concerning the **HB-Line** at Savannah River, express concern about the training and qualifications of operational readiness review team members. Recommendation 91-6 concerning radiation protection emphasizes the training and competency of key radiation protection personnel. Recommendation 92-2 concerning Facility Representatives recommends that the Department establish a formal program to select, train, and assign Department of Energy Facility Representatives at defense nuclear facilities. Recommendation 92-7, Training and Qualification, expresses the Board's assessment that there is a need for the Department to further strengthen the training of technical personnel at defense nuclear facilities. Recommendation 93-6 concerns maintaining access to nuclear weapons expertise in the defense nuclear facilities complex, This Recommendation expresses the Board's concerns in relation to the need to retain access to the capability and to capture the unique knowledge **of** individuals who have been engaged for many years in certain critical defense nuclear activities, in order to avoid future safety problems in these and related areas.

The Department has fully accepted the Board's Recommendations concerning training and qualifications. The 93-3 Implementation Plan has been developed in a comprehensive manner to also address Recommendation 92-7 and the training-related aspects of other Recommendations. The Board has acknowledged that Recommendation 92-7 has **been** superseded by the Department's Implementation **Plan** for Recommendation 93-3.

Where the Department has been able to focus resources and management attention, the Department has made significant training and qualification improvements. These improvements have been noted by the Board at Savannah River and Rocky Flats. The Department will capitalize on the lessons learned from these successful programs in implementing the complex-wide training and qualification program detailed in the 93-3 Implementation Plan.

3. **Operational Readiness Reviews and Conduct of Operations.**

The first Recommendation which specifically **called** for comprehensive assessment of the capability to safely startup or restart facility plutonium operations was Recommendation 90-4, Rocky Flats Operational Readiness Reviews. Other Recommendations which specifically recommend operational readiness reviews include:

- o Recommendation 91-3, Waste Isolation Pilot Project.
- o Recommendation 91-4, Rocky Flats Building 559 Operational Readiness Review.
- o Recommendations 92-1 and 92-3 concerning the **HB-Line** at the Savannah River Site.
- o Recommendation 92-5, Discipline of Operations during Changes, concerning conduct of operations across the complex.
- o Recommendation 92-6 concerning Orders, procedures, directives, and other requirements to govern the safety aspects of operational readiness reviews.

The operational readiness review process has provided a consistent framework by which the Department can assess the readiness of a facility to safely startup or restart operations. The Department has demonstrated its ability to successfully complete operational readiness reviews at defense nuclear facilities across the complex. Based on this experience, the Department issued DOE Order 5480.31, "Startup and Restart of Nuclear Facilities," formalizing the startup and restart requirements for nuclear facilities. Along with the Order, the Department distributed DOE standard DOE-STD-3006-93, "Planning and Conduct of Operational Readiness Reviews," which provided guidelines for performing operational readiness reviews. Both the Order and the standard were closely scrutinized by the Board and were found to be responsive to the concepts identified in previous Board Recommendations on operational readiness reviews. Additionally, based on the success of the operational review process for defense nuclear facilities, the Department is evaluating the approach for use at facilities which test, assemble, and disassemble nuclear weapons.

4. **Criticality.**

The Board has expressed increasing concern over the potential for accidental criticality incidents as the result of potential accumulation of **fissile** material in an amount or configuration that would sustain a nuclear chain reaction. The Board's concerns have included facilities where, if the operations are not

adequately reviewed and controlled or upgraded, degradation of the facility or its operations potentially could result in a criticality incident. The Board's concerns have involved a number of Departmental activities including:

- o Hanford Waste Tanks.
- o Ventilation ducts at Rocky Flats.
- o Storage of special nuclear materials at selected defense nuclear facilities.
- o Activities involved with the assembly, disassembly, and testing of nuclear weapons.

As a consequence, the Board has placed increased attention on the Pantex Plant, Oak Ridge Y-12 Plant, Los **Alamos** National Laboratory, **Sandia** National Laboratories at Albuquerque and **Livermore**, the Nevada Test Site, and Rocky Flats. Recommendation 90-6 concerning plutonium in the ventilation ducts at Rocky Flats has a short-term objective of ensuring that a criticality accident will not take place and that the presence of **fissile** and other materials in the ventilation ducts will not result in an undue risk to the health and safety of the public. Most recently, Recommendation 93-2, The Need for Critical Experiment Capability, recommends that the Department retain its program of general purpose criticality experiments.

The Department has organized a nuclear criticality experiments steering committee. The committee is chartered with identifying the criticality needs (material storage, criticality training, criticality safety, research, etc.) of the Department and ensuring that resource requirements are identified to senior Department management. The committee is tasked with integrating the criticality needs of the Department into a single program which will ensure maintenance of a criticality expertise in the Department well into the future.

5. Departmental and Contract Management.

A common thread through many of the Recommendations is the management process and structure. Specifically, the Board has expressed concern at many of its meetings with Department personnel and contractors about line accountability for safety responsibilities from the Secretary to the lowest line manager, including contractor personnel. The specific relationship between contractors and the government is of concern in Recommendations pertaining to operational readiness reviews and in Recommendation 92-4, Multi-Function Waste Tank Facility at Hanford. Most recently, Recommendation 93-4, Environmental Restoration Management Contracts, expresses concern regarding the Department's

ability to manage technical contracts for environmental **remediation** efforts.

CO **Recommendations Issued in 1993**

Six (6) Board Recommendations were issued during 1993. These Recommendations include:

- 0 Recommendation 93-1 which concerns the level of safety assurance at those facilities that assemble, disassemble, and test **nuclear** weapons with special emphasis on Pantex.
- 0 Recommendation 93-2 which concerns the Board's assessment that the Department should **retain** its program of general purpose criticality experiments.
- 0 Recommendation 93-3 which addresses the Board's concern that the Department has an insufficient number of qualified technical and management personnel within the Department's work force.
- 0 Recommendation 93-4 which expresses the Board's concern about the strength of the Department's technical management of environmental restoration management contracts.
- 0 Recommendation 93-5 which recommends that the Department reevaluate its program of characterizing the contents of the Hanford high level waste tanks.
- 0 Recommendation 93-6 which expresses the Board's concern about the need to retain access to the " capability and to capture the unique knowledge of experts who have been engaged for many years in critical defense nuclear activities including disassembly of nuclear weapons at Pantex and testing of **nuclear** weapons-at the Nevada Test Site.

Appendix A contains the six (6) Recommendations issued by the Board in 1993.

D. **Summary Status of Recommendations**

Table 1, Summary Status of DNFSB Recommendations, provides the status of each Recommendation which has been issued to the Secretary. Five (5) of the seven (7) Recommendations issued in 1990, one (1) of the six (6) Recommendations issued in 1991, five (5) of the seven (7) Recommendations issued in 1992, and all six (6) of the Recommendations issued in 1993 remain active at the end of 1993.

The Board considered the following two (2) Recommendations to be closed **in** 1993:

- 0 Recommendation 91-5, Savannah River K-Reactor Power Limits.



- o Recommendation 92-7, Training and Qualification. This Recommendation was superseded by Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs.

Table 2, Status of Active Implementation Plans Requiring Greater Than One (1) Year to Complete, provides the status for eleven (11) active Implementation Plans which have required or are anticipated to require greater than one (1) year to complete. Further information on the status of these eleven (11) Implementation Plans is provided in the discussions of the associated **DNFSB** Recommendations in Sections **III** through **VI**.

TABLE 1

**SUMMARY STATUS OF DNFSB RECOMMENDATIONS**

RECOMMENDATION	SUBJECT	STATUS		
		OPEN	CLOSED	
			1992	1993
90-1	<b>Savannah River operator Training</b>		•	
90-2	Standards Compliance	•		
<b>90-3</b>	Hanford <b>Waste Tanks</b>		•	
90-4	Rocky Flats operational <b>Readiness Reviews (ORRs)</b>	•		
90-5	Systematic Evaluation Plans	•		
90-6	Rocky Flats, Plutonium in the Ventilation Ducts	•		
90-7	Hanford Waste Tanks	•		
91-1	Department of Energy Safety Man&r&Program		•	
91-2	Reactor Operations and Management Plan		•	
<b>91-3</b>	Waste Isolation Pilot <b>Plant (WIPP)</b>		•	
91-4	<b>Rocky Flats, Building 559 Operational Readiness Review (oRR)</b>		•	
91-5	<b>Savannah River K Reactor Power Limits</b>			•
914	Radiation Protection	•		
92-1	<b>Operational Readiness of the HB-Line at Savannah River</b>		•	
92-2	<b>Facility Representatives</b>	•		
92-3	<b>HB-Line Operational Readiness Reviews (ORRs)</b>	•		
92-4	<b>Multi-Function Waste Tank Facility at Hanford (MWTF)</b>	•		
92-5	<b>Discipline of Operations during Changes</b>	•		
92-6	<b>Operational Readiness Reviews</b>	•		
92-7	<b>Training and Qualification</b>			•
93-1	<b>Standards Utilization in Defense Nuclear Facilities</b>	•		
93-2	the Need for Critical <b>Experiment Capability</b>	•		
93-3	Improving Technical Capability in <b>Defense Nuclear Programs</b>	•		
934	<b>Environmental Restoration Management Contracts</b>	•		
93-s	<b>Hanford Waste Tanks Characterization Studies</b>	•		
93-6	<b>Maintaining Access to Nuclear Weapons Expertise in the Defense Nuclear Facilities Complex</b>	•		

TABLE 2

STATUS OF ACTIVE **IMPLEMENTATION** PLANS  
REQUIRING GREATER **THAN ONE (1) YEAR TO COMPLETE**

RECOMMENDATION	SUBJECT	ANTICIPATED COMPLETION SCHEDULE
90-2	Standards Compliance	Beyond September 1995
90-4	Rocky Flats Operational Readiness Reviews (ORRS)	December 1994
90-5	Systematic Evaluation Plans	September 1996
90-6	Rocky Flats, Plutonium in the Ventilation Ducts	No schedule commitment
90-7	Hanford Waste Tanks	September 1995
91-6	Radiation Protection	December 1994
92-2	Facility Representatives	December 1994
92-4	Multi-Function Waste Tank Facility at Hanford (MWTF)	No schedule commitment
92-5	Discipline of Operations during Changes	No schedule commitment
92-6	Operational Readiness Reviews	December 1994
93-3	Improving Technical Capability in Defense Nuclear Programs	December 1995

## II. DEPARTMENTAL INITIATIVES

Since the Office of the Departmental Representative was assigned under the Assistant Secretary for Environment, Safety and Health, regular "DNFSB Issues Meetings" have been held with the Cognizant Secretarial Officers or their representatives. Key offices represented have included the Under Secretary; Associate Deputy Secretary for Field Management; Assistant Secretaries for Environment, Safety and Health, Environmental Restoration and Waste Management, Defense Programs, and Human Resources and Administration; and the Directors of Nuclear Energy and Energy Research. As a result of these DNFSB Issues Meetings, the Cognizant Secretarial Officers and their Offices have been more aware of and consequently more closely involved with Board interactions.

Departmental initiatives in 1993 to improve the interactions with the Board are discussed below.

### A. Secretarial Policy

The Secretary of Energy issued a policy letter dated May 17, 1993, to Cognizant Secretarial Officers stipulating the Secretary's commitment to working with the Board. The Secretary directed Department personnel to cooperate fully with and be responsive to the Board to enhance and improve public health and safety. As a result of this specific policy, the DNFSB Issues Meetings were initiated.

### B. Guidelines for Interacting with the Board

Revised Guidelines for the Department's interface with the Board have been developed within the Office of the Departmental Representative. These guidelines have been developed in coordination with the Cognizant Secretarial Office representatives in the DNFSB Issues Meetings. Both DOE Field and Headquarters personnel participated in the development and review of the Guidelines.

### c. Information Management

A computer-based library has been developed and assembled. The library includes an electronic file of:

- o 1990 Recommendations
- o 1991 Recommendations
- o 1992 Recommendations
- o 1993 Recommendations
- o All Implementation Plans and Significant Correspondence
- o DNFSB Policy Statements
- o DNFSB Annual Reports
- o DOE Annual Reports on DNFSB Related Activities
- o Talks by DNFSB and Staff
- o Technical Issue Papers by Board Staff
- o List of Safety Related Orders (dated June 17, 1992)
- o Guidelines for DOE interaction with the Board

- o DOE Field Office Questions and Answers about the **DNFSB**
- o Resumes of Board and Staff
- o Trip Reports

The above information **is** available for Headquarters and Field use and reference on diskette and will be available on the Environment, Safety and Health Local Area Network (EH LAN) system in the near future.

The Milestone Tracking System has been developed. This system provides key milestone information associated with each Board Recommendation including:

- o Recommendation description
- o Pending action and schedule dates
- o Summary of transmitted correspondence
- o Points of contact

o. **Commitment** Management

A system to identify and manage commitments made by the Secretary of Energy to the Board has been implemented. All potential future commitments to the Board will be reviewed within the Office of the Departmental Representative for concurrence and entry into the system. Departmental procedures have been implemented for this process.

The Office of the Departmental Representative has reviewed the **DNFSB** Recommendation Implementation Plans and has identified approximately 1150 items which the Department believes to be commitments to the Board. These 1150 items have been grouped into a manageable set of "consolidated commitments." The Office of the Departmental Representative is negotiating with the responsible Departmental element and the Board to obtain their concurrence with these consolidated commitments and to clearly define and determine the status of a manageable set of commitments and schedules.

E. Process for Development of Implementation Plans

Development of the Implementation Plan in response to Recommendation 93-3, Improving Technical Capability in Defense Nuclear **Programs**, was conducted differently than for previous Recommendations. **The** Implementation Plan was developed by an Ad Hoc group reporting directly to the Acting Under Secretary. This Ad Hoc group was comprised of a varied membership of Field and Headquarters personnel under the guidance of a full-time dedicated chairman.

The development process included the early involvement of line managers and staff personnel. As the Implementation Plan matured, numerous stakeholders' comments were solicited and addressed. This process, with the frequent involvement of the Assistant Secretary for Human Resources and Administration, resulted in an Implementation Plan that had full Departmental "buy-in."

The Board established a similar staff **committee** with a lead negotiator to work with the Department's Ad Hoc group. Meetings with the Board and its staff were held to define their expectations and develop a workable and acceptable Implementation Plan. This interaction and the single point of contact with the Board's staff were key elements in producing an acceptable plan.

The Implementation Plan format was changed from that of previous submittals. The new format is clearer and more professional and readable. The format adds a title page, table of contents, executive summary, introduction, glossary, and acronym list to the previous format. In addition to format changes, the Implementation Plan also contains a section on change control and incorporates the concept of target dates. The section on change control discusses a negotiated process to address significant changes in commitment dates, target dates, or planned actions. Target dates were added to provide a timeframe for implementation of specific deliverables. While not considered a Department commitment, progress toward target dates is reported in periodic reports to the Board and is used as a Department goal .

Implementation Plans for Recommendations 92-4, Multi-Function Waste Tank Facility at Hanford, and 93-5, Hanford Waste Tanks Characterization Studies, were being developed at the end of Calendar Year 1993 in this format using a similar approach.

### III. DEFENSE NUCLEAR FACILITIES SAFETY BOARD Calendar Year 1993 RECOMMENDATIONS

#### A. Recommendation 93-1, Standards Utilization in Defense Nuclear Facilities

**Summary.** Recommendation 93-1 was issued by the Board on January 21, 1993. This Recommendation was focused on ensuring that the level of safety assurance at those facilities that assemble, disassemble and test nuclear weapons is at least as rigorous as that required at other defense nuclear facilities and commercial nuclear material processing facilities.

**Status.** Recommendation 93-1 was accepted by the Secretary on April 27, 1993. The Department's Implementation Plan for Recommendation 93-1 was provided to the Board on July 19, 1993. The Board accepted the Implementation Plan on July 30, 1993, contingent on additions to the Plan which were incorporated by the Department on August 24, 1993. The Implementation Plan committed the Department to five actions:

- o Review the Department's Nuclear Safety Orders and Directives to determine applicability to those facilities and sites that assemble, disassemble, and test nuclear weapons. (Complete - September 30, 1993).

The Department has defined the operations and listed the operations and facilities that involve assembly, disassembly, and testing of nuclear weapons.

The Nuclear Safety Orders (i.e., "Level 1 Orders of Interest to the DNFSB" and associated supplemental Directives) and Nuclear Explosive Safety Orders (i.e., "Weapon Sensitive DOE Orders of Interest to the DNFSB" and associated supplemental Directives) are referred to as "Combined Orders." The list of the Combined Orders that apply to the operations and facilities that involve assembly, disassembly, and testing of nuclear weapons has been developed. Each of these lists includes a description of how the list was derived.

- o Provide a clear explanation of the attributes of the Department's Nuclear Safety Orders and Nuclear Explosive Safety Orders and how they are applied by identifying those critical safety elements of operations and how those elements are addressed by each Order and directive. (In progress)

The procedure for executing this action, the list of critical safety elements, and the list of DOE Order attributes have been completed. Completion of this action is expected by February 28, 1994.

0 Identify the areas of inconsistency or discontinuity between the sets of Nuclear Safety Orders and Nuclear Explosive Safety Orders, if any.

Completion of this action is expected **by March** 31, 1994.

0 Where appropriate, Identify areas where Orders and directives can and should **be** strengthened.

Completion of this action is expected by June 1, 1994.

0 Expedite Order compliance review at Pantex. (**In progress**)

**In response to Recommendation 90-2, Standards Compliance**, the Order Compliance Self-Assessment Program was implemented for DOE facilities, including those that assemble, disassemble, and test nuclear weapons. The Board's Trip Reports of the review of the status of the Order Compliance Self-Assessment Program at Pantex had identified a number of concerns, including delays in performing the Order compliance activities and weaknesses in the review process and documentation.

The Department reviewed the Board's Trip Reports and developed a corrective action plan for implementation of specific actions to address the Board's concerns. **The corrective** action plan includes actions to expedite and upgrade the Order Compliance Self-Assessment Program at Defense Programs facilities that assemble, disassemble, and test nuclear weapons, **and** the Y-12 Plant at Oak Ridge. These corrective actions include expediting the completion of the Order compliance review at Pantex. The corrective action plan was provided to the Board on September 30, 1993.

Based on a subsequent assessment by Defense Programs, additional information will be provided on the Lawrence Livermore National Laboratory and the Lawrence **Livermore** Site Office.

B. Recommendation 93-2, The Need for Critical Experiment Capability

**Summary.** On March 23, 1993, the Board issued Recommendation 93-2 concerning the Department's need to retain a program of general purpose criticality experiments. The Board noted that the art and science of nuclear criticality control involve three principal ingredients. The first is familiarity with factors that contribute to achieving nuclear criticality. This familiarity is developed only through individuals' working with critical systems. The second is theoretical understanding which is benchmarked against good and well characterized critical experiments. The third is a complete, thorough familiarity by individual nuclear criticality engineers with the first two factors.

**Status.** The Secretary accepted Recommendation 93-2 on **May 12, 1993**, and submitted the Implementation **Plan** to the Board on August 10, 1993. The



Plan was accepted by the Board on September 30, 1993. The Implementation Plan **commits** the Department to:

- o Retain its program of general purpose criticality experiments. (Item 1, Complete)
- o Establish a Nuclear Criticality Experiments Steering Committee (the Committee) made up of appropriate Department stakeholders to provide program leadership. (Item 2)
  - Develop the charter for the Committee. (Complete - December 1993) ,
  - The Committee was established with meetings beginning in September 1993. The Committee developed the charter for the Committee which was approved by the Assistant Secretary for Defense Programs on **December 2**, 1993.
  - Develop the charters for the Technical Subcommittees. (**In progress**).
  - Formation of two Subcommittees, the Methodology and Experiments Subcommittee and the Training Subcommittee, was **begun**. Subcommittee Chairmen and members have been **selected**.
  - Meetings of the Committee. (**In progress**).
  - Meetings of the Committee were initiated in September 1993.
  - Meetings of the subcommittees. (**In progress**)
  - The **Methodology** and Experiments Subcommittee was inaugurated in a joint **meeting** with the Committee on December 15, 1993. The initial meeting of the Training Subcommittee was scheduled for January 1994.
- o Complete Experiments Needs Assessment Review. (Item 3, Complete - Fourth Quarter 1993).
  - An Experiments Needs Assessment had been initiated early in 1993 by the Department. This assessment was used as a source document by the Committee. The Draft Nuclear Criticality Experiments Needs Assessment (the assessment) was completed and presented to the Committee for its review in the Fourth Quarter of 1993. The assessment will be used by the Methodology and Experiments Subcommittee in determining the future direction of the criticality experiments program.
- o The Committee shall incorporate the improvements to the criticality experiments program, as appropriate, resulting from the preliminary performance of the annual needs assessment and

concurrent on by the cognizant CSOS. (Item 4. Refer also to Item 5.)

- o The Committee shall identify the criticality capability needed to support current and expected future DOE operations as detailed under Annual **Committee** Activities. (Item 5)
- o The Committee shall incorporate the improvements to the criticality experiments program resulting from the final performance of the first annual needs assessment and concurrent on by the cognizant **CSOs**. (Item 6. Refer also to Item 5.)
- o Implementation Plan status reports to the Assistant Secretary for Defense Programs and the **DNFSB**. (Item 7, Complete - Fourth Quarter 1993)

Quarterly reports were initiated and issued for Third and Fourth Quarters 1993.

**C. Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs**

**Summary.** The Board issued Recommendation 93-3 on June 1, 1993, concerning the technical capability of personnel associated with defense nuclear facilities. The Board in its last three Annual Reports has observed that:

"... the most important and far reaching problem affecting the **safety** of Department of Energy defense nuclear facilities is the difficulty in attracting and retaining personnel who are adequately qualified by technical education and experience to provide the kind of management, direction and guidance essential to safe operation of the Department of Energy's defense nuclear facilities."

**Status.** The Secretary accepted Recommendation 93-3 on July 23, 1993, with the understanding that Recommendation 92-7 would be included under Recommendation 93-3. After extensive coordination with the Board and its staff, the 93-3 Implementation Plan was developed and forwarded to the Board on November 4, 1993. The Board accepted the Implementation Plan on November 5, 1993, stating that the Implementation Plan was "exemplary," and that it also serves as a revised 92-7 Implementation Plan for Department of Energy and contractor training and qualification for technical personnel.

The Implementation Plan organizes initiatives into eight task areas. These are:

- o Organization and Policy, Task 1, which will establish clear-cut internal leadership to ensure continual improvement in the technical capability of Department personnel and its contractors who are performing safety-related tasks at defense nuclear

facilities. This task includes development of a policy of technical excellence, the establishment of a Technical Excellence Executive Committee, clarification of oversight roles and responsibilities, and the responsibilities of the Technical Personnel Program Coordinator.

Appointment of the Technical Personnel Program Coordinator (Commitment 1.3, Complete - September 1993) and the issuance of the Technical Excellence Policy (Commitment 1.1, Complete - October 31, 1993) were accomplished before the Implementation Plan was forwarded to the Board.

- o Recruitment and Retention, Task 2, which will improve and expand technical personnel recruitment and retention programs. A key initiative involves the innovative use of an Excepted Service System to fill appropriate positions.
- o Education and Career Planning, Task 3, which will develop and expand existing formal technical education opportunities for technical and technical management positions while establishing an integrated career and succession planning program. This task highlights the initiatives related to the pursuit of graduate technical educational programs and personnel development initiatives (educational incentives, succession planning, and career path guidance).
- o Department of Energy Technical Employee Training and Qualification, Task 4, which will establish a formal and structured training and qualification program for Department technical employees associated with the defense nuclear facilities. This task involves significant initiatives in training and qualification standards, interim guidance, development of new training courses, institutionalizing the training and qualification process, issuing guidance for Department evaluation of contractor training and qualification, and guidance for performance appraisal standards. Comprehensive information management systems will allow senior managers to integrate their goals and objectives to assure cost effective implementation, track progress, and take appropriate corrective actions.
- o Contractor Training, Task 5, which will increase Department senior management involvement and improve the quality and pace of implementing Department Orders governing the training and qualification of Management and Operating contractor personnel who operate the defense nuclear facilities in the complex. This task addresses the Management and Operating contractor issues contained in Recommendation 92-7, Training and Qualification. These initiatives include accelerating the approval of Training and Implementation Matrices (**TIMs**) and validating the status of Training Program Accreditation Plans (**TPAPs**). Additional actions include revising Orders 5480.18A and 5480.20, sharing lessons

learned among Management and Operating contractors and Operations Offices, and providing expanded and enhanced guidance to Management and Operating contractors.

A status report was issued on December 28, 1993, concerning the submittal and implementation of **TIMs** (Commitment 5.1.1, In progress.)

- o External Assessment, Task 6, which establishes independent external assessment capability. This includes an independent assessment followed by an Implementation Plan detailing the Department's response and planned corrective actions.
- o Reporting Requirements, Task 7, which establishes and describes the requirement for quarterly reports updating the progress and significant accomplishments made in the 93-3 Implementation Plan initiatives. The quarterly reports will contain updated performance indicators, as available, and discussions on the progress of various initiatives. The reports will review completion dates and upcoming milestones, as well as the upcoming quarter's activities and any concerns.
- o Change Control, Task 8, which concerns the process to address changes in commitments, actions, completion dates or target dates when modifications **are** necessary due to additional information, project refinements, or changes in the Department's baseline assumptions.

The Department recognizes the importance and magnitude of the changes discussed in the Implementation Plan. Aggressive efforts have been started to complete near-term initiatives that can quickly achieve momentum and demonstrate success in implementing the plan. Successful and timely completion of the near-term initiatives is paramount to commencing a significant long-term effort.

An experienced Senior Executive Service manager was designated in September 1993 to serve as the Technical Personnel Program Coordinator (**TPPC**). The TPPC provides continuity by having served as a key development team member in developing the Implementation Plan and being the Departmental agent responsible for coordinating its implementation. (It should be noted that the primary responsibility for completing these initiatives lies with line management.) The Technical Excellence Policy has been approved and negotiations have been initiated on Excepted Service authority.

The TPPC Commitment Schedule, Revision 0, was issued in December 1993. This schedule provides the framework for tracking actions necessary to ensure that appropriate progress is achieved in meeting commitment dates.

The first of a series of Technical Training Excellence Workshops was held in September 1993. The last of the five site Training Surveys was completed in December 1993. The site Training Surveys included Pantex, Savannah River, Rocky Flats, Idaho National Engineering Laboratory, and Lawrence **Livermore** National Laboratory. A Training Implementation Matrix workshop was held in October 1993. The Oak Ridge Operations Office has established and staffed a training office, and the Albuquerque Operations Office has committed to accelerating training initiatives at Pantex to facilitate compliance with DOE Order 5480.20 and to address Federal employee training and qualification.

To continue aggressive efforts in implementing the plan, a number of initiatives will be completed by March **1994**. A select number of these initiatives are listed below:

- 0 Technical Excellence Policy - Issue the DOE Technical Excellence Policy statement committing the Department to upgrading the technical expertise of employees and contractors. (Commitment 1.1, Complete - October 31, 1993)
- 0 Training Implementation Matrices - Determine the status of development, submittal, approval and implementation for Training Implementation **Matrices (TIMs)** required by DOE Order 5480.20. (Commitment 5.1.1, Complete - December 28, 1993)
- 0 External Assessment - Complete an external assessment plan for providing the Department with independent, candid and timely feedback on its efforts to increase the technical capability of its employees. (Commitment 6.1)
- 0 Interim Report to the **DNFSB** - Issue an interim report to the Board containing an update of all activities occurring between the issuance of the Implementation Plan and the end of the Calendar Year. (Commitment 7.1 )
- 0 Interim Guidance - Establish interim guidance to verify the adequacy of, or to establish as necessary, Individual Development Plans (**IDPs**) or their equivalent for technical employees and managers. (Commitment 4.2.1)
- 0 Oversight Roles and Responsibilities - Issue Department **policy and** guidance to define training and qualification program oversight roles and responsibilities for **line** management and the Office of Environment, Safety and Health. (Commitment 1.4)
- o Technical Personnel Coordinating Committee - Establish a Technical Personnel Coordinating Committee to facilitate intrasite and intersite communications, coordinate initiatives, share resources and lessons learned, and facilitate progress. (Commitment 5.5)
- 0 Near-Term Recruitment Strategy - Establish policy and guidance for developing a near-term strategy to attract competent, well-

qualified technical candidates to fill critical technical personnel shortages. (Commitment 2.4)

- o Training Program Accreditation Plans - Determine the status of contractor implementation for the Training Program Accreditation Plans (**TPAPs**) required by DOE Order 5480. 18A. (Commitment 5,2)
- o Administrative Processes - **Issue** guidance for identifying and communicating to line managers selected administrative processes to enhance recruitment, retention, and performance management of Federal technical staff. (Commitment 2.2)

As described in the Implementation Plan, the original due dates for the following commitments are greater than one (1) year from the date of submittal of the Implementation Plan:

- o Commitment 4.1.4, to bring operations and program offices into compliance with the new requirements for selection, training, and qualification for DOE technical staff responsible for evaluating contractor training and qualification programs (Commitment **4.1.2**) and for personnel responsible for implementing Federal employee technical training programs (Commitment 4.1.3), has a due date of June 1995 for the deliverable of "compliance verified by selected self-assessments and oversight reviews."
- o Commitments 4.4.4, to develop and **issue** a Technical Specialist Qualification Standard that contains Department-wide and facility/site/program-specific requirements for the Technical Specialist position, has a due date of December 1994.
- o Commitment 4.4.5 to complete and implement the technical qualification standards process for new employees and job incumbents **has** a due date of December 1995 for the deliverable of "implementation verified by selected self-assessments and oversight reviews.
- o Commitment 4.5, to coordinate the development and implementation of formal technical training courses to cover the knowledge, skills, and abilities identified in the technical qualification standard developed in Commitment 4.4 including:
  - Evaluation of existing training courses to determine if they sufficiently cover the identified learning objectives in the qualification standards and
  - Modification and development of courses as necessary to support the technical qualification standards,has a due date of December 1994.
- o Commitment 4.6, to institutionalize the Technical Training and Qualification Program for Federal technical employees by

developing and issuing a Department Order and related guidelines covering the process and requirements, has a due date of December 1994.

- 0 Commitment 4.7, to develop and issue policy and guidance for upgrading the language in performance appraisals for technical personnel required to complete training and qualification requirements, for supervisors of technical personnel that must complete qualification requirements, and for personnel that oversee or evaluate Federal and contractor technical training and qualification activities, has a due date of December 1994.
  - 0 Commitment 4.8, to coordinate the development and implementation of management information systems to monitor and assess the effectiveness of both Federal and contractor training and qualification initiatives and to establish standard reporting requirements, including specific performance indicators, to ensure that DOE senior management is cognizant of activities and progress and is able to make changes when necessary to ensure that initiatives stay on schedule and are being implemented as intended, has a due date of December 1994.
- D. Recommendation 93-4, Environmental Restoration Management Contracts

Summary. On June 16, **1993**, the Board issued Recommendation 93-4 concerning health and safety factors associated with the Department's management and direction of Environmental Restoration Management Contracts (**ERMCs**). The Board has an interest in the Department's use of its new Environmental Restoration Management Contractor approach to defense nuclear waste storage, treatment, disposal, and site decommissioning and restoration at the **Fernald** Environmental Management Project. The Board recommended that the Department formalize and strengthen its technical management of Environmental Restoration Management Contracts through developing detailed project and technical management plans, allocating qualified technical personnel to manage the contracts at both the Headquarters and Field level, and applying the lessons learned at **Fernald** to future Environmental Restoration Management Contracts and to other Departmental contracting.

Recommendations also were included to review recent **Uranium** Nitrate Hexahydrate (**UNH**) accidents at **Fernald**, develop an operational readiness plan to resume **UNH** activities, and improve the Facility Representative program at **Fernald**.

Status. The Secretary notified the Board on August 6, 1993, of acceptance of Recommendation 93-4 and submitted the Implementation Plan to the Board on **November 8**, 1993. The Plan was accepted by the Board on November 18, 1993. The Implementation **Plan** commits the Department to:

- 0 Develop and implement a technical management plan for **Fernald** and future Environmental Restoration Management Contracts. (Item 1)

- 0 Consider insights gained from Item 1 **above** in pursuing the broader initiatives for reforming contract management announced by the Secretary. (Item 2)
- 0 Conduct an independent review **of** the corrective actions taken subsequent to a recent **Uranyl** Nitrate Hexahydrate spill at **Fernald**. (Item 3)
- 0 Formalize a clear process and line of authority for restart of the **Uranyl** Nitrate Hexahydrate Stabilization Project at **Fernald**. (Item 4)
- 0 Fully implement the Facility Representative Program at **Fernald** in accordance with Recommendation 92-2, Facility Representatives. (Item 5)

The principles contained in applicable DOE Orders and in the Implementation Plans in response to previous Board Recommendations on topics such as Facility Representatives (92-2), operational readiness reviews (92-6), and training (93-3) were incorporated, where appropriate, into the Implementation Plan for Recommendation 93-4.

E. **Recommendation** 93-5, Hanford Waste Tanks Characterization Studies

**Summary.** The Board's dissatisfaction at the rate of waste tank sampling and characterization for the Hanford Waste Tanks resulted in the Board's issuance of Recommendation 93-5 which urges more rapid progress. At the end of Calendar Year 1993, 22 of the 177 tanks on the Hanford Site had been sampled. **Only** four of those sampled were among the 54 tanks on the Watch List of tanks that generate the greatest safety concerns.

In Recommendation 93-5, the Board recommended that the Department:

- 0 Undertake a comprehensive reexamination and restructuring of the characterization effort with the objectives of:
  - Accelerating sampling schedules and strengthening technical management of the effort; and
  - Completing safety-related sampling and analysis of Watch List tanks within a target period of two years, and the remainder a year later.
- 0 Integrate the characterization effort into the systems engineering effort for the Tank Waste **Remediation** System (TWRS) .

**Status.** The Department accepted **Recommendation** 93-5 on August 18, 1993. The Implementation Plan was submitted to the Board in January 1994.



F. Recommendation 93-6, Maintaining Access to Nuclear Weapons Expertise in the Defense Nuclear Facilities Complex

Summary. The Board issued Recommendation 93-6 on December 10, 1993, identifying its concerns in relation to a number of safety-related consequences associated with the ongoing reduction in size of the stockpile of nuclear weapons and the related changes in the defense nuclear complex. The Board had addressed several Recommendations to such problem areas, including 92-5 which concerned discipline of operations in a **changing** defense nuclear facilities complex, and 93-2, which concerned the continued need for the capability to conduct critical experiments. The Board's concerns included the need to retain access to the capability and to capture the unique knowledge of individuals who have been engaged for many years in certain critical defense nuclear activities, in order to avoid future safety problems in these and related areas.

The Board's concerns included:

- o Ensuring the capability is maintained to safely conduct nuclear testing operations at the Nevada Test Site.
- o Ensuring **all** future dismantlement activities at Pantex are safely completed.
- o Potential safety-related consequences of the ongoing downsizing, layoffs, and retirement of knowledgeable personnel within the nuclear weapons complex.
- o Effectiveness of administrative controls to ensure nuclear explosive safety at the Nevada Test Site in light of the loss of experienced personnel.
- o The need to obtain as yet undocumented anecdotal technical information from departing personnel including design, test, engineering, and manufacturing data for weapons and weapon experiments.

Status. The Office of Defense Programs has been assigned as the lead Office to manage this Recommendation. The Department's response to Recommendation 93-6 is due to the Board in February 1994.

Iv. DEFENSE NUCLEAR FACILITIES SAFETY BOARD Calendar Year 1992  
**RECOMMENDATIONS**

A. Recommendation 92-1, Operational Readiness of the **HB-Line** at Savannah River

Summary. This Recommendation was superseded by Recommendation 92-3 and is closed. On May 21, 1992, the Board issued Recommendation 92-1 concerning operational readiness of the **HB-Line** at Savannah River. The Board recommended that the Department defer resumption of processing at the **HB-Line** at that time pending issuance of the report of the Board's investigation, resolution of the issues, and possible further Board action.

Background. The pending report of the Board's investigation was made available to the Secretary on September 14, 1992. The Secretary's letter of October 19, 1992, noted that satisfactory completion of the Implementation Plan for Recommendation 92-3 would resolve many of the issues raised in the **HB-Line** investigative report. The Secretary accepted Recommendation 92-1 and stated that an Implementation Plan was not required because of the temporary nature of Recommendation 92-1.

On October 27, 1992, the Board notified the Secretary that Recommendation 92-1 had been superseded by the further action of the Board in issuing Recommendation 92-3. **Recommendation** 92-1 was reported as closed in the **Annual** Report to Congress for **CY** 1992 on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board.

B. **Recommendation** 92-2, Facility Representatives

Summary. Recommendation 92-2, issued on May 28, 1992, addressed the weaknesses of the Department's Facility Representative Program. The Board expressed concern that there are inconsistencies in the selection, training, and responsibilities of Department of Energy Facility Representatives. The Board recommended that the Department:

- o Conduct a comprehensive analysis of existing Facility Representative Programs **at** defense nuclear facilities.
- o Use the results of the analysis to establish a formal program to select, train, and assign Department of Energy Facility Representatives at defense nuclear facilities.

Background. The Secretary accepted Recommendation 92-2 on July 20, 1992, and submitted an Implementation Plan to the Board on November 5, 1992.

Status. The 92-2 Implementation **Plan** was conditionally accepted on January 15, 1993. The Secretary agreed with the Board's suggested improvements and implemented those suggestions in an Action **Plan** which was submitted to the Board on April 26, 1993. Revisions to the

Implementation Plan and to the Action Plan were submitted to the Board on September 30, 1993, as discussed below.

The Implementation Plan **committed** the Department to:

- 0 Conduct an analysis of the existing Facility Representative (FR) programs and determine the best practices to use for a DOE standard on FRs. (Item 1, Complete - March 1993)
- 0 Define the duties, responsibilities, training, and qualifications required of FRs. (Item 2, Complete - March 1993}
- 0 Define the organizational structure showing the functional relationships of a FR within line management. (Item 3, Complete - March 1993)
- 0 Define the application of a "Graded Approach" for a FR program at the defense nuclear facilities requiring a FR. Define the requirements to be applied to FRs at facilities that vary in risk and complexity. (Item 4, Complete - March 1993)
- 0 Evaluate possible changes to personnel practices that could enhance the Department's ability to recruit and retain highly qualified people for FR positions. (Item 5, Complete - March 1993)
- 0 Evaluate the personnel and management resources required to establish and maintain an effective FR program. (Item 6, Complete - March 1993)
- 0 Provide an action plan that identifies the specific commitments and schedules to implement improvements in FR programs. (Item 7, Complete - April **1993**)
- 0 Provide a DOE standard on FRs to the Field Offices based on the information obtained from the above steps. (Item 8, Complete - August **1993**)

The analysis of FR programs involved Items 1 through 6, above. The analysis was completed in March 1993 and was provided to the Field and Headquarters for review. A written status report was provided to the Board on the results of the analysis. The Action **Plan (Item 7)** identifying specific commitments and schedules to implement improvements in FR programs was developed and was provided to the Board in April 1993. The Action Plan committed the Department to:

- 0 Review existing FR programs. (Complete - July **1993**)
- 0 Develop a plan for establishing and maintaining an effective **FR** program at each Field Organization. (Complete - September 1993)

- o Develop recruitment and retention techniques and incentives appropriate for the FR program, including special monetary allowances, if appropriate. (Complete - October 1993)
- o Develop training for FRs. (In progress - December 1993)
- o Develop a DOE standard for FR programs. (Complete - August 1993)

The draft DOE standard on FRs (Item 8) was provided to the Field and Headquarters for review in May 1993. The FR standard was approved and published in August 1993. Written status reports were provided to the Board on progress made on the Action Plan and on implementation of the DOE standard on FRs.

In a letter to the Secretary on August 6, 1993, the Board expressed concern that:

- o The Department was not providing centralized direction for the FR program.
- o The selection of personnel was unduly constrained by existing resources and policies.
- o The current FR Guidelines do not clearly explain all requirements that should be imposed upon a FR.
- o The analysis did not address the impediments to a successful program,

The Secretary responded to the Board's concerns by affirming the commitment to improve the knowledge, skills, and abilities of the FRs and tasking the Office of Field Management with providing the centralized direction necessary to ensure a consistent program. The Department standard that defined the FR program requirements was issued in August 1993. A revised Action Plan was provided to the Board on September 30, 1993.

Each Implementation Plan commitment has been completed. The remaining open activities in response to Recommendation 92-2 are detailed in the Action Plan. The Action Plan includes commitments and schedules that extend into December 1994. The schedule for completion of the remaining activities as detailed in the Action Plan has exceeded one (1) year from the date of the original submittal of the Implementation Plan.

Lessons learned during the development of the FR program during 1993 were incorporated into the development of the Implementation Plan for Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs.

c. **Recommendation 92-3, HB-Line Operational Readiness Reviews**

Summary. On May 29, 1992, the Board issued Recommendation 92-3, which superseded Recommendation **92-1**. Recommendation 92-3 expressed the Board's concern over the adequacy, scope, and timing of the most recent **HB-Line Operational Readiness Review**. **The** Board determined that the conduct of adequate and thorough Operational Readiness Reviews by the Savannah River Management and Operating contractor and the Department is essential for identifying and resolving remaining health and safety issues affecting workers and, at the same time, promptly achieving readiness for restart. The Board recommended that prior to resuming operations in the **HB-Line**:

- o The Department direct the Management and Operating contractor to reopen its Operational Readiness Review.
- o Comprehensive criteria documents be established for judging and measuring readiness to restart.
- o The Management and Operating contractor issue a Readiness to Proceed Memorandum requesting the Department's approval for resumption of operations.
- o The Department provide whatever assistance it deems appropriate to the Management and Operating contractor.
- o The Department, including a Senior Advisory Group, conduct an independent and comprehensive Operational Readiness Review.
- o The Department Operational Readiness Review team consist of experienced individuals whose backgrounds collectively include all important facets of the operations involved and that the majority of team members be independent of **HB-Line** direct line management.
- o In preparing for the Operational Readiness Reviews for the **HB-Line**, the Department and the Management and Operating contractor should **re-examine** the **HB-Line** Safety Analysis Report.
- o The **Department** and the Management and **Operating** contractor **should complete** their assessment of compliance" with **Department** safety Orders at the **HB-Line**.

Background. Recommendation 92-3 was accepted by the Secretary and the Implementation Plan was forwarded to the Board on September 15, 1992. All actions in the Implementation Plan which required completion prior to restart were completed in 1992, including new Management and Operating contractor and Department Operational Readiness Reviews (**ORRs**). In accordance with the Implementation Plan, the **ORRs** reviewed the **HB-Line** and determined that the **HB-Line** had achieved a level of Order compliance sufficient to support safe restart. The Implementation **Plan** further explained the Department's plan to complete an **HB-Line** Requirements Identification Document in accordance with the

Recommendation 90-2 Implementation Plan, but did not commit to a complete **HB-Line** Order compliance review.

Following correction of all identified **pre-start** deficiencies and a public meeting in the Savannah River Site area, the Secretary authorized **HB-Line** restart on December 29, 1992.

**Status.** Subsequent to the January 7, 1993, **HB-Line** restart, the Board expressed continuing concern over the status of **HB-Line** Order compliance. To address these concerns, the Department initiated an **HB-Line** facility-specific Order compliance review program in March, 1993. This program is expected to be completed in the Second Quarter of Calendar Year 1994.

Administrative assessments for the **HB-Line** of each of the nineteen (19) "Orders of Primary Interest" to the **DNFSB** have been completed by the Management and Operating contractor, and the compliance packages have been approved by DOE. Contractor field assessments have been completed for each of the nineteen (19) Orders.

Administrative assessments for the **HB-Line** for the remaining thirty-two (32) "Orders of Interest" to the **DNFSB** have been completed by the Management and Operating contractor and have been approved by DOE. Contractor **field** assessments will be completed by the end of the second quarter of Calendar Year 1994.

D. Recommendation 92-4, **Multi-Function** Waste Tank Facility at Hanford

**Summary.** Recommendation 92-4 was issued on July 6, 1992, concerning the Hanford Multi-Function Waste Tank Facility design and other new defense nuclear facilities. The Multi-Function Waste Tank Facility is an element of the Hanford Tank Waste Remediation System (**TWRS**) Program which eventually will provide for the ultimate treatment and disposal of the Hanford Site tank waste. The Board believed that it was appropriate at that time to assure that the design incorporated engineering principles and approaches, detailed engineering criteria, and practices that were essential to ensure adequate protection of public health and safety. In this Recommendation, the Board recommended that the Department:

- o Establish an effective project management organization, staffed with personnel of appropriate technical and managerial competence and having clear lines of responsibility and accountability.
- o Adopt a systems engineering approach for the project to ensure its design meets the safety goals of the Department's nuclear safety policy.

**Background.** The Secretary accepted this Recommendation on August 28, 1992

Status. The 92-4 Implementation Plan was forwarded to the Board on February 5, 1993. The Board rejected the Implementation Plan on April 23, 1993. The Board was concerned that the plan overly generalized the possible approaches the Department plans to consider to implement the Recommendation. The staffs of the Department and the Board have been working together to develop an acceptable Implementation Plan.

The Department expects to submit a draft revision to the Implementation Plan to the Board for their review and comment prior to formal transmittal of ~~the~~ revision. The Department will formally transmit the revision to the Implementation Plan to the Board once agreement has been reached on the revision's contents.

The draft 92-4 Implementation Plan acknowledges interfaces with Recommendation 93-3 on staff technical qualifications and training and with Recommendation 93-5 on Hanford tank waste characterization activities.

Although the original Implementation Plan does not commit to a schedule, the completion of activities described in the original Implementation Plan has required greater than one (1) year from the date of submittal.

E. Recommendation 92-5, Discipline of Operations during Changes

Summary. Recommendation 92-5 was issued by the Board on August 17, 1992. The Board made the following **recommendations** regarding discipline of operations:

- o For defense nuclear facilities scheduled for long term programmatic use, cleanup of radioactive contamination, or storage of nuclear waste, the Department should institute a level of conduct of operations comparable to that required for commercial nuclear facilities. At a minimum, the Board recommended that the Department address operational requirements, maintenance requirements, and safety goals contained in the Department's Nuclear Safety Policy, **SEN-35-91** (September 9, 1991).
- o Appropriate and effective Operational Readiness Reviews should be conducted by the Management and Operating contractor and the Department before restart of the facility to establish confidence that line management has satisfied safety requirements. Where national security requirements lead to an urgent need to restart facilities before necessary upgrades can be fully completed, compensatory measures should be instituted. In addition, the adequacy of compensatory measures regarding the desired level of safety should be confirmed through appropriate independent review.
- o For facilities designated for other future use categories (such as standby), the Department should develop specific criteria and requirements that ensure the safety goals in **SEN-35-91** are met. Accomplishment of the criteria and requirements should be confirmed by appropriate independent review.

**Background.** Recommendation 92-5 was accepted and the Implementation Plan was forwarded to the Board on December 18, 1992. The 92-5 Implementation Plan indicated that the Recommendation, by its general nature and broad purpose, did not allow for development of a detailed and scheduled Implementation Plan that could be accomplished on a one-time basis in a specified time period and that, by the Department's accepting the principles of Recommendation 92-5 and periodically informing the Board of ongoing efforts at specific facilities, the Department meets the spirit and intent of Recommendation 92-5.

**Status.** The Board accepted the Implementation Plan on January 8, 1993, and agreed that the Implementation Plan meets the spirit and the intent of Recommendation 92-5.

The Implementation Plan commits the Department:

- o At defense nuclear facilities scheduled for long term continued programmatic defense operations, or for other long term uses such as in cleanup of radioactive contamination or in storage of nuclear wastes or other nuclear material from programmatic defense operations, to implement the Conduct of Operations Order at each facility in a graded manner commensurate with the health and safety risks associated with the particular facility.
- o At facilities designated for other future modes of use such as standby, to place facilities that the Department may use in the future in an appropriate state of readiness with a graded Conduct of Operations program that is consistent with future activities. Activities may include appropriate decontamination, stabilization, inspection, updating of configuration documentation such as safety analyses, process descriptions, procedures, training manuals, etc.
- o As DOE changes its plans regarding future use of the facilities, to inform the Board in writing periodically and at least annually as to the Department's plans for the future use and how the objectives of the Implementation Plan are being accomplished.

The Implementation Plan does not include a schedule. The duration of this Implementation Plan has exceeded one (1) year.

F. Recommendation 92-6, Operational Readiness Reviews

**Summary.** Recommendation 92-6 on Operational Readiness Reviews was issued by the Board on August 26, 1992. The Recommendation specified that the Department should develop procedures, Orders, directives, and other requirements to govern the safety aspects of the Operational Readiness Review process. In addition, the Board recommended that the Department develop specific criteria for when an Operational Readiness Review is or is not required and that the plan for each review should incorporate the features discussed in this Recommendation and in Recommendation 90-4, Rocky Flats Operational Readiness Reviews.



Background. Recommendation 92-6 was accepted by the Secretary on October 19, 1992,

Status. The 92-6 Implementation Plan was forwarded to the Board on January 15, 1993. The Implementation Plan was conditionally accepted by the Board on February 8, 1993. As part of the conditional acceptance, the Board stated that it would review for acceptance the Department's Operational Readiness Review Order and associated Operational Readiness Review standard. On May 18, 1993, the Department accepted the Board's conditions and committed to complete the Order and standard on Operational Readiness Reviews by September 1993.

The 92-6 Implementation Plan commits the Department to:

- o Develop and issue a DOE Order on startup and restart of nuclear facilities, incorporating the Secretary of Energy Notice SEN-16B-91 (Approval for Restart of Facilities Shutdown for Safety Reasons and for Startup of Major New Facilities, dated November 12, 1991) and the Office of Nuclear Energy Memorandum on the DOE Procedure for Restart of Reactors and Non-Reactor Nuclear Facilities (February 26, 1992). This Order will provide specific criteria for when ORRS are and are not required. (Complete)

DOE Order 5480.31, "Startup and Restart of Nuclear Facilities," was issued to the Department and was provided to the Board in September 1993. As discussed below, the Board's subsequent comments on the Order will be addressed in the initial revision to the Order.

- o Develop and publish a standard on planning and conduct of ORRs (ORR Guideline Manual) to incorporate the precepts contained in the Implementation Plan and in the Order and to institutionalize the successful approaches and lessons learned from ORRs conducted by the Department. (Complete)

DOE standard DOE-STD-3006-93, "Planning and Conduct of Operational Readiness Reviews," was issued and was provided to the Board in September 1993. As discussed below, a revision to the standard to address the Board's subsequent comments on the standard was scheduled to be provided to the Board in January 1994.

On September 15, 1993, a revision to the Implementation Plan which incorporated the Board's conditions was provided to the Board, along with DOE Order 5480.31, "Startup and Restart of Nuclear Facilities," and DOE standard DOE-STD-3006-93, "Planning and Conduct of Operational Readiness Reviews." The Order and the standard incorporated elements recommended in Recommendations 90-4 and 92-6.

On October 18, 1993, the Board provided comments to the Department on the Implementation Plan and the Order. The Board did not provide comments on the standard at that time, but did make the Board Staff available to resolve their other concerns which were associated with the

standard. On November 19, 1993, the Board provided comments on the standard. A revised Implementation Plan and the approved Department standard are scheduled to be forwarded to the Board in February 1994 to resolve the Board's comments on the Implementation Plan and the standard. The Board's comments on the Order will be addressed during the initial revision to the Order. Work on the revision to the Order is expected to begin by mid-year 1994. Interim procedures **for ORRs** of weapons operations are scheduled to **be** provided to the Board **in** February 1994. The schedule for the Order and the standard has exceeded one (1) year from the date of submittal of the Implementation Plan, Recommendation 92-6 remains open pending resolution of the Board's comments.

**In accordance with the 92-6 Implementation Plan**, the Department **has** submitted bimonthly progress reports to the Board regarding the progress of the Department's Operational Readiness Review Order and standard.

G. Recommendation 92-7, Training and Qualification

**Summary.** This Recommendation is closed and is superseded by Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs. On September 22, 1992, the Board issued Recommendation **92-7** concerning training and qualification. The Board emphasized the need for the Department to take action to further strengthen training of technical personnel at defense nuclear facilities. **The** Board recommended that:

- o The Department take timely action to expand senior management's involvement in implementing training programs at defense nuclear facilities and to enhance senior management's communication of the importance of effective training and qualification.
- o Where necessary, the Department strengthen organizational units responsible for training and qualification.
- o The Department accelerate internal efforts to improve training and qualification programs of operations, maintenance, and technical support personnel at defense nuclear facilities.
- o The Department and its contractors establish and implement measures to improve training and qualification programs that embody the principles applied at the Savannah River K-Reactor (in response to Recommendation 90-1) for operations, maintenance, and technical support personnel at defense nuclear facilities.

**Status.** **The Secretary** accepted the Recommendations On **January 19, 1993**. The Secretary directed Defense Programs to establish an executive level steering committee, the Technical Training Executive Committee (**TTEC**), to set strategy and oversee **all** actions related to the implementation of this Recommendation, including the development of the Implementation Plan. The Secretary transmitted the 92-7 Implementation Plan to the Board on June 14, 1993. The transmittal letter for the 92-7

Implementation Plan discussed Recommendation 93-3, Improving Technical Capability in Defense Nuclear Programs, and stated the Department's intention to umbrella all training and qualification issues under one Implementation Plan, specifically the 93-3 Implementation Plan.

In its **July 1, 1993**, letter to the Secretary, the Board noted that the 92-7 Implementation Plan was unacceptable, but agreed with the Department's proposal to use the Implementation Plan for **Recommendation 93-3** as an umbrella under which the training and qualification components of **Recommendation 92-7** and other Recommendations would be brought together.

v. DEFENSE NUCLEAR FACILITIES SAFETY BOARD Calendar Year 1991  
**RECOMMENDATIONS**

A. Recommendation 91-1, Department of Energy Safety Standards Program

**Summary.** This Recommendation is closed. The Board issued Recommendation 91-1 on March 7, 1991, concerning the adequacy of the content and implementation of applicable nuclear safety standards.

**Background.** The Secretary forwarded the 91-1 Implementation Plan to the Board on August 15, 1991. On August 14, 1992, the Department implemented a long-term Action Plan to strengthen the DOE nuclear safety standards pursuant to the Board's Recommendation. Although the Recommendation was formally closed as of October 27, 1992, the Board continues to monitor the milestones identified in the Action Plan through its review of the quarterly reports.

**Recommendation** 91-1 was reported as closed in the Annual Report to Congress for CY 1992 on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board.

**Status.** During 1993, the Department continued its program under the Action Plan to upgrade the Department's nuclear safety Orders:

- o Six new nuclear safety Orders were issued in 1993.
- o One nuclear safety Order was revised and strengthened in 1993.
- o Two new nuclear safety Notices were issued and one Notice was extended in 1993.

In addition to these directives, the Department issued "Procedural Rules for Department of Energy Nuclear Activities," 10CFR Part 820, and expects to issue 10CFR Part 835, "Nuclear Safety Management," in early 1994.

Department-wide implementation of Department of Energy Order 1300.2A, "Department of Energy Technical Standards Program," continued during 1993. In addition to placing increased emphasis on the use of existing and appropriate national and international standards, significant resources were dedicated toward the development of new Departmental technical standards where existing standards were not readily available or were not appropriate for the intended application.

During 1993, 126 Department of Energy technical standards (e.g., standards, handbooks, and technical standards lists) were published, placed in coordination for approval, or initiated for further development. The Department made significant progress in reviewing the staffing and qualifications of personnel involved in the development and implementation of Department Orders, regulations, and other requirements. A detailed staffing assessment was completed and reported to the Board.

The Board continues to monitor the Department's progress in implementing the requirements of the new safety standards through meetings, reports, and site visits.

B. Recommendation 91-2, Reactor Operations and Management Plan

**Summary.** This Recommendation is closed. The Board issued Recommendation 91-2 on March 27, 1991, based upon issues identified in the Reactor Operations Management Plan for restart of the K-Reactor at Savannah River. In reference to closure packages which document completion of the necessary work regarding **issues** identified in the Reactor Operations Management Plan, the Board recommended that:

- o Each closure package for an issue in the Reactor Operations Management **Plan** be provided with a brief narrative discussion that clarifies the meaning of the issue, describes the steps that were taken to resolve it, states the reason for concluding that closure has been achieved, and shows how the referenced documents support the claim of closure.
- o The Department revert to its earlier plan to **fully** review and concur with the determinations of each issue closure.

**Background.** The Secretary submitted an Implementation Plan on August 2, 1991. On December 11, 1991, the Secretary provided the Board with the final closure packages and advised the Board that all Reactor Operations Management Plan restart issues had been closed. Finally, as part of the Reactor Operations Management Plan, procedures, priorities, and schedules for items in the Reactor Safety Improvement Program were developed. The Secretary agreed to keep the Board fully informed of progress made in the status of the Reactor Safety Improvement Program in the future.

In a letter to the Secretary on October 27, 1992, the Board stated that actions regarding **Recommendation 91-2 had** been fully implemented and that the Board considered Recommendation 91-2 closed. Recommendation 91-2 was reported as closed in the Annual Report to Congress for **CY 1992** on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board,

c. **Recommendation 91-3, Waste Isolation Pilot Plant (WIPP)**

**Summary.** This **Recommendation** is closed. The Board issued **Recommendation 91-3** on April 25, 1991, concerning the Department's review of the readiness at the **Waste Isolation Pilot Plant**. Since the Department's review of the readiness at the Waste Isolation Pilot Plant was spread over approximately a three year period, the Board was concerned about the Department's final comprehensive readiness review.

**Background.** The 91-3 Implementation Plan was submitted to the Board on **August 2, 1991**. The Secretary notified the Board on April 3, 1992, that the Department met all the requirements **committed** to in the

Implementation Plan. The Board notified the Secretary on May 1, 1992, that Recommendation 91-3 was closed. Recommendation 91-3 was reported as closed in the Annual Report to Congress for **CY 1992** on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board.

Status. The enactment of the Waste Isolation Pilot Plant Land Withdrawal Act, **Public** Law 102-579, in October 1992, provided the Department of Energy with a regulatory framework and statutory process within which the Waste Isolation Pilot Plant facility must demonstrate compliance with 40 CFR 191 disposal regulations and with requirements of the Solid Waste Disposal Act. It further described the prerequisites to begin a test phase at the Waste Isolation Pilot Plant with **transuranic (TRU)** waste. In preparation for receipt of **transuranic** waste at the Waste Isolation Pilot Plant for that test, the **Waste Isolation Pilot Plant Management and Operating contractor, Westinghouse Waste Isolation Division (WID)**, conducted an Operational Readiness Review.

The scope of the Operational Readiness Review focused on changes to the Waste Isolation Pilot Plant since the completion of the 1991 Environmental Management Operational Readiness Review. The Operational Readiness **Review** process identified 88 **pre-start** findings and 206 **post-start** findings. When all pre-start findings **were** closed, the Westinghouse General Manager on August 17, 1993, issued a "Declaration of Readiness" stating that the Waste Isolation Pilot Plant was ready to begin the test phase with **transuranic** waste. The Department's **Waste Isolation Pilot Plant Project Site Office** oversaw the Waste Isolation Division Operational Readiness Review.

On October 21, 1993, the Department announced a revised test strategy for the Waste Isolation Pilot Plant. The new strategy involves conducting radioactive waste tests in laboratories rather than underground at the Waste Isolation Pilot Plant. The laboratory tests will **allow** for technical data to be collected more quickly and at a lower cost. Thus, no radioactive wastes will be received at the **Waste Isolation Pilot Plant** until a disposal decision is made.

Nonradioactive tests will continue at the Waste Isolation Pilot Plant. In addition, post-start findings applicable to the disposal **phase will** be incorporated.

D. Recommendation 91-4, Rocky Flats, Building **559 Operational** Readiness Review

Summary. This Recommendation is closed. The Board issued Recommendation 91-4 on September 30, 1991, concerning Operational Readiness Reviews at Rocky Flats. The Board found that the Departmental Operational Readiness Review conducted **during** the period of June 28 and July 24, 1991, was premature and incomplete, and thus it failed to adhere adequately to the **commitments** established **by** the Secretary in the 90-4 Implementation Plan. **Since** the Department had stated that the Operational Readiness Review of Building 559 would set the standard for

review of additional buildings, it was essential before operations with plutonium were resumed that this Operational Readiness Review be performed in a thorough and comprehensive manner.

**Background.** On November 6, 1991, the Secretary provided the Board an **Implementation Plan**, and a revised plan was submitted on December 24, 1991. On April 3, 1992, the Secretary informed the Board that all actions identified in the **Implementation Plan** had been met. A final Operational Readiness Review report was issued describing the remaining issues which required closure and an overall conclusion of readiness of Building 559 to resume operations. The Board notified the Secretary on May 1, 1992, that they considered Recommendation 91-4 closed. Authorization to resume full normal operations in Building 559 at Rocky Flats was given by the Assistant Secretary for Defense Programs on June 4, 1992. **Recommendation 91-4** was reported as closed in the Annual Report to Congress for **CY 1992** on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board Activities.

E. **Recommendation 91-5, Savannah River K-Reactor Power Limits**

**Summary.** This Recommendation was closed in 1993. In Recommendation **91-5**, dated December 19, **1991**, the Board expressed concern about the operational plans for the K-Reactor. The Board requested that the Department inform them **well** before any decision to increase the reactor power level above 30 percent of the historical value of its maximum full power. If such an increase was to be contemplated by the Department, the Board recommended that:

- o The Department conduct more definitive studies on the **thermal-hydraulic** methodology, criteria, and experimental test program used in analyzing performance of core cooling.
- o Any proposal to operate the reactor at a level above the 30 percent value should be supported by an accident analysis.
- o The evaluation model for analysis of postulated loss of coolant accidents should be documented and controlled.

**Background.** On February 7, 1992, the Secretary accepted **Recommendation 91-5**. The Secretary's response stated that, at the time, the Department had no intention to increase K-Reactor power level above 30 percent. If the need to operate above this level developed in the future, the Department would generate an Implementation Plan. Subsequently, the Department decided to **place** K-Reactor into a cold standby condition.

**Status.** All activities in response to **Recommendation 91-5** concerning operation of K-Reactor above 30 percent of the historical maximum power have been suspended. This action was detailed in a letter from the Secretary to the Board on July 29, 1993. In that letter, the Secretary stated that the Department had decided to place the reactor in a cold standby condition and to begin preparations to transfer it to the Office of Environmental Restoration and **Waste** Management. Should the Department

consider restarting K-Reactor for operation above 30 percent, the Department will reinstate activities to Implement Recommendation 91-5. In the interim, the Department considers Recommendation 91-5 closed.

F. Recommendation 91-6, Radiation Protection

**Summary.** On December 19, 1991, the Board issued Recommendation 91-6 concerning radiation protection issues throughout the Department's defense nuclear facilities complex.

The Recommendation identified the Board's concerns in relation to:

- o Radiological health and safety policy.
- o Facility radiation protection training programs and implementation of appropriate expanded training.
- o Adequacy of the Department's infrastructure and resources dedicated to radiological protection.
- o Analysis of reported occurrences and correction of radiation protection program deficiencies.
- o Technical basis for radiation protection standards and remedial actions during standards implementation.

**Background.** In a letter dated January 31, 1992, as amended on March 30, 1992, the Secretary accepted Recommendation 91-6. The Department initially submitted an Implementation Plan on June 17, 1992.

**Status.** Revision 1 to the Implementation Plan was submitted to the Board on January 15, 1993. In a letter dated March 23, 1993, the Board notified the Department that many of the deficiencies that had been identified in the original Implementation Plan remained in Revision 1 to the Implementation Plan.

In a letter to the Board on April 27, 1993, the Secretary reiterated the Department's commitment to developing an adequate Implementation Plan for **Recommendation**. Revision 2 to the Implementation Plan was forwarded to the Board on June 21, 1993. On July 2, 1993, the Board informed the Department of its acceptance of Revision 2 of the Implementation Plan.

The Implementation Plan identified five tasks along with milestones for completion which will adequately fulfill each of the Board's **specific recommendations**. A sixth task was also identified, which consists of keeping the Board informed with quarterly status reports. A summary of the tasks is as follows:

- o Develop and issue a Department policy statement on radiological health and safety. (Task 1, Complete - June 1993)



On June 9, 1993, the Secretary forwarded the Radiological Health and Safety Policy to the Board. **The** policy was published in Department of Energy Notice 5480.8 on June 8, 1993, and expires on **June 8, 1994**. Subsequently, the policy was published in the Federal Register on June 21, 1993.

- 0 Review existing radiation protection training programs at defense nuclear facilities and develop and implement a plan for an expanded training program at these facilities. (Task 2, In progress)

The Department provided the **DNFSB** a complete listing of standardized core training material implementation milestones for General Employee Radiological Training, Radiation Worker I and II Training, and Radiological Control Technician Training for defense nuclear facilities on June 30, 1993. These milestones identify when the standardized core course materials will be fully implemented including the development of the site-specific training materials.

An aggressive schedule has been established for completion of core training for workers relating to radiological protection by December 1994. A technical basis for the existing standardized core training courses has been identified.

Criteria for post-training evaluation have been identified. From these criteria, a post-training evaluation program will be developed by May 1994 and implemented by December 1994. **Post-**training evaluations will be used to identify opportunities for improving course materials, the need for upgrading instruction methods and techniques, and the need for additional training.

Key radiation protection positions, both as identified in the Radiological Control Manual and any additional positions with a discretionary decision-making role in radiological protection matters, have been identified and defined. The **level** of knowledge, skills, abilities, and other qualifications needed for each key radiation protection position is being identified and will be completed by April 1994. Radiological control performance criteria will be included in performance standards for each key position by June 1994 to provide measurable milestones for monitoring the performance of individuals in the key positions. A comparison will be made of the level of knowledge, skills, and abilities of the incumbents in key positions to the respective position criteria by August 1994.

Criteria for identifying adequate retention of knowledge, skills, and abilities also are being developed and will be completed by December 1994 as part of a retention testing program to identify when an individual's performance or testing fails to meet established expectations.

- 0 Evaluate the adequacy of the Department's infrastructure and resources dedicated to radiation protection at defense nuclear facilities. (Task 3, In progress)

An Evaluation Team has been established to conduct an independent, external evaluation of Headquarters, Operations, and contractor radiation protection infrastructure and resources at defense nuclear facilities. The Team Chairman and membership were identified to the **DNFSB** in October 1993. The evaluation is in progress with provision of the evaluation report to the Board scheduled by April 1994.

The Department has identified a centralized location and has received contractor Radiological Control Manual (**RCM**) Implementation Plans for the defense nuclear facilities of the Offices of Defense Programs and Environmental Restoration and Waste Management. Copies of the plans were forwarded to the Board in October 1993.

The Department forwarded resumes of oversight individuals to the **DNFSB** in October 1993.

- 0 Analysis of reported occurrences and correction of radiation protection program deficiencies at defense nuclear facilities. (Task 4, In progress)

An analysis of the reported occurrences and correction of radiation protection program deficiencies at defense nuclear facilities has been performed. The capabilities of the Occurrence Reporting and Processing System (**ORPS**) have been determined. Questionnaires were sent to individuals who utilize **ORPS** for radiological occurrence data analysis purposes to obtain feedback on the **ORPS information**, its usefulness, and **recommendations** for improvement. The analysis of the responses has been completed. A task force of Headquarters, Operations, and contractor personnel has been formed to evaluate the data obtained in relation to the current use and capabilities of **ORPS** and to make **recommendations** for improvement. The task force recommendations will be evaluated by the **ORPS** management and the Radiological Control Coordinating Committee and a schedule developed with milestones for implementing corrective actions by June 1994. The initial meeting of the core membership of the task force was conducted in November 1993. Additional membership will be identified from the Field.

- 0 Document the technical basis for Departmental radiation protection standards and remedial actions during standards implementation at defense nuclear facilities. (Task 5, In progress)

A technical basis document for the Radiation Control Manual has been developed **and** was provided to the **DNFSB** on December 30, 1993. The body of this technical basis document was developed in a data base format in order to facilitate the incorporation of upgraded

criteria as the technical bases are revised. The Department's "Occupational Radiation Protection" **rule**, 10 CFR Part 835, was published in December 1993. The preamble to this rule identifies the technical basis for the rule.

The Department **committed** to full implementation of the Radiological Control Manual, DOE Order 5480.11, and 10 CFR Part 835 by October 1996 unless specific exceptions are approved and are concurred in by the Assistant Secretary for Environment, Safety and Health. The Department also **committed** to reporting on progress toward full implementation of these documents on an annual basis to the Secretary with a copy to the Board. Evaluations of the adequacy of interim actions taken by contractors prior to full implementation will be provided to the Secretary at the end of each calendar year beginning in 1993 with a copy to the Board.

- o Quarterly status reports to the Board. (Task 6, **In** progress)

On October 5, 1993, the first quarterly report was submitted to the Board.

Completion of activities as identified above from Revision 2 **to** the Implementation Plan **will** require greater than one (1) year from the date of submittal of the **original** Implementation Plan.

VI. DEFENSE NUCLEAR FACILITIES SAFETY BOARD Calendar Year 1990  
**RECOMMENDATIONS**

A. **Recommendation** 90-1, Savannah River Operator Training

**Summary.** This Recommendation is closed. On February 22, 1990, the Board submitted its first Recommendation to the Secretary. The Board was concerned that the Department's standards for training of reactor plant operators and supervisors at Savannah River had not been adequately determined and specified.

**Background.** In a letter from the Board to the Secretary, dated October 27, 1992, the Board stated that actions regarding Recommendation 90-1 have been fully implemented and the Recommendation was closed. Recommendation 90-1 was reported as closed in the Annual Report to Congress for CY 1992 on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board.

B. **Recommendation** 90-2, Standards **Compliance**

**Summary.** Recommendation 90-2, issued on March 8, 1990, addressed safety standards at Department of Energy facilities. The Board recommended that the Department:

- o Identify the applicable standards, Department of Energy Orders, and other requirements for each facility.
- o Provide its view on the adequacy of the standards and requirements.
- o Determine the extent to which the standards and requirements had been implemented.

**Background.** The Department accepted Recommendation 90-2 on June 8, 1990, and responded with an Implementation Plan on September 14, 1990. In 1992, the Department submitted Revisions 1 and 2 to the 90-2 Implementation Plan to the Board to address issues identified by the Board and to further define the Department's activities under the Implementation Plan.

**Status.** In 1993, the Department concentrated on activities to develop an acceptable Implementation Plan for Recommendation 90-2. During 1993, many meetings were held with the Board and its staff, and within the Department including personnel from the Washington, D.C. area, Operations Offices, Area Operations Offices, and the research laboratories who worked and trained together to prepare for their responses to this Recommendation.

On March 12, 1993, Revision 3 of the 90-2 Implementation Plan, dated December 12, 1992, was accepted by the Board with thirteen conditions. The Board's principal concerns focused on the lack of specific schedule milestones for Order compliance and requirements identification

documents (RIDs), and differences between the approach of the Office of Defense Programs and the Office of Environmental Restoration and Waste Management. On May 14, 1993, the Secretary responded to the thirteen conditions and **committed** to a Revision 4 to the 90-2 Implementation Plan.

Revision 4 was forwarded to the Board on July 20, 1993. On September, 1993, the Board **commented** on Revision 4 stating that the Implementation Plan commits to actions which are generally responsive to the Board's Recommendation, however the schedules provided in the Plan indicated to the Board that many sites have not acceded to the plan of action. The Board provided other comments concerning the Plan and the Department's on-going efforts to implement the Recommendation. Of most significant concern to the Board were the schedules. In the Department's September 23, 1993, response to the Board, the Secretary stated that the Department understood the Board's concerns regarding the schedules and **committed** to refine the Implementation Plan.

Subsequent to the Secretary's September 23, 1993, letter to the Board, the Department has worked to develop an acceptable draft Revision 5 to the 90-2 Implementation Plan to address the comments identified in the Board's September 3, 1993, letter and in particular the Board's concerns with the schedules in Revision 4 of the Implementation Plan. There have been significant activities throughout the Department during 1993 in accordance with the earlier revision of the 90-2 Implementation Plan. The progress of these activities has been provided in periodic status reports to the Board.

The 90-2 Implementation Plan will involve a complex and long range effort. The associated milestones and schedules are being confirmed with DOE Field elements at the end of 1993. The schedules are being **rebaselined** on the Department's experience to date as Defense Programs and Environmental Restoration and Waste Management define the remaining scope of work to complete Recommendation 90-2. The **scope** and complexity of the Department's efforts to fully respond to **Recommendation** 90-2 preclude completion within one (1) year.

c. **Recommendation** 90-3, Hanford Haste Tanks

**Summary.** This Recommendation is closed and is superseded by **Recommendation 90-7**. The Board issued Recommendation 90-3 in March 1990, addressing a safety concern with **ferrocyanide** in single-shell tanks used to store high-level radioactive waste at Hanford. In the **mid-1950s, ferrocyanide** was added to a number of underground high level radioactive waste tanks at Hanford to support chemical separation activities. The tanks that contain **ferrocyanide** compounds are a potential safety concern since, under certain conditions involving elevated temperatures, **ferrocyanide** compounds in the presence of oxidizing materials can be made to explode.

**Background.** The Department accepted Recommendation 90-3 and responded with an Implementation Plan on August 10, 1990. In October 1990, the

Board expanded upon Recommendation 90-3 by issuing Recommendation 90-7 in which the Department **was** encouraged to accelerate and expand programs which address high level radioactive waste safety issues. On March 7, 1991, the Department submitted the 90-7 Implementation Plan which responded to and superseded the 90-3 Implementation Plan. On May 1, 1992, the Board acknowledged that the 90-3 Implementation Plan was expanded and superseded by the 90-7 Implementation Plan. Recommendation 90-3 was reported as having been superseded by Recommendation 90-7 in the Annual Report to Congress for **CY** 1992 on Department of Energy Activities Relating to the Defense Nuclear Facilities Safety Board.

D. Recommendation 90-4, Rocky Flats Operational Readiness Reviews

**Summary.** Recommendation 90-4, issued in May 1990, governs Operational Readiness Reviews (**ORRs**) at Rocky Flats or at other defense nuclear facilities. The Recommendation was prompted by the Board's review of the Department's process for resuming plutonium operations following curtailment by the Secretary because of safety concerns at Rocky Flats. The Board recommended that a comprehensive Operational Readiness Review be completed for each Rocky Flats facility prior to resumption of plutonium operations at the respective facility. Recommendation 90-4 calls for a building-by-building preparation of Operational Readiness Reviews as buildings are prepared to resume plutonium operations. The Board also recommended that the Operational Readiness Review be carried out by experienced individuals.

**Background.** The Department accepted Recommendation 90-4 and responded **with an Implementation Plan** on September 20, 1990. Under the Implementation Plan, Operational Readiness Reviews were satisfactorily completed for Building S59 at Rocky Flats in January 1992 and Building 707 in November 1992. Plutonium operations in Building 559 were resumed in April 1992.

**Status.** In January 1993, the Rocky Flats Management and Operating contractor reported that all necessary actions for resuming plutonium activities in Building 707 had been completed. On January 12, 1993, the Manager, Rocky Flats Operations Office, indicated his concurrence with the contractor's conclusion, noting that improvements associated with Building 707 had been made including development of new operating procedures, training programs, and standards for qualification of personnel, and testing and repair of safety systems. As a result, safety associated with processing of plutonium in Building 707 was significantly enhanced.

As required by the 90-4 Implementation Plan, a public hearing was held on February 2, 1993, in Boulder, Colorado. The public hearing was attended by the Board, Department personnel, **and** twelve groups or individuals who spoke at the hearing or submitted statements. The groups and individuals were approximately evenly divided in either opposing or supporting the restart of operations in Building 707.

In a letter dated February 16, 1993, the Board noted its determination that the Department's response at Rocky Flats to three Recommendations (90-2, Standards Compliance; 90-5, Systematic Evaluation Plans; and 91-1, DDE Safety Standards Program) adequately protects **public** health and safety with respect to the operation of Building 707. This satisfied the statutory prerequisite to resumption of plutonium operations in Building 707 which was contained in Section 3133(a) of the National Defense Authorization Act for Fiscal Years 1992 and 1993.

Prior to resumption of plutonium operations in Building 707, the Department determined that an environmental assessment would be required. The impact assessment had been prepared and **public** comments on the assessment had been resolved at the end of Calendar Year 1993. Plutonium operations in Building 707 will be resumed following verification of operational readiness, a finding of no significant impact, and receipt of the Secretary of Energy's authorization to proceed.

Since Building 559, Building 707, and five (5) additional buildings at Rocky Flats were scheduled to resume operations over a period of several years, **it** was not possible to complete implementation of the Recommendation within one (1) year. **Recommendation** 90-4 remains open pending implementation of DOE Order 5480.31, 'Startup and Restart of Nuclear Facilities,' at Rocky Flats. The Department expects **to administratively** close Recommendation 90-4 by virtue of implementation of Order 5480.31 at Rocky Flats during 1994.

The primary mission of Rocky Flats has changed since Recommendation 90-4 was initially issued from plutonium pit manufacturing to plutonium cleanup operations. The majority of the Rocky Flats facilities were transferred from Defense Programs to Environmental Restoration and Waste Management **on** September 15, 1993.

#### E. Recommendation 90-5, Systematic Evaluation Plans

**Summary.** Recommendation **90-5** was issued in May, 1990, as a result of the Board's review of a number of safety issues related to plutonium processing operations at Rocky Flats. The Board recommended that the Department undertake a Systematic Evaluation Program (**SEP**) for Rocky Flats similar to the program undertaken by the Nuclear Regulatory Commission in the **early** 1980's. The SEP, as noted by the Board, is a means of evaluating the adequacy of design criteria for older facilities against current design requirements.

**Background:** The Secretary forwarded the Implementation Plan for this **Recommendation** to the Board on October 15, 1990. **In** responding to this Recommendation, the Secretary committed to applying the SEP to fifteen (15) nuclear facilities at Rocky Flats.

The **Board** was briefed by the Department on December 17, 1992, that changes to the expected use of these facilities would require revision of the 90-5 Implementation Plan. Cancellation of the nuclear weapons

**fissile** component production mission for Rocky Flats raised **questi**ons concerning the need to continue the SEP since the facilities were expected to undergo decontamination and demolition.

Status. Revision 1 to the 90-5 Implementation Plan was submitted to the Board on October 15, 1993. Revision **1** limits the current plans for the Rocky Flats SEP primarily to Building 371, a facility with the potential for significant offsite hazards consequences. Decisions on applying the **SEP** to other facilities will be made in the future in conjunction with the development of long term facility use plans. The Board reviewed Revision 1 to the Implementation Plan and provided comments to the Secretary on December 20, 1993. The Department is working with the Board to resolve the identified issues.

Building specific analyses had been started for Rocky Flats Buildings 559 and 707 as well as a site-wide Seismic Hazard Study to assess potential earthquake effects. Some sensible upgrades were identified, such as strengthening of **gloveboxes** to resist seismic motion. Results for Buildings 559 and 707 are being preserved, and the more significant site-wide Seismic Hazard Study and the evaluation of the Building 707 Zone **II** ventilation system will be completed. However, the program focus has been diverted to Building 371 and includes safety system walkdowns, safety system and component evaluations, and the integrated evaluation of the variances from current design requirements. As reported in the July 1992 Rocky **Flats** Transition Plan, consolidation of plutonium in Building 371 is envisioned to place significant material in this building for a significant interim period. While there remains uncertainty in the utility of the SEP for other facilities, Building 371 offers potential for long term public safety improvement. Ongoing planning for facility use will **help** determine what other facilities offer the potential for risk reduction by design upgrade. The utility of applying the SEP program to these facilities will be determined upon completion of the Building 371 SEP, or earlier if the planning process will support an earlier schedule. Completion of the Building 371 SEP is planned for Fiscal Year 1996.

In the Recommendation 90-5 Implementation Plan, the Department stated the reactors at Savannah River would be included in the Systematic Evaluation Program. Following the change in mission of the Savannah River K-Reactor to a cold standby condition, the K-Reactor Systematic Evaluation Program was terminated. The Department has suspended **all** Implementation Plan activities regarding the performance of a Systematic Evaluation Program for K-Reactor. This action was detailed in a letter from the Secretary to the Board Chairman on June 25, 1993. **In** that letter, the Secretary indicated that, if the Department decided to restart K-Reactor in the future, a **Recommendation** 90-5 Implementation Plan would be reinstated. In the interim, the Department considers **Recommendation** 90-5 closed for the Savannah River Site K-Reactor.

Activities have been underway on Implementation Plan 90-5 in excess of one (1) year. Implementation Plan 90-5 originally was expected to take



approximately four (4) years to complete which **was** consistent with the schedule anticipated by the **DNFSB** in its Recommendation.

F. Recommendation 90-6, Rocky **Flats**, Plutonium in the Ventilation Ducts

**Summary.** The Board recommended that, prior to resumption of **plutonium** operations at Rocky Flats, the Department prepare a written program with commitments to address the accumulation of **fissile** and other materials in the ventilation ducts and related systems. The Recommendation had a short-term objective of ensuring that a criticality accident would not take place and that the presence of **fissile** and other materials in the ducts would not result in an undue risk to the health and safety of the public, including on-site personnel. The remaining objectives included ensuring that the accumulated **fissile** material and other debris in the ventilation and associated systems would be properly removed or substantially reduced in amount and concentration in the longer term, but as soon as reasonably possible.

**Background:** The Secretary accepted Recommendation 90-6 and forwarded the **Implementation** Plan to the Board on November 29, 1990. The Implementation Plan objectives are to ensure that potential hazards associated with the accumulation of **fissile** and other materials in ventilation ducts and related systems are addressed and resolved in a safe and environmentally sound manner, and to ensure that material accumulation resulting from future operations **will** be prevented to the maximum extent practicable, effectively monitored, and controlled.

A revised Implementation Plan and Program Plan were provided to the Board on July 9, 1992, comprehensively addressing each aspect of the 90-6 Implementation Plan and implementing the Secretary of Energy's directions. The revised Implementation Plan was approved by the Board on August 17, 1992. The Program Plan included six (6) major tasks:

- o Determination of **fissile** material accumulation. (**Task 1**)
- o Evaluation of nuclear safety risk. (Task 2)
- o Evaluation of potential worker radiation exposures. (Task 3)
- o Review of risk assessments and safety analyses. (Task 4)
- o Prevention of **fissile** material accumulation. (Task 5)
- o Removal of **material** from ventilation systems. (Task 6)

In lieu of a detailed schedule in the Program Plan, the Secretary committed to keep the Board currently and fully informed with respect to implementation of Recommendation 90-6 by provision of technical reports and other reports regarding Recommendation 90-6 to the Board as they are made available to DOE by the Management and Operating contractor and by

provision of progress reports prepared monthly by the Management and Operating contractor to the **DNFSB**.

The 90-6 Implementation Plan committed to provide the Board a comprehensive briefing regarding the status of implementation of the Recommendation, as it pertains to each building, after completion of the respective building Operational Readiness Review and prior to resumption of operations in the building. A written report, for each building, would be provided at least one week prior to the completion of the Operational Readiness Review for the respective building.

All Building 707 **pre-resumption** work had been completed prior to the November 1992 Operational Readiness Review.

**Status.** The focus of activities in 1993 in accordance with the Program Plan has been on Buildings 707 and 771.

In relation to Task 1, work has been completed to determine the quantity and distribution of **fissile** material accumulation in the ventilation ducts .

In relation to Task 2, corrective actions have been developed and implemented to increase criticality safety margins, prevent excessive accumulations of material, and ensure continued operability of the duct ventilation system and associated systems.

In relation to Task 6, as building-use planning progresses at Rocky Flats, it is anticipated that some plans for material removal may be implemented by building cleanup during the decontamination and dismantlement phases of facility life. Contamination may be removed with the dismantled equipment rather than being removed from the equipment.

Monthly reports have been provided to the Board describing progress and status of the Implementation Plan.

Activities have been underway on Implementation Plan 90-6 in excess of one (1) year. Due to the complexity of the scope of work and the criteria stipulated in the Implementation **Plan, this** program has been a particularly difficult effort for the Department. At the end of 1993, the Implementation Plan was under revision to modify the **commitments** and schedules to more accurately reflect the mission of Rocky Flats.

#### 6. Recommendation 90-7, Hanford Waste Tanks

**Summary.** The Board issued Recommendation 90-7 on October 12, 1990, concerning ferrocyanide in the single-shell tanks used to store **high-level** radioactive waste at Hanford. In the **mid-1950s**, ferrocyanide was added to a number of underground high level radioactive waste tanks at Hanford to support chemical separation activities. The **tanks that** contain ferrocyanide compounds are a potential safety concern since,

under certain conditions involving elevated temperatures, **ferrocyanide** compounds in the presence of oxidizing materials can be made to explode.

This Recommendation superseded Recommendation 90-3, Hanford Waste Tanks, by expanding the scope of **Recommendation** 90-3 and accelerating the implementation schedules.

Recommendation 90-7 consists of six parts, or recommendations, as listed below. It recommended:

- o Enhanced temperature monitoring to establish whether hot spots exist or may develop in the future. (Part 90-7.1)
- o Continuous temperature monitoring and alarms to signal any abnormally high temperatures and failed temperature instrumentation. (Part 90-7.2)
- o Instrumentation be installed for cover gas monitoring to establish if **flammable** gas is present. (Part 90-7.3)
- o Acceleration and expansion of the sampling requirements of the **ferrocyanide** waste characterization program. (Part 90-7.4)
- o Acceleration and expansion of chemical reaction studies for evaluation of the probability of violent chemical reactions in the waste tanks. (Part 90-7.5)
- o **Emergency response planning to preclude** an inadvertent **energy release from a ferrocyanide** containing tank, and a separate emergency plan covering measures that would be taken in the event of an airborne release to **protect personnel** both on and off the **Hanford** Site. (Part **90-7.6**) "

Background. The Secretary accepted Recommendation 90-7 on December 3, 1990, and forwarded the Implementation Plan to the Board on March 7, 1991.

Status. **Revision 1 to the Implementation Plan** was submitted to the Board on August 25, 1993. Revision 1 described changes in the program and revised the schedule. In addition, an approach for closure of the Unreviewed Safety Question and resolution of the **ferrocyanide** safety issue was submitted to the Board.

Action on three of the six parts or **recommendations** is essentially completed. **Work** is in progress on the remaining three with completion expected in 1995.

- o In reference to Part 90-7.1, enhanced temperature monitoring, existing thermocouples were evaluated, repaired, and recovered where possible to obtain credible measurements in all 24 tanks declared as **ferrocyanide** bearing tanks. New thermocouple trees have been installed in sixteen (16) tanks. Thermal modeling

results have shown that "hot spots" are not credible. This Part is scheduled to be completed in September 1994.

- 0 In reference to Part 90-7.2, continuous temperature monitoring and alarms, **all** existing and new thermocouples trees (17 total) in 14 tanks have been connected to a centrally monitored and automatically recorded data management system. **Ten (10) tanks** remain to be connected to the system which is scheduled for completion in September 1994.
- 0 In reference to Part 90-7.3, cover gas monitoring, evaluation of gas samples from 11 of the 24 **ferrocyanide** tanks has shown no need to continuously monitor for specific gases. Vapor sampling prior to any physical activity in the tanks has yielded no sample greater than six percent of the lower **flammable** limit nor concentrations threatening to human health. **All** 24 tanks are passively ventilated to the atmosphere through high efficiency particulate air (HEPA) filters. This Part is scheduled for completion in September 1994.
- 0 In reference to Part 90-7.4, **ferrocyanide** waste characterization, sample cores have been obtained from two of the four higher concentration tanks and one of the lower concentration tanks. None of the samples obtained could support combustion, due to **low ferrocyanide** content and high moisture content. Studies of simulated waste samples had predicted higher **ferrocyanide** content but the studies had neglected to consider the effects of chemical and radioactive decomposition of the **ferrocyanide** which is believed to account for the reduced chemical activity. This Part is scheduled for completion by the end of Fiscal Year 1995.
- 0 In reference to Part 90-7.5, chemical reaction studies at Westinghouse Hanford Company and Pacific Northwest Laboratories are essentially complete with the exception of the study to determine the effect of chemical and radioactive decomposition or "aging" of the **ferrocyanide** bearing waste. This particular study is scheduled for completion in Fiscal Year 1995.
- 0 In reference to Part 90-7.6, emergency response planning, an action plan for response **to abnormal** conditions in Hanford radioactive waste tanks was prepared in 1991, and an emergency plan was prepared to respond to a release from a **ferrocyanide** tank. These plans have been provided to the Board. The emergency plan was tested in 1991 and satisfactorily demonstrated the emergency preparedness of the site. These results also have been reported to the Board. This Part is considered closed.

Quarterly reports on the status of the implementation of **Recommendation** 90-7 have been submitted to the Board. These reports will continue as the vehicle to provide the status of closure of the Unreviewed Safety Question and resolution of the **ferrocyanide** safety issue.

Activities have been underway on Implementation Plan 91-6 in excess of one (1) year. The extensive and complex scope of work under this Implementation Plan is on schedule for completion in 1995.

## VII. LIST OF ACRONYMS

<b>CSO(s)</b>	Cognizant Secretarial Officer(s)
<b>CY</b>	Calendar Year
<b>EH</b>	Environment, Safety and Health
<b>ERMC(s)</b>	Environmental Restoration Management Contract (s)
<b>FR(s)</b>	Facility Representatives
<b>HEPA</b>	High Efficiency Particulate Air
<b>IDP(s)</b>	Individual Development Plans
<b>LAN</b>	Local Area Network
<b>ORPS</b>	Occurrence Reporting and Processing System
<b>ORR(s)</b>	Operational Readiness Review(s)
<b>RCM</b>	Radiological Control Manual
<b>TIM(s)</b>	Training and Implementation Matrix (Matrices)
<b>TPAP(S)</b>	Training Program Accreditation Plan(s)
<b>TPPC</b>	Technical Personnel Program Coordinator
<b>TRU</b>	<b>Transuranic</b>
<b>TTEC</b>	Technical Training Executive <b>Committee</b>
<b>TWRS</b>	Tank <b>Waste</b> Remediation System
<b>UNH</b>	<b>Uranyl</b> Nitrate <b>Hexahydrate</b>
<b>WID</b>	<b>Waste</b> Isolation Division
<b>WIPP</b>	<b>Waste</b> Isolation Pilot Plant

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APPENDIX A

Recommendation 93-1

Standards Utilization in Defense Nuclear Facilities



John T. Conway, Chairman  
A.J. Eggenberger, Vice Chairman  
John W. Crawford, Jr.  
Joseph J. DiNunno  
Herbert John Cecil Kouts

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

625 Indiana Avenue, NW, Suite 700, Washington, D.C. 20004  
(202) 208-6400



93-0000407

January 21, 1993

Ms. Linda G. Stuntz  
Acting Secretary of Energy  
Washington, DC 20585

Dear Ms. Stuntz:

On January 21, 1993, the Defense Nuclear Facilities Safety Board, in accordance with 42 U.S.C. § 2286a(5), unanimously approved Recommendation 93-1 which is enclosed for your consideration. **Recommendation** 93-1 deals with Standards Utilization in Defense Nuclear Facilities.

42 U.S.C. § 2286d(a) requires the Board, after receipt by you, to promptly make this recommendation available to the public in the Department of Energy's regional public reading rooms. The Board believes the recommendation contains no information which is classified or otherwise restricted. To the extent this recommendation does not include information restricted by DOE under the Atomic Energy Act of 1954, 42 U.S.C. §§ 2161-68, as amended, please arrange to have this recommendation promptly placed on file in your regional public reading rooms.

The Board will publish this recommendation in the Federal Register.

Sincerely,

A handwritten signature in black ink, appearing to read "John T. Conway".

John T. Conway  
Chairman

Enclosure

70707

RECOMMENDATION 93-1 TO THE SECRETARY OF ENERGY  
pursuant to 42 U.S.C. § 2286a(5)  
Atomic Energy Act of 1954, as amended.

Dated: **January** 21, 1993

Several of the Board's recommendations have emphasized the importance of an effective program of standards **utilization** in defense nuclear facilities. By so **doing**, the Board has shown that it **considers** the 'detailed review of ongoing operations for compliance With DOE Orders (and **applicable** consensus standards) as an essential measure **in** assuring that defense nuclear facilities are being operated in a safe manner.

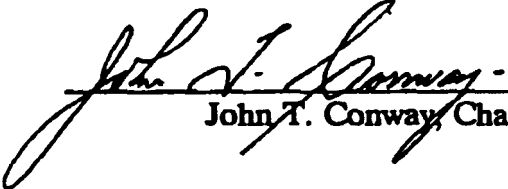
The Board has noted **significant** progress by DOE in the issuance of new and revised nuclear safety orders that more explicitly delineate requirements **in** such areas as: unreviewed safety question **determinations**, technical safety requirements, nuclear safety analysis reports, design requirements and nuclear **criticality** safety. However, the Board's ongoing review of the use of standards in defense nuclear facilities has disclosed a number of potential inconsistencies in the manner in which DOE Orders related to nuclear safety are applied at facilities that produce and process **fissile** materials, relative to those facilities that assemble, disassemble, and test nuclear *weapons*. *The* Board notes that DOE orders differentiate between nuclear safety and "nuclear explosive **safety**," (the latter **is** defined by DOE Order 5610.11, Nuclear Explosive Safety); however, the Board considers that certain basic safety principles apply to the handling of **fissile** materials, regardless of the form that the material is in.

For example, a number of orders related to nuclear safety are **explicitly excluded from** applicability to facilities that assemble, disassemble and test nuclear weapons, while others are applicable only to "nuclear facilities," (**as** defined by DOE Order 5480.5, Safety of Nuclear Facilities). Those that apply to "nuclear facilities" do not necessarily apply to facilities that assemble, disassemble and test nuclear weapons. **In** other technical areas, such as quality assurance, essentially different **programs have been put** in place (i.e., DOE-AL directives QC-1 and **QC-2**, as opposed to DOE Order **5700.6C**).

The Board is committed to ensuring the level of safety assurance at those facilities that assemble, disassemble and test nuclear weapons **is** at least as rigorous as that required at other defense nuclear facilities and that it **can be** measured to compare **with the level** of safety assurance provided to the public and site workers by **commercial** nuclear material processing facilities. The above being recognized, the Board recommends that:

- L** DOE review its list of orders and directives related to nuclear safety and determine those that apply to facilities and **operations** that assemble, disassemble and test nuclear weapons.

2. DOE evaluate the level of nuclear safety assurance provided **by** the orders and **directives** applicable to facilities that **assemble**, disassemble and test nuclear weapons and compare it to the **level** of safety assurance provided by DOE Orders and directives applicable to *other* DOE defense nuclear facilities.
3. DOE develop a plan for **addressing** any deficiencies found by the above two **reviews**.
4. Priority be given **by** DOE to completing site-wide order compliance reviews at facilities that **assemble**, disassemble and test nuclear **weapons**; with **special** emphasis placed on the **Pantex Plant**.

  
John T. Conway, Chairman

APPENDIX A

**Recommendation 93-2**

The Need **for Critical Experiment Capability**

John T. Conway, Chairman  
**A.J. Eggenberger**, Vice Chairman  
John W. Crawford, Jr.  
Joseph J. DiNunno  
Herbert John Cecil Kouts

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

625 Indiana Avenue, NW, Suite 700, Washington, D.C. 20004  
(202) 20s4400



March 23, 1993

The Honorable Hazel R. O'Leary  
Secretary of Energy  
Washington, DC 20585

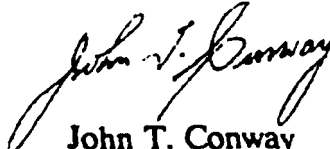
Dear Madame secretary:

On March 23, 1993, the Defense Nuclear Facilities Safety Board, in accordance with 42 U.S.C. § 2286a(5), unanimously approved Recommendation 93-2 which is enclosed for your **consideration**. **Recommendation** 93-2 deals with The Need for Critical Experiment Capability.

42 U.S.C. § 2286d(a) requires the Board, after **receipt** by you, to promptly make this recommendation available to the public in the Department of Energy's regional public reading rooms. The Board believes **the** recommendation contains no information which is **classified** or **otherwise** restricted. To the extent this recommendation does not include information restricted by DOE under the Atomic Energy Act of 1954, 42U.S.C.362161-68, as amended, please arrange to have this recommendation promptly placed on file in your regional **public** reading rooms.

The Board **will** publish this recommendation in the Federal Register.

Sincerely,

  
**John T. Conway**  
Chairman

Enclosure

RECOMMENDATION 93-2 TO THE SECRETARY OF ENERGY  
pursuant to 42 U.S.C. § 2286a(5)  
Atomic Energy Act of 1954, as amended.

Dated: March 23, 1993

The end of the international competition in manufacture of nuclear weapons, and the transition to large **scale** dismantling of nuclear **weapons**, have generated strong pressures **to reduce** the defense nuclear budget and to **close** down many defense nuclear facilities and operations. At the same time, the development of firm plans for a Complex 21 to **serve** future nuclear defense needs has slowed. These trends lead to a possibility that **capabilities** and functions **necessary** for current and future needs **could** be terminated along with those no longer required. One of these, **important** for the avoidance of certain types of accidents, is support of nuclear criticality control.

Because of the importance of avoiding criticality accidents, the Board carefully follows the state of criticality control at DOES defense nuclear facilities. This interest has been evident as Board members and staff have reviewed practices at the **Pantex** Plant. The Board believes it is important to maintain a good base of information for criticality control, **covering** the physical situations that **will** be **encountered** in handling and storing fissionable material in the future, and to ensure retaining a community of individuals competent in practicing the control.

In the course of retrenchment of its activities in recent **years**, the Department of **Energy** and its predecessor agencies have terminated use of **all** but one of its general purpose facilities for conducting neutron chain-reacting critical experiments with fissionable material. **The** research at **these** facilities had **served** programmatic purposes of diverse DOE **programs**, as **well** as laying a general experimental basis for practices that ensure averting criticality accidents. The Board is informed that there is now **a** strong **possibility** that the last DOE facility capable of general purpose critical experiments will be shut **down** in the near future, due to lack of funding. This possibility arises because no single program of the Department has an overriding need for this remaining facility at the Los **Alamos** National **Laboratory**, and therefore no single program office is motivated to provide its financial support in this period of budget stringency. A certain complacency fed by some years of freedom from criticality accidents seems also to underlie this possibility.

**The** Board observes that the art and science of nuclear criticality control have three **principal** ingredients. **The** first is familiarity with **factors** that contribute to achieving **nuclear** criticality, and the physical behavior of systems at and near criticality. This familiarity **is** developed in individuals **only** through working with critical systems. It cannot be imparted **solely** through learning **theory and** using computer codes. The second is **theoretical** understanding of neutron multiplication processes in critical and subcritical **systems**, leading to predictability of the critical state of a system by methods that use theory benchmarked against good and well characterized critical experiments.

The third is thorough familiarity **of** nuclear criticality engineers with the first two factors, obtained through a sound program of training that indoctrinates them in the experimental and theoretical aspects.

The Board has reviewed the status of benchmarking the theoretical methods of criticality control against existing critical **experiments** and has found that there are notable failures of theoretical analysis to amount for the results of a number of experiments. **It** is not **known** whether this discrepancy results from inadequate nuclear data used in the **analysis** or from inadequate care in conducting the experiments and recording their physical features. Both factors could contribute. In **addition**, it seems that on the average there may be a small **non-conservative** bias in overall predictions of the theory. In spite of these shortcomings, conservatism **in** methods used to develop the limits to be applied during handling and storage of fissionable material seems to have led to adequate safety in recent years. The Board believes that in the interest of continued safety it is important to clear up the existing discrepancies, which are obstacles to confident understanding of criticality control. To do so will require conduct of further neutron chain-reacting **critical** experiments targeted at the major sources of discrepancy between the theory and the experiments, as well as careful analysis of the experiments.

Finally, the Board believes that there is no guarantee that the physical circumstances of handling and storage of fissionable material in the future **will** always be found in the realm of benchmarked theory. This point is especially important under circumstances that will exist for a number of years to come, with increasing amounts of fissionable material to be stored in a variety of chemical and physical forms. This does not appear to be an appropriate time to eliminate an ability **to** ensure that such activities will be free of criticality **hazard**. For safety purposes it will be **necessary** to retain the capability to perform experiments under conditions not foreseen **at this time**. **This** capability once lost would be most difficult to reproduce, and it could be approximated only at great cost and after substantial time, deterring such development even if it were needed badly.

For all the above **reasons**, the Board believes that continuation of an experimental program of general purpose critical experiment is necessary for continued safety in handling and storing fissionable material. It is needed to improve the basis for the methodology. **It** is needed as part of the process of properly educating criticality control engineers. It is needed to ensure the capability of answering criticality questions with new and previously **unresearched** features.

Therefore the Board recommends that:

1. The Department of Energy should retain its **program** of general purpose critical experiments.

2. This program should normally be directed along lines **satisfying** the objectives of improving **the information** base underlying prediction of criticality, and **servng** in education of the **community** of criticality engineers.
3. The results and resources of **the** criticality program should be used in ongoing departmental programs where nuclear criticality would be an important concern.

  
John T. Conway, Chairman



APPENDIX A

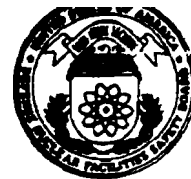
**Recommendation 93-3**

**Improving Technical Capability in Defense Nuclear Programs**

John T. Conway, Chairman  
A-J. Eggenberger, Vice Chairman  
John W. Crawford, Jr.  
Joseph J. DiNunzio  
Herbert John Cecil Kouts

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

625 Indiana Avenue, NW, Suite 700, Washington, D.C. 20004  
(202) 205-6400



June 1, 1993

The Honorable Hazel R. O'Leary  
Secretary of Energy  
Washington DC 20585

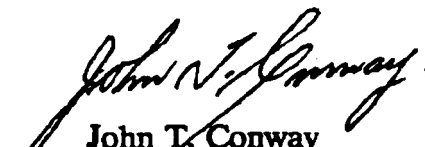
Dear **Secretary O'Leary**:

On **June 1, 1993**, the Defense Nuclear Facilities Safety Board in accordance with 42 **U.S.C. § 2286a(5)**, unanimously approved Recommendation 93-3 which is enclosed for your consideration. Recommendation 93-3 deals with Improving DOE Technical Capability in Defense Nuclear Facilities Programs.

42 **U.S.C. § 2286d(a)** requires the **Board**, after receipt by **you**, to promptly make this recommendation available to the public in the Department of Energy's regional public reading **rooms**. The Board believes the recommendation contains no information which is classified or otherwise restricted. To the extent this recommendation does not include information restricted by DOE under the Atomic Energy Act of 1954, 42 **U.S.C. §§ 2161-68**, as **amended**, please arrange to have this **recommendation** promptly placed on **file** in your regional public reading rooms.

The Board will publish this recommendation in the Federal Register.

Sincerely,

  
John T. Conway  
Chairman

Enclosure

RECOMMENDATION 93-3 **TO THE SECRETARY OF ENERGY**  
pursuant to 42 **U.S.C. § 2286a(5)**  
**Atomic Energy Act of 1954, as amended**

**Dated:** June 1, 1993

**Effective** functioning of any **organization**, whether in the **private** sector or government is highly dependent upon the capabilities of people and the way they **are** guided and deployed. Nowhere is this **dependency** more crucial than in the Department of Energy's defense nuclear **complex**, where the potential hazards inherent **in** nuclear materials **production, processing, and manufacturing**, require high **quality technical expertise** to assure public and worker safety.

Nuclear weapons development and production have progressed over the years **from early** efforts of a small group of highly **talented, ingenious** individuals **in scientific** laboratories to employment of thousands of workers in industrial-type production **environments**. **While** the national response to today's changing international scene is resulting in down-sizing of **the** nuclear stockpile and a change in mission of many of the defense nuclear **facilities, the** need remains for continuing vigilance to protect public and worker health and safety. In **fact**, a case can be made for the need for greater vigilance now throughout the weapons **complex** because of **increased** risk of **equipment** mishaps in aged **facilities**, loss of existing technical expertise through attrition and **down-sizing**, and a reduced inclination for young engineers and scientists to get involved in the nuclear weapons **field**.

**Nevertheless**, the **level** of scientific and technical **expertise in** the DOE of **defense** nuclear facilities and operations has been **declining**. The Defense Nuclear Facilities Safety Board in its last three **annual** reports has **observed that**:

"... the most important and far reaching problem affecting the safety of DOE defense nuclear facilities is the difficulty in attracting and retaining personnel who are adequately **qualified** by technical education and experience to provide the kind of **management, direction and guidance** essential to safe operation of DOE's defense nuclear **facilities**."

The Board has not **been** alone in **calling** attention to **the problem**. Congressional perception of the need to upgrade DOE technical **expertise** is evident in the Board's enabling legislation. The need for **such** up-grading is further underscored by assessments made by a number of other **groups** over the **past decade, as the** attached excerpts **from their** reports **indicate**.

A reputation for technical **excellence** is a strong attraction **for talented** individuals. Organizations with strong technical missions commonly cite **technical excellence** as a goal towards which management should **strive**. **However**, sustained **leadership** emphasis and deliberate actions are required if the reality of technical **excellence is** to be achieved.

Actions by the **Board**, such as recommendations and public **hearings**, have **resulted** in some efforts on the part of certain DOE organizations and M & O contractors to upgrade existing staff and **recruit** better qualified **personnel**. However, such **efforts** have not been Coordinated **DOE-wide** and have been well short of the **need**. The Board believes that **a** more **aggressive**, broad-based and well-coordinated program directed at the enhancement of the technical capabilities of the DOE staff **should be defined** and implemented

The Board recognizes the difficulty any on-going organization **faces** in developing programs targeted at upgrading competence of **staff**. Such efforts **rarely** succeed without strong **endorsement, involvement**, and guidance by the **organization's** top management and without the impetus provided by objective appraisals made by **outside**, independent experts. **Further**, the sheer **size**, differing **requirements**, and dispersion **of** DOE staff complicates both the problem and the solution. **Nonetheless**, the strong correlation between technical excellence and assurance of public health and safety **compels** this Board to urge that DOE give high priority to the problem of attracting and retaining technical personnel with exceptional qualifications. More specifically the Board recommends that **DOE**:

1. Establish the attraction and retention of scientific and technical personnel of exceptional **qualities** as a primary agency-wide **goal**.
2. Take the following specific actions promptly in the interest of achieving this goal.
  - a. **Seek** excepted appointment authority for a selected number of key positions for engineering and scientific personnel in DOE programmatic **offices**, in other line units and in the **oversight** units **responsible** for the defense nuclear **complex**.
  - b. Establish a technical personnel manager within the **Office of the Secretary** **to** coordinate recruitment, classification **training**, and qualification programs for technical personnel in defense nuclear facilities **programs**.
3. Develop a broadly-based **program**, giving consideration to the following
  - a. DOE Internal Initiatives.
    - (1) Develop a set of mutually supportive actions which DOE could **take**, within existing **personnel structures**, to enhance **capabilities**.  
Measures warranting consideration
      - (a) Plan and execute a system for using attrition to build technical **capability**.

- (b) **Review** the performance appraisal system **for technical** employees for **its** effectiveness in **determinin** basic pay, training **needs, promotions,** reductions in **grade,** and **reassignment/removal.**
- (c) Review and improve programs for training and assigning technical **personnel.** (This activity **would** be coordinated with **actions taken, planned to be taken, in response to Board Recommendations 90-1, 91-6, 92-2,** and 92-7.)
- (d) Explore with the secretary of Defense the possibility of assigning to **DOE** defense nuclear facilities activities a number of outstanding officers with nuclear qualifications who may now **be** surplus to DOD needs.
- (e) Establish initiatives designed to take advantage of **skills** of **marginal technical performers** and **re-train** them.
- (f) Expand **Headquarters/Field personnel** exchange programs for highly **qualified** junior technical **staff** to promote understanding of **all** aspects of technical issues including their resolution.

b. Independent External **Assessments.**

- (1) Use **respected, independent,** external organizations such as the National Research **Council** of the National Academy of sciences, and the National Academy of Public **Administration** to assess DOE's ongoing and planned actions directed at attracting and retaining personnel with strong **technical** capabilities and to make **recommendations** for enhancements. Such assessment could include:
  - (a) Government-wide **and/or** DOE personnel recruitment and **development** policies and practices that maybe effective inducements to government **service.**
  - (b) Comparison of DOE methods of building a qualified technical staff with qualifications comparable **to** those of other government agencies with predominant technical missions.

**c.** DOE Internal Assessments.

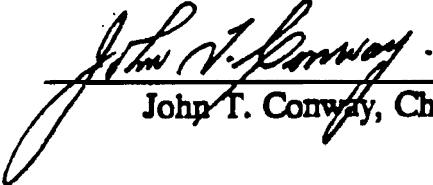
(1) Perform an **in-depth** assessment of educational and experience requirements of key positions and develop both a **short-term and long-term plan** for key **personnel development**. Such assessment **could include:**

**(a) Identification of** qualifications (education and experience) required in key positions (above **GS-14**) in DOE Headquarters and **field** organizations **with** responsibilities for safely **carrying** out the defense nuclear program

**(b)** Evaluation of incumbents for their **ability** to meet such qualification requirements.

**(c)** Evaluation of **current** availability within DOE of fully qualified personnel to **fill** these positions.

(2) Develop an action **plan** to meet needs thus identified.

  
\_\_\_\_\_  
John T. Conway, Chairman

## REFERENCE DOCUMENTS IDENTIFYING DOE TECHNICAL PERSONNEL PROBLEMS

1. "A Safety Assessment of Department of Energy Nuclear Reactors." DOE/US-0005, March 1981.

An important contributing factor [to the **lack** of adequate attention by DOE Headquarters' organizations to the nuclear safety aspects of its **reactors**] is the lack of **sufficient** numbers of highly competent **technical** people in Headquarters' organizations with nuclear safety **responsibilities**. Field Office organizations also suffer from this lack

### 2. National Research Council Reports:

- a. "Safety Issues at the Defense Production Reactors," National Academy Press, 1987.

The committee **concludes** that the **Department**, both at headquarters and in its **field** organizations, has relied almost entirely on **its** contractors to **identify** safety concerns and to **recommend** appropriate **actions**, in part because the imbalance in **technical** capabilities and **experience** between the contractors and DOE staff is of sufficient magnitude to preclude DOE from comprehensive DOE involvement in the operation of the production **reactors**. **The** committee recommends that the Department acquire and properly assign the **resources** and talent **necessary** to **ensure** that safe operation is being attained

b. **"Safety Issues at the DOE Test and Research Reactors." National Academy Press, 1988.**

The suitability of the existing [DOE organizational] arrangement **is** undermined **by the** absence of **adequate** staff in the DOE **line** management who are sophisticated on safety **and** operational matters .... **In effect**, the **system relies** almost exclusively on the skills and competence of the contractors

c. **"The Nuclear Weapons Complex: Management for Health, Safety, and the Environment." National Academy Press, 1989.**

Constant attention must be paid to the maintenance and improvement of **technical** capabilities. **Concerted** efforts are needed to recruit competent technical **personnel** at **all levels**; and DOE must maintain an environment for the retention of employ-by providing **challenging assignments**, meaningful participation in decision **making**, and professional advancement Strong training programs are **necessary** to build a culture **in** which health, safety, and environmental considerations **are** seen as an integral component of operations.

3. **Secretary of Energy letter to the President, December 20, 1991.**

. the technical knowledge and skills of many DOE managers and **employees** are not sufficient to do their jobs.



4. S. Conf. Rep. No. 232, (to accompany S. 1085), 100th Cong., 1st Sess. (1987).

The Board **is expected to raise the technical expertise** of the Department substantially, to assist and monitor the continued development of DOE's internal **ES&H organization**, and to provide independent advice to the secretary.

5. Advisory Committee on Nuclear Facility Safety ("Ahearne Committee") letter to the Secretary of Energy, March 24, 1989

We recommend that you streamline management to make responsibilities clear, **that** you put knowledgeable people in line positions of **responsibility**, and that you give them authority. **This** is important for assurance of nuclear **safety**. Solving the DOE's problems **will** require upper management and operating personnel to work together closely and effectively. **This will** not be **possible if** the staff must work through buffers *of* people who are not technically **competent**.

6. "Hazards Ahead: Managing Cleanup Worker Health and Safety at the Nuclear Weapons Complex." Office of Technology Assessment, 1993.

EM. lacks adequate numbers of **qualified staff** to develop occupational health and safety programs suited to EM line operations and has **little** capacity to **assess** contractors' performance in health **and** safety **matters**.

**The** DOE Office of **Environment, Safety** and Health (**EH**) does not **have** enough qualified field staff to monitor contractor operations.

**APPENDIX A**

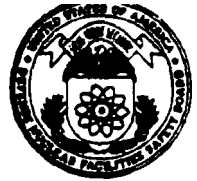
**Recommendation 93-4**

**Environmental Restoration Management Contracts**

John T. Conway, Chairman  
A.J. Eggenberger, Vice Chairman  
John W. Crawford, Jr.  
Joseph J. DiNuano  
Herbert John Cecil Kouts

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

525 Indiana Avenue, NW, Suite 700, Washington, D.C. 20004  
(202) 205-s400



June 16, 1993

The Honorable Hazel R. O'Leary  
Secretary of Energy  
Washington, DC 20585

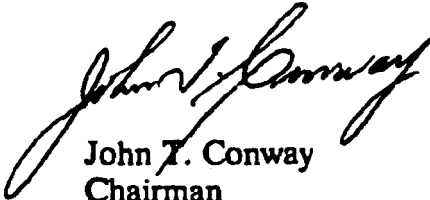
Dear Secretary O'Leary:

On June 16, 1993, the Defense Nuclear Facilities Safety Board, in accordance with 42 U.S.C. § 2286a(5), unanimously approved Recommendation 93-4 which is enclosed for your consideration. Recommendation 93-4 deals with health and safety factors associated with DOES management and direction of Environmental Restoration Management Contracts.

42 U.S.C. § 2286d(a) requires the Board, after receipt by you, to promptly make this recommendation available to the public in the Department of Energy's regional public reading rooms. The Board believes the recommendation contains no information which is classified or otherwise restricted. To the extent this recommendation does not include information restricted by DOE under the Atomic Energy Act of 1954, 42 U.S.C. §§ 2161-68, as amended, please arrange to have this recommendation promptly placed on file in your regional public reading rooms.

The Board will publish this recommendation in the Federal Register.

Sincerely,



John T. Conway  
Chairman

Enclosure

Copy to: Mark B. Whitaker, DR-1

RECOMMENDATION 93-4 TO THE SECRETARY OF ENERGY  
pursuant to 42 **U.S.C. § 2286a(5)**  
Atomic Energy Act of 1954, as amended.

Dated: June 16, 1993

The Board and its staff have been monitoring the efforts of the Department of Energy (DOE) in technically managing the **Uranyl Nitrate Hexahydrate (UNH)** stabilization project at the **Fernald** Environmental Management Project since DOE began preparations for operational testing in early 1992. The **stabilization** project was initiated after the **UNH** solution was declared waste in 1991. The purpose of **the** project is to process the UNH into a **filter** cake for interim nuclear waste storage **onsite** pending final disposition.

In addition to maintaining a focus on the technical aspects affecting safety at **Fernald**, the **Board** has a high interest in DOE's use of its new **Environmental** Restoration Management Contractor (**ERMC**) approach to defense nuclear waste storage, **treatment, disposal**, and site decommissioning/restoration at this site. Experience acquired at **Fernald** can prove valuable **to** the Department and its future **ERMCs** for defense nuclear sites. Of particular interest to the Board is how, under this **approach**, DOE and the ERMC will ensure adequate protection of the health and safety of the public and the onsite workers **involved** in storage and processing of nuclear waste at **Fernald**.

The Board's staff has visited **Fernald** to review the UNH stabilization **project** on five separate occasions since March 1992. Topics for review have included technical management arrangements, operator **training**, start-up test **plans**, radiation protection, nitrogen dioxide releases, and the testing of system operability. The Board forwarded observations from the March 1992 **Fernald** visit to the Assistant **Secretary** for Environmental Restoration and Waste Management (EM-1) in a letter dated July **8**, 1992. **Observations** from a staff trip in April of this year were **forwarded** to EM-1 in a letter dated May 11, 1993. These **reviews** at **Fernald** have shown weaknesses in DOE's technical direction of contractor performance, the contractor's conduct of operations, and the level of knowledge of personnel. **With** respect to the first weakness, a **lack** of technical vigilance on **the** part of **DOE-Fernald (DOE-FN)** allowed the **ERMC** contractor to start operations at the UNH project in April 1993 without (1) conducting a **DOE-FN-required** readiness **review** and without (2) informing and obtaining the approval of either the **DOE-FN** manager or the DOE headquarters project office to start the operation.

Most recently, incidents involving the improper transfer of **UNH** solution into a treatment system sump, and the resultant release of approximately **30** gallons of UNH solution to the **environment**, have again shown how inadequate procedures, inadequate knowledge of systems and procedures on the part of operators, and absence of **an** appropriate level of discipline in the conduct of operations can contribute to unsafe operations. These incidents were **logged** in DOE's **occurrence** reporting system in reports **ORO--WMCO-FMPC-1993-0027** and **ORO--WMCO-FMPC-1993-0028**, respectively. Furthermore, the Board has noted recent events at other facilities under the cognizance of EM, including the Defense Waste

Processing Facility at SRS and the Uranium Oxide Plant at **Hanford**, that appear to indicate fundamental safety problems resulting from defective discipline of operations.

**The** incidents at **Fernald** and at other sites, **taken** together, also suggest that **DOE's technical** management and oversight structure for ERM C contracts are in need of upgrading. As the defense nuclear complex moves more rapidly toward long-term storage, environmental restoration and cleanup, new contractor at other sites will be engaged using the ERM C **approach**, as is being used at **Fernald**. Based upon **observations** of **the** Fernald **project**, the Board has **concern** stemming **from** health and safety considerations **that**: (1) DOE may not have sufficient numbers of **competent**, trained **headquarters** and field personnel to technically manage such **contracts**, and (2) contracts may **be** negotiated and signed before DOE has developed internal plans on how to carry out its technical management and oversight **responsibilities**.

The Board is aware that you have recently announced initiatives to reform DOE contract management. These initiatives are directed largely at more effective financial management and program implementation. The Board **would** encourage, in the interests of public and worker health and safety, that **the** planned review of contracting mechanisms and practices also encompass **the** DOE technical direction and oversight structure. The Board believes that **competence** and effectiveness in technical aspects of management are essential to assure that contract **services are** provided in a manner which meets health and safety objectives.

The Board believes that DOE should formalize and strengthen its technical management of **ERM C** contracts. A straightforward **step** toward achieving this objective is for DOE to develop, in parallel with the drafting and negotiation of a new contract, a separate document which will provide detailed project and technical management plans and allocate qualified technical personnel **to** manage that contract at both HQ and the **field** location. Such a plan would in effect be a functions and **responsibilities** document. It **would** lay out management expectations for those assigned the technical monitoring, direction, and oversight of the contracted services, and identify the interfaces with other DOE resources managing the non-technical aspects of the contract. The contractor would normally not be allowed to commence operations involving radioactive **materials** until DOE's plan for technical management of site activities has been put into effect. This means, among other things, that the relevant DOE site and headquarters offices have been adequately staffed with qualified persons to provide competent technical **direction**, guidance, and oversight of the contractor's operations. In addition, the principles contained in applicable DOE Orders and in previous Board recommendations on such topics as DOE facility representatives (92-2), operational readiness reviews (92-6), and training (92-7) should be incorporated, where appropriate, into DOE's plan.

Such advance planning for technical management of ERM C contracts would have the following beneficial impacts: (1) timely identification and commitment of adequate technical resources to manage new contracts and projects; (2) up front identification for

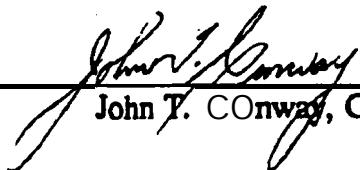
DOE **technical managers** of expectations deriving from DOE **responsibilities** for protection of health and safety of workers and the **public**; and (3) assurance that DOES technical line management and safety oversight organizations are involved early in the contracting process.

In summary, the Board believes that improvement of DOES **capability** to provide **technical** management and oversight of **ERMCs** across a broad front is necessary to ensure adequate protection of the public health and safety. Therefore, **the** Board **recommends** that:

1. DOE develop and implement a technical management plan for **Fernald** and **all** future ERMC contracts. For **Fernald**, the technical management plan should be developed and implemented expeditiously, For future **ERMC contracts**, such a plan should be readied prior to contractor **selection**, and should be implemented at the initiation of contracted **services**.
2. Each plan for technical management of contracted **services** include as a minimum:
  - a) **a clear** statement of functions and responsibilities of those in DOE assigned the task of technical direction, monitoring, or oversight of **the** contracted efforts, both at headquarters and the relevant operations **offices**;
  - b) definition of the **technical** and managerial qualifications required of DOES technical management staff at each **level** of **responsible** DOE line and oversight units;
  - c) identification of the principal interfaces with the non-technical DOE personnel involved in the contract management;
  - d) **identification**, by name, of the key technical personnel selected to perform the requisite technical direction, monitoring, and oversight functions;
  - e) identification of policies, practices, orders, and other key instructions that represent a basic framework to be used in DOE technical management of the contractor in ensuring **public** and worker safety and **adequate** environmental protection; and
  - f) **a** detailed program to ensure compliance with applicable statutes and DOE Orders, standards, rules, directives, and other requirements related to public and worker safety and environmental protection.
3. DOE consider the insights gained from addressing recommendations 1 and 2 above for ERMC contracts in pursuing the broader initiatives for reforming contract management you recently announced.

To assist DOE **in** resolving the broader-based safety issues addressed in the previous **recommendations**, the Board recommends that the following additional actions be taken at **Fernald**:

- 4\* DOE headquarters complete an independent review of the recent incidents at **Fernald, identifying** the root **causes** for those incidents and the corrective actions required to remedy the underlying **problems, and** translate the **Fernald findings** into lessons learned applicable to other facilities.
5. DOE establish a clear process with an appropriate set of requirements and clear definitions of the line of authority for approval to start the **UNH** stabilization project. **The** set of requirements should **identify** the type and scope of readiness reviews DOE will require for the start of the **UNH** stabilization **runs**. For the type and scope of the **reviews**, consideration should be given to the standards set forth **in** previous Board recommendations on this subject (**i.e.** 90-4,91-3,91-4,92-1, 92-3, and **92-6**) and account for the known safety considerations for this operation. This process should also include identification of the appropriate DOE official(s) **responsible** for ensuring that public and worker health and safety are adequately protected and for **giving final** start-up **approval**.
6. DOE immediately establish **a** group of technically qualified Facility Representatives at **Fernald** to monitor the ongoing activities of daily operations at the site. DOE's "Guidelines for Establishing and Maintaining a Facility Representative Program at DOE Nuclear **Facilities**," issued in March, 1993, may be a useful basis for quickly establishing such a program at **Fernald**.

  
\_\_\_\_\_  
John T. Conway, Chairman

APPENDIX A

**Recommendation 93-5**

**Hanford Waste Tanks Characterization Studies**



John T. Conway, Chairman  
A.J. Eggenberger, Vice Chairman  
John W. Crawford, Jr.  
Joseph J. DiNunno  
Herbert John Cecil Keats

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

625 Indiana Avenue, NW, Suite 700, Washington, D.C. 20004  
(202) 208-6400



July 19, 1993

The Honorable **Hazel R. O'Leary**  
Secretary of Energy  
Washington, DC 20585

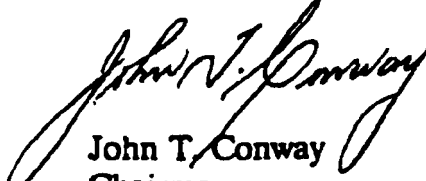
Dear Secretary **O'Leary**:

On July 19, 1993, **the** Defense Nuclear Facilities Safety **Board**, in **accordance with** 42 **U.S.C. § 2286a(5)**, **unanimously** approved Recommendation 93-5 which is enclosed for your **consideration. Recommendation** 93-5 deals with Hanford Waste Tanks Characterization Studies.

42 **U.S.C. § 2286d(a)** requires the **Board**, after receipt by **you**, to **promptly** make this **recommendation available to the public** in the Department of Energy's regional public reading **rooms. The** Board believes the recommendation **contains** no information which is **classified** or **otherwise** restricted. To the extent this recommendation does not include information restricted by DOE under the Atomic **Energy** Act of 1954, 42 **U.S.C. §§** 2161-68, as **amended**, please arrange to have this recommendation promptly placed on **file** in your regional public reading rooms.

The Board **will** publish this recommendation in the Federal Register.

Sincerely,

  
John T. Conway  
Chairman

Enclosure

Copy to: Mark B. Whitaker, DR-1

RECOMMENDATION 93-5 TO THE SECRETARY **OF** ENERGY  
pursuant to 42 **U.S.C. §** 2286(5)  
Atomic Energy Act of 1954, as amended.

Dated: July 19, 1993

Since its beginning almost four years ago, the Board has assigned one of its highest priorities to assurance of **safety** at the high level nuclear waste storage tanks at the Hanford Site. The Board addressed **two** of its sets of **recommendations** (90-3 and 90-7) to potential hazards associated with **tanks** containing **ferrocyanide** compounds and pointed to the need for action in connection **with tank** 101-SY, which periodically vents flammable mixtures of nitrous oxide and hydrogen gas. **In** Recommendation 90-7, the Board emphasized the urgent need for more rapid **and** complete sampling and analysis of tank wastes. The **wastes** in the Hanford **tanks differ markedly from** tank to **tank**. Identification of what specifically is in each tank is **essential** and **urgent**. Without timely characterization of the wastes, the nature of the risks associated with the tanks cannot be **fully** assessed **and**, where necessary, mitigated Further, **until** the characteristics of the wastes are **known, final** methods for tank waste monitoring, **retrieval, transport**, and treatment cannot be realistically established.

The Board has **repeatedly** expressed its dismay at the continued slow rate of conduct of this **characterization** program and has urged a greater rate **of** progress. At last count only 22 of the 177 tanks on the site have been sampled. **Only** four of those sampled were among the 54 tanks on **the** watch list of tanks that generate the greatest safety **concerns**. The **number** of **samples** per **tank** continues to be insufficient to **provide** adequate characterization of the full **tank**. While the published schedules for sampling and analysis promise **improvement**, they seem optimistic when viewed against the record to **date**. They appear to present **wishes** rather than anticipated activities.

Two sets of problems appear to be principal contributors **to** the slow pace of characterization of the **contents** of the tanks. **The** first is **a** complex of factors acting to impede **access** to the interiors of the tanks and extraction of samples of their contents. The **second** is the exhaustive set of measurements made on each **sample**, along **with** limitations on laboratory capability for completing these measurements. **The Board notes that measurements made for safety purposes do not necessarily receive priority over those done for other reasons, such as satisfaction of formal EPA-related requirements for final waste disposition.**

The **Board believes that accelerating** the pace of the program of characterizing the **contents** of Hanford's high level nuclear waste tanks **is important to** nuclear safety at this important defense site. This **view is** shared **by** other **experts**, including **DOES own** "Red Team", which reviewed the waste characterization program for the Hanford Tank Farm (**DOE-EM, July 1992, Independent** Technical Review of Hanford **Tank** Farm Operations). Characterization is essential for ensuring **safety** in **the** near term **during custodial** management and remedial **activities**, and also **in** the long term for **advancing** the **development** of permanent solutions to the high **level** waste problems at Hanford.

In addition to **the** matter of acceleration and **reprioritization** of the sampling schedules, **the** Board is also concerned about **the** sampling effort itself. The Board notes **that a recently released DOE/RL audit (DOE-RL/OPA Audit 93-02, April 1993)** of the sampling programs revealed significant weaknesses in the control, management, and technical implementation of core **sampling**, laboratory, and supporting activities.

Because the failure to vigorously pursue tank waste characterization raises important health and safety issues, DOE **needs to take action to accelerate and strengthen the management of the characterization effort** to ensure adequate protection of public health and safety.

Therefore, the Board recommends that DOE:

- L Undertake a comprehensive reexamination and restructuring of the **characterization** effort with the objectives of accelerating sampling schedules, strengthening technical management of the **effort**, and completing safety-related sampling and analysis of watch **list** tanks within a target period of **two** years, and the remainder of the tanks by a year later;
  - a. In accordance with the above, give priority in the schedule of tanks to be sampled to the watch list tanks and others with identified safety problems, and priority to the chemical analyses providing information important to ensuring safety in the near term during the period of custodial management. Other analyses, required by statutes such as the Resource **Conservation and Recovery** Act prior to final disposition of the waste, should not be cause for delay of safety-related analyses. In most cases, analyses needed for long-term disposition may **be** postponed **until** more pressing safety-related analyses are completed.
  - b. **Reexamine** protocols for gaining access to the tanks for sampling with the objective of **simplifying** documentation and approval requirements.
  - c. Increase the laboratory capacity and activities dedicated to tank sample analysis:
    - (i) Expedite efforts to obtain and begin utilizing additional sampling and analytical equipment now being procured, and the training of personnel needed for an enlarged through-put capacity.
    - (ii) Explore availability and utility of laboratory **services** on- and off-site, such as Hanford's Fuel Materials and Examination Facility and the INEL and **LANL** laboratories, for accelerating the waste **characterization** effort.

- 2 Integrate the characterization effort into the **systems** engineering effort for the Tank Waste **Remediation** System.
- a. **Schedule** tank sampling consistent **with** engineering and planning for **removal, pre-treatment,** and vitrification of the tank wastes.
  - b. critically examine the list of chemical **analyses** done on samples to establish **the smallest set** needed to satisfy safety **requirements.**
  - c. Strengthen the management and conduct of the sampling operations.

  
John T. Conway Chairman

APPENDIX A

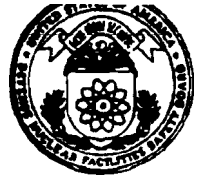
**Recommendation 93-6**

**Maintaining Access to Nuclear Weapons Expertise in the  
Defense Nuclear Facilities Complex**

John T. Conway, Chairman  
A.J. Eggenberger, Vice Chairman  
John W. Crawford, J. r.  
Joseph J. DiNunno  
Herbert John Cecil Kouu

# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

625 Indiana Avenue. NW. Suite 700, Washington. D.C. 20004  
(202) 208-6400



December 10, 1993

The Honorable **Hazel R. O'Leary**  
Secretary of **Energy**  
Washington, DC 20585

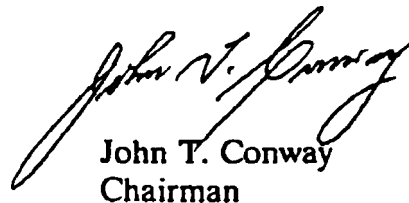
Dear **Secretary O'Leary**:

On December 10, 1993, the Defense Nuclear Facilities Safety Board, in accordance with 42 U.S.C. § 2286a(5), unanimously approved Recommendation 93-6 which is enclosed for your consideration. Recommendation 93-6 deals with Maintaining Access to Nuclear Weapons Expertise in the Defense Nuclear Facilities **Complex**.

42 U.S.C. § 2286d(a) requires the Board, after receipt by you, to promptly make this recommendation available to **the** public in the Department of Energy's **regional** public reading rooms. The Board believes the recommendation contains no information which is classified or **otherwise** restricted. To the extent this recommendation does not include information restricted by DOE under the **Atomic Energy Act** of 1954, 42 U.S.C. §§ 2161-68, as amended, please arrange to **have** this recommendation promptly placed on file in your regional public reading rooms.

The Board will publish this recommendation in the Federal Register.

Sincerely,



John T. Conway  
Chairman

Enclosure

Copy to: Mark **B. Whitaker**, DR-1

RECOMMENDATION 93-6 TO **THE SECRETARY** OF ENERGY  
pursuant to 42 U.S.C. § 2286a(5)  
Atomic Energy Act of 1954, **as** amended.

Dated: December 10, 1993

The ongoing reduction in size of the stockpile of nuclear weapons and the related changes in the defense nuclear complex have a number of safety-related consequences. **The** Board has addressed several of its sets of recommendations to such problem areas, including 92-5, which concerned discipline of operations in a changing defense nuclear facilities **complex**, and 93-2, which stated a continued need for capability to conduct critical experiments. We wish now to draw attention to the need to retain access to capability and capture the unique knowledge of individuals who have been engaged for many years in certain critical defense nuclear activities, **in** order to **avoid** future safety problems **in** these and related activities.

The first critical area requiring continued access to departing personnel is the disassembly of nuclear weapons at the **Pantex** site, an activity that will continue for **a** number of years. **The** second is the testing of nuclear explosives at the Nevada Test Site, an activity presently subject to a moratorium. However, the **President**, in establishing that **moratorium**, said that **he** has retained the possibility of later resumption of tests if that is needed, and that he expects the Department of Energy to maintain a capability to resume testing. **In** reaction to the recent Chinese underground test he has instructed the Department of Energy to take steps necessary to prepare for **resumption**, pending a decision as to whether further tests at the Nevada Test Site should be conducted.

A substantial amount of documentation exists on the design and safety aspects of nuclear weapons that will have to be dismantled at **Pantex**. This information is essential for the dismantlement program and is used in that program. Even so, the Board has pointed out that it is also **important**, for safety reasons, to involve individuals from the design laboratories of Los **Alamos**, **Livermore**, and **Sandia** in review of detailed dismantlement procedures and specialized procedures responding to problems encountered in the course of dismantlement. This practice has been initiated, and it has already been seen to be vital to safety assurance in the dismantlement program

The design individuals from the laboratories most needed in connection with dismantlement of a specific weapon are those who **had** been active in the original design of that weapon. They are believed to possess information not recorded in documentation, such as **reasons** for specific design features, and personal knowledge of any problems that have arisen during design, **fabrication**, and stockpile life. Many of the remaining individuals with this background **are** being lost from the **system**, because of the University of California's **recent** retirement incentive, planned layoffs by contractors, and DOE downsizing and retirements. Some recent moves to prevent or discourage use of retired individuals as consultants compound the problem; they erect barriers that **could** prevent access to the needed expertise.

Similar **problems also arise in connection** with maintaining capability for testing of nuclear explosives at the Nevada Test Site. On the assumption that the testing moratorium will continue, we foresee **an** impairment of capability **to** ensure the safety of tests if national priorities call for resumption of testing at some future time. This impairment will occur both through reduction in competence that naturally follows when a highly skilled operation is not conducted over a long period of time, and through loss of skilled and experienced personnel. The loss of skilled personnel will be especially troubling because there has traditionally been a high **degree** of dependence on administrative controls for safety in testing of nuclear explosive devices at the Nevada Test Site. Proper exercise of these administrative controls requires considerable background in past methods of test emplacement **and** test **conduct**, and extensive institutional memory.

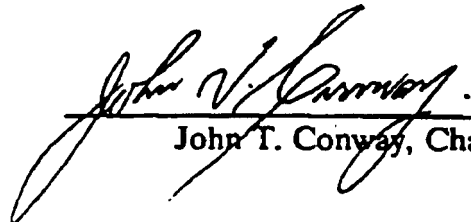
The Board recognizes the Department's efforts to develop a "stockpile stewardship" program focused to ensure the continued safety and reliability of fielded **weapons**, to ensure maintenance of laboratory development **capability**, and to ensure a limited production capability. Our areas of concern **complement** these necessary activities, but are focused instead on ensuring that capability is maintained to conduct testing operations safely if they must be done, and that all future dismantlement activities can be completed safely. Although it may be relatively **straightforward** to maintain these capabilities in the near **term**, ensuring their availability 5 to 20 years in the future may be very **difficult**.

**In** accordance with the above concerns, the Board makes the following recommendations:

- (1) That a **formal** process be started to **identify** the skills and knowledge needed to develop or verify safe dismantlement or modification procedures specific to all remaining types **of** U.S. nuclear weapons (**retired**, inactive, **reserve**, and enduring stockpile systems). Included among the skills and knowledge should be the ability to conduct relevant safety analyses.
- (2) That a similar formal process be started to identify the skills and knowledge needed to safely conduct nuclear testing operations at the Nevada Test Site, including the processes of assembly/disassembly, on-site **transportation**, insertion/emplacement arming and firing, timing and control, and post-shot operations. Included among the **skills** and knowledge should be the ability to conduct relevant safety analyses.
- "(3) That a practice be instituted of reviewing the personnel losses at the nuclear weapons laboratories and the Nevada Test Site, as **well** as the losses of key personnel from DOE's own staff engaged in nuclear defense activities, to ascertain which of the skills and knowledge are projected to be lost through departure of personnel.
- (4) That DOE and its defense **nuclear** contractors negotiate the continued availability (through retention, hiring, consulting, etc.) of those **personnel** scheduled to depart whose skills and knowledge have been determined to be important in accordance **with** the above.



- (5) **That** programs be initiated to obtain from these expert personnel (and to record) the as yet undocumented anecdotal technical information **that** would **be** of value in augmenting the technical knowledge and expertise of successor personnel. This should be done either prior to departure of the retiring personnel or shortly thereafter.
- (6) That procedures for safe disassembly of weapons systems be developed while the personnel with **system-specific** expertise on the original development of the weapons are still available. Likewise, analyses of the **possibility** of hazard from degradation of remaining nuclear weapons with time should be **expedited**, while these individuals are available. In **addition**, the current participation of design **laboratory** experts in the safety aspects of disassembly of weapons **at** the **Pantex Site** **should** be strengthened.
- (7) **That a program** be developed and instituted for maintaining expertise in operations key to safety of nuclear testing at the Nevada Test Site, to ensure that if testing is resumed at any future time, it can be performed with requisite safety. Possible components are those activities **and** experiments that **would** be permitted within limitations of treaties being discussed, for example: **hydronuclear** tests, **backdrilling** for isotopic analysis of residues from old shots, and **exercises** including steps in preparation for tests, up to actual emplacement.
- (8) Given the loss of experienced personnel, that a determination be made as to whether traditional dependence on administrative controls to ensure nuclear explosive safety at the Nevada Test Site would be adequate and appropriate if nuclear testing should be resumed at a later time. It maybe found necessary to develop an approach for ensuring nuclear explosive safety in the testing program that is less dependent on the performance of highly experienced **personnel**, such as through the use of engineered safeguards similar **to** those used in fielded weapons **as** part of the arming and firing, and timing and control systems.

  
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John T. Conway, Chairman