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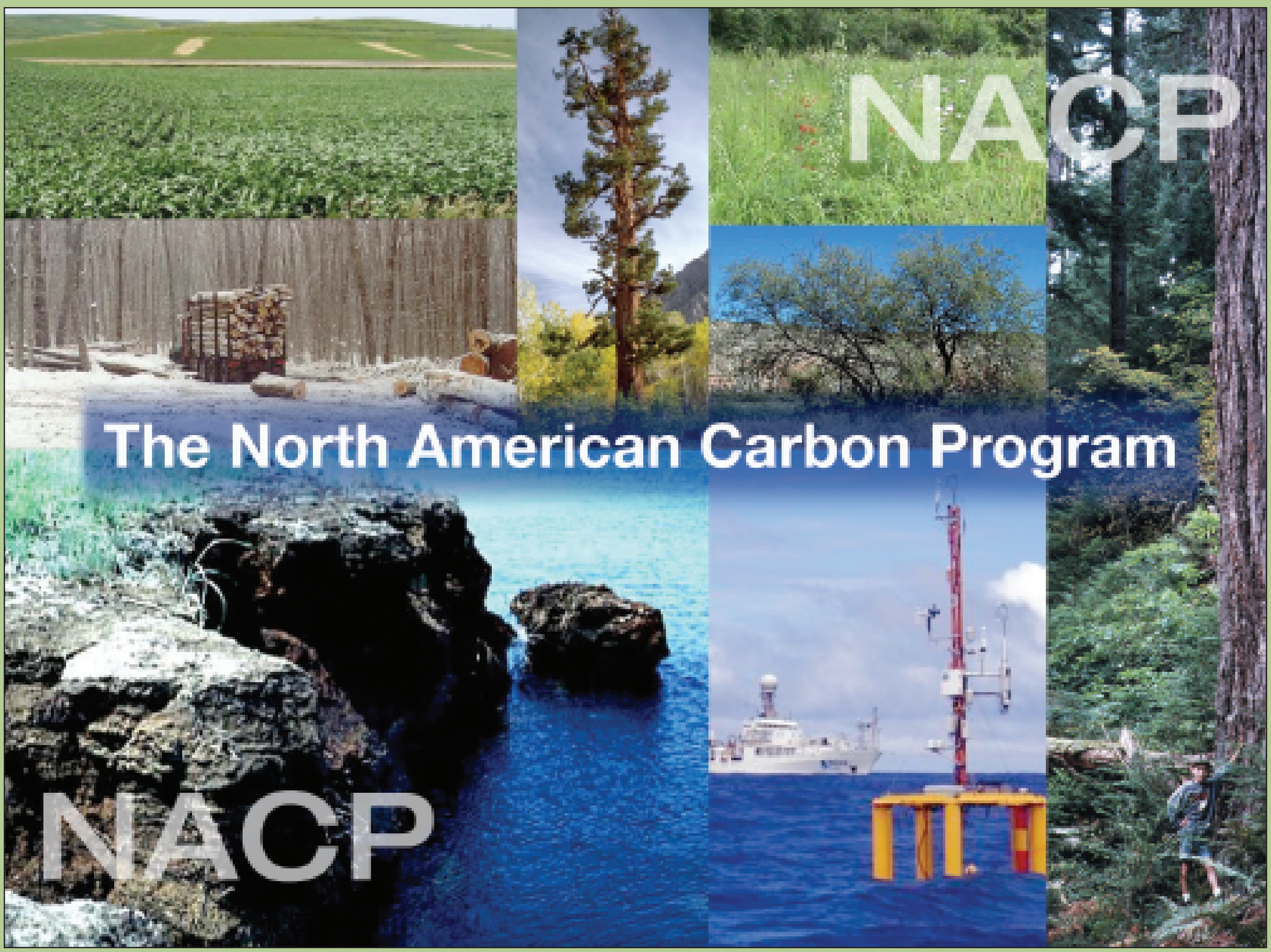
Science Implementation Strategy for the North American Carbon Program



Prepared for the
U.S. Carbon Cycle Scientific Steering Group
and Interagency Working Group
by the
North American Carbon Program Implementation Strategy Group

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Chair and editor

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Science Implementation Strategy for the North American Carbon Program

July 2005

Prepared for the U.S. Carbon Cycle Scientific Steering Group (CCSSG) and Interagency Working Group (CCIWG)

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Executive Summary

Poorly understood "sink" processes currently remove about half of global carbon dioxide (CO_2) emissions arising from the combustion of fossil fuels, but there is little reason to expect these sinks to continue to operate unchanged over the coming decades. Uncertainties in the future behavior of the carbon cycle are currently among the greatest sources of uncertainty in climate over the next century, ranking with anthropogenic emissions and imperfect understanding of the physical climate system. The study of the carbon cycle involves scientists from many disciplines: terrestrial ecologists, agriculturalists, oceanographers, energy economists, and atmospheric scientists.

A broad community of scientists involved in the study of the carbon cycle has conducted a multiyear process of scoping, prioritizing, and planning for a comprehensive and rationalized program of interdisciplinary research in this area. Working with as many as nine U.S. agencies, the community produced *A U.S. Carbon Cycle Science Plan* (Sarmiento and Wofsy) in 1999. The plan reflects input of hundreds of prominent scientists and addresses three fundamental questions:

1. What has happened to the carbon dioxide that has already been emitted by human activities?
2. How do land management and land use, terrestrial ecosystem and ocean dynamics, and other factors affect carbon sources and sinks over time?
3. What will be the future atmospheric carbon dioxide and methane concentrations resulting from environmental changes, human actions, and past and future emissions?

The *Strategic Plan for the U.S. Climate Change Science Program* (USGCRP, 2003) envisions six research program elements to address these questions. The North American Carbon Program (NACP) is one of the first of these six major elements targeted for implementation planning and has been identified as a near-term priority under the Climate Change Research Initiative. Presented here is an Implementation Strategy for the NACP, building on the already published Wofsy and Harriss (2002) *North American Carbon Program Report*. The construction of this document has involved significant community input, including comments on an early draft presented to over 200 scientists participating in the NACP Joint Principal Investigators' Meeting in May 2003.

The NACP is organized around four questions:

1. *What is the carbon balance of North America and adjacent oceans? What are the geographic patterns of fluxes of carbon dioxide (CO_2), methane (CH_4), and carbon monoxide (CO)? How is the balance changing over time?(**"Diagnosis"**)*
2. *What processes control the sources and sinks of CO_2, CH_4, and CO, and how do the controls change with time? (**"Attribution/Process"**)*
3. *Are there potential surprises? (i.e., could sources increase or sinks disappear?) (**"Prediction"**)*
4. *How can we enhance and manage long-lived carbon sinks ("sequestration"), and provide resources to support decision makers?(**"Decision support"**)*

Research activities are recommended and prioritized within each major area to contribute to an integrated and well-tested system for understanding, monitoring, and predicting carbon fluxes over North America and adjacent ocean regions, and for providing timely and useful information to policymakers based on the results.

Major ***diagnostic studies*** are planned for 2005-2006 in which measurements of carbon storage on land and in the oceans and fluxes between reservoirs will be made in a coordinated series of experiments. Process-based models will be used in conjunction with remote sensing and other spatial data to estimate net carbon fluxes and storage across the continent at fine spatial and temporal resolution. These gridded estimates will be compared in detail to independent estimates made from observations of atmospheric trace gas concentrations and trajectories. Mismatches between top-down and bottom-up flux estimates will be used to improve diagnostic and predictive models through innovative techniques such as ***data assimilation*** (similar in theory to statistical methods used for weather forecasting). Several ***"intensive field experiments"*** will be conducted as part of the diagnostic research program, intended to test each element of the ***"model-data fusion"*** framework with multiply-constrained estimates of regional fluxes. After the intensive periods, the program will leave in place a ***network of systematic observations and analytical models*** that is optimally configured for continued monitoring of future carbon cycling over North America and adjacent ocean regions. Studies of the underlying ***processes*** that control carbon cycling are ongoing and more are planned in the next two years, leading to improved mechanistic models that will be used to produce maps of important carbon fluxes at high temporal and spatial resolution. Models developed and tested under the diagnosis and process elements of NACP will be used to improve ***prediction*** of future changes in the carbon cycle and will continue to be evaluated against the ongoing diagnostic data. Data and models developed and tested under NACP will be used to provide ***decision support resources*** for policymakers, land managers, and other users of carbon cycle information. All elements of the program will be supported by an appropriate ***data management system*** designed to facilitate rapid and transparent exchange of large amounts of information from many disciplines.

Major elements of the ***diagnostic*** analysis of the carbon budget of North America will include:

- A hierarchical network of large-scale, distributed terrestrial measurements;
- Systematic compilation and analysis of new and existing remotely sensed imagery for use in models of carbon exchange at both land and ocean surfaces;
- Substantially improved fossil fuel emissions inventories with high resolution in time and space, and methods for evaluating these inventories using atmospheric measurements;
- An atmospheric observing system consisting of ground stations, aircraft and measurements from towers, ships and buoys;
- Estimates of hydrologic transfers of carbon over land, transformations in estuaries, and sequestration in sediments on land and in coastal oceans;
- Ocean measurements and modeling, both in the coastal zone and the open ocean, in coordination with the ocean carbon component of the Carbon Cycle Science Program *(Ocean Carbon and Climate Change (OCCC): An Implementation Strategy for U.S. Ocean Carbon Research*, Doney et al., 2004);
- Synthesis and integration activities organized into three interlocking strategies: (1) spatially distributed modeling of carbon cycle processes using process-based models driven by many kinds of observations; (2) top-down synthesis using inversion of variations in atmospheric trace gas composition and tracer transport models; and (3) model-data fusion and data assimilation to produce optimal estimates of spatial and temporal variations that are consistent with observations and process understanding;
- Interdisciplinary intensive field campaigns designed to evaluate major components of the model-data fusion framework in limited domains in space and time for which all major fluxes can be measured by multiple techniques.

Major elements of the ***process-oriented research*** activities under NACP will include:

- Responses of terrestrial and marine ecosystems to changes in atmospheric CO_2, tropospheric ozone (O_3), nitrogen (N) deposition, and climate;
- Responses of terrestrial ecosystems to changes in disturbance regimes, forest and soil management, and land use;
- Responses of terrestrial ecosystems to agricultural and range management;
- The impacts of lateral flows of carbon in surface water from land to fresh water and to coastal ocean environments;
- Responses of coastal marine ecosystems and sedimentation to eutrophication and other disturbances from human activity;
- Human institutions and economics.

Major elements of the ***predictive modeling*** activities supported under NACP will include:

- Transfer of synthesized information from process studies into prognostic carbon cycle models;
- Retrospective analyses to evaluate the spatial and temporal dynamics of disturbance regimes simulated by prognostic models;
- Evaluation of predictions of interannual variations with predictive models against continued monitoring using legacy observational networks and diagnostic model-data fusion systems;
- Development of scenarios of future changes in driving variables of prognostic models;
- Application and comparison of prognostic models to evaluate the sensitivity of carbon storage into the future;
- Incorporation of prognostic models into coupled models of the climate system.

Major elements of the ***decision support resources*** to be provided by NACP will be developed further through a separately convened working group on decision support services, and will include:

- Economics and energy policy options for management of the carbon cycle given improved understanding, diagnosis, and prediction;
- Longevity of sinks;
- Scenario development for simulation of future climate;
- Assessment of sequestration options given best scientific evaluation of present and future behavior of carbon cycling.

Motivation

The North American Carbon Program (Wofsy and Harriss, 2002) report presents a phased plan for integrated interdisciplinary research on the carbon cycle, acting upon a principal recommendation of the *U.S. Carbon Cycle Science Plan* (Sarmiento and Wofsy, 1999). **The central objective of the NACP is to measure and understand the sources and sinks of CO_2, CH_4, and CO in North America and adjacent ocean regions**.

The NACP is motivated by the need for informed policy decisions affecting emissions of CO_2 and for the scientific community to provide optimal strategies for carbon sequestration through land management, direct burial, or other means. It will provide the scientific knowledge to assess, and potentially implement, sustainable carbon management. The NACP will provide the scientific basis for projecting future fluxes of CO_2 and CH_4 from North America and adjacent ocean regions in response to scenarios of climate, energy policy, and land use.

Goals of the NACP

The goals of the NACP are to:

- Develop quantitative scientific knowledge, robust observations, and models to determine emissions and uptake of CO_2, CH_4, and CO, changes in carbon stocks, and the factors regulating these processes, in North America and adjacent ocean basins;
- Develop the scientific basis to implement full carbon accounting, including natural and anthropogenic fluxes of CO_2, CO, and CH_4, on regional and continental scales;
- Support continuing quantitative measurements, models, and analysis methods that enable full carbon accounting;
- Develop, test, and exercise robust models for exploring scenarios that include, but are not limited to, the effects of variation in fossil fuel emissions, land use, and climate change on the future trajectories of atmospheric CO_2, CO, and CH_4.

The NACP is closely coordinated with other elements of U.S. carbon cycle research. NACP depends on expansion of the current networks of atmospheric concentration measurements and flux sites measuring vegetation-atmosphere exchange of CO_2 (i.e., AmeriFlux network), enhanced inventories of carbon stocks, studies of CO_2 fluxes over ocean basins adjacent to the continent (North Atlantic, North Pacific, and Arctic), measurements to partition emissions of CH_4 among agricultural, combustion, fossil, and wetland sources, ecosystem process studies, and development of diagnostic and prognostic models, as well as information on stakeholder needs and how to best meet them with NACP scientific information.

The NACP will link to international programs pursuing complementary agendas in several regions. It will also foster inclusion of carbon in programs to assimilate global meteorological and environmental data, including data assimilation activities currently conducted by numerical weather prediction centers.

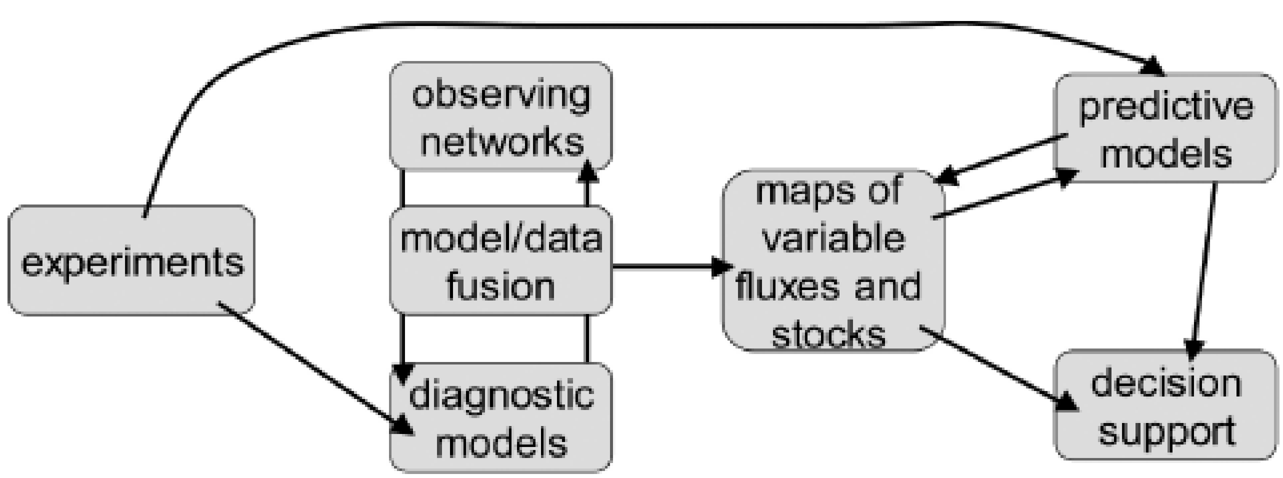
Overall Strategy for Synthesis and Integration

The NACP will involve systematic observations, intensive field campaigns, manipulative experiments, diagnostic numerical modeling of carbon sources and sinks, and syntheses of existing data sets. These activities are intended to support each other through a rational strategy for integration to answer the four questions listed above. This strategy is based on the premise that spatial and temporal heterogeneity of carbon sources and sinks, and the need to attribute processes and develop useful predictive tools precludes satisfactory closure through observations alone. Rather, observations and simulation models of the processes that regulate the North American carbon budget must be used in tandem. The strategy adopted under NACP is to structure modeling efforts and observations so as to test every aspect of the models as thoroughly as possible. This entails making sure that models predict relevant observable quantities, and that observations are made of the parameters and variables that are most uncertain in models. Three separate methods will be applied to synthesize models and data for estimating continental-scale carbon budgets under NACP: (1) “bottom-up” synthesis of surface, in situ, and remotely sensed data using models of source/sink processes; (2) “top-down” synthesis of atmospheric carbon trace-gas data using numerical weather analyses and inversion of transport models; and (3) model-data fusion of all available data (surface, remotely sensed, and atmospheric) into process-based diagnostic models.

The bottom-up synthesis will focus on mapping vegetation cover, soil properties, land use, land management, land use history, and disturbance history at the highest appropriate spatial and temporal resolutions using a combination of remote sensing, stratified in situ sampling, and other geographic information. These data will be used to drive simulation models of sources and sinks, and the results will be compared in detail to independent observations of variables that represent key uncertainties in the models. Errors discovered during model evaluation will be used to improve the models, leading to improved estimates of gridded sources and sinks at high resolution. The advantage of the bottom-up synthesis is that it makes best use of process understanding and therefore can be used for scenario and decision support modeling.

The top-down synthesis will be performed using new observations of spatial and temporal variations of CO_2, CO, and CH_4 in the troposphere. These observations will consist of in situ sampling using flasks, a new generation of continuous analyzers deployed on a network of tall towers, and frequent airborne sampling. High quality trace gas concentration observations will also be made in conjunction with eddy covariance measurements, and may be made from buoys or ships in the coastal oceans when instrument issues are resolved. These data will augment the existing network of flask sampling in remote regions. The wealth of new trace gas data will be used for regional

Figure 1. Integration strategy for NACP



source and sink estimation using inversion of atmospheric transport. Meteorological data for these calculations will be generated using high-resolution reanalysis at the global scale, and will be used to generate regional cloud resolving transport simulations in support of intensive field campaigns. Monthly flux estimates for regions of approximately (500 km^2) will be produced for detailed comparison with the more highly resolved process-based estimates. The advantage of the top-down synthesis is that it provides a set of independent flux estimates that are consistent with atmospheric mass balance. The disadvantages are that these estimates are only likely to be reliable at relatively coarse resolution and will not include inherent information about the processes that drive sources and sinks.

Discrepancies between independent top-down and bottom-up syntheses will be reconciled using data assimilation (or model-data fusion) techniques analogous to those used in weather analysis and forecasting. This analysis will involve identification of those parameters in forward models of carbon source and sink processes that dominate the uncertainty in the gridded bottom-up flux estimates. These parameters will then be adjusted to produce optimum agreement with all available observations: remotely sensed imagery; forest, agricultural and combustion inventories; eddy covariance fluxes; experimental manipulations; air-sea gas exchange; and atmospheric trace gas concentrations. The product of this analysis will be a set of high-resolution gridded model estimates of sources and sinks that are fully consistent with all available data and also with best understanding of the processes that produce them.

Providing resources to support decision makers will requires a deliberate focus as well as attention to integration. Experience from other areas such as climate simulation and water management has shown that developing useful scientific information to support decision making does not flow automatically from scientific research. A “decision support working group” will be needed to ensure that users and stakeholders are clearly defined, develop ongoing feedback processes to the broader program, and illuminate technological and human resource needs for decision support.

Phasing of NACP Research

The four motivating questions posed by NACP are not intended to be addressed sequentially. Rather, they form a framework under which many related and complementary research activities will be organized. Nevertheless, due to the time and resources required to design, deploy, and test an expanded network of observations and the modeling and data management tools needed to interpret them, the authors envision that most of the activities supported under NACP in the first two years will be devoted to Questions 1 and 2. This will lay the groundwork for more successful and falsifiable predictive modeling and decision support resources. Research focused on addressing Questions 3 and 4 will certainly be an important outcome of the NACP, and some activities must be supported in the near term. Decision support requirements are poorly defined at this time, and therefore some activity is needed early on to understand how stakeholder needs might be met as the scientific program develops. An evolving shift in the relative weight of activities from Questions 1 and 2 toward heavier emphasis on Questions 3 and 4 is expected over the course of the program.

1.0 Question 1 (Diagnosis): What is the carbon balance of North America and adjacent ocean basins? What are the geographic patterns of fluxes of CO_2, CH_4, and CO? What are the sources and sinks, and how is the balance changing over time?

Measurements and diagnostic modeling of atmospheric, terrestrial, and oceanic components are critical in determining the carbon balance of North America. Estimates of carbon fluxes and stocks are needed to help understand processes at regional and continental scales, to help develop and test hypotheses, to provide inputs for models, and to provide estimates for policy needs, such as reporting GHG emissions and sinks to the United Nations Framework Convention for Climate Change. Answering these questions will also entail working through scaling issues inherent in applying data sets over areas that were not measured. Diagnosis of the regional carbon balance will benefit from the coordination of existing resources and programs, as well as the establishment of substantial new efforts including a hierarchical system of terrestrial carbon observations across space and time scales; measurements of hydrologic carbon transfers and storage; observations in the coastal zone and open ocean; remote sensing and data management; process-based modeling and model evaluation; an atmospheric observing system to support regional flux estimation by inverse modeling; and new techniques for producing optimal highly resolved estimates of fluxes through model-data fusion. Each of these elements is described below.

1.1 A hierarchical approach for large-scale, distributed terrestrial measurements

NACP will implement diagnostic analysis of terrestrial carbon fluxes and pools at the resolution of remote sensing and other spatial data sets using well-tested models of the underlying processes involved. This analysis will require abundant data to test the models' abilities to quantitatively capture the processes, to estimate fluxes and stocks at larger scales, and to evaluate the results against independent observations. A four-tiered system of terrestrial carbon observations therefore will be refined and implemented. Three of the tiers, consisting of intensive measurement sites such as flux towers and the Long Term Ecological Research (LTER) Network, comprehensive measurements by plot sampling with large-scale inventory techniques, and spatially extensive measurements by remote sensing are relatively well-developed for other purposes. These measurements will be integrated to help determine the North American carbon balance at regional scales. A new tier, Tier 2, must be deployed to link the other tiers together. The new network of sites is intended to provide carbon stock inventory data more frequently at a wider range of sites than the forest inventory system (Tier 3), and to facilitate scaling by assessment of the representativeness of intensively monitored sites (Tier 1).

1.1.1 Tier 1: Intensive local measurements of carbon stocks and fluxes, with process characterization

Flux towers measure the temporal dynamics of CO_2, H_2O and energy, and other trace gas exchange for different biomes, disturbance classes, and climatic regimes within and between regions. Data from these sites define the functional relationships between carbon fluxes, disturbance, and environmental variables (soil moisture, weather, sunlight, vegetation cover, season, time of day, etc.) providing the capacity to parameterize and test biophysical models of C exchange. Diagnostic models to be developed in the data assimilation activity require these biophysical models, with accurate parameterizations representing real-time conditions of the vegetation and soils. Flux towers and the accompanying biological measurements are critical to regional scale analysis and understanding of dynamics of carbon storage and CO_2, H_2O, and energy exchange. They provide ground-truthed data for remote sensing observations and information on the functional response of ecosystems to environmental forcing essential for interpreting aircraft and tall tower concentration measurements. By providing all-weather continuous measurements, data from flux towers augment and help to remove biases from weather-constrained data sets and augment weather data that are needed for input to real-time biophysical and biogeochemical models.

Consistent flux data of long duration are required for the NACP. The variations of net fluxes in response to environmental forcing (e.g., sunlight, temperature, soil moisture) provide the basis for the instantaneous partitioning of carbon and energy fluxes in land surface models. Climatic variations and large-scale disturbance history (e.g., ice storms, insects, and fires) contribute in a fundamental way to the flux integrals over longer periods – from years to decades. Thus, long-term flux data for key sites provide some of the most critical constraints for the data fusion activity.

Flux towers will also serve as focal points for intensive ecological studies, providing case studies for full carbon accounting to be attempted in future inventories of above- and below-ground carbon stocks. The proposed new Tier 2 sample clusters will provide a way to extend the representation of individual flux towers to a much larger array of vegetation conditions within climatic, soil, and vegetation regimes.

A priority for the NACP is to maintain and strengthen the core AmeriFlux and Fluxnet-Canada programs with new measurements, enhanced quality controls, improved information management systems, and to add new long-term representative sites that fill gaps in the existing structure. Because of the importance of carbon storage in ecosystems in mountainous terrain, projects to understand fluxes in complex terrain are also needed.

Enhancements needed at flux sites to address NACP objectives include accurate measurements of atmospheric CO_2, CH_4, and CO concentrations traceable to world standards of calibration, improved availability and quality control (e.g., calibration and documentation) of data, and redundancy in equipment. Adding a flux measurement capability to a research site with an otherwise strong, carbon-focused research program, such as LTER, could also prove desirable. Priority for precise CO_2 concentration measurements should go to stations involved in the initial intensive experiments of the NACP, as well as sites around the periphery of North America. A limited number of flux sites should be augmented to make the full suite of core measurements recommended in *Science Plan for AmeriFlux: Long-term Flux Measurement Network of the Americas* (Wofsy and Hollinger, 1998; http://public.ornl.gov/ameriflux/about-sci_plan.shtml).

Table 1. Hierarchical Terrestrial Observing System

Tier	Type	# Sites	Frequency
4	Remote sensing and other spatial data	$>10^7$	10 days-annual
3	Forest and natural resource inventories to detect trends and ensure representativeness	10^5	5-10 years
2	New: frequent, moderate intensity, statistically stratified inventories intended to facilitate scaling	10^3	Annual
1	Very intensive, local, process characterization (e.g., AmeriFlux, LTER)	10^2	Continuous

Much of the landscape in some regions of North America is very heavily managed for residential and commercial development, yet ecosystem and carbon flux models typically don't represent these landscapes. It will be necessary to add some studies in urban and suburban landscapes, to ensure that process models can capture variability in carbon fluxes and storage in these heavily managed ecosystems. These studies should be selected to span gradients in both climate (wet to dry and cold to warm) and management intensity (urban/industrial to sparse suburban).

Clustering flux towers in geographical proximity but in different ecosystems and vegetation disturbance classes will provide an efficient mode for tower deployment in the NACP. Groups of sites should also be deployed along localized climatic gradients. A clustering approach, as adopted by Fluxnet-Canada stations, will help delineate subregional variability and overall regional exchange characteristics for linkage with the aircraft and tall-tower components and facilitate Tier 3 measurements. NSF's proposed National Ecological Observatory Network (NEON) is based on such clusters that, if funded, could make a critical contribution to the NACP terrestrial observational infrastructure. Cluster sites along climatic, vegetative, and disturbance gradients in the western region are a high priority.

New sites are needed in critical, under-sampled natural and managed ecosystems and in the region of the NACP Mid-Continent Intensive field experiment (Appendix B, this document). An analysis based on ecoregions of U.S. stations (**http://research.esd.ornl.gov/~hnw/networks/**) found that the current AmeriFlux network effectively samples the "common" ecoregions of the U.S., but other ecoregions are under-represented, particularly the Southwest and Pacific Northwest where gradients of climate, vegetation, and soils are strong. Gaps appear in the Southwest and Pacific Northwest, including shrub-steppe lands (west Texas and New Mexico) and Juniper-Pinyon ecosystems (New Mexico, Arizona, and Utah).

Fluxnet-Canada sites represent many of the major forest and peatland types in the managed forest regions of Canada. Identified gaps for forest ecosystems include interior British Columbia montane cordillera and western Ontario mixed woodlands. Despite the vast carbon stocks in arctic and subarctic ecosystems, there are few flux measurement activities. Hydroelectric reservoirs and agricultural ecosystems are other high priority sites for Canadian flux studies.

Mexican ecosystems are poorly represented with only one flux site in the desert near La Paz. This site represents a partnership between Mexican and U.S. scientists, and the NACP should promote this model and seek to establish further sites in Mexico.

1.1.2 Tier 2: Statistically-stratified measurements at intermediate scale and intensity

The NACP document developed the rationale for a measurement program at intermediate scale (Tier 2) and intensity to bridge the gap between infrequent but extensive data of the inventory plots and intensive data from the limited number of study sites in the AmeriFlux and LTER networks, which often sample many mechanistically relevant parameters. Tier 2 will include the measurement parameters of forest inventory plots plus additional measurements, with observations made at higher frequency. The linkage provided by the Tier 2 will enable remotely sensed and large-scale, lower frequency inventory data to be utilized in the quantitative analysis of the carbon cycle in North America.

Tier 2 will be composed of small clusters of monitoring sites that represent conditions over the landscape mosaic surrounding flux or process study sites. Roughly ten Tier 2 sites may be necessary to investigate the full range of ecosystem conditions and land use surrounding a flux site, suggesting several hundred Tier 2 sites would be required. Measurements at Tier 2 sites will include key components of the carbon stocks that will facilitate scaling, in time and space, of the intensive flux measurements to the larger landscape, including: (1) carbon stocks in and fluxes from soils and coarse woody debris; (2) methane fluxes from peatlands, wetlands, and agricultural systems; (3) basic meteorological and site (e.g., soil and vegetation) parameters. Continuous meteorological data (including both direct and diffuse solar radiation) will be required for the cluster.

One or more pilot studies of statistical methods for making estimates from multi-tiered observation systems will be very useful for designing an efficient Tier 2 network. Fluxnet-Canada currently uses a cluster approach where flux towers are set up for short time-periods on a range of disturbed ecosystems surrounding an existing tower. This approach could be adapted for the proposed NACP Tier 2 concept.

A workshop held in June 2003 by the USDA Forest Service, National Institute for Global Change (NIGEC), and the University of New Hampshire began to develop a common suite of measurements for application at condition sample sites (Tier 2) associated with NACP. The intent was to define terminology, develop guidelines for sample site selection, and develop consistent sampling protocols. The workshop was focused on forests, although experts in grasslands and agriculture also attended.

All participants expressed the concern that Tier 2 was crucial for many biomes, not just forests. Tier 2 locations needed to include a core plot design that would be used to link Tier 1 and Tier 3 sites and that would be used for testing models. Additional experiments should also be taken at the Tier 2 locations to provide understanding about such basic forest processes respiration and responses to disturbances, management, or environmental changes. (These Tier 2 process sites are discussed in more detail in Question 2.)

Two projects are proceeding from the workshop: (1) a generic field manual that further defines a list of important variables and measurement approaches and (2) sample design and plot location issues. A draft of the generic field manual and a manuscript on plot location issues are posted at: **http://www.fs.fed.us/ne/global/downloads/nasa/**.[1] Pilot studies to develop and evaluate this approach are now underway.

Agroecosystems are anthropogenic by definition; hence soil and water management practices and land use history exert strong controls on carbon dynamics. Many agroecosystems are characterized by high productivity and carbon assimilation rates and hence impart a strong signal on seasonal C exchanges between the atmosphere and the land surface. However, biomass stocks are typically low (perennial crops) or ephemeral (annual crops) due to removal during harvest, so that the long-term carbon balance is strongly determined by changes in soil carbon stocks. Climate cycles control the growing season distribution of temperature and precipitation as well as extreme events of drought or flood in agroecoregions. Socioeconomic factors (e.g., commodity prices and government policy) are important short-term drivers that impact the interannual variability in C fluxes. Climate soil properties, terrain, and land use history remain driving variables that express themselves in the geographic distribution of agroecoregions according to crop species and management systems, productivity trends, and C sink/source characteristics of soil C stocks.

As is done for other land cover/land use types, quantification of cropland C balance can be pursued using a hierarchical approach of different tiers as a function of scaling and type and intensity of measurements. Major Land Resource Areas (MLRA) are used by USDA Natural Resources Conservation Service (NRCS) and Agricultural Research Service (ARS) as “de facto” ecoregions and serve as the small-scale geographic index to various monitoring, measurement, and mapping of soil properties[2] and functions (McMahon et al., 2001; Padbury et al., 2002) and should be considered in the “scaling up” process. Similarly, Agriculture and Agri-Food Canada uses a hierarchical framework of soil landscapes, ecodistricts, ecoregions, ecoprovinces, and ecozones to “scale up” (**http://sis.agr.gc.ca/cansis/nsdb/ecost rat/intro.html**).

The National Resources Inventory (NRI) is a stratified two-stage area sample of more than 1 million points across the United States and Caribbean that has been collecting land use and resource data at 5-year intervals since 1982. Aggregate county statistics on crop yields and area, livestock, and other economic data have been for dominant agricultural areas in the U.S. by State Agricultural Statistics Services (SASS) and by USDA’s National Agriculture Statistics Service (NASS). Similar data are collected across the U.S. every 5 years in the Agricultural Census. More recently, NASS has produced high resolution (30 meter) crop Geographic Information System (GIS) grids for the growing season for much of the Midwest where intensive agriculture dominates the landscape (**http://www.nass.usda.gov/research/Cropland/SARS1a.htm**).

A Tier 2 level of data collection, analogous to forest inventory plots with periodic ground-based measures of productivity and C stocks, does not currently exist. Opportunities to develop this level, for example with additional data collection and sampling at a subset of NRI points, are apparent that USDA could consider as a priority area. High resolution digital soil survey maps from USDA-NRCS, now available for most agricultural regions of the U.S. The high resolution digital soil survey and NASS crop GIS grids could be intersected to provide new relationships of crop to soil as a supplement to the Tier 1 analysis (**http://soils.usda.gov**). Detailed digital soil surveys data will be available for all privately owned agricultural lands in the U.S. by 2008, and very large areas are

[1] For more information, contact Dr. Richard Birdsey, USDA Forest Service, rbirdsey@fs.fed.us.

[2] For measured pedon data for carbon stock estimates, see **http://ssldata.nrcs.usda.gov**.

complete presently (**http://soildatamart.nrcs.usda.gov/SDMDB/SOILTABO.jpg**). These detailed data sources could provide needed characteristics to further characterize the diversity of the ecoregion/agroecoregion concepts needed for the "scaling-up" procedures.

A Tier 2 level could be based on a combination of existing long-term agricultural field experiments, operated primarily by Land Grant Universities and USDA-ARS together with AmeriFlux sites in cropland systems. The long-term agricultural research sites were generally located to represent the main land and climate character of MLRAs, but new analysis of appropriate "inference space" for extrapolation of research results to quantified eco- and agroecoregions is needed for scaling to regional and continental extents (Waltman et al., 2004). Additional sites, including eddy covariance towers and integrated process measurements (e.g., soil respiration and above- and below-ground productivity), strategically placed within major agroecoregions could provide the basic data to derive daily or hourly fluxes that will be required for interpretation of atmospheric data. Such sites should include key management treatments (e.g., tillage, fertilization, and irrigation) and have well-documented management histories. Close coordination of long-term experimental sites and flux monitoring locations operated by different federal agencies (DOE, USDA) and universities will be required.

Spatial and temporal integration, interpolation and interpretation of short- and long-term C dynamics can be accomplished using agroecosystem carbon dynamics models. These would utilize data developed in Tier 1 and Tier 2, together with validation and model refinement based on information from Tier 3 sites. The model output can be used in a bottom-up calculation of the carbon budget, and the model can be incorporated into the data assimilation/fusion framework, which effectively provides real-time adjustments to the parameters of the model to conform to observed concentrations and fluxes in cropland areas.

There are key limitations in existing agricultural data sources that, if rectified, would greatly increase their utility as driving variables for ecosystem-level models and bottom-up integration to regional and national scales. Many data on management practices important in cropland C balances, including tillage practices, fertilizer use, and manure application, are presently available as county-average statistics rather than a natural division of the landscape, such as an agroecoregion. For example, tillage practices compiled by the Conservation Tillage Information Center (CTIC) report county totals by crop type for different tillage methods. However, the data do not directly relate to cropping systems as actually implemented on the landscape and thus cannot be used to differentiate intermittent use of no-till (e.g., no-till soybean followed by intensively tilled corn in a corn-soybean rotation) from continuous no-till. Analogous uncertainties exist for databases reporting fertilizer and manure use, where typically county-aggregate amounts are reported, making it difficult or impossible to attribute practices to specific crops within a rotation. These issues could be addressed in a variety of ways including targeted surveys of practices in the context of multi-year management systems and/or by collecting additional information as part of the NRI (which currently collects information on crop rotation, but not on tillage, fertilization, or manuring). Knowledge of the spatial distribution and management intensity of irrigated cropland could be enhanced using remote sensing (e.g., identification of center-pivot irrigation), together with compilation of databases of existing irrigation wells and information from water development projects that exist with state agencies but have not been compiled into forms application at the national level. Soil drainage is a key variable that affects the C balance of millions of hectares of aquic soils under intensive cropland management. Local level data on extent and history of drainage practices exist but there are no national level compilations available.

1.1.3 Tier 3: Carbon accounting by measuring stocks of organic matter over time: Forest inventory data

The Forest Inventory and Analysis (FIA) program of the U.S. Forest Service started in the 1930s with the mandate to determine the nation's stock of merchantable timber. Measurements have been made at 5-10 year intervals at more than 150,000 widely dispersed ground sites for a limited suite of parameters. A subsample of 5000 "Forest Health Monitoring" plots has more intensive measurements including soil data, coarse woody debris, understory vegetation, and other ecological variables. Inventory plots are chosen randomly to capture the full variability of forest conditions, allowing them to record such disturbances as fire or harvesting.

The FIA has been a cornerstone of the assessment of contributions by forest ecosystems to the U.S. carbon budget, despite the lack of full carbon accounting, gaps in coverage, and other shortcomings. FIA data are extensive and cover a long time-period. The data have been analyzed, extended, and extrapolated (using models) to quantify live and dead carbon stocks in vegetation and soils, but uncertainties about ecosystem components other than aboveground biomass remain regrettably large.

Canada is implementing a new format for its National Forest Inventory (NFI) that, like the U.S. FIA, relies on a plot-based system of permanent observational units located on a national grid. The Canadian system is designed to provide national data on status and trends over time in direct support of the Criteria and Indicator processes as outlined by the Canadian Council of Forest Ministries (CCFM; **http://www.ccfm.org/home_e.html**), the Montréal Process (**http://www.mcpi.org**), and international initiatives including the Kyoto Protocol. The Canadian system will consist of at least 20,000 sample photo plots of which 10% will be randomly selected for ground sampling on rolling 10-year intervals. NFI parameters relevant to the NACP include land cover, forest type, tree age and volume, disturbance activity, land use changes (reforestation, aforestation, and deforestation), mortality, and total above ground biomass.

Remotely sensed data will also be used to enhance the NFI to assess whether the location of plots are skewed in any fashion, to assess the extent of change and the need to revisit plots, to extend the inventory beyond 1%, and to provide other area-based parameters such as forest condition. Earth Observation for Sustainable Development of Forests (EOSD; **http://www.pfc.cfs.nrcan.gc.ca/eosd/**) is underway to provide remotely sensed products to assist in the monitoring of the sustainable development of Canada's forests. The project is designed to provide complete (wall-to-wall) coverage of the forested area of Canada with satellite data at regular intervals to produce land cover, biomass, and change products. The EOSD project will provide the satellite products required to enhance the plot-based NFI design.

*1.1.3.1 **Improved spatial representation of the inventories***

Forest inventories in the U.S. include all "forest lands" as defined by the U.S. Forest Service, but there are major gaps including some "reserved" areas of the U.S.; lightly sampled areas of the Intermountain West, the Pacific Coast and Alaska, developed lands (urban and suburban), and large areas of public non-forest land (mostly grazing land in the western U.S.). Large areas of Mexico have few or no field plots, and existing data are largely inaccessible. Field sampling of biomass (live and dead) will be especially critical in mountainous areas and other complex terrain (e.g., riparian forests) where eddy flux measurements may not accurately represent ecosystem carbon fluxes. Although enhancements to ongoing inventories are filling some of these gaps, it is unlikely that these improvements in coverage will be fully implemented with repeated measurements during the early stages of the NACP. Therefore, an interim strategy is needed to increase the use of current and historical remote sensing data to identify land cover status and changes, coupled with selected new field measurements to estimate biomass and other ecosystem C stocks and rates of change for under-sampled areas.

*1.1.3.2 **Enhanced temporal resolution of the inventories***

The goal of the NACP is to define the continent's seasonal and annual carbon budget. Ongoing conversion of the FIA and NFI systems to annual inventories on a rolling basis will facilitate reporting of annual C flux, but in the interim the available data are a complicated mix of periodic and annual samples, sometimes in different formats. Developing and applying advanced statistical techniques to estimate annual changes in C stocks from sample panels of the forest inventory, based on supplemental data used to estimate the major causes of variations in C flux (productivity, mortality, harvest, and land use change), will be a challenge. It is a high priority for implementation of the NACP.

*1.1.3.3 **Content enhancement of the inventories***

On-line data currently available for the U.S. may extend back about 20 years. Earlier data are less available or unavailable except in aggregated form in publications. Data sets that capture the history of land use, management, and disturbance are extremely important, including information unavailable from FIA, such as fire statistics, outbreaks of disease and insects, historical land use, and timber production. Concerted efforts are needed to make the data from the U.S., Canada, and Mexico available in digital form in compatible formats.

Inventory data should include a more complete set of ecosystem C stocks, including stumps, live and dead roots, mineral soil, litter, and coarse and fine woody debris. Comprehensive measurements of ecosystem C stocks and fluxes are available from a small number of intensive sites. Pilot efforts are underway to modify extensive inventories, but the FIA mandate remains focused on merchantable volume and, short of an act of Congress, will not provide full carbon accounting. The

U.S. effort thus lags the program in Canada. Tier 3 data could fill in some content gaps in inventories, but there is really no substitute for the comprehensive documentation of key carbon stocks over extensive regions. An aggressive field campaign early in the NACP is required to collect data on poorly or rarely measured C pools, thus facilitating development of ecosystem carbon budgets and provide the information needed to assess the costs and frequency of collecting observations on the noncommercial carbon pools.

Particular attention must be given to consistent accounting for land use change to avoid spurious gains or loses of carbon as a result of accounting processes. Better coordination among agencies conducting land inventories will be necessary.

1.1.3.4 Model development for Forest Inventory Analysis

In the U.S., a collection of statistical algorithms and estimation processes contained in the model FORCARB (Heath and Birdsey, 1993) are used to convert basic inventory data into estimates of carbon stocks and fluxes for different ecosystem and wood product carbon pools. FORCARB includes links to other kinds of models that represent ecosystem and economic processes that affect carbon accounting. The reliability of these estimates, however, is limited by the dearth of monitoring data for significant carbon stocks such as coarse woody debris and soil organic matter. Additional developments are needed to provide data for these stocks at low cost, and to improve estimates of the quantity of C in different ecosystem C pools based on measurements taken at the extensive inventory plots, such as tree diameter and height. Improved estimates of the movement of harvested agricultural and forest products are needed both at the national scale (exports) and for regional studies in order to match the land accounting with the atmospheric accounting for the same regions.

1.1.4 Tier 4: Spatially extensive mapping of land cover, vegetation type, and ecosystem states

Tier 4 is a crucial component of the terrestrial observing system facilitating estimation of carbon stocks and fluxes at large scales. Bottom-up integration from networks of point measurements made under Tiers 1, 2, and 3 will require "wall-to-wall" measurements of key variables such as land cover, disturbance history (including burned areas, insect mortality, and hurricane damage), and vegetation state at high resolution (**Section 1.5**). Ecosystem modeling using remotely sensed data will also allow direct comparison of regional flux estimation using tested process-based models against top-down regional flux estimates based on atmospheric observations (**Section 1.6**).

1.1.4.1 Land use data

Agricultural data (crops planted, harvest statistics, irrigation, and fertilizer application) will be collated and made available through the NACP data and information system (**Section 5**). Recent NASS high resolution (30 meter) crop GIS grids for the growing season for much of the Midwest where intensive agriculture dominants the landscape and should be considered (**http://www.nass.usda.gov/research/Cropland/SARS1a.htm**). High resolution digital soil survey maps from USDA-NRCS are available for most agricultural regions of the U.S. The high resolution digital soil survey and NASS crop GIS grids could be intersected to provide new relationships of crop to soil as a supplement to the Tier 1 analysis (**http://soils.usda.gov and http://soildatamart.nrcs.usda.gov/SDMDB/SOILTABO.jpg**). These detailed data sources could provide needed characteristics to further characterize the diversity of the ecoregion/agroecoregion concepts needed for the "scaling-up" procedures. Analyses will be required to convert from county-level to spatial grids appropriate for models, and for comparison and merging with remote sensing and other data streams. These data will be used in conjunction with other data streams to analyze gridded carbon storage and flux due to agriculture. Other information including historical harvests, thinning, burned areas, burn severities, and disease will be compiled and mapped across state and national borders, and will be made available for use in spatially-explicit models of forest succession and demographics. Historical changes in urban and suburban cover will also be collated.

1.1.4.2 Remote sensing

Two major types of remote sensing observations are those that are primarily sensitive to variation in vegetation physiological properties and others that resolve the structural properties of ecosystems. Remote sensing of the ocean surface is also an important component of the program, as it can provide both biogeochemical information (e.g., estimates of chlorophyll concentrations to enable model calculation of net primary production (NPP)) and physical parameters (e.g., temperature and wind speed to enable air-sea gas exchange calculations).

Optical remote sensing provides routine measures of vegetation fractional photosynthetically active radiation absorption (fPAR). Satellite metrics such as the normalized difference vegetation index (NDVI) and enhanced vegetation index (EVI) have been well studied and shown to be almost linearly related to fPAR over broad spatial scales. Because of their sensitivity to vegetation greenness and fPAR, these satellite indices provide a general track of canopy leaf area dynamics. Physiologically-based indices thus provide important spatial and temporal constraints over carbon flux estimates in process models, such as in estimating carbon uptake via gross and net primary production. Historical biweekly NDVI observations have been recompiled for the period 1982-2002 from the NOAA Advanced Very High Resolution Radiometer (AVHRR), and daily-to-weekly observations are highly available since 1999 from the NASA Moderate Resolution Imaging Spectrometer (MODIS).

Many components of vegetation and ecosystem structure can also be measured with optical remote sensing technologies, such as the lateral surface extent of vegetation canopies and biological materials such as live and senescent vegetation. The surface heterogeneity of these materials indicates the partitioning of many biogeochemical processes central to the goals of the NACP, such as the fixation, decomposition, and storage of carbon across continuously varying bioclimatic and topo-edaphic settings. Land covers such as forest, grasslands, and urban areas can be readily estimated with multi-spectral sensors at relatively high spatial resolution (e.g., Landsat).

Limited spectral resolution among multi-spectral sensors such as Landsat and MODIS precludes detailed measurements of canopy structural and material properties that quantitatively indicate changes in carbon storage and in biogeochemical processes. Structural indicators of environmental phenomena such as desertification, woody vegetation encroachment and thickening, forest thinning and dieback are also underdetermined in standard multi-spectral remote sensing data. Technologies such as hyperspectral, multi-angular, and active laser remote sensing are required to determine the structural partitioning of ecosystem materials, but such imagery is unavailable as "wall-to-wall" datasets. These more detailed products will employed to characterize ecosystem structure and variability near intensively studied sites (i.e., Tiers 1 and 2), and to test model predictions of ecosystem structure based on spatially complete data.

Hyperspectral remote sensing provides accurate estimates of canopy extent among differing vegetation lifeforms and growthforms (e.g., shrubs, trees, forbs, and graminoids). Detailed spectral signatures provide quantitative measurements of live and senescent carbon pools on land, primarily in the form of fractional surface cover but also in volumetric content. Active lidar, a laser radar, provides valuable information on canopy height and, for some sensors, crown vertical density profiles. Together, hyperspectral and lidar observations are the best combination of technologies for resolving the three-dimensional partitioning of above ground carbon pools over the landscape. Airborne hyperspectral (Airborne Visible/Infrared Imaging Spectrometer (AVIRIS)), Light Detection and Ranging (LIDAR), and Laser Vegetation Imaging Sensor (LVIS) assets can be deployed in support of intensive observing campaigns. The spaceborne hyperspectral sensor EO-1 Hyperion will also offer a subset of the capabilities of AVIRIS for a limited time.

At 1-km spatial resolution, computation of net ecosystem exchange (NEE) using ecosystem models driven by MODIS imagery and climate data for North America entails about 24 million cells. The datasets required generally begin with definition of the continental landcover. The MODIS Landcover dataset defines 15 total classes of vegetated and unvegetated areas. More detailed classifications are possible, but most biogeochemical (BGC) models for continental implementation cannot define more than a limited number of biome physiologies. One improvement is the MODIS 500 m continuous fields of forest cover, basically a cover fraction of forests. These landcover datasets are recomputed annually so provide a first level of disturbance mapping.

A number of carbon balance relevant biophysical variables are also available continentally. MODIS generates leaf area index (LAI) and fPAR data every 8 days. These time-series data sets also implicitly quantify vegetation phenology and growing season. MODIS computes a daily terrestrial photosynthesis and infers maintenance respiration for an estimate of gross primary productivity (GPP) that is reported every 8 days.

Critical, regularly available MODIS land datasets include:

- Yearly 1-km land cover
- 16-day 1-km snow cover
- 16-day 1-km albedo and bidirectional reflectance distribution function (BRDF)

- Daily 0.5-km surface reflectance
- Daily 1-km surface temperature
- 16-day 0.5-km vegetation indices
- 8-day 1-km surface evaporation resistance
- 8-day LAI and fPAR
- 8-day 1-km GPP
- 8-day 1-km fire activity
- 32-day 0.5-km forest cover continuous fields

All of these MODIS data will be available at the refresh rate time periods specified for the entire North American continent. Some will be valuable inputs for land surface meteorology, some for calculation of land surface carbon fluxes. The most relevant carbon flux variable, the 8-day GPP, is computed daily by NASA, but not normally distributed.

1.2 Quantification of combustion-derived CO_2, CH_4, and CO

Fossil emissions are the dominant net source of CO_2 in North America. Better characterization and prediction of North America's C balance will require more accurate estimates of fossil emissions. In addition, the temporal and spatial variability in emissions are important for regional atmospheric variation in carbon cycle gases. Improving the accuracy of regional ecosystem C fluxes estimated by inversions of atmospheric measurements will require more accurate estimates of fossil fuel-derived emissions of these gases on fine spatial and temporal scales.

Emissions data from multiple sources could be assimilated to generate better emissions estimates. The concentration and fingerprint of different carbon cycle gases can provide important constraints on these emissions estimates. Described below are approaches to developing better emissions estimates and using chemical and isotopic analysis to fingerprint fossil carbon sources.

The contribution from natural and anthropogenic biomass burning is also important in some regions, but is not considered here. Estimates of the magnitude of human transport of biologic materials (e.g., foodstuffs and forest products) would also be helpful in sorting out the flux component attributable to interchanges with the atmosphere.

Improved fossil fuel-based emission inventories for CO_2, CO, and CH_4 should be constructed on spatial scales less than 50 km and with diurnal cycles within seasons, and by day of week. These inventories should be constructed using models developed from the fields of energy use and from emissions inventories already in place for air quality assessment. Large quantities of data are available from federal, state, local, and corporate sources to estimate emissions at finer spatial and temporal scales than the national and annual estimates now generally available. For spatial and temporal scales smaller than state and month the contributions of large point sources become very important. Although it is possible to construct models that capture typical patterns of emissions, detailed studies and field campaigns at finer scales will require site-specific information on operational details at large point sources. Models of energy consumption patterns should be adequate for dispersed sources.

Improved emissions inventories and models must be used in atmospheric transport models and the results compared in detail to multiple trace gas measurements. These comparisons may be used to further improve the emissions models.

Atmospheric monitoring strategies should be developed to include a more complete suite of measurements of CO_2, CH_4, and CO and of the isotopic signatures of each, and of additional species that could provide "fingerprints" of specific emission sources. These fingerprint species would increase the leverage on separation of anthropogenic and biogenic exchange. Consistent with this, there is a need for more detailed data on the isotope signatures of major fuel groups and applications. The stable isotopic composition of natural gas from different regions (and the minor gas species found with it), for example, is variable and poorly characterized. To use C isotopes as a useful tracer will require more information on the spatial and temporal variation in the composition of fuels. Radiocarbon (^{14}C) is a sensitive tracer for distinguishing fossil from biogenic carbon sources because of the large difference in ^{14}C content. While biogenic sources range near $\Delta^{14}C$ = 50-200‰ and atmospheric $^{14}CO_2$ is currently 80‰, all fossil fuel C is radiocarbon dead ($\Delta^{14}C$ = -1000‰) a difference that could resolve small contributions of fossil C to atmospheric concentrations.

Because relative signal strengths from fossil fuel and biomass combustion compared with other biogenic and aquatic sources and sinks will vary across regions and with technological and demographic development trends, the optimal observation strategy will likely change with space and time and with the desired resolution. A crucial aspect of both the inventory and tracer species work will be model-measurement intercomparison studies to test methods and the adequacy of data. In particular, pilot studies should be conducted as part of intensive campaigns to provide information for the longer-term monitoring program.

1.3 Ocean measurements

The ocean component of NACP is designed to collaborate with existing and emerging programs to quantify the net sources and sinks of the marine components of North America and the adjacent ocean basins. The network of ocean carbon observations outlined will contribute to the NACP backbone of long-term observations. The ocean component will also define the net effect of the marine system on the CO_2 concentration of the air exchanging with continental air masses. In the absence of this component, inverse studies and data fusion results could be biased by unresolved CO_2 fluxes in coastal waters and adjacent open ocean basins.

Strategies for long-term ocean carbon observation networks have been described in several documents over recent years (e.g., Bender et al., 2001). As a part of the *Strategic Plan for the Climate Change Science Program* (USGSRP, 2003) these documents have been synthesized into a comprehensive strategy for understanding the global ocean carbon sink: *Ocean Carbon and Climate Change (OCCC): An Implementation Strategy for U.S. Ocean Carbon Cycle Science* (Doney et al., 2004). There are obviously significant overlaps recognized between the global ocean carbon study and the NACP. The respective plans are designed to complement each other to provide a seamless integration of oceanic, atmospheric, and terrestrial carbon cycle research in the U.S. and adjacent ocean basins.

Many of the detailed science recommendations described in the OCCC report are directly applicable and relevant to NACP and are highlighted areas in this document. In some cases, the oceanic studies required for the success of the NACP will be carried out independently by NACP or as joint OCCC/NACP projects, particularly for land-ocean interactions and the continental margins. In other cases, OCCC will develop and share with NACP targeted data products and scientific understanding relevant to NACP objectives. The two programs will coordinate on defining overall requirements (e.g., time/space frequency of sampling; measurement suite; coordination with OCCC observing system and field campaigns).

Table 2 (from OCCC; Doney et al., 2004) outlines a multi-tiered approach to understanding the ocean carbon sink in a manner similar to the terrestrial observations described in Section 1.1, this document, and lists the relevant OCCC sections with a thorough description and justification for these elements. The approach is further divided into the open ocean and the coastal domains.

1.3.1 Open ocean domain

A network of observations will be used to understand the North Pacific and North Atlantic carbon cycle as outlined in Table 2. Critical for the NACP goals are the Tier 2 observations that, together with local time-series and satellite remote sensing, will be used to generate regional to basin scale CO_2 flux maps. Tier 2 ocean measurements consist of high resolution, trans-basin, surface atmospheric and oceanic measurements to be made on research ships and volunteer observing ships (VOS). Several VOS lines incorporating carbon cycle observations are operating, or will be soon starting in the North Atlantic and North Pacific as part of OCCC. However, additional lines are necessary to constrain the budgets to levels required for the NACP. Bender et al. (2001) suggested that trans-basin lines evenly spaced at 200-1500 km apart with 6-15 crossings a year would be suitable to constrain the basin scale air-sea fluxes to ± 0.1 Pg C yr^{-1}. To achieve this goal, coordination and augmentation of the existing VOS projects will be necessary. The number of VOS lines in the North Atlantic and North Pacific should be doubled to meet these requirements. There is also a need for new and better technologies for automated measurement of air-sea fluxes (Doney et al., 2004; Section 9) and for extrapolating the VOS data in space and time using models and remote sensing data (Doney et al., 2004; Section 8). Moorings, floats, and drifters will add an additional dimension to the VOS surveys. NACP will also work with OCCC to develop and coordinate the Tier 1, 3, and 4 measurements. Many of these measurement programs are already underway and are prepared to provide targeted data products to NACP.

The production of robust basin-scale flux maps is a complex exercise and of great interest to both OCCC and NACP. Uncertainties associated with determining regional- to basin-scale oceanic CO_2 fluxes are such that comparing different approaches is critical. These include interior and surface ocean measurements, atmospheric measurements, and global mass-balance. Oceanic and "top-down" atmospheric carbon cycle estimates have been compared in the past with generally consistent agreement on

Table 2. Hierarchical Ocean Observing System

Tier	Type	# Sites	Frequency	OCCC Section
4	Remote sensing and other spatial data	$>10^7$	10 days	5.5
3	Ocean inventory assessments (hydrographic sections) to detect trends and ensure representativeness	10^3	5-10 years	5.1
2	Frequent, moderate intensity, surface underway pCO_2 measurements intended to generate flux maps	10^4	Monthly-Annual	5.2
1	CO_2 moorings, time-series, and very intensive, local, process characterization studies	10^1-10^2	Continuous	5.3, 6.1, 6.2

global to hemispheric and decadal scales. However, comparisons on basin/continental and interannual scales show considerable disagreement. Because of data and model limitations the basin-scale ocean fluxes, the within-basin flux patterns, or both are fixed by prior assumptions and not allowed to change, leading to potentially large biases in the calculated fluxes. As atmospheric observations expand, particularly over the continents, the uncertainties on ocean flux estimates will become increasingly important to inverse calculations. Future calculations will require air-sea flux estimates from concurrent measurements rather than climatologies and a data assimilation technique that is not a synthesis inversion. Both the OCCC and NACP programs will work closely together to develop the model-data fusion approaches necessary to develop the necessary flux maps. In particular, NACP can build upon scaling approaches developed for the terrestrial components that may be useful for ocean applications. See Section 8 of the OCCC report for further details.

1.3.2 Coastal ocean domain

Coastal ocean regions occupy a relatively small area, but are the active interface between the terrestrial and marine environments. Coastal environments directly interact with terrestrial air masses and are likely to be very sensitive to climate change because of their sensitivity to changes in wind, river runoff, and anthropogenic inputs of nutrients. Carbon cycling on the continental margins is poorly understood and is under-sampled to the point that it is uncertain whether these regions are a net sink or a net source of CO_2 to the atmosphere. Some studies have suggested that the "continental shelf pump" could be responsible for as much a 1 Pg C sink annually on a global basis. A few studies like the NSF CoOP (Coastal Ocean Processes) and RiOMar (River-dominated Ocean Margins) programs have examined, or will examine, locations along the North American coast, but a coordinated large-scale coastal carbon exchange program is necessary to address the goals of the NACP.

Specific objectives of the ocean margin studies are: better estimates of air-sea fluxes and their impact on the CO_2 concentrations of continental air masses, estimates of carbon burial and export to the open ocean, elucidation of factors controlling the efficiency of solubility and biological pumps in coastal environments, quantification of the influence of margin biogeochemical processes on the chemical composition of open ocean surface waters, and the development of coupled physical biogeochemical models for different types of continental margins. River-dominated margins and coastal upwelling regions merit special attention due to their dominant role in coastal carbon budgets. Riverine inputs of C and N into the coastal margins also need to be monitored at major North American rivers, including monthly transects from the shelf break up into the rivers to assess the magnitude of the "estuarine carbon traps." A parallel effort to evaluate the C and N losses from the terrestrial side should provide an important accounting of the lateral transfers of carbon and carbon relevant species. Scientific information gained from these studies will not only benefit the NACP, but will also directly feed into the OCCC research providing a continuum of carbon cycle studies from the terrestrial systems out to the open ocean.

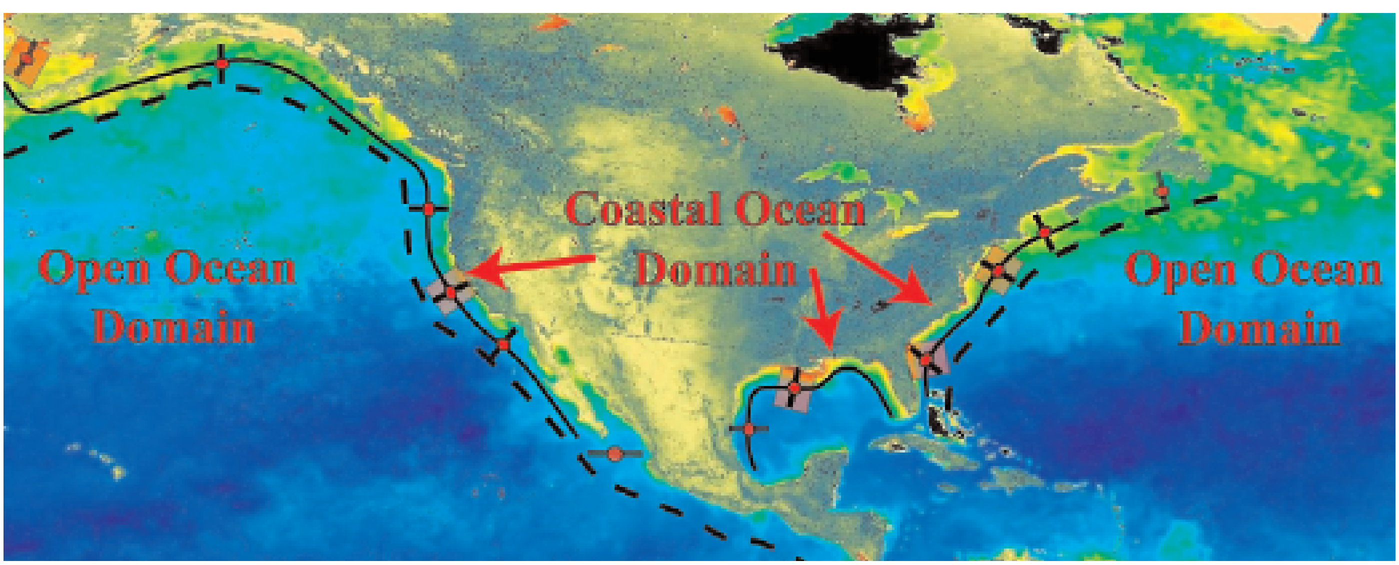
Coastal margin research will be conducted jointly with OCCC and will include all four tiers of observations as outlined in Table 2. The plan developed at the 2002 NACP workshop (Wofsy and Harriss, 2002) envisions a "backbone" network of approximately 6-12 dedicated coastal sampling sites (Figure 2) along the eastern, western, and Gulf of Mexico coasts of North America, to be outfitted with surface moorings making the Tier 1 time-series measurements needed for air-sea CO_2 flux estimates, which include high-quality atmospheric and ocean pCO_2 measurements. The proposed number of coastal time-series represents a minimal coverage to investigate the range of biogeochemical marine provinces.

Cross-shelf surveys running past the mooring locations at monthly or shorter intervals will be used to assess onshore/offshore variability, and biweekly to monthly survey cruises will be run along the continental margins connecting the mooring sites to put the time-series measurements in a larger spatial context at the Tier 2 level. Given the current understanding of the complexity and variability of the coastal ocean and estuarine systems, however, it is important to recognize that the above proposed network is the initial setup and that eventually a customized sampling strategy will be needed to address regional fluxes, and to leverage current and future coastal projects. For example, it would be advantageous for the coastal moorings and ship tracks to be co-located with atmospheric sampling sites onshore. It is especially important to coordinate with programs, like NASA's coastal program, that combine in situ observations with remote sensing to characterize regional environmental conditions at the time- and space-scales most relevant to the NACP (Doney et al., 2004; Section 5.5).

Intensive short-term coastal process studies are needed as a subset of the "backbone" network sites to better understand the ecosystem and carbon cycle dynamics of each region (Doney et al., 2004; Section 6.2). Each study will examine processes regulating photosynthesis, nutrient cycling, light limitation, carbon chemistry (organic and inorganic), nutrient remineralization, sediment burial, onshore/offshore transport, etc. Five sites have been initially selected for intensive process studies on the continental shelves and near-shore regions: Chesapeake Bay/Mid-Atlantic Bight, Mississippi Delta, western U.S., Bering Sea, and the South Atlantic Bight. These sites have differing controls on carbon cycling and air-sea exchange of CO_2. The five intensive sites should, if possible, include continuous CO_2 flux measurements (eddy correlation and/or gradient) from fixed platforms off the coast. These platforms will be used to address the significant concerns over the use of simple wind speed relationships to estimate gas transfer velocities in areas where limited fetch, large concentrations of surfactants, and topographic and near surface turbulence effects impact fluxes (Doney et al., 2004; Section 6.3). Coastal ocean intensive studies may have to be spread out over several years, because of funding constraints. Nevertheless, five studies as proposed here represent the minimum necessary to make significant progress on constraining the coastal fluxes and effects on CO_2 concentrations.

Coastal measurements represent a substantial effort that will benefit, and will benefit from, complementary ongoing and planned coastal programs at several agencies. The time-series moorings can build upon existing and planned infrastructure. For example, CO_2 moorings off the east and west coasts and the Gulf of Mexico could tie into such proposed cabled observatories as Long-term Ecosystem Observatory (LEO-15) in New Jersey; Monterey Accelerated Research System (MARS) in

Figure 2. Proposed sampling domains for the coastal ocean component of NACP*. The red dots show the locations of coastal time-series; black solid lines indicate survey lines; and red transparent boxes indicate proposed study sites. (Adapted from Doney et al., 2004)*



Monterey Bay, California; Martha's Vineyard Coastal Observatory (Massachusetts); and NEPTUNE (Washington State). Coastal moorings, such as those deployed off of Monterey and in Santa Monica Bay, California, already have CO_2 measurements and should be locations in the backbone network of coastal sites. Some of these sites may need only additional calibration activities to become fully integrated with the NACP network. It is also feasible to add biogeochemical sensors to buoys that are not primarily directed towards ocean research. As an example, NOAA, through the National Weather Service and National Data Buoy Center (NDBC; http://www.ndbc.noaa.gov), maintains and provides real-time meteorological and surface ocean data from ~80 moored buoy stations in the Atlantic, Pacific, the Gulf of Mexico, and the Great Lakes, the majority of which are in coastal environments.

Many of the remotely-sensed Tier 4 oceanographic variables (e.g., surface sea height (SSH), surface sea temperature (SST), wind speed, and ocean color) that are now routinely used in ocean carbon research are being transitioned from research data into operational products, much as has been done for weather satellites. While this has the advantage of guaranteeing continuous measurements, potentially critical issues arise as to whether the operational data will be suitable for development of coastal algorithms and long-term coastal studies. The community must continue to express their need for the development of improved algorithms for special case regions that are a large part of the NACP ocean component. Currently, only a limited number of carbon related products are produced routinely by instruments like the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) (chlorophyll-a) and MODIS (chlorophyll-a, primary production, and calcite). Of these, only chlorophyll-a has been extensively validated using post-launch comparisons with in situ data and very little work has been done in coastal environments. A substantial level of effort is needed to verify and improve the calcite and primary production algorithms. Algorithms for other parameters such as color-dissolved organic matter (CDOM) and particulate organic carbon (POC) are being developed but have not been the focus of a broad-based validation effort. Site-specific algorithms for dissolved organic carbon (DOC) in river plumes, for instance, may also be feasible, but require a diverse database of DOC and optical properties for algorithm evaluation. Ongoing dialogue between the remote sensing development and user communities is crucial to optimally utilize existing data and to guarantee high quality data records in the future.

The first step for developing a specific coastal ocean plan is to organize a cross-disciplinary NACP/OCCC workshop including coastal oceanographers currently working in the North American continental margins to outline the existing programs and opportunities for collaboration and to refine the needs of the NACP and OCCC and develop a detailed strategy for each region. There is also an immediate need to improve the technology for mooring based CO_2 and related biogeochemical measurements (Doney et al., 2004; Section 9) and establish a few key test-bed locations.

1.4 The atmospheric observing system: Ground stations, aircraft, and tall and short tower measurements

Variations of concentration of atmospheric carbon gases in space and time constitute an independent set of observations which reflect the distribution of their surface exchanges. NACP will develop and improve these observations as a valuable constraint on spatially-explicit carbon cycle models (**Section 1.5**). The program will also develop an analytical framework for estimation of regional surface fluxes using inverse modeling and data assimilation (**Section 1.6**). Combination and juxtaposition of spatially-resolved flux estimates using process-based models and constraints from atmospheric observations will allow finely resolved gridded products to be quantitatively evaluated, and will improve estimates of carbon fluxes and stocks.

Long-term measurements on tall towers and routine aircraft flights will provide spatially and temporally resolved atmospheric data for CO_2, CH_4, and CO. High priority is given to significantly upgrading and enhancing these observations, and to include continental sites in the ground station network. Measurement sites and protocols should be selected to enable strong constraints to be placed on estimates of the annual net sources and sinks of CO_2, CH_4, and CO, with few assumptions and minimal reliance on transport models. The development of the observing system should be timed to satisfy the policy-driven need to provide quantitative estimates of the annual net U.S. biospheric carbon source/sink. The selection of new sites should enable rapid development of improvements to the resolution of sources and sinks inferred from observations. Data from existing and new sites and intensive measurement programs should be used to guide and optimize network design.

Measurements of trace gases on towers and routine aircraft ascents are complementary and, ideally, should be sited together. Aircraft can probe the entire tropospheric depth, but are limited to daytime in good weather conditions and are relatively expensive to operate. Tower measurements are continuous and function in poor weather, but sample only the lowest levels of the atmosphere (up to 600 m above ground level (AGL)) at a fixed point.

The NACP anticipates a network of about 30 sites in North America where vertical profiles of trace gases and their isotopes would be measured at a frequency of up to every other day using small aircraft. This number was selected by consideration of the covariance length (~1000 km) for synoptic weather patterns that influence fluxes.

Implementation of the full network should be in phases. First, because optimal locations for these sites for regional-scale assessment of carbon fluxes are unknown, modeling studies and analysis of atmospheric data are underway to help optimize network design. Second, current capabilities must be expanded to operate the full network. Third, an immediate assessment of the annual net carbon source or sink using a partial network would be extremely valuable for policy. (Table 3 lists existing and new aircraft sites projected for the early phase-in period.)

The CO_2 content of air flowing off the east coast of the continent reflects the signals from terrestrial exchange and fossil fuel sources. On the west coast, it is uncertain how much of plumes originating from major cities, agriculture, and terrestrial ecosystems are blocked from traveling into the continental interior by north-south mountain ranges, including the Cascades and Sierra Nevada. Vertical profile sites offshore, such as at Bermuda and Newfoundland, are expected to be useful to assess the mixing processes that redistribute continental ABL air into the marine atmosphere. Ground stations at these sites should be upgraded to record continuous measurements of CO_2, CH_4, and CO. Quantitative assessment of mixing processes at the land-ocean margin and over the ocean will greatly strengthen the interpretation of existing multi-decadal CO_2 records from marine ABL sites, and increase the value of planned new observations on ships and buoys. Another key location is the southern U.S., such as over the NOAA Climate Monitoring and Diagnostics Laboratory (CMDL) tower site in Moody, Texas, or the of DOE Atmospheric Radiation Measurement (ARM) program Southern Great Plains (SGP) site in Oklahoma.

Small charter aircraft will be used to sample the atmosphere from the surface to 6-8 km. On each flight 12 flask samples will be filled for analysis of CO_2, CH_4, CO, H_2, N_2O, and SF_6 mixing ratios, and the $^{13}C/^{12}C$ and $^{18}O/^{16}O$ composition of CO_2. Concentrations of CO_2, CO, and CH_4 will be measured continuously, as soon as robust high precision analyzers become available. Measurements of other trace gases and aerosols may be added if instrumentation becomes available. Weekly samples are already being collected over several locations (e.g., Harvard Forest in Massachusetts, WLEF-TV in Wisconsin, DOE-ARM field campaign in the southern Great Plains (ARM-SGP), and Carr, Colorado).

New tall tower sites will be added in parallel with aircraft sampling sites. Currently, CO_2 is measured accurately at tall towers in Wisconsin and Texas, and at a few AmeriFlux and Fluxnet-Canada sites (e.g., Harvard Forest; WLEF-TV; ARM-SGP; Thompson, Manitoba; and Prince Albert, Saskatchewan). Measurements will be added at a tall tower site in Maine (as part of the NSF-sponsored COBRA-ME project) and in the southeastern U.S. to complement the existing sites for measurements of large-scale gradients of trace gases in the continental ABL. Nine additional tall (400-600 m) towers (existing television towers) will be instrumented around the U.S. Many will be located near aircraft profile sites. At each of the new tall tower sites a low maintenance, relatively inexpensive system for long-term trace gas measurements will be installed. The observations will initially include continuous measurements of CO_2 mixing ratios; measurements of CO and CH_4 will be added when robust, high precision instrumentation becomes available. Flask samples will be automatically collected weekly or daily and sent to CMDL for analysis of CO_2, CH_4, CO, H_2, N_2O, and SF_6 mixing ratios, and the $^{13}C/^{12}C$ and $^{18}O/^{16}O$ composition of CO_2.

Accurate CO_2 measurements will be obtained at selected at flux tower sites. Tall transmitter towers may not exist in all locations where measurements are desired, and are very costly to construct. Accurate measurements of CO_2 on many of the existing short (20-80 m) AmeriFlux and Fluxnet-Canada towers can provide wide spatial coverage at low cost. During strongly convective periods (typically in the afternoon) air near the surface is closely coupled to the ABL. Vertical gradients are small and can be estimated reliably using the surface fluxes being measured by these towers. Mixing ratios of CO_2 measured at most AmeriFlux towers currently have insufficient accuracy relative to World Meteorological Organization's (WMO) standards (accuracy of ≥ 0.2 ppm is required), with a few exceptions. Modest effort is needed to

Table 3. Summary of Aircraft Sites and Sampling Frequency

		Sampling Frequency (days)				
##	Site[a]	FY02	FY03	FY05	FY07	Type
01	Harvard Forest, MA[b]	30	14	7	7	Flux, continuous CO_2
02	Carr, CO	7	7	7	7	—
03	Tofino, BC	0	7	7	7	Flask (Estevan Point)
04	Park Falls, WI	30	7	3.5	3.5	Flux, flask, continuous CO_2 (LEF)
05	Fairbanks, AK	30	7	7	7	—
06	Trinidad Head, CA	0	7	7	7	CMDL observatory
07	Corpus Christi, TX	0	7	7	7	Tower = Moody (WKT)
08	Portsmouth, NH	0	3.5/7[c]	3.5	3.5	—
09	ARM-SGP, OK	7	3.5	3.5	3.5	Flask, continuous CO_2 in 2005
10	New Bern, NC	0	7	3.5	3.5	Tower = Grifton
11	Ames, IA	0	0	3.5	3.5	Tower
12	Bermuda	0	0	7	7	Flask (BME, BMW)
13	Mt. Vernon, IL	0	0	3.5	3.5	—
14	Devil's Lake, ND	0	0	3.5	3.5	Tower
15	Alliance, NE	0	0	3.5	3.5	Tower
16	Mansfield, OH	0	0	3.5	3.5	Tower
17	Pellston, MI	0	0	3.5	3.5	Tower
18	Savanna, GA	0	0	3.5	3.5	—
19	St. Johns, NL	0	0	7	7	—
20	Barrow, AK	0	0	0	7	CMDL observatory (BRW)
21	Nome, AK	0	0	0	7	—
22	Sitka, AK	0	0	0	7	—
23	San Diego, CA	0	0	0	7	Scripps Pier (SIO)
24	Elko, NV	0	0	0	3.5	Flask (UTA)
25	Midland, TX	0	0	0	3.5	Tower
26	Las Cruces, NM	0	0	0	3.5	—
27	Morgan City, LA	0	0	0	7	—
28	El Dorado, AR	0	0	0	3.5	Tower = Jonesboro
29	Huntsville, AL	0	0	0	3.5	Tower = Selma
30	Chambersburg, PA	0	0	0	3.5	Tower
31	Lewistown, MT	0	0	0	3.5	Tower
32	Richland, WA	0	0	0	3.5	—
33	Yellow Knife, NT	0	0	0	3.5	—
34	Prince Albert, SK[b]	0	0	0	3.5	Flux, continuous CO_2 (BERMS)
35	Thompson, MB[b]	0	0	0	3.5	Flux, continuous CO_2 (NOBS)
36	Fraserdale, ON	0	0	0	3.5	Flask, continuous CO_2
37	Labrador City, NL	0	0	0	3.5	—

Notes: [a]Site selection is subject to NACP Science Team planning.
[b]Data specifications pending for inclusion of flux tower measurements in the global data base.
[c]Sample once or twice per week on alternate weeks.

calibrate AmeriFlux CO_2 measurements accurately with standard reference materials traceable to the WMO Mole Fraction Scale. AmeriFlux sites should also institute additional quality checks such as using an "archive" gas tank at each site that would be measured once daily, and would therefore last many times longer than the site standard tanks (years). Sets of circulating standard tanks would provide further means to identify any offsets among sites.

The introduction of accurately calibrated CO_2 measurements at these sites will fill gaps in the tall tower network, especially to obtain concentration data where installation of measurements on tall towers either will not be available or where they might be far in the future. Sites of special interest to provide boundary values for gas concentrations include Oregon, California, Florida, New Mexico/Arizona, and several stations of Fluxnet-Canada.

Table 4. Tower and Surface Sampling Sites Expected to be in Place by FY05[a]

Site	Height (m AGL)[b]
AmeriFlux Sites with Calibrated CO_2 (current)[c]:	
Harvard Forest, MA[d]	30
Thompson, MB[d]	30
BERMS, SK[d]	30
ARM-SGP, OK	60
Tall Towers (TV, radio, and cellular)[e]:	
Moody, TX[d]	457
Park Falls, WI[d]	396
Howland, ME[f]	120
Grifton, NC	500
Jonesboro, AR	500
Ames, IA	500
Champaign, IL	350
Devil's Lake, ND	400
Lincoln, NE	500
Mansfield, OH	430
Selma, AL	480
Lewistown, MT	400
Columbia, SC	470
Flask Sites:	
Estevan Point, BC[d]	Surface (MBL)
Fraserdale, ON[d]	Surface
Trinidad Head, CA[d]	Surface (MBL)
Point Arena, CA[d]	Surface (MBL)
Wendover, UT[d]	Surface (desert)
Key West, FL[d]	Surface (MBL)
Bermuda[d]	Surface (MBL)
Niwot Ridge, CO[d]	Mountain
Barrow, AK[d]	Surface (MBL)
ARM-Southern Great Plains, OK[d]	60

Notes: [a]Selection of new sites is subject to NACP Science Team planning.
[b]Height of measurements, for future tower sites estimated based on available towers.
[c]Additional AmeriFlux towers are expected to be instrumented for accurate CO_2 by FY05.
[d]Sites in operation in FY02.
[e]Approximately 10 tall towers are planned to be instrumented by FY07 in addition to those listed here.
[f]Howland site is funded under CO_2 Budget and Regional Airborne Study – Bangor, Maine (COBRA-ME) by NSF.
MBL = marine boundary layer

A competition should be held to select flux tower sites for calibrated CO_2 and CH_4 concentration measurements, after which roughly one year would be required for the CO_2 to come on-line. More time would likely be needed for CH_4 depending on the method chosen for analysis.

By mid-2007, NASA's Orbiting Carbon Observatory (OCO) is expected to be in operation. This instrument will fly in a sun-synchronous polar orbit (approximately 1:15 PM local crossing time). It will estimate daily column mean CO_2/O_2 mixing ratio at approximately 50,000 locations across North America in narrow North-South strips approximately 2000 km apart. Calibration and evaluation of OCO data will take advantage of aircraft campaigns planned as a part of NACP. When OCO data become available, and have been robustly tested, they will be used in conjunction with tower- and aircraft-based in situ sampling to improve the top-down carbon budgets (**Section 1.6**).

Atmospheric methane (CH_4) is present in the atmosphere at much lower concentrations than CO_2, but CH_4 is second only to CO_2 as a greenhouse trace gas with predominantly anthropogenic sources. Its concentration has roughly tripled in the last several hundred years, but the rate of increase exhibits significant and poorly understood year-to-year variability. In addition to its radiative role, CH_4 takes part in a variety of chemical reactions with other important gases (CO, ozone (O_3)) in the troposphere and stratosphere, making it a key species for understanding the global carbon cycle. Although CH_4 and CO_2 are intimately linked, sources and sinks of the gases differ. These differences must be taken into account during the design of an integrated research program such as the NACP.

- Immediate research needs for better characterization of CH_4 variability, sources, and sinks.
- Establish an international calibration standard for CH_4; support inter-calibration of isotopic measurements.
- Develop sampling/measurement protocols for characterizing wetland hydrologic regimes as they affect CH_4 production and emissions.
- Identify differences needed in CH_4 sampling protocols compared to CO_2 protocols; NACP site selection for tall towers and aircraft vertical profiles should take into account specific requirements for CH_4 emissions.
- Identify specific sensor needs. Develop faster, lighter, cheaper, more robust sensors for unattended measurements of CH_4 and CH_4 isotopes.
- Establish continuous, high-frequency atmospheric CH_4 concentration measurement site on the east coast of North America (equivalent to Cape Meares).
- Add continuous CH_4 flux and ancillary measurements to two or more flux tower sites as soon as possible, to begin collection of coincident measurements of CO_2 and CH_4, and to begin acquisition of a long-term data set. Current AmeriFlux/Fluxnet-Canada wetland sites are Park Falls/WLEF and Lost Creek, Wisconsin; Mer Bleue Bog, Ontario; and (tentatively) Bleak Lake Bog, Alberta. Flux mapping, network design studies, and analysis and modeling of these tower data will guide deployment of future measurements.
- Ensure that additional species (e.g., CO, hydrochlorofluorocarbons (HCFC)) and isotopes (^{13}C, ^{14}C) are measured in large-scale concentration programs, and isotopic measurements in flux programs, to better characterize CH_4 source regions. $^{13}CH_4$ is measured at 13 CMDL stations.
- Evaluate the adequacy of the existing measurement network of floodplain wells, wetland water table monitoring, and stream gages (geographic distribution, sampling frequencies).

1.5 Bottom-up integration: Spatially distributed modeling of carbon source and sink processes

Several types of spatially explicit simulation models of carbon sources and sinks will be required to obtain budget closure over North America and allow comparison with atmospheric mass balance. Fossil fuel combustion will be estimated from improved inventory methods, and downscaled in space and time using energy consumption models (e.g., by day of the week, heating and cooling requirements, etc.). Forest fire emissions will be estimated from remote sensing, land management reporting, and combustion models. Models of carbon exchange between the atmosphere and terrestrial ecosystems will have new emphasis on managed ecosystems (agriculture, forest management, and urban/suburban landscapes). Ecosystem fluxes due to management, disturbance history, and succession are crucial to diagnose because they drive the time mean sources and sinks. Models of these processes will require detailed compilations of land use and management history, irrigation, harvest, etc., and may run on long time steps. Conversely, models of terrestrial photosynthesis, respiration, and decomposition will be required to resolve temporal changes in fluxes on diurnal, synoptic, seasonal, and interannual time-scales that will dominate the atmospheric variability. These models will be sensitive to weather drivers, remote sensing of the state of vegetation, and hydrological processes.

Under NACP, special emphasis will be placed on process-based modeling that predicts observable quantities at multiple scales to facilitate quantitative model evaluation. Ecosystem flux models will be evaluated locally against eddy covariance data collected at the network of flux towers. Models of ecosystem dynamics and carbon storage in biomass, litter, soil carbon, and sediments will be evaluated against inventory and distributed sampling data. Agricultural production and carbon storage models will be evaluated against production statistics and extensive soil sampling. Fossil fuel emissions inventories and downscaled flux estimates will be evaluated by intensive atmospheric observing campaigns that measure multiple combustion gases. Gridded models of surface exchanges will compute highly resolved component fluxes from both managed and unmanaged ecosystems, fires and other disturbances, fossil fuel emissions, lateral hydrological transfers and storage, and air-sea gas exchange. The output from these component flux calculations will be used to drive atmospheric transport models at appropriate resolution for quantitative evaluation against atmospheric observations. Several of these detailed comparisons between component models and observations will require intensive field campaigns to establish the reliability of the modeling and analysis framework at regional scales (**Section 1.7**).

1.5.1 Additional observations to drive source/sink models

1.5.1.1 Weather and climate data

Weather and climate are important drivers for ecosystem physiology, surface water hydrology, agricultural production, fire behavior, and fossil fuel combustion. In addition, atmospheric inverse modeling techniques depend sensitively on accurate knowledge of winds and cloud transport. During NACP meteorological reanalysis will be performed by one or more operational centers (European Centre for Medium-Range Weather Forecast (ECMWF), NOAA's National Centers for Environmental Protection (NCEP), and NASA) to produce gridded global weather analyses. Current products are available on a 1^{o} grid every six hours. The resolution of these analyses is projected to improve to 0.25^{o} in the next few years. Higher time resolution is desirable to support trace gas transport inversions (**Section 1.6**), and specialized high resolution analyses using mesoscale models will be driven from these global products with NACP support. These data will be among the most voluminous information products within NACP and will pose special challenges for data management and availability.

Three distinct sets of meteorological data will be required: (1) surface data required to drive physiological models of ecosystem carbon flux; (2) three-dimensional transport fields (winds, turbulence, and cloud mass fluxes) needed for tracer transport inversions; and (3) cloud-resolving analyses of specific cases in support of NACP intensives. Hourly surface weather products (wind and humidity) can be generated by assimilation of atmospheric data into mesoscale models at about 10-km resolution. Radiation and precipitation, however, will need to be downscaled further, especially in complex terrain, by using weather radar, high-resolution digital topography, and satellite imagery. Hourly rainfall rates derived from Next Generation Radar (NEXRAD) are already available at 4 km over 96% of the continental U.S. Gaps in the radar coverage could be filled using Geostationary Operational Environmental Satellite (GOES) infrared imagery. Temperatures and radiation could be adjusted for elevation, slope, and aspect using high-resolution topographic data to deliver 1-km hourly weather data commensurate with vegetation imagery. Production of three-dimensional transport fields required for inverse modeling will be feasible at 10-km resolution using mesoscale models. Cloud resolving simulations of limited domains could be produced using grid nesting during the NACP intensives.

1.5.1.2 Emissions inventories and temporal behavior

Fossil fuel emissions are currently documented at state and annual levels, with estimates for a mean seasonal cycle within the U.S. A concerted effort will be required to refine these estimates to finer spatial scales and to document interannual variability. These estimates will also be downscaled in space and time using energy consumption models. For example, emissions due to residential and commercial heating and cooling will be scaled according to weather, and vehicle emissions will be greater on weekdays than weekends. These downscaled estimates will be needed to drive atmospheric trace gas calculations and source attribution studies.

1.5.2 Terrestrial ecosystem modeling

Among the most important contributions of the NACP will be understanding the processes responsible for carbon sources and sinks in terrestrial ecosystems as embodied by rigorously evaluated quantitative models. These models will enable source/sink attribution and prediction of future changes in the carbon cycle, which can never be achieved by observations alone. The key contributions of NACP in this area will be focused on evaluating models with new data products and on the extension of the models for prediction of a maximum number of observable quantities in an ecologically self-consistent framework. The integration of observations and model simulations will enable a new degree of model-data fusion.

Estimation of daily terrestrial NEE for North America is now possible with currently available datasets. Two methodologies are required: (1) a terrestrial biogeochemical simulation that after initialization would require only daily meteorology to simulate NEE and the carbon balance components of photosynthesis and respiration; and (2) a computation driven by remote sensing that would regularly produce images of the continental land surface but operate simpler models of daily GPP and NPP. Past modeling studies such as the Vegetation/Ecosystem Modeling and Analysis Project (VEMAP) have already provided first estimates of continental NEE and some interannual trends, but represent essentially potential vegetation conditions, not existing vegetation cover. Although such models may be initialized with satellite-derived LAI, they then simulate hypothetical trajectories of vegetation dynamics. Remote sensing regularly quantifies land surface reflectances, so immediately can record major disturbance events, vegetation structure, and seasonal phenology. Biogeochemical modeling can compute all components of the carbon balance, but cannot maintain a realistic representation of the changing landscape. A data assimilation approach will be required to optimally integrate these two capabilities (**Section 1.6**).

Land surface biophysical modeling at an hourly or shorter time step will be required to provide uninterrupted, spatially complete estimates of surface carbon fluxes to compare against atmospheric data. Such models must first accurately compute energy and water balances under all vegetation and climatic regimes represented on the continent. The model then must compute hourly carbon fluxes (photosynthetic uptake and autotrophic and heterotrophic respiration emissions of CO_2). Critical controls that nutrients exert on the carbon cycle processes must be represented. Currently the best space/time tradeoff for terrestrial BGC modeling at full continental scales is daily at 10-km resolution, or hourly at 50-km resolution. For quantitative comparison to atmospheric observations, resolution must be improved to hourly at <10 km.

To initiate continental terrestrial BGC simulations, a number of key ecosystem conditions must be quantified for each grid cell. The input initialization data include:

- Current vegetation type;
- Annual land cover change;
- Soil physical (water/thermal capacities, and texture) and chemical characteristics (N and phosphorus (P) pools in organic matter);
- Digital topography;
- Soil, stem, and leaf C and N pools;
- Stand age distribution and disturbance regime.

These data must reflect realistic disturbance and land use history of land surface for NEE estimates to be adequate for terrestrial CO_2 exchange calculations. The size of the soil C and N pools and forest stem C directly influences the magnitude of computed autotrophic and heterotrophic respiration and so considerably impacts the accuracy of the final CO_2 balance which, in many cases, will determine whether the time-mean computed CO_2 flux is a source or a sink.

Specific activities for the NACP will include:

- Development of capability for prediction (i.e., downscaling) of carbon fluxes on a <10 km grid, which will include effects of soils, climate, land use history, land management, nutrient deposition, fires, pollution, herbivory, and invasive species. This will include development and evaluation of necessary contemporary data from remote sensing and climate models. Historical contributions of land use change and climate variation to carbon flux will also be evaluated using simulation models with inputs of reconstructed land use trajectories and interannual climatic variation. Topographically defined gradients in microclimate and hydrologic routing are also represented in gridded models.
- Comparison of hourly, seasonal, interannual, and multi-decadal dynamics of the terrestrial carbon system (pools and fluxes in biomass and soil), which control net ecosystem exchange of carbon with the atmosphere, with intensive site measurements and inventory data across North America using statistically designed stratification (Tiers 1, 2, and 3 of the terrestrial observing network, **Section 1.1**, Table 1).

In support of the long-term NACP goal of model-data fusion, these models will be enhanced to utilize observations at multiple spatial and temporal scales for model parameterization. These observations will include both in situ biometric, physiological, and biogeochemical measurements at locations selected by statistical design and spatially extensive measurements made from remote sensing of spectral properties, height structure, and hydrology.

1.5.2.1 Time mean source/sink/storage in forests and grasslands

Finely gridded imagery and soils, land cover, land use, and historical land management data (e.g., harvest, fire, and disease) will be used to drive forest succession and ecosystem dynamics models which predict current source/sink status and storage of carbon in above- and below-ground reservoirs, including litter and soil carbon. These model predictions will be compared with forest inventory data collected over past decades to evaluate their performance with respect to height, diameter, and biomass.

High resolution digital soil survey map and attributes plus measured pedon data from USDA-NRCS are now available for most agricultural regions of the U.S. These data sets provide high resolution geographic patterns of soil properties such as texture, phosphorus, carbon (organic and inorganic), and chemical characteristics. The high resolution digital soil survey and NASS crop GIS grids could be intersected to provide new relationships of crop to soil as a supplement to the Tier 1 analysis.[3] These detailed data sources could provide needed characteristics to further characterize the diversity of the ecoregion/agroecoregion concepts needed for the scaling-up procedures for the model output results.

However, these detailed soil survey mapped data are only available at the county level from USDA-NRCS. Nationwide collections for specified parameters important to carbon cycling research would be much more useful to determine the extent of various soil properties. In addition, general soil maps, also known as STATSGO (State Soil Geographic Database), from the USDA-NRCS could be more readily accepted for nationwide assessments if map unit compositions were updated with recently completed detailed digital soil survey information known as Soil Survey Geographic Database (SSURGO2.1; http://soils.usda.gov).

Analyses of soil and litter carbon storage will be evaluated against data collected at Tier 2 sites.

1.5.2.2 Agricultural sources, sinks, and storage

Agricultural data (crops planted, harvest statistics, irrigation, and fertilizer application) will be collated and made available. Analyses will be required to convert from county-level to spatial grids appropriate for models, and for comparison and merging with remote sensing and other data streams. These data will be used in crop models to predict plant growth and carbon fluxes on subdiurnal time-scales. Carbon flux estimates from the models will be compared to measured fluxes using eddy covariance methods. Total growing season carbon storage will be evaluated using crop inventory data. Compatible comparisons between the models and the observations will require the models to predict both crop yields and carbon storage.

1.5.2.3 Ecophysiology: Diurnal, seasonal, and interannual variations in fluxes of carbon, water, and energy

Simulation models of surface fluxes of radiation, momentum, heat, water, and carbon will be driven by highly-resolved analyzed weather, land cover classification, soil texture, vegetation characteristics, and land use data. Models using different approaches to scale from leaf to canopy to pixel will be employed. The purpose of these simulations is to produce spatially explicit analyses of photosynthesis and ecosystem respiration that can be integrated to regional scales. Therefore, model parameters will therefore be specified from data that are available at continental or global scales, not from local site measurements (e.g., soil carbon or micrometeorology).

Simulated fluxes will be evaluated locally at sites where eddy covariance measurements are available, across a range of ecosystem types, land use types, and other environmental gradients. Evaluation of the models against local measurements will include diurnal, seasonal, and interannual variability and responses to climate variations and other environmental forcing. Highly resolved surface fluxes produced by these models will be prescribed as a lower boundary condition to atmospheric transport models, which will then be used to simulate variations in trace gas concentration in the atmosphere. Simulated trace gas concentrations (CO_2, CO, and CH_4) will be compared in detail with atmospheric observations made from continuous analyzers on towers and from aircraft.

[3] See http://soils.usda.gov and http://soildatamart.nrcs.usda.gov/SDMDB/SOILTABO.jpg.

1.5.2.4 Urban and suburban landscapes

In addition to emissions from fuel combustion and fluxes due to land management, humans have substantially modified vegetation and soils in urban and suburban landscapes. In wetter climates these modifications typically involve forest clearing and nutrient additions, whereas in drier climates they involve irrigation and replacement of native grasses with trees and shrubs. In all areas, paved surfaces and buildings have altered the hydrologic cycle and thermal energy regime. Models which treat the carbon balance of these anthropogenic landscapes will be developed. The drivers will be detailed geographic information regarding land cover and land use, by analyzed weather and vegetation characteristics derived from high resolution aircraft and satellite imagery. The models will predict both storage (biomass, soil carbon, etc.) and fluxes (photosynthesis and ecosystem respiration). Evaluation will include carbon storage in wood, herbaceous vegetation, and soils (against Tier 2 sampling) as well as fluxes (against eddy covariance data).

1.5.3 Carbon emission by fires

Continental-scale data sets of burned areas will be compiled as a part of the NACP. Field studies and remotely sensed analyses will provide ancillary information about fire severity and fuel consumption within burn perimeters as a function of vegetation type, climate, and soil characteristics. Efforts will be made to compile spatially explicit continental-scale maps of burned area over the last four decades, and where available, over longer time periods but with limited spatial resolution. This information will be used for two purposes: 1) on decadal time-scales as means to spin up ecosystem models used to predict contemporary and future carbon sinks and 2) on a daily basis over the NACP period as a means to provide bottom-up estimates of CO_2, CO, and CH_4 emissions from fires that are contributing to flask, tower, and aircraft observations.

Models of fire emissions will require detailed information about local climate at the time of fire, and accurate estimates of above-ground and surface soil organic layer carbon pools. Consumption of fuels at the soil surface and their moisture status critically determine emission factors of CO and CH_4. Uncertainties associated with these emission factors limit the effectiveness of top-down constraints on fire emissions obtained from aircraft and flask observations. Reducing these uncertainties will be a key NACP objective that will link field measurements, analysis of aircraft and flask data, and ecosystem modeling. On longer time-scales, the combustion completeness of surface fuels also controls the establishment of species within the burn perimeter (via controls on local moisture and energy balance). Ultimately, the severity of a burn event has important consequences for the long-term (decadal) trajectory of carbon accumulation.

1.5.4 Hydrologic transfers and storage of action

Hydrologic modeling will be linked with ecosystem models described above, and will include soil moisture, drainage, runoff, and hydraulic routing at appropriate spatial resolution. These models will be driven by analyzed weather, topography, land use, vegetation data specified from remote sensing, and other data. Predictions of runoff and streamflow will be evaluated against gaged streams and rivers and reservoir levels at multiple spatial scales. The models will include sediment transport, with particular reference to carbon, dissolved inorganic and organic carbon, alkalinity, and nutrient transport in surface water and deposition in sediments and coastal oceans. These predictions will be evaluated against data collected by national hydrologic and water quality networks as described in **Section 1.1.5**.

1.5.5 Fossil fuel emissions downscaling in space and time

State- or county-level inventories of fossil fuel emissions will be downscaled using emission models driven by statistics of power and industrial plant usage and locations and population density, weather, vehicular traffic, and other data. The goal is to provide daily or subdiurnal gridded emissions estimates commensurate with the <10-km flux analyses from ecosystem and agricultural models. CO_2, CO, and CH_4 emissions will be estimated and will be prescribed as boundary fluxes in atmospheric transport models. The models will be evaluated against observations of trace gas concentration made from aircraft and continuous analyzers on towers and near the surface.

1.5.6 Ocean carbon modeling

The NACP modeling effort will be designed to assimilate the ocean carbon observations and estimate regional sources and sinks for carbon. The quantification of coastal and open ocean carbon fluxes will involve a hierarchical approach (Doney et al., 2004; Section 8) with widely distributed, representative sets of observations that provide a foundation for satellite and model-based interpolations of oceanic CO_2 fluxes over a range of space and time-scales. Prognostic models will include ocean General Circulation Models (GCM) with fine scales (as small as a few kilometers) to resolve details of the very near-shore circulation and also basin-scale models at coarse resolution. These models will be run either separately or using rapidly advanced embedding.

Inverse modeling will be investigated as an independent way to estimate basin-scale fluxes from changes in ocean inventory and other observations. Information obtained from process studies will be used to constrain ecosystem models to evaluate the relative contribution of various processes to the observed variability in air-sea flux and to assess the vulnerability of various processes to anthropogenic forcing.

1.5.7 Atmospheric modeling

Simulation of the concentration of atmospheric carbon gases (CO_2, CO, and CH_4) will provide a link between the process-based modeling of surface fluxes and the atmospheric observations. This link is crucial because atmospheric concentrations reflect integrated surface fluxes at larger spatial scales than can be measured in situ. Comparison of simulated concentrations with atmospheric observations is the only opportunity for quantitative evaluation of both process models and their upscaling using remotely sensed and other spatial data products. NACP will require modeling efforts to produce simulations of continuous atmospheric concentrations of CO_2, CO, and CH_4 over North America and adjacent oceans at 0.25° (lat/long) or better resolution, and to compare these simulations with atmospheric observations.

Substantial effort will be required to coordinate with operational centers to obtain support of trace gas transport calculations and field experiments, using meteorological forecast and analysis products. But the accuracy of transport calculations depends on the spatial and temporal resolution of the underlying model and the accuracy of archived meteorological data. Also, detailed information must be reported for transport fluxes through clouds and in the planetary boundary layer (PBL), as well as on the larger scales. Loss of mass conservation through regridding or through the data assimilation process must be minimal. NACP analyses will require higher spatial and temporal resolution, more complete output, and better fidelity of archived data than are currently available. NACP agencies and scientists will work with operational centers (NCEP, ECMWF, and NASA's Global Modeling and Assimilating Office (GMAO)) to support archival of full meteorological analyses on hourly time steps, rather than the aggregated archives currently provided, and to improve accuracy of the assimilated product.

Intensive field experiments planned under NACP will require meteorological analyses at much higher resolution than is feasible in operational forecast models. NACP will require nested simulations of field intensives using cloud-resolving meteorological models driven by high-resolution global analyses. These models will include simulation of atmospheric CO_2 and CO, and perhaps other gases and aerosols. They must meet even more rigorous constraints on mass conservation and reporting of subgrid-scale mass fluxes.

Data collected during NACP will include climatological characterization of vertical structure of many trace gases and very high-resolution characterization during intensive campaigns. Data from intensives will also be modeled at cloud-resolving scales, and simulations and analyses will be archived and made available for later research. These data will be very valuable to ongoing efforts by meteorologists to improve such parameterized processes as cumulus convection and boundary layer entrainment in meteorological and climate models. In conjunction with major existing programs funded elsewhere, NACP will require efforts to improve transport-relevant meteorological process modeling in forecast, analysis, and climate models.

1.6 Top-down integration: Inverse modeling and model-data fusion

1.6.1 Inverse modeling from atmospheric observations using tracer transport simulation

Inverse modeling refers to the estimation of area- and time-averaged fluxes over a large area from variations in trace gas concentration using information obtained by atmospheric transport modeling. In general, these techniques involve calculating a "response function" or "influence function" which quantitatively relates surface fluxes at each surface location to trace gas concentrations.
The advantages of inverse modeling over process-based bottom-up integration include inherently larger coverage of the relevant spatial and temporal observations and the prospect for completely independent constraint on regional fluxes. The main disadvantage of these methods is that they produce no information about the processes controlling regional carbon fluxes. They are therefore unsuitable for source/sink attribution or for prediction.

There are at least three techniques being developed for atmospheric inverse modeling at regional scales: (1) mass-balance techniques based on PBL budgeting (e.g., using data from tall towers) or Lagrangian sampling; (2) synthesis inversion using prespecified spatial/temporal patterns of fluxes or receptor-oriented modeling; and (3) estimation based on variational assimilation using adjoint or ensemble modeling. Each of these techniques is relevant to interpret NACP data, and each requires further development and testing before deployment. Each of these methods leverages the large existing global network of in situ flask sampling, mostly deployed in the remote marine boundary layer. Details of observing configurations for NACP will best be determined following thorough experimentation and network optimization with synthetic data.

NACP requires the following enabling activities as soon as possible:

- Development, demonstration (with realistic synthetic data), and evaluation of inverse techniques for estimation of monthly CO_2 exchange on a 100-km grid from a suite of atmospheric observations including continuous analyzers, periodic airborne sampling, and the existing global flask network. Evaluations must include a realistic treatment of important sources of error (model transport, representativeness, and measurements), and quantitative estimation of uncertainty in the retrieved fluxes. They may also include novel data sources such as satellite retrievals and multiple trace gases. Traditional "synthesis inversion" methods will likely be inadequate to achieve this level of resolution. Adjoint, variational, and Kalman filter approaches can provide quantitative estimates of fluxes and uncertainty on arbitrarily fine model grids.
- Observing system simulation and network optimization experiments with several competing methods to assist in prioritization and site selection for NACP observing resources. These experiments should seek optimal strategies for deployment of a network of 10 to 50 new continuous analyzers and weekly aircraft profiles in addition to the existing network.
- Development, demonstration, and evaluation of competing methods for hourly to daily estimation of CO_2 fluxes and their uncertainty on a 10-km grid using nested mesoscale models during NACP intensives.

1.6.2 Model-data fusion: Data assimilation into coupled models of the North American carbon cycle

An alternative to the bottom-up integration using process-based models or top-down integration using atmospheric inversions is an ambitious generalization of inverse modeling that will involve the use of many different streams of observations to constrain parameters in process-based models. Like atmospheric inversion, this approach involves formal statistical estimation of model parameters by minimizing a cost function that quantifies the mismatch between model predictions and observations. The advantage of this approach over bottom-up or top-down integration is that it leverages the information content of the atmospheric observations to produce process-based gridded flux estimates that are self-consistent but also optimally consistent with many different types of data (remote sensing, eddy covariance fluxes, forest inventory, atmospheric composition, weather, etc.). The principal disadvantage is that these methods are only now being developed and are unlikely to be mature until later in the decade.

The goal of integration of top-down with bottom-up constraint is to provide finely gridded (1 km) flux estimates for North America that explicitly represent all relevant carbon cycle processes (fossil fuel emissions, forest management, agriculture, fires, CO_2, nutrient fertilization, responses to climate variability, etc.), yet which are optimally consistent with all available observations. Quantitative estimates of varying spatial and temporal uncertainty in these fluxes will also be produced by the assimilation system. These temporally varying gridded data products will form the basis for future reporting on carbon budgeting and a strong context for testing predictive modeling of the carbon cycle.

Research activities supported under NACP will include the development of variational or other methods for simultaneous assimilation into models such those described in **Section 1.4** of data collected by aircraft and satellites (vegetation properties, meteorology, sea-surface temperature, ocean color, CO_2, CO, other trace gases, and aerosols), flux towers (ecosystem fluxes of heat, water, momentum, and CO_2), and in situ measurements (atmospheric composition, forest biometry, physiology, and agricultural production, fossil fuel combustion, and soil biogeochemistry). Key to the success of these methods will be identification of parameters in process-based models that dominate the uncertainty in flux estimates. These parameters will be targeted for optimization through the assimilation process. Atmospheric and ocean carbon data assimilation techniques are already maturing, and efforts at assimilation of eddy covariance and forest inventory data into terrestrial ecosystem models are underway. The challenge will be to produce a coupled modeling system of carbon fluxes, storage, and transport processes that can assimilate a full suite of carbon observations to produce optimal analyses of fluxes and their uncertainties.

1.7 Interdisciplinary intensive field campaigns

1.7.1 Overview of NACP intensive field experiments

Intensive, interdisciplinary field experiments of limited duration provide opportunities to measure a large number of parameters at high frequency and/or over larger spatial scales much more intensively than is practical for routine measurements or process studies. The goals of such experiments are to:

- Develop regional-scale, process-level understanding of important aspects of the carbon budget needed to support annual to decadal forecasts of the carbon balance over regional to continental areas, including implications of changes in climate, land use, and carbon management;
- Guide the development of a long-term observing network and the methods of analysis needed to convert those observations into operational accounting of regional and continental carbon budgets;
- Evaluate techniques and infrastructure required to upscale process-based models (**Section 1.5**) and downscale atmospheric observations (**Section 1.6**) to produce estimates of regional carbon balance with quantifiable uncertainty.

To reach these goals, the field experiments must purposefully integrate multiple methods of studying the carbon cycle. The following elements are likely to be included:

- Carbon stock accounting based on inventories on land and in the sea;
- Carbon flux measurements using chambers, towers, ships, buoys, and aircraft;
- Carbon accounting based on atmospheric mixing ratio measurements made from towers, ships, coastal moorings, aircraft, and, eventually, satellites;
- Model studies synthesizing aircraft and satellite observations of the land surface, land and ocean survey data, surface and aircraft flux and mixing ratio measurements, and understanding of biogeochemical processes and climate;
- Regional accounting of fossil fuel use.

These multiple methods cannot comprehensively overlap in time and space, but they can be orchestrated to provide complementary information. All elements of the experiments are essential, because each quantifies one or more critical components of the budget that are obscure or invisible to other approaches. Evaluation of full carbon accounting, based on data/model fusion using the full portfolio of approaches, is a goal for the intensive experimental component of the NACP.

The field experiments will quantify the carbon cycle over seasonal and annual time-scales, complemented by associated studies targeted on processes and stocks that are important on the time-scales of decades to centuries:

Annual intensive studies will aim to develop verifiable measures of net annual carbon fluxes over regions large enough to allow aggregation to continental scale, but small enough to distinguish variability in carbon dynamics due to regional climate and ecosystem differences.

Seasonal studies will focus on determining gross fluxes (net seasonal fluxes, respiration, and photosynthesis) to test understanding of the responses of ecosystem carbon dynamics to environmental forcing over broad oceanic and land biomes. Comparing modeled carbon fluxes to observations provides tests to understand underlying physical, chemical, and biological processes.

Stock inventories and process studies will emphasize stocks and fluxes with the potential to dominate the carbon cycle on time-scales of decades to centuries. Intensive terrestrial studies will focus on soil carbon, woody encroachment, and implications of nitrogen fertilization. For the oceans, the emphasis will be on implications of river inflows, changing ocean dynamics, and climatic variability.

Long-term observations of interannual variability will provide context for the intensive studies and will aid in distinguishing the impacts of climate and short-term disturbance (e.g., violent storms, insect outbreaks, or disease), while spatial variability observed during the intensive phases elucidates the importance of ecosystem type, land use, and disturbance (e.g., fire and wind) history.

1.7.2 Strategy and motivation

The scientific questions, study locations, and operational approach used for the interdisciplinary, intensive field experiments will be defined in workshops dedicated to developing the experiment plan for each major intensive study. Key topics that need to be addressed in the short-term, and that are likely to yield unique insights when addressed with the interdisciplinary approach of the intensives, include:

- Integrating atmospheric tall-tower measurements with broader-scale atmospheric patterns and surface processes;
- Quantifying night-time exchanges with eddy flux systems;
- Assessing seasonal to annual carbon fluxes in mountainous regions;
- Integrating land management (e.g., forestry, agriculture, or urbanization) into the NACP framework;
- Integrating large-scale disturbance (e.g., wildfire, pests, disease, and invasive species) into the NACP framework;
- Understanding the fate of carbon transported from the land to aquatic and marine ecosystems, including sediments in lakes, rivers, and the ocean.

To design the intensive field studies, a workshop aimed at formulating a set of testable hypotheses to guide mission planning for the first intensives and selection of appropriate measurements should occur as soon as possible. The experimental design will extend the framework developed during prior and ongoing interdisciplinary field programs (e.g., ABLE, FIFE, IHOP, BOREAS, LBA, and COBRA-NA)[4]. Some aspects of the intensive experiments will be spatially defined, but others will be continental in scale. NACP intensive field activities can begin by adding carbon-focused elements to existing carbon cycle and tropospheric chemistry activities (e.g., COBRA-ME and International Chemical Transport Experiment – North America (INTEX-NA)). The first coordinated ground, ocean, and atmospheric phase of dedicated NACP intensive experiments is planned for 2005.

Theory teams including scientists from terrestrial ecology, chemical and biological oceanography, remote sensing, atmospheric transport and chemistry, data assimilation, and operational weather forecasting will play an important role in the design and execution of the intensives. In the planning stages numerical models are needed to formulate testable hypothesis and for designing effective measurement strategies. During the experiments the theory team should be involved in real-time operations, ranging from flight planning to model-data comparisons. Regular meetings of the entire science team (instrument scientists and theory team) during the field experiments will provide a forum for discussing preliminary results so that plans can evolve as needed to address discrepancies and gaps in understanding.

1.7.3 Land measurements in the NACP intensives

The intensives will take advantage of a broad range of land-based techniques, ranging from regional inventories to leaf-level gas exchange. It is critical that the land measurements in the intensives insure comprehensive treatment of all relevant carbon pools and fluxes. Quantifying the profile of soil carbon with depth or the transport of carbon in eroded sediments may be as important as crop or forest primary production. The imperative for comprehensive coverage also extends to the anthropogenic sector, where it will be important to quantify carbon fluxes associated with harvesting of forests and crops, soil disturbance, combustion, and deliberate sequestration. It will also be critical to have an accurate estimate of carbon transported into and out of a region by commerce ("overland carbon flows"). Carbon fluxes from fossil fuel combustion will need to be quantified for all sectors of society, as will fluxes from cement manufacture and curing. CH_4 fluxes from landfills and intensive agriculture are major components of the methane budget, and may also be significant in the carbon cycle in some locations. It is also critical to quantify fluxes from both natural and anthropogenic disturbances. The nature of the important disturbances will vary with time and location, with fires, insects, storms, pathogens, erosion, and processes like draining wetlands or highway construction contributing in some settings. Enhanced coverage of regions targeted for intensive field campaigns by eddy covariance and atmospheric constituent monitoring will be required.

[4] Atmospheric Boundary Layer Experiment (ABLE); First ISLSCP (International Satellite Land Surface Climatology Project) Field Experiment (FIFE); International H_2O Project (IHOP); Boreal Ecosystem-Atmosphere Study (BOREAS); Large-Scale Biosphere-Atmosphere Experiment in Amazonia (LBA); CO_2 Budget and Regional Airborne Study (COBRA)

The land measurements in the intensives will also need to make progress on separating biogeochemical from direct anthropogenic forcing of carbon fluxes. Fluxes forced by climate, elevated CO_2, nitrogen deposition, and biome shifts need to be separated from and quantified independently of fluxes associated with changes in land use and carbon management.

1.7.4 Ocean measurements in the NACP intensives

Intensive ocean measurements in coastal regions will be part of combined land/ocean intensives in the NACP. The goals will be to characterize ocean-atmosphere fluxes of CO_2 and O_2, understand the structure of the marine boundary layer and the exchanges of tracers among the marine PBL, the adjacent continental surface, and the free troposphere. Studies of the carbon budgets of coastal waters will focus on mineralization rates for terrigenous organic matter, the roles of turbidity and nutrients in regulating carbon uptake, and developing remote sensing algorithms for turbid waters.

1.7.5 Atmospheric measurements in the NACP intensives

A mix of sampling platforms will be selected for the intensive field experiments that can accommodate a wide variety of instruments and will include various aircraft that collectively are capable of sampling the entire depth of the troposphere. The experimental design will include Lagrangian flights, which will sample a single air-mass repeatedly as it moves across the continent, perhaps using more than one aircraft, and Eulerian flights, which will include repeated profiles and cross-sections over a few key regions (for example, in the vicinity of a tall tower). Survey flights to measure continental-scale variability will also be an important part of the strategy. Measurements of the major carbon gases CO_2, CH_4, and CO will be common to all in situ payloads, as well as accurate measurements of water vapor, pressure, temperature, and winds. Additional species such as O_3, isotopes, chlorofluorocarbons (CFCs), sulphur hexafluoride (SF_6), N, and sulfur compounds, as well as aerosol size distributions, compositions and optical properties will be measured on some payloads, providing insight into air mass history and chemical information that will aid in separating contributions of various carbon sources. Remote sensing instruments, including passive radiometers and lidar will be needed to provide information about surface properties and atmospheric composition. Through coordination with ground-based terrestrial and ocean measurement programs, the intensive aircraft measurements will also provide insight into processes responsible for transporting carbon within and among land and marine reservoirs.

1.7.6 Remotely sensed measurements in the NACP intensives

Data sets from both in situ and remote sensing instruments will be essential for developing and testing algorithms for remote sensing instruments. The intensive measurements will provide the basic information needed for optimal use of remotely sensed data for atmospheric concentrations, including: statistical characterization of concentration distributions, representation errors, relative importance of near-field and far-field source for observed variance, layering in the atmosphere, etc. For example, the data sets from NACP intensives will be particularly important for developing algorithms and designing the validation program for the Orbiting Carbon Observatory (OCO), the first sensor specifically designed to measure atmospheric CO_2 concentrations from space. Flights will also help to understand measurements from upward looking spectrometers that will be deployed by the OCO team as a part of the long-term CO_2 observing network. Aircraft and shipboard data coordinated with overpasses of SeaWifs and MODIS sensors on the Terra and Aqua satellites could be used to evaluate experimental algorithms for retrieving solar radiation, colored dissolved organic matter, or solar-induced fluorescence. Underflights of Aura, Aqua, and Envisat satellites will help validate trace gas measurements from those platforms.

1.7.7 Proposed conceptual designs for the NACP intensives

Federal agencies solicited and received seven “white papers” outlining concepts for NACP intensives and have selected a first campaign for the summer of 2005. This will involve forward spatially-explicit modeling of carbon fluxes and stocks and fates of organic matter associated with agricultural production in the mid-continent. Models will be constrained locally by intensive process studies and flux measurements, and regionally with agricultural inventories. Regional fluxes will also be estimated quantitatively from an enhanced network of tower and airborne atmospheric sampling and inversion of mesoscale transport models. The conceptual design of this experiment is outlined in **Appendix B**.

Future NACP intensives have also been suggested. The white papers submitted to federal agencies are available at **http://www.carboncyclescience.gov**.

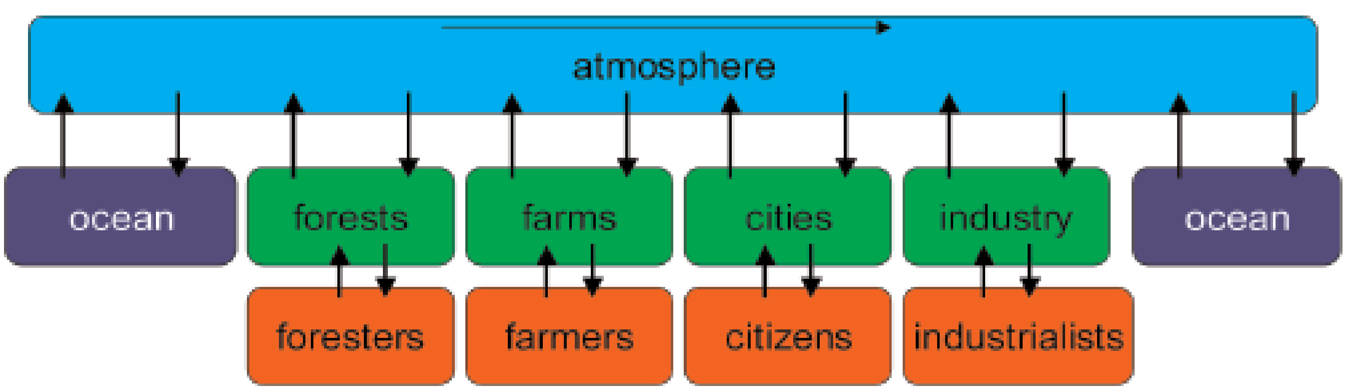
2.0 Question 2 (Process/Attribution): What controls the sources and sinks of CO_2, CH_4, and CO, and how do these controls change with time?

Controls over CO_2 sources and sinks are operating through processes that determine the temporal dynamics of carbon transfer among pools within the biosphere, and ultimately among the biosphere, atmosphere, and hydrosphere. The processes controlling the rate of C transfer, including the dynamics of social and economic systems that influence the rate of fossil fuel combustion and land use, must be described and quantified at a range of temporal and spatial scales through monitoring studies and manipulation experiments. The ultimate goal (i.e., expected products) of these studies and experiments is to contribute knowledge and data for developing, testing, and applying diagnostic and prognostic models in methodologies designed to operationally estimate the current dynamics of carbon in North America and adjacent ocean regions (Question 1), and for predicting and managing the trajectory of carbon storage in North America and adjacent ocean regions (Questions 3 & 4).

Controls over C transfer among pools are sensitive to both insipient and acute perturbations. Insipient perturbations include a progressive change in the mean and extremes of forcing variables, such as atmospheric CO_2 and O_3 concentrations, climate, or increase in the system vulnerability to a relatively constant pressure (e.g., increased sensitivity of ecosystems to nitrogen deposition as the soil approaches N saturation). Acute perturbation, which could increase in frequency and spatial extent as the climate changes, reflects the effect on C transfer of such events as hurricanes, floods, ice storms, insect outbreaks, diseases, and fire. Some of these events are influenced by management regimes (e.g., fire suppression). For example, forest, agricultural, and range management practices, and land use policies can exert a large influence on C transfer among the biosphere pools, and between the atmosphere and biosphere. Processes controlling the horizontal transfer of carbon from land into surface waters, the movement, transformation, and deposition of carbon in surface waters, and the fate of carbon as it moves from surface waters to coastal and oceanic ecosystems need to be understood for closing the carbon budget at a regional scale.

Below is a discussion of process-based research issues that should be addressed for making better progress on Questions 2, 3, and 4 of the North American Carbon Program. These issues include understanding controls over (1) fossil fuel combustion, (2) responses of ecosystems to changes in atmospheric CO_2, tropospheric O_3, N deposition, and climate, (3) responses to changes in disturbance regimes (storms, floods, insects, diseases, and fire), forest management, and land use, (4) responses to agriculture and range management, and (5) responses of carbon as it moves from land to surface waters, in surface waters, and from surface waters to coastal and oceanic ecosystems.

Figure 3. Managed carbon processes. *Processes controlling carbon exchange with the atmosphere over North America have very strong human management drivers. These managed carbon processes will be a major focus of NACP.*



2.1 Responses of terrestrial ecosystems to changes in atmospheric CO_2, tropospheric O_3, N deposition, and climate

Two important trends have recently emerged among studies designed to understand responses to changes in atmospheric chemistry, nitrogen deposition, and climate: (1) a shift from research primarily describing responses to manipulations towards research targeted at understanding processes that control responses to manipulations, and (2) a change from investigations of single species responses to investigations of ecosystems and communities (e.g., Free-Air Carbon Dioxide Enrichment (FACE) experiments). The move from largely descriptive to process-based studies has been largely driven by the large variation observed in responses within and among species, and among experimental conditions. This move has been accompanied by more multifactorial experiments, attempting to account for other variables, and for the interaction effects of manipulated variables with naturally varying environmental conditions. The incentive to study ecosystems and assemblages came from the realization that processes operating at these scales tend to buffer or amplify responses of their components. This is more readily observed when studies last long enough to include typical variations in weather conditions, and to allow coarse-scale ecosystem adjustments. While the shifts in research emphases have resulted in experiments that are producing useful knowledge and data for incorporation into diagnostic and prognostic models, the synthesis of current understanding into models has not yet occurred. In addition, there is a need for a new generation of ecosystem-level experiments that are conducted with common protocols along gradients of environmental variation (e.g., gradients of tropospheric O_3, N deposition, and climate). Thus, research should focus on (1) synthesizing current understanding into models, and (2) conducting manipulation experiments with common protocols that span broad environmental gradients.

Current understanding must be synthesized and incorporated into models. The current generation of models that are to be used for diagnostic and prognostic purposes in the NACP needs to be evaluated in the context of state-of-the-art manipulation experiments that have been and being conducted. Manipulation experiments have produced data for evaluating short- and long-term responses of models to elevated CO_2 (open-top and whole tree chambers and FACE experiments), temperature elevation (heating cables, greenhouses, and overhead heaters), water manipulations (amendments, and rainout shelters), nutrient additions, and O_3 manipulations. Models should be applied in a fashion that mimics the design of these experiments and model-data mismatches should be diagnosed as a basis for transferring understanding to the models. The implications of model modifications should be evaluated at a spectrum of temporal and spatial scales.

Manipulation experiments will be conducted using common protocols that span broad environmental gradients. While much progress has been made through manipulation experiments to understand controls over whole ecosystem responses to environmental change, the responses of different experiments can be difficult to reconcile because it is difficult to determine whether differences in responses represent interactions with different environmental variables or represent differences in experimental protocols. There has been much progress in understanding the artifacts of different manipulation technologies, and the experience with manipulation experiments is now mature enough to conduct manipulation experiments with common protocols that span broad environmental gradients. The focus of these experiments should be to understand how responses to manipulations change as environmental conditions change. Since North America has several gradients that span variation in climate, N deposition, and tropospheric O_3, these gradients can provide opportunities for conducting experiments along transects that follow these gradients. Because the most useful information from these experiments is provided once they have been running for several years, it is important that these experiments be set up soon so that their results can inform predictive modeling and management of the carbon cycle. It is particularly important that these experiments be located in regions where there is good reason to believe that responses to the manipulations will reveal vulnerabilities of carbon storage – e.g., responses to the thawing of permafrost in boreal and arctic North America, to N saturation in northeastern U.S. and southeastern Canada, or to high levels of tropospheric O_3 in southeastern U.S.

A standard protocol for manipulations involving elevated CO_2 (e.g., FACE) must be developed, so that the results of these experiments can be applied to the underlying environmental gradients (e.g., in N deposition and climate). Existing FACE experiments must continue for a long enough time to quantify the dynamics in the response (e.g., due to different time constants in the C and N response dynamics, as has been uncovered at the Duke FACE experiment). Also, FACE and other types of

CO_2 enrichment experiments should be imposed over the "natural" gradients in O_3, N, and climate. Not all experiments must be FACE type; less costly approaches should be used depending on the questions asked, and where ecosystem type and climate permit.

2.2 Methane sources and sinks

North American sources of CH_4 are dominated by those from the United States, largely as a consequence of its higher population and greater per capita energy usage. The relative contribution from different sources varies among countries. U.S. emissions from anthropogenic sources are estimated to be roughly triple those from wetlands, the major natural source. In Canada, wetlands dominate emissions, making up roughly two-thirds of the total. Overall emissions from Mexico are estimated to be relatively low, with releases associated with the production and use of natural gas making up the largest single source type rather than landfills as in the U.S. It is important to note that current emission estimates are snapshots of sources that may have considerable, but seldom calculated, uncertainties. Moving estimates beyond the snapshot level to one of dynamic modeling, capable of including variability in controlling factors, is one of the primary goals of the NACP.

North American CH_4 sources can be grouped into three general categories based on the types of variables that control emissions and the types of data that are currently used to calculate emissions. These are:

(1) Anthropogenic sources related to economic output (e.g., energy and livestock) that are commonly estimated using emission factors and inventory-type accounting;

(2) Anthropogenic sources related to the waste stream (e.g., landfills and livestock manure) that are estimated from waste stream inventories and production/oxidation models; and

(3) Primarily biogenic sources (e.g., wetlands and rice) that are estimated using environmental data and process models.

Research activities to characterize and better understand methane emissions and losses will include the following:

- Improved process modeling of CH_4 emissions through combined modeling and field measurement activities at scales that capture locally unresolved flux variations in space and time; address both the biogeochemistry of CH_4 production/oxidation and wetland hydrology (e.g., distributed watershed impacts, extent and duration of inundation, and shallow submerged water tables); improve process-based model capabilities to predict sensitivities (particularly nonlinearities) of CH_4 flux to variations in key controlling factors. This will bring together local process-based understanding with larger-scale atmospheric flux maps and inverse approaches.
- Evaluation of national wetlands inventories (Canada, U.S., and Mexico) for completeness, for their classification suitability for wetland/CH_4 process modeling, for the adequacy of spatial scales, and for the frequency of updating; ensure that appropriate wetland classifications are incorporated into future national and continental land cover database development.
- Development of a coherent, continent-wide data set for determining CH_4 emissions from landfills and wastewater treatment. Emissions inventories are now based on per capita waste production, human population distribution, and waste management practice. To tie these emissions to the actual sources (landfills and wastewater treatment facilities) will require organization and evaluation of existing data on location, size, and activity of these facilities, and perhaps collection of additional information. Patterns of waste management are changing rapidly, so these data will require frequent updates (<5 years). Even though closed landfills could be a significant but declining source, rough estimates of their emissions should be made.
- Organization of available databases on confined animal feeding operations and the natural gas distribution network for CH_4 emission analysis, and evaluation of their adequacy.

2.3 Responses to changes in disturbance regime, forest management, and land use

Chronosequence studies will be undertaken to better understand disturbance and recovery. For diagnostic and prognostic models to adequately simulate the carbon dynamics of North America, they need to be evaluated in the context of studies that have examined the short- and long-term responses of carbon storage to disturbances, forest management, and land use. Short-term responses include immediate losses of vegetation and soil carbon to disturbance; e.g., emissions of carbon in fires or the loss of vegetation carbon to wood products. Long-term responses include changes in vegetation and soil carbon after disturbance or a change in land use; e.g., the changes in carbon pools after agricultural abandonment. Because responses of carbon storage may occur on the time scale of decades to centuries, there are very few longitudinal studies that have completely tracked the temporal response of ecosystem carbon dynamics to changes in disturbance regimes, forest management, and land use. Instead, there has been a reliance on chronosequence studies that examine a snapshot of ecosystem carbon storage along a sequence of stand ages to infer how carbon storage changes through time. True chronosequences are rare because of the difficulties in controlling for variables other than stand age. There is a need to (1) synthesize current understanding from available chronosequence studies, and (2) identify the needs for new chronosequence studies. In addition to documenting how carbon storage changes in ongoing and new chronosequence studies, studies should be conducted to understand why carbon storage is changing. Controls over fluxes should be evaluated by the complementary use of biometric studies, eddy covariance techniques, and isotope studies.

Prediction and management of carbon storage responses requires an ability to predict how disturbance regimes, forest management, and land use will change in the future. Both environmental and socio-economic factors influence these issues. For example, fire disturbance depends substantially on climate, an environmental factor, and fuel, which has largely been influenced by human fire suppression in some parts of the U.S. Forest management and land use are substantially influenced by environmental factors (e.g., droughts), and economics (e.g., timber prices). Environmental and socio-economic controls are important to understand in the context of policies that may be implemented to influence carbon storage. Progress on understanding environmental and socio-economic controls over disturbance regimes, forest management, and land use has largely been limited to case studies. There is a need to synthesize understanding from case studies into regional-scale models that are evaluated in retrospective studies. The testing of these models in a retrospective fashion will allow them to be used for scenario generation. The scenarios can then be modified by alternative policies to evaluate how the implementation of policy decisions may influence future carbon storage in North America.

2.4 Responses to agricultural and range management

The U.S. land surface is about 33% forest, 33% rangeland, 28% cropland and pasture, and 5% urban and other developed areas. Accurate carbon budgets must account for fluxes to/from all of these land types, and reflect changes in land use and land cover that occur in response to social and economic factors (currently ~400,000 ha of cropland are converted annually to other uses).

Rates and magnitudes of carbon exchange between the land surface and atmosphere are estimated by integrating detailed land use data from remote sensing, calculations of biomass accumulation in crop and grasslands from remotely sensed reflectance data coupled with the output of physiologically-based crop growth and yield models, and application of a linked model to simulate the fate or partitioning of assimilated carbon. The model output can be used in a bottom-up calculation of the carbon budget (**Section 1.4**), and the model can be incorporated into the data assimilation/fusion framework (**Section 1.5**), which effectively provides real-time adjustments to the parameters of the model to conform to observed concentrations and fluxes in cropland areas. Biophysical models for agricultural land are based on data for land use and crop/biomass production collected in extensive crop surveys by State Agricultural Statistics Services and by USDA's NASS, aggregated by county or Agricultural Statistics District. The procedure accounts for removal or export of carbon from the landscape as required for a geo-referenced carbon budget (i.e., what might be measured by a program of atmospheric observations). Changing land use/land cover (for all land uses) at regional and national scales can be validated by comparison with the National Resources Inventory developed by USDA-NRCS. The use of ecoregions or agroecoregions indexed to the model input and output parameters will be essential for the scaling-up procedures needed to characterize broad regions and the entire continent.

Eddy covariance towers strategically placed within major crop regions could provide the basic data to derive daily or hourly fluxes that will be required for interpretation of atmospheric data. Leveraging this effort will be existing AmeriFlux and USDA-ARS tower sites such as the flux tower arrays recently established on corn and soybean fields near Ames, Iowa. Soil and plant samples required for calibration of carbon contents and ground-truthed measurements of soil respiration rates, LAI (predicted by MODIS 250-m data and required for certain crop growth and yield models), and other key parameters will be obtained and analyzed by staff at laboratories associated with flux sites and at St. Paul, Minnesota, and Madison, Wisconsin. Close coordination of flux monitoring networks operated by different agencies (DOE, USDA) and university sites will be required.

Use of moderate and high spatial resolution aircraft (e.g., AVIRIS, LVIS) and satellite (e.g., MODIS, Landsat) remotely sensed data to monitor land uses and areas planted to specific crops provides a critical GIS layer. This facilitates relating crop data output to soils data and results from other components of the project, such as tower and aircraft flux measurements and spatial integration of model output.

Productivities in grasslands and arid lands vary widely due to extreme interannual variability in precipitation, unless moderated by inputs of fertilizer and/or water. Annual flux data show that rangeland may function as a carbon sink or source at different times, depending upon precipitation and other weather events. Net carbon exchange of grasslands and arid rangelands can be directly related to absorbed photosynthetically active radiation (APAR) determined from meteorological and MODIS (or SPOT) data, conditioned by tower flux data for representative sites. Environmental data layers, including soils data from the STATSGO database, will quantify the environmental drivers of rangeland CO_2 flux and be used to develop robust algorithms for predicting fluxes. It should be possible to map net carbon uptake or loss at 1-km of finer resolution using remote sensing combined with tower flux and crop data. Satellite-driven estimates of GPP and NPP will be particularly valuable in complex terrain where eddy flux measurements have advection errors.

Urban and suburban lands occupy only a small percent of the North American land surface but are expanding relatively quickly. Recent studies of carbon storage by urban trees suggest that C sequestration rates on these lands may be several times higher per tree than in intact forests. Urban sites typically experience higher temperatures, elevated levels of atmospheric CO_2, wider spacing of stems, and higher pollutant levels than forest sites and thus may be useful surrogates for future conditions. Ongoing USDA-FS studies of urban C sequestration and LTER urban studies will contribute towards understanding C storage in these lands.

2.5 Responses of carbon as it moves from land to surface waters, and moves from surface waters to coastal and oceanic ecosystems

Water is the largest natural conveyer of carbon, nutrients, and sediments across the landscape to the ocean, and subaqueous burial of organic matter in sediments is the definitive natural long-term mode of organic-carbon storage in the geologic record. Wind transport is of secondary importance, but deserves consideration. The river-borne mass movement of carbon (1 to 2 Gt C yr^{-1}), while small compared to biosphere-atmosphere exchange (on the order of 50 Gt C yr^{-1}), is nevertheless comparable to perceived imbalances in the carbon cycle. The present dynamics of water and sediment movement in North America are strongly influenced by current glacial-interglacial transition (last 21,000 years), immigrations of humans onto the continent and concomitant land modification (12,000, then 500 years), and the development of major agricultural, hydrologic, and infrastructural engineering (rapid acceleration over the last 500 years).

The physics, chemistry, and biology of carbon storage on land are quite different from the ocean. Land is discussed first, followed by the land-ocean interface.

2.5.1 Hydrologic transfers and transformations of carbon

A singular complexity in working with the coupled carbon, water, nutrient, and sediment cycles is our inability to use remote sensing to characterize most of the sedimentary sinks or to evaluate mass movement of sediment and carbon across the landscape.

Characterizations of carbon sources and sinks associated with deposition of colluvium, alluvium, and lacustrine sediments and soils are limited by the ability to identify and map these deposits. At the scale of the conterminous United States, this is a daunting task. There are, for example, almost 70,000 reservoirs, and at least a comparable number of natural lakes, ponds, and wetlands. There may be as many as 10^7 km of alluvial channels. More than half the sediment and carbon eroded from landscapes may be stored in upland colluvial and alluvial deposits, yet the scale of these deposits is smaller than the resolution of most geospatial data, and the vegetation that covers them is often virtually identical to that of the surrounding erosive landscapes. Moreover, the rates of sediment and carbon deposition in these deposits can only be estimated by careful on-site fieldwork. Likewise, while the areas of alluvium, lakes, reservoirs in large river basins, as well as deltaic and coastal marine deposits, can be measured from maps, rates of deposition can only be assessed with careful fieldwork, using surveys from boats and trucks.

Evaluation of the mass movement of material through river systems requires direct measurement at gaged cross-sections that cannot be done remotely. These cross-sections are also expensive to maintain and sample. Water in the channels of most larger rivers is not well-mixed across the channel, and sediment is never vertically well-mixed. A single measurement of mass transport through a channel cross section requires the collection of water samples across the entire channel. Recent technologies (notably Teflon nozzles that admit water at the velocity of the surrounding flow into a Teflon bag) allow collection and analysis of a single, integrated sample that is both uncontaminated and representative. Furthermore, much of the transport of sediment and nutrients is during flood events, often during a few days per year, decade, or century. Such events are obscured by storm clouds and in headwater regions are best sampled with automated systems. In the future denser measurement networks and emphasis on event sampling would be ideal.

The limitations placed on sampling and remote sensing have pushed landscape-scale studies of hydrologic and geomorphic processes in the direction of coupling physically-based models to observation-based hydrologic networks. These models are now assembled using GIS. Hydrologic models are at considerably higher stages of development than are models of chemical transport or of sediment erosion, transport, deposition, and remobilization. These models are based on high-resolution (30 m nationwide and finer locally) digital-elevation models (DEM) of topography and GIS compilations of other landscape data, such as geology, soil, land cover, land use, etc. The hydrologic models can be driven by data from real weather. Wide varieties of physical and biological process are incorporated into the models, forming the hydrological framework for modeling the transport of chemicals or sediment. The transport models are so complex that while most modelers acknowledge the physical, chemical, and biological processes that drive mass transport and transformation, their models are largely empirical in detail.

Recommendations include:

(1) Measure carbon storage and transport on entire regional landscapes so as to include features such as large-river floodplains, wetlands, lakes, agriculture, and urbanization. A possible option would involve detailed assessments at the sites used for process-based studies (described under Question 1, **Section 1**) and the development of GIS-based tools to use available DEM and geospatial data to extrapolate sediment storage over larger regions.

(2) Augment measurement networks for fluvial mass transport. The premier national stream-gage network is operated by the U.S. Geological Survey (USGS). The USGS operates about 7,000 stream gages to measure water discharge. In addition, about 600 of these are water-quality stations. Many of the water-quality stations include sediment, carbon, and nutrients in their suite of measurements

(3) Quantify the transformations of inorganic and organic carbon in river estuaries, the final step in land-ocean exchange. This includes measuring the net autotrophic versus heterotrophic balance of the systems, local air-sea exchange, sedimentation and burial, and lateral exchange with the coastal oceans.

A large portion of the gages and water-quality stations are funded through federal, state, and local partners, who may not be funding measurements needed by the NACP. A water-quality site requires tens of thousands of dollars per year to fund gage calibration, sampling, and analysis.

Of the USGS water quality stations, many are part of federally funded research- or assessment-based programs and could be integrated into the NACP. These are:

- National Water Quality Assessment (NAWQA) - assesses the occurrence, distribution, and fate of chemical contaminants in water, bottom sediments, and the tissues of living things to understand and monitor changes in the quality of U.S. freshwater resources; uses a multi-year campaign style to assess meso-scale river basins;
- National Stream Quality Accounting Network (NASQAN) - provides ongoing characterization of the concentrations and flux of sediment and chemicals in the largest rivers of the U.S.; uses fixed sites and campaigns, such as a current study of carbon in the Yukon system;
- Hydrologic Benchmark Network (HBN) - provides long-term measurements of streamflow and water quality in areas that are minimally affected by human activities;

- Water, Energy, and Biogeochemical Budget (WEBB) sites - designed for process-level research in five headwater regions.

Notably, NAWQA, NASQAN, and HBN do not have adequate funding for intensive, event-based sampling.

Riverine carbon is a mix of soil carbon eroded from uplands and autochthonous carbon produced by plants within water bodies. Presently, more of this carbon is being stored in sediment because of accelerated erosion and autochthonous carbon generated by river-borne artificial fertilizers. Current estimates indicate that ~90% of sediments eroded from North American uplands never reaches the ocean. Landscape position and spatial scale have major effects on the style of sediment and carbon storage. Storage in upland landscapes is on hillslopes as colluvium and alluvium in small channels. This sediment and associated carbon are repeatedly stored and mobilized on their way to long-term storage. For higher-order channels in larger river systems, alluvial and lacustrine storage becomes more important. When rivers enter the ocean, deltaic systems and coastal sedimentation store almost all the remaining sediment and an unknown amount of the associated carbon. If substantial eroded soil carbon is buried with the sediment, and if it is replaced by new photosynthetic carbon at the site of erosion, then sedimentary storage of eroded soil carbon can be a significant carbon sink. This carbon storage will be enhanced by autochthonous production and burial of carbon induced by artificial fertilizers transported into wetlands, lakes, and coastal waters. Thus, the sedimentary carbon cycle represents a formidable potential carbon sink in North America and in surrounding coastal waters.

Agricultural and hydrological engineering has dramatically altered interactions between water and the landscape. Styles and patterns of erosion, transport, and deposition in engineered landscapes often bear little resemblance to those in the preceding natural landscapes, thus changing rates of runoff and erosion. Water is stored, mined, and diverted to irrigate formerly dry soils. Land clearing, tillage, terracing, and tiling have completely changed interaction between precipitation and soils in wetter landscapes. The storage of water in reservoirs for irrigation, human consumption, power generation, risk management, and navigation has greatly increased water residence times in the terrestrial environment, enhancing sediment storage on land and autochthonous production of carbon, which is, in turn, enhanced by inputs of artificial fertilizers. The straightening of rivers and the construction of levee systems and revetments has altered the interaction between large rivers and adjacent alluvial landscapes.

Virtually all aspects of the erosion (detachment), transport, deposition, and remobilization of clay-sized sediment are still speculative and treated with empirical models. Even advanced models, such as the hillslope erosion and deposition model Water Erosion and Prediction Project (WEPP; **http://www.geog.buffalo.edu/~rensch/geowepp/**), show large and systematic under- and over-predictions on the sites where the model was developed. The sources of these errors are not understood. Site-based, process-level studies must consider features of several scales. Small catchment research sites that are part of the research watersheds run under the auspices of NSF-LTER, USGS-WEBB, USDA-FS, and USDA-ARS represent ideal headwater areas. However, none of these is suitable for studying the dynamics of large-river features such as floodplains. Thus, additional sites are needed to study characteristic features of larger rivers, such as swaths of floodplain, large wetlands, lakes, reservoirs, and deltas.

Models of hydrology and hydrologic mobilization, transport, and deposition must be coupled to models of soil carbon and autochthonous carbon production in water bodies. To facilitate testing, the coupled models should be designed to predict dissolved and solid loads in rivers and to track a suite of isotopes (C-12, 13, 14; N-14, 15; Cs-137; Pb-210).

Techniques must be refined to go from the field scale (sub-meter) to the geospatial data-scale (30-meter). Even where models have become quite sophisticated (such as WEPP), currently available satellite or digital elevation data do not resolve the detailed shape of hillslope, natural vegetation, or daily agricultural cropping practices needed to implement these models on a regional basis. Confounding this further, the meteorological data (e.g., Radar-derived precipitation) needed to drive these models are only available on a much coarser grid (kilometers).

Coastal ocean carbon cycling is substantially impacted by terrigenous material arriving in rivers. Documenting and understanding the processes that control carbon sources and sinks in coastal oceans will require studies of the transport of both dissolved and particulate organic material in rivers, and the fluxes of these constituents into the marine environment. Transformation of these materials in estuaries (both by sedimentation and by biological cycling) may contribute to either sources or sinks in these areas. Nutrient runoff from agricultural regions leads to very high rates of delivery of nutrients to some coastal zones. For example, nutrient deposition in the Mississippi River has resulted in severe eutrophication in the Gulf of Mexico, dramatically altering the carbon cycle of this region. Impacts on air-sea exchange of CO_2 are unknown, but must be studied as part of the source attribution component of NACP.

The increase in riverine inputs of N (and P) due to eutrophication and the decrease in silicon inputs due to retention can affect the ratio of nutrients available to the phytoplankton community, thereby altering the food web of RiOMar environments. For example, the frequency of diatom blooms has decreased and dinoflagellates and gelatinous species have become more important offshore of the Danube River. Diatoms play a critical role in the sequestration of CO_2 from the atmosphere via the "biological pump." There has been a significant increase in the amount of organic carbon transported from land and stored in coastal zone sediments due primarily to fossil fuel CO_2 emissions to the atmosphere, changes in land use practices, and sewage discharges. In addition, increases in the riverine inputs of nutrients (N and P) from land may be driving the trophic state of associated coastal zones toward net production and storage (autotrophy), thereby increasing the potential role of river-ocean margins as a sink for atmospheric CO_2. The direction of future change in net ecosystem production in the coastal zone strongly depends on changes in the relative magnitudes of organic carbon and nutrient fluxes to the coastal zone via rivers. The ultimate fate of organic carbon in river-ocean margins (burial or export) strongly depends on the biogeochemical response to changes in riverine input, which are driven by human alterations within the drainage basin.

2.6 Ocean measurements and models

A network of ocean measurements and coordinated modeling will contribute to the NACP backbone of long-term observations. The ocean component is designed to leverage existing programs to define the net effect of the marine system on the CO_2 concentration of the air exchanging with continental air masses. In the absence of this component, inverse studies and data fusion results could be biased by unresolved CO_2 fluxes in coastal waters and adjacent open ocean basins.

As discussed in detail in the OCCC report (Doney et al., 2004), many basic aspects of the ocean carbon system are inadequately understood, directly impacting the ability to make realistic future projections and or assess potential carbon management scenarios. The report describes a series of targeted, mid-sized multi-disciplinary process studies that are directly linked to existing and proposed open-ocean and continental margin time-series stations in the Atlantic and Pacific Oceans. Particularly relevant to NACP are the studies on the responses of upper-ocean ecosystems and air-sea CO_2 fluxes to interannual climate variability (OCCC Section 6.1); land-ocean exchange and carbon cycling in the coastal ocean and along continental margins (OCCC Section 6.2); and the mechanisms of air-sea gas exchange (OCCC Section 6.3).

Focused research on improving forward or prognostic models is also required to improve future climate projections and to develop a better fundamental understanding of the ocean carbon system at a mechanistic level (Doney, 1999). This work should occur concurrently with process and diagnostic studies. Significant expansions of the current large-scale ocean carbon modeling effort is required, with particular emphasis on developing more sophisticated ecosystem components and incorporating more realistic coastal and continental margin dynamics into basin and global simulations. Because of the high temporal/spatial variability and unique biogeochemical processes of the coastal environment, the latter objective likely will require a variety of techniques including multi-scale model embedding. Close collaboration between the field and modeling communities is required during the planning stages for individual process studies to ensure that the appropriate information is collected to improve and evaluate ocean numerical models.

2.7 Human institutions and economics

Human activities are major controls on the sources and sinks of CO_2, CH_4, and CO. The effect of land use change and management has already been discussed above in Sections 2.2, 2.3, and 2.4. The focus in this section is on energy choices, technological development, economic development, consumer preference, and other human dimensions that have a major impact on the growth rate of CO_2 in the atmosphere. Choices of energy sources, for example, have a major influence on the growth rate of CO_2 in the atmosphere. It was not until humans began using fossil fuels for a source of energy in a major way that the rise of CO_2 in the atmosphere became a concern. Uncertainties in the human activities portion of the carbon cycle dwarf those uncertainties in other components of the carbon cycle (IPCC, 2001). Predicting the future evolution of carbon sources and sinks, therefore, requires an understanding of the major human processes affecting the carbon cycle. Although some of this research agenda is likely outside the scope of the NACP and may be conducted through other venues, this topic area cannot be ignored to understand the most important drivers of change in the carbon cycle.

2.7.1 Social and economic forces

There is a need to understand some of the driving forces that affect fossil energy consumption and, therefore, the growth rate of CO_2 in the atmosphere, such as sources of "endogenous" technological change, intended and unintended effects of past policies, and the causes of rapid changes in human activities and lifestyles. This might be facilitated by developing good historical records, as well as development of new indicators that can facilitate analysis of various development paths for carbon intensity over North America.

2.7.2 Technological change

The U.S. Climate Change Technology Program (USCCTP), the technologically-focused companion to the U.S. Climate Change Science Program (USCCSP), emphasizes developing new technologies for carbon sequestration and energy sources. In particular, programs are being conducted in alternate fuels, hydrogen, renewable energy, energy efficiency, etc. The impact of these technological advances on the future evolution of carbon emissions over North America is not known.

2.7.3 Institutional action

Many corporations and U.S. local and state governments now include awareness of carbon emissions in their business or policy strategy. In addition, the government of Canada has committed to an extensive program of GHG reduction research and application. The effects of corporate and public sector policy changes on the evolution of carbon emissions over North America are not fully understood and could be major factors in the future. The "reverse" type of research is also needed: identifying the policy changes required to achieve a given outcome rather than only analyzing the likely results emanating from a policy.

2.7.4 Socio-economic aspects of land use change and management

Understanding the controls on changes in carbon stocks and fluxes on land requires an understanding of the dynamics of landowner choices in land management, including economic drivers, influence of international trade pressures, federal, state and local regulations, and national incentive programs. Synthetic study of policy, institutional structures, economic leverage points, and cultural characteristics of different regions of North America is fundamental to determining contemporary fluxes of carbon today. The development of appropriate temporal and spatial scales for analysis is necessary. In addition, focus on the "slow" human dimensions variables such as long-term effects from land management policies needs consideration. Development of human dimensions data to support analysis of land use management practices in different regions of North America (**Sections 2.2 and 2.3**) is needed. Finally, needed is identification of historical patterns of cultural characteristics that affected carbon and land use management over the past 300 years, such as pioneer settlement incentives.

2.7.5 Integration

As with biogeochemical components of the carbon cycle, there is a need for integrated understanding of how economic, social, and technological forces interact to affect the carbon cycle. Economic drivers of land use and management, for example, also affect settlement patterns and transportation choices, and therefore have a host of impacts on carbon exchange.

3.0 Question 3 (Prediction): Are there potential surprises? Could sources increase or sinks disappear?

A major challenge to projection of potential scenarios of future climate and management of the carbon cycle is the unknown future trajectories of current carbon sources and sinks. Many of the currently operating terrestrial sink mechanisms (e.g., forest regrowth, nutrient deposition, and boreal warming) are expected to saturate in coming decades, and some may even lead to new sources of greenhouse gases. The accelerated development and improvement of process-based models of carbon fluxes and storage (Question 2) and the deployment of a comprehensive observation and analysis framework for diagnosis of the changing carbon cycle (Question 1) provides an opportunity for substantial improvement of the ability to project future changes. NACP will support prognostic studies of carbon cycle dynamics, and by integration of these activities with those described above, will allow unprecedented opportunities for model evaluation and quantification of uncertainty.

3.1 Greenhouse gas emissions

The level of GHG emissions from fossil fuel combustion depends on a complex set of interrelated technological, energy demand, and economic/social/policy factors. These factors include the efficiency of fossil fuel combustion technologies in use; capital vintage and turnover rates for fossil fuel combustion technologies; replacement, retrofit, and alternative technologies currently available; and the rate of development and adoption of advanced technologies. Energy demand is the amount of energy required by a given population to fulfill its desire for specific energy services such as lighting, heating, and mobility. Energy demand is influenced by overall population, age distribution, the level of affluence, consumption patterns, level of urbanization, as well as climate and weather. Economic, social, and political factors include regulation of fossil fuel combustion facilities and technologies, subsidies or other means of financial support for fossil fuel combustion facilities and technologies, lack of economic or regulatory support for non-fossil fuel combustion technologies, and degree of emphasis on efficient supply of energy services.

There has been much research and analysis on these issues. A wide variety of techniques and models exist that are used to estimate the effect of specific factors, to project future fuel use and emissions, and to understand the mechanisms through which fuel use or emissions can be altered. Forecasting remains uncertain and a large measure of this uncertainty may be irreducible, although improvements in data and application of appropriate modeling and estimation methods can reduce the range of estimates in the literature. In particular, much of the previous efforts have been at scales too fine (e.g., local, daily air pollution analysis) or too coarse (monthly annual national or global inventories) for NACP applications. Therefore, an immediate need is to find and assimilate data at appropriate scales. A major challenge is simply the timely availability of data on fossil fuel use and GHG emissions at suitably detailed sector and spatial levels. A major research effort in modeling is needed to better integrate the technical, economic, policy and social factors that influence greenhouse gas emissions in an appropriate modeling framework.

Improved data on emissions and policy evaluations, combined with adequate tools to address climate change, will help to (1) characterize the various factors that affect fossil fuel combustion and related GHG gas emissions; (2) project fossil emissions as function of climate variability; (3) create a set of fossil emission scenarios as a function of the socio-political-economic driving factors outlined above; and (4) improve estimates of current year fossil emissions.

3.2 Rivers and coastal oceans

Large, but poorly quantified, amounts of carbon are currently stored in shallow marine sediments as methane hydrates. Some research has suggested that a warming climate may make these deposits unstable. Geological and paleoclimatic evidence suggests that destabilization of these compounds has been linked to historical episodes of rapid global warming. The potential for positive feedback between climate and the release of methane from methane hydrates points to the need for more research on this potential climatic "surprise." Important research questions include: How much methane is actually stored in such sediments? Where is it? What changes in the temperature and pressure of the water are required to destabilize methane hydrates? How likely and when are these changes to actually occur?

Many of the terrestrial sedimentary carbon sinks are developed in depressions within a young landscape formed during the last glacial-interglacial transition. As sediments accumulate, many of the smaller depressions (i.e., small reservoirs, ponds, and wetlands) will fill. Moreover, about half of the wetlands in the conterminous United States have been eliminated by draining or by flooding behind dams. The future evolution of carbon stored in these ephemeral settings is presently unclear and requires investigation.

The behavior of many hydrologic systems is marked by dynamics that appear to be predictable over some range of conditions. Outside this range of conditions, the dynamic behavior can be so markedly different that the "rules of thumb" or models derived from familiar behavior fail to predict the salient features of this new behavior. Many of the numerous interactions and feedbacks are highly non-linear, and the thresholds between dynamic states of a hydrologic system can be quite difficult to discern.

Typically the thresholds that may affect the dynamic state of a hydrologic system are recognized through comparative studies among many watersheds. The study of any single smaller watershed seeks to identify those processes and phenomena that predominate in controlling the behavior of watersheds for some range of conditions (climate, substrate, land cover, etc.). The comparison serves to identify conditions in which the controlling processes are different and why. A comparison among small watersheds is inadequate because of scale-related thresholds that make it a challenge infer the hydrologic response of larger watersheds from smaller ones.

Given a history of major land cover change, hydrologic engineering, and presumed future changes in climate and weather, a thorough understanding of the thresholds that mark changes in hydrologic responses in watersheds is essential. Monitoring networks must encompass a broad, but representative, range of conditions such that the phenomena that dominate the behavior of hydrologic systems can be fully characterized and the transitions and thresholds that govern markedly different behavior can be sufficiently well understood that predictive models might be constructed.

3.3 Prognostic modeling

Anticipating potential future surprises in the climate system will require the development of improved predictive models that incorporate a broader range of processes and feedbacks than the current suite. Activities required to achieve this goal include: (1) incorporating synthetic information from process studies into prognostic carbon cycle models; (2) evaluation of disturbance regimes simulated by prognostic models in a retrospective context; (3) evaluation of changes in carbon storage simulated by prognostic models in the context of estimates developed from Question 2; (4) development of scenarios of changes in the drivers of prognostic models before (5) the models will be applied to evaluate sensitivity of carbon storage in the future. Finally, these results will be incorporated into fully coupled models of the climate system.

Coupled modeling of the carbon cycle and climate is still quite primitive. Most models used for climate assessment do not incorporate nutrient limitation or changes in carbon storage due to successional development following disturbance, agricultural, or other intentional land management. Many models are able to reproduce the current carbon sink without considering these mechanisms because they simulate unrealistically strong CO_2 fertilization effects. Incorporation of additional sink dynamics into coupled predictive models is essential to produce realistic scenarios of future sink behavior, and represents one of the highest priorities for climate model development. These models must be evaluated for their ability to reproduce historical carbon dynamics before they can confidently be used to predict future climate.

One important test of prognostic models will be their use in predicting interannual variations in the atmospheric CO_2 growth rate, with detailed comparison of these predictions to observations. This can be done retrospectively, but under NACP can also be extended to ongoing prediction and evaluation. Challenging predictive models with new observations of the carbon cycle will provide impetus for improved models which incorporate more realistic process information.

4.0 Question 4 (Decision Support): How can we enhance and manage long-lived carbon sinks ("sequestration")?

There are likely as many different definitions of "decision support" as there are users of information. Perhaps the common denominator among them is that information provided in the name of decision support must be both timely and useful. *The Strategic Plan for the U.S. Climate Change Science Program* (USGCRP, 2003) defines decision support resources as "the set of analyses and assessments, interdisciplinary research, analytical methods (including scenarios and alternative analysis methodologies), model and data product development, communication and operational services that provide timely and useful information to address questions confronting policymakers, resource managers and other stakeholders." It is only a subset of scientific information that may be relevant to decision making, but for that category of endeavor careful attention must be paid to the interface between the two.

For information to be timely and useful, information providers must be knowledgeable about the stakeholders and issues that they are hoping to inform. They must understand who the decision makers are, at what scale they operate, and how their decision process works. Without this knowledge, the information produced may have relevance, but would be largely unusable simply because it is not delivered at the proper time or is presented in an unfamiliar or irrelevant format.

This entire area of emphasis must be more fully explored by an NACP "decision support" working group. Decision support is one of the least mature areas for the NACP as it does not have a long history of related research and information upon which to build. Yet, it offers exciting opportunities for NACP research to be more useful to society as corporations, scientists, and governments are explicitly exploring carbon management. The decision support working group will focus on identifying stakeholders for information coming out of NACP, developing means for engaging users on a sustained basis, organizing systems for ongoing feedback between decision makers and the NACP research stream, and identifying technology and human resources that are accessible to users throughout the life of the NACP.

The research agenda for how the North American Carbon Program can support decision making in enhancing and managing long-lived carbon sinks (e.g., sequestration) is still largely unexplored. Research on sequestration is underway under the auspices of the U.S. Climate Change Technology Program. USDOE and USDA are leading the way on investigating terrestrial, geologic, and oceanic sequestration options.

There is, however, a suite of research questions that interface the issues germane to carbon cycle science and to technologically-driven carbon sequestration. These include questions regarding social and economic factors, land use change and management, longevity of sinks, scenario development, and assessment of sequestration options. These issues affect the future evolution of GHGs in the atmosphere, evolution of carbon sinks on land and in the ocean, and consideration of our technological options.

Beyond studying the scientific issues that might be important for NACP to consider for decision support, there is a related branch of inquiry that is necessary for effective decision research. The first step is understanding why people make the decisions they do in various sectors and how those decisions, in turn, affect carbon budgets and the evolution of the carbon cycle. As a next step, research must be undertaken to understand who might use information emerging from the NACP and how their decision processes work. This approach, which centers on working across disciplines and engaging policymakers, resource managers and other stakeholders, is outside the realm of traditional carbon cycle science. *The Strategic Plan for the U.S. Climate Change Science Program* (USGCRP, 2003) has placed an emphasis on decision support, which will most likely involve an integration of effort between the science element (such as carbon cycle) and the decision support element. A strategy will be developed for decision support within the NACP that will interface with other elements of the USCCSP, such as decision support and the USCCTP. The establishment of a decision support working group as discussed above will be necessary to fully develop such a strategy. The components listed below are considered starting points for discussion of such a working group.

4.1 Social and economic factors

Given that carbon sequestration would add to the cost of providing energy, energy providers will likely not engage in large-scale carbon sequestration projects without a financial incentive. This incentive could come from a number of venues, such as consumer pressure, state or federal price signals, competition, etc. Understanding the options for carbon sequestration therefore includes evaluating the relative effectiveness of these incentives, the social environment, and policy climate under which business will be operating. Pilot voluntary markets have been implemented which will trade "credits" for carbon sequestration according to certain criteria; monitoring and following the evolution of these markets is a ripe area for research.

The science community has already encountered some social resistance in the form of objection to a pilot ocean sequestration study off the coast of Hawaii. Some of the social factors to be studied include acceptance of carbon sequestration, whether geologic, oceanic, or terrestrial. Environmental effects are also a key part of this research agenda. There are also some potentially positive economic and social interactions between carbon sequestration and conservation of terrestrial biodiversity.

4.2 Land use policy

Independent of energy policy in the United States, incentives are being implemented to encourage various land use management regimes (such as conservation tillage) which will have significant impacts on carbon sequestration on land. It is important to understand how effective such incentives are at storing carbon, and for how long land would have to be managed in this manner in order to keep the carbon sequestered.

Future trends in land use and management for agriculture and forestry systems will critically affect atmospheric GHG concentrations over the next 30-50 years, with important implications for regional and global climate. Among the feedbacks that characterize such coupled natural and human systems, land use and land use changes can in turn be affected by climatic change as well as by socio-economic trends and population dynamics. In the complex chain of events from population pressures on land use to land pressures on the regional and global environment, policy may also intervene by setting standards for local water and air quality or by developing rules for greenhouse gas emission limitations and/or trading of sequestered carbon. Interdisciplinary modeling efforts are thus needed to: 1) improve the biophysical understanding of processes and their linkages at many temporal and spatial scales, and 2) integrate and project realistic and consistent environmental and socio-economic scenarios that can inform decision making.

Suggested research includes:

- Enhancing existing dynamic ecological zones models, projecting spatially explicit, biophysically-based agricultural and forest land use and production, to include measures of land-based GHG emission/sequestration potentials as a function of land management.
- Assessing realistic current and future land use change scenarios by, for example, linking the ecosystem models to multi-regional and multi-sectoral models of the economy for a given region of interest; including trade-offs between agro-forestry production and other sectoral needs (energy demand, rural/urban development, water availability and use, etc.).
- Using linked models to analyze policy alternatives for land-based sectors, regionally detailed and over the next 30-50 years, focusing on the following research and policy questions: What happens to projected land use change under the simultaneous pressure of climate change and socio-economic drivers? What are consistent regional adaptation and mitigation strategies for GHG emissions, and how do these relate to food and fiber production? How do environmental policy considerations, such as, the ability to trade land-related sequestered carbon, affect the choice of optimal development paths? The guiding criteria of this analysis seek optimization of agro-forestry productivity in the face of potential effects of climate change that, at the same time minimize land-based GHG emission via reductions and carbon sequestration.

4.3 Longevity of sinks

Another critical factor for study is the mechanisms available to enhance and maintain existing and created carbon sinks for longer time. How vulnerable are current carbon sinks, and can they be protected and managed?
A great proportion of the terrestrial sink in the U.S. is due to forest regrowth and fire suppression policies over the past 100 years. If policies are enacted to encourage carbon sequestration, what mechanisms are necessary to ensure that land or ocean management occurs long enough ensure that carbon remains sequestered? Deliberate policy setting for managing resources in perpetuity (as would be needed for permanent sequestration) is a new area without much precedence. Sedimentary storage of organic carbon has the potential of being a long-term sink for anthropogenically-mobilized carbon. Over the history of the Earth, sedimentation has been the primary mode of organic carbon

sequestration. The identification of sedimentary settings and hydrologic engineering that encourages carbon sequestration has potential beneficial uses for sequestration.

4.4 Stakeholder/decision research

To best provide decision support to users of information from the North American Carbon Program, it will be necessary to begin new research into what sectors (e.g., utilities, transportation, land development, and agriculture) most influence the North American carbon cycle. A further step is to then understand the main drivers of their decision processes by working directly with stakeholders and decision makers in the field. The potential for NACP research to be useful to decision making also depends on understanding the scientific information on which decision makers currently rely, and knowing their time-scale for making decisions. Policies at different scales (e.g., local, state, and federal) can all have consequences for the carbon cycle, so understanding those options under consideration is necessary for effective decision support.

Little is known about the likely users and stakeholders of decision support information that might emerge from the NACP. Such users might include national carbon accounting researchers and U.S. government land management agencies in addition to private sector land trusts or land cooperative managers. To tailor NACP results in support of improved decision making, a formal process must be developed for gathering requirements and understanding the problems for which research can inform decision makers outside the scientific community. This process will include a feedback mechanism for ongoing communication with users. As mentioned above, a decision support working group will be constituted and tasked with further design of this and other priorities of the decision support component of NACP.

4.5 Integrated assessment of sequestration options

Scenarios for the evolution of the North American carbon balance under different policies and different economic conditions can be developed to assist in evaluation of various sequestration options. Finally, NACP science can be integrated with other research agendas to evaluate carbon sequestration options in the context of multiple factor decision processes.

5.0 Data and information management for the NACP

The previous sections describe a strategy for a highly integrated interdisciplinary research program for understanding, monitoring, and predicting carbon fluxes over North America and adjacent ocean regions. At the heart of this strategy is an integrated data and information management system that enables researchers to access, understand, use, and analyze large volumes of diverse data at multiple temporal and spatial scales.

The data required to address the NACP research questions will come from a number of sources and will be used for a wide array of activities as follows:

- Data from major diagnostic studies in which measurements of carbon storage on land and in the oceans and fluxes between reservoirs will be made in a coordinated series of experiments.
- Data from process studies on controls of carbon cycling will be used to improve mechanistic models.
- Data from process-based models will be used in conjunction with remote sensing and other spatial data to estimate net carbon fluxes and storage across the continent at fine spatial and temporal resolution.
- Data from diagnosis and process models will be used to improve prediction of future changes in the carbon cycle, and will continue to be evaluated against the ongoing diagnostic data.
- Data produced under NACP will be used along with prognostic models to provide decision support resources for policymakers, land managers, and other users of carbon cycle information.

Many of the required data streams exist today, but are not produced consistently at the time and space resolution needed, and the data are not assembled into an integrated set for data fusion (Wofsy and Harriss, 2002). Systems are in place for handling many of these individual data streams (e.g., remote sensing and forest inventory data), and the NACP data and information management system should build on these existing systems to meet the needs of NACP. Innovative new methods such as data assimilation and model-data fusion will require an integrated, responsive, and flexible data management system for NACP. The challenge for the data and information system is to facilitate the rapid and transparent exchange of large amounts of information from many data sources.

5.1 Data policy

Managing and integrating data for NACP requires an overarching data policy that provides open timely access to environmental data for North America. The policy needs to be established and approved by U.S., Canada, and Mexico, and will cover the types of issues presented in the text box below.

NACP Data Policy

A data policy for NACP needs to be developed and approved by the international partners based on data sharing and cooperation in support of the scientific goals of NACP. The NACP data policy should treat the following issues:

- Definition of the data that falls within the purview of the NACP data policy (e.g., primary observations, monitoring data compiled by U.S., Canadian, and Mexican agencies, site characterization, remotely sensed data, and ancillary data required by NACP);
- Timely release of data to NACP participants (e.g., no period of restricted access, within 6 months of collection, or other period);
- Timely release of data and documentation to the public (e.g., within 0, 1, or 2 years of collection);
- Timely documentation of data products;
- Protecting the intellectual property rights of data originators
  - Data users should contact data originators before publishing data,
  - Credit is given to data originators, through co-authorship, citation, or acknowledgement;
- Protecting the rights of students
  - Some institutions require that key data cannot be published prior to submitting dissertation;
- Acknowledging NACP and its sponsors;
- Establishing a process and timeline for archiving key NACP data; and
- Resolving conflicts over data and the data policy.

Data policies for international activities (LBA, 1998), interagency U.S. activities (USGCRP, 2003; http://www.climatechangescience.gov/Library/stratplan2003/final/ccspstratplan2003-chap13.htm), and NACP-related activities (COBRA NA 2003; Wofsy, 2003) serve as examples of how the NACP may treat these issues.

5.2 Data management framework

The goal of data and information management for NACP is to ensure data products required by the various elements of NACP are readily available when needed and in forms that are convenient to use. Success in accomplishing the unprecedented scope of NACP will require an integrated data system that supports the activities of the users – researchers, modelers, resource managers, and policymakers. The data management capability should enable NACP participants to conduct their work more readily, facilitate the development of new data fusion and data assimilation methods, and assist in gaining new insights into the data. Close coordination with the users of the data system, including clear identification of the required data and the data management functions, is a necessity. NACP participants and policymakers will be heavily involved in designing the NACP data system so that it supports their activities and adds value to NACP. Data managers will be an integral part of the team leading NACP, to ensure that the data system is responsive to NACP's changing needs.

A data management planning workshop held in January 2005 identified the data management functions required to address NACP research questions and planned the data and information management system. Among the topics the workshop considered are acquisition, distribution, and sharing of key data; centralized access to NACP data; standards for data and documentation; quality assurance reviews; tools to facilitate data acquisition, visualization, and analysis; data processing; and preparation of value-added data products. The workshop report and presentations are available on-line at **http://www.daac.ornl.gov/NACP/Data_Workshop.html**.

The data system designed should be flexible, because NACP data requirements and the data system will evolve to meet changing carbon cycle research and advances in computer technologies. The data system should also plan to make numerical models used in NACP available to program participants and the broader user community. Model codes, when made publicly available, can be used to understand the uncertainty of model results relative to results from other model or observations, enable others to see how models treat individual processes, and ultimately serve to improve carbon models.

A dedicated and central NACP data management group will coordinate data activities with the NACP participants and manage the data system. The NACP data management group will rely heavily on existing data systems of agencies contributing North American observations to the program, but there will be additional data functions that the NACP participants will require.

When multiple NACP groups need specific data products, there may be advantages for the NACP data management group to assemble value-added products. For example, concerted efforts may be needed to make land surface and climate data from the U.S., Canada, and Mexico available in a consistent grid and common projection; the data workshop needs to evaluate who prepares those products. For other data products with limited demand (e.g., custom input data for a specific model), it may be more appropriate for individual research groups to prepare the data. New data assimilation and data fusion methods for analysis of the carbon cycle on a continental scale will generate large volumes of fine-resolution temporal and spatial data. NACP needs to evaluate who will perform these new analyses and how the large volume of data produced by these methods will be handled.

Many of the data products that will be used for NACP are currently being archived and distributed by agency or national data centers. Plans should be made for the long-term archival and distribution of key NACP data products, including value-added products generated by the project.

5.3 Data required for NACP

To serve the end-users of NACP data, the program needs to identify the major data components required. With the data requirements established, NACP can design an appropriate approach for data management. The data required and produced to achieve the NACP objectives are highly diverse and include data from the following: model input and output, monitoring networks, intensive field studies, airborne measurements, and remotely sensed and value-added products. One of the main challenges for data management is handling the anticipated large volume of coast-to-coast high-resolution spatial and temporal data produced by the data assimilation methods.

Tables 5 – 11 provide an initial assessment of the data required for NACP, based on current state of carbon cycle science and the Terrestrial Carbon Observations Program (Cihlar et al., 2001). The data tables are not simply an inventory of existing data, but rather an evolving list of the critical data required to meet the goals of NACP.

Table 5. NACP Model Output Data Products
Products prepared by NACP for use in assessments and for addressing the NACP research questions

Product type	Spatial extent	Variables represented[1]	Spatial resolution/ attributes	Start year	Potential product provider/supporter
Integrated fluxes	North America	NEE	Polygon (coarse)	2007	Investigators/models
	Regional	NEE	Polygon (fine)	2005	Investigators/models
Terrestrial ecosystem fluxes	North America	NPP, NEP, NEE	~1 km	2005	MODIS, NASA Investigators/models
	Regional	NPP, NEP, NEE	$\leq$1 km	2005	Investigators/models

[1]Net Ecosystem Exchange, Net Primary Productivity, Net Ecosystem Productivity

Table 6. Atmospheric Constituent Products

Product type	Spatial extent	Variables represented	Spatial resolution/ attributes	Start year	Sampling frequency	Data source[1]	Data provider[2]
Flask network	North America	CO_2, CH_4, stable isotopes	~125 sites	1968	Weekly	NOAA-CMDL	NOAA-CMDL, DOE-CDIAC
Continuous stations (towers, buoys)	North America	CO_2, CH_4, CO	~50 sites	1995	Hourly	NOAA-CMDL, AmeriFlux	NOAA-CMDL, DOE-CDIAC & AmeriFlux
Aircraft profiles	Regional	CO_2, CH_4, CO	Point, lines	2003	Variable	NOAA, NASA	NASA
Remote sensing products	North America	CO_2, CH_4, CO	Gridded	Variable	Variable	MOPITT, AIRS, TES, OCO	NASA

[1] Climate Monitoring and Diagnostics Laboratory (CMDL); Measurements of Pollution in the Troposphere (MOPITT); Atmospheric Infrared Sounder (AIRS); Tropospheric Emission Spectrometer (TES); Orbiting Carbon Observatory (OCO)

[2] Carbon Dioxide Information Analysis Center (CDIAC)

Table 7. Flux Data Products

Product type	Spatial extent	Variables represented	Spatial resolution/ attributes[1]	Start year	Sampling frequency	Data source	Data provider[2]
Flux tower network (Eddy covariance)	Continental	CO_2 flux	Sites (<~1 km)	~1992	Half-hourly and coarser	AmeriFlux	DOE-CDIAC, AmeriFlux
Flux tower network (Eddy covariance)	East-West Transect in Southern Canada	CO_2 flux (half-hourly and coarser)	Sites (<~1 km)	~1994	Half-hourly and coarser	Fluxnet-Canada	Fluxnet-Canada ORNL DAAC
Flux tower network (Bowen Ratio)	Western U.S.	CO_2 flux	Sites (12 in western U.S.) (<~1 km)	~1996	Half-hourly and coarser	Rangeland Flux Network	USDA Rangeland Flux ORNL DAAC
Net Primary Productivity	Continental	Photosyn./ Primary Productivity	1 km, Sin Projection	2000	8-day and coarser	MODIS (NASA)	LP DAAC
Fossil fuel emissions	North America	CO_2, CH_4, CO, isotopes	10 km	Ongoing	Monthly, with synoptic and diurnal cycles	Investigators	DOE-CDIAC
Fire occurrence	North America	Yes/No	1 km	1999	Daily	MODIS NASA	NASA
Fire extent	North America	Area burned	1 km	1999	Daily	MODIS NASA	NASA
Fire emissions	North America	CO_2 flux	1 km	2000	Daily	Investigators	NASA

[1] Uncertainties and improved spatial and temporal resolution required

[2] Oak Ridge National Laboratory Distributed Active Archive Center (ORNL DAAC); Land Processes DAAC (LP DAAC)

Table 8. Land Cover/Use Products

Product type	Spatial extent	Variables represented	Spatial resolution/ attributes	Start year	Data source[1]	Data provider[2]
Land cover	North America	Cover type	~30 m	~1975	Landsat, TM	NASA
Land cover coarse	North America	Cover type	≤ 1 km	~2000	MODIS, VIIRS	NASA
Land use (present and historical; including management)	North America	Land use	≤ 1 km	5-year intervals beginning in 1982 for agricultural use	Land cover, other products	USDA Various
Land cover change	North America	Land cover change	< 1 km	Annual	MODIS, EO-1, ALI VIIRS	NASA USDA
Vegetation structure/ biomass	North America, strategic sampling	Volume, Biomass	< 50 m	Annual, Intermittent	AVIRIS, LVIS, MISR PALSAR MERIS	NASA NASDA ESA

[1] Land Remote Sensing Satellite – Thematic Mapper (LANDSAT TM); Visible Infrared Imager/Radiometer Suite (VIIRS); Moderate Resolution Imaging Spectroradiometer (MODIS); Airborne Visible and Infrared Imaging Spectrometer (AVIRIS); Earth Observing-1 Mission (EO-1); Advanced Land Imager (ALI); Laser Vegetation Imaging Sensor (LVIS); Multi-Angle Imaging Spectro-Radiometer (MISR); Phased Array type L-Band Synthetic Aperature Radar (PALSAR); Medium Resolution Imaging Spectrometer (MERIS)

[2] National Space Development Agency of Japan (NASDA); European Space Agency (ESA)

Table 9. Data Required for Diagnostic and Prognostic Models

Product type	Spatial extent	Variables represented	Spatial resolution/ attributes	Start year	Sampling frequency	Data source[1]	Data provider
Ecosystem attributes	Continental	Evaluation data for models and products	Sites (<~1 km)	< 2001	Variable	National & regional networks, ORNL DAAC, LTER, ILTER, GTNET	LTER, ORNL DAAC
Soil map	Continental	Texture Water holding capacity Soil carbon, nitrogen, organic N and P Thermal capacities	1:5M map	Existing	Variable	FAO-SOTER U.S., Canadian & Mexican campaigns	FAO
DEM	Continental	Topography	$\leq$ 0.1 km	Existing	Variable	USGS	USGS
Water flow	Continental	River discharge	Sites, integrating watersheds	Existing	Variable	USGS	USGS
Forest attributes	Continental	Stand age distribution and disturbance regime	< 10-50 km	Existing	Variable	Various	Various
LAI/fPAR	Continental	LAI/fPAR	$\leq$ 1 km	2000	8-day	MODIS, MISR, VIIRS	NASA
Solar radiation	Continental	PAR Direct beam Diffuse	1 km	2000	Hourly	CERES, geostationary	NASA
Climate/ Meteorology	Continental	Precipitation (liquid, solid) Temperature Relative humidity Wind speed	5 km	2005	Hourly	NASA, ECMWF, NOAA-NCEP Mesoscale assimilation and terrain-based downscaling	NASA, ECMWF, NOAA-NCEP

[1] Long Term Ecological Research (LTER); International Long Term Research (ILTER); Global Terrestrial Observing Network (GTNET); United Nations Food and Agriculture Organization (FAO); Soil and Terrain Database (SOTER); Clouds and Earth's Radiant Energy System (CERES); European Centre for Medium-range Weather Forecasting (ECMWF); National Centers for Environmental Prediction (NOAA-NCEP)

Table 10. Carbon Inventory Products

Product type	Spatial extent	Variables represented	Spatial resolution/ attributes	Start year	Data source[1]	Data provider
Above ground biomass	Continental	Above ground biomass	≤ 10 km	~1940	FIA	USDA
		Stem and leaf C and N pools		~1975	NFI	Canadian & Mexican data centers
Soil carbon stocks	Continental	Carbon content	≤ 10-km grid	2003–4	FAO soil map, SOTER	FAO, SOTER
	Regional	Carbon content	≤ 10 km	2003	U.S., Canada	U.S., Canadian & Mexican data centers

1 USDA-Forest Service Forest Inventory and Analysis (FIA); Natural Resources Canada/Canadian Forest Service National Forest Inventory (NFI)

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Appendix A: NACP-Relevant Research Activities in Canada and Mexico

The U.S. and Canada have inventory programs for their forest lands. Mexico has completed a pilot inventory in one state. This appendix describes the inventories and a summary of the available variables. All inventories involve a remote sensing phase and a ground-based phase.

Natural Resources Canada/Canadian Forest Service – National Forest Inventory (NFI)

The federal government is responsible for the compilation of a National Forest Inventory (NFI; ***http://www.pfc.cfs.nrcan.gc.ca/monitoring/inventory/***). Canada's current national inventory is a periodic compilation of existing inventory from across the country. While the current approach has many advantages, it lacks information on the nature and rate of changes to the resource, and does not permit projections or forecasts. Since it is a compilation of inventories of different dates and collected to varying standards, the current national forest inventory cannot reflect the current state of the forests and therefore cannot be used as a satisfactory baseline for monitoring change.

To address these weaknesses and to meet new demands, the Canadian Forest Inventory Committee (CFIC; ***http://nfi.cfs.nrcan.gc.ca/cfic/index_e.html***; a group of inventory professionals from federal, provincial and territorial governments and industry) has developed a new approach for the NFI. Instead of a periodic compilation of existing information from across the country the CFIC decided on a plot-based system of permanent observational units located on a national grid. The new plot-based NFI design will collect accurate and timely information on the extent and state of Canada's forests to establish the baseline of where the forests are and how they are changing over time. A core design (Natural Resources Canada, 1999) has been developed with the following essential elements:

- A network of sampling points across the population;
- Stratification of the sampling points by terrestrial Ecozone (Ecological Stratification Working Group, 1994), with varying sampling intensity among the strata;
- Estimation of most area attributes from remotely sensed sources (photo plots) on a primary (large) sample;
- Estimation of species diversity, wood volumes and other desired data from a (small) ground-based subsample;
- Estimation of changes from repeated measurements of all samples.

The new inventory will cover all of Canada. All potential sample locations reside on a countrywide 4 x 4 km network. Each province and territory will decide on a 'best design' that will include samples located on a subset of the NFI sample locations (selected either randomly or systematically), or by a different yet statistically valid design. To provide reliable area statistics, the objective is to survey a minimum of 1% of Canada's land mass. A 1% sample translates into a nominal design of 2 x 2 km photo plots located on a 20 x 20 km network, resulting in approximately 20,000 sample photo plots for Canada. The 2 x 2 km plot will be identified on conventional, mid-scale, aerial photography, and will be delineated and interpreted in full according to land cover classes and other forest stand attributes. Satellite and aircraft digital imagery will be used as a surrogate for aerial photography to provide attribute data for areas otherwise not covered by photo or ground plots (e.g., Canada's north). The flexibility of the design allows the sampling to be more intense to achieve regional objectives, or less intense for non-forested or remote areas, such as Canada's north.

The new NFI design also calls for a minimum of 50 forested ground plots per Ecozone. There will be no field samples established in the three non-treed, Arctic Ecozones. The ground samples will, in most cases, be located at the centre point of the photo plot. Approximately 10% of the photo-plot locations will be selected at random for ground sampling. Measurements of the ground plots will be synchronized, to the best extent possible, with the interpretation of photo plots. Attributes and data collected in ground plots will complement and enhance the attributes and data from the photo plots. The ground plots will also contain information that is not normally collected in forest inventories, such as litter and soil carbon data. Auxiliary NFI attributes related to both photo and ground plots will be collected from management records, other data sources, and mapped information. Table 1 provides a list of the NFI attributes.

Table 1. A summary of the NFI attributes

NFI photo-plot attributes	NFI ground-plot attributes	NFI auxiliary attributes
Forest polygon:	Site information:	Land use
- land cover classification	- land cover	Ownership
- stand structure	- plot origin	Protection status
Exotics	- plot treatment	Access
Stand layer:	- plot disturbance	Human influence
- species composition - age	Plot-level biomass (tree, shrub/herb, and woody debris)	Conversion Origin of exotics
- height - crown closure	Volume/biomass estimation methods	
- volume	Tree list:	
Origin	- species	
Treatment	- volume	
Disturbance	- growth	
	- biomass	
	Small tree information:	
	- species	
	- biomass	
	Shrub and herb:	
	- species	
	- cover	
	- biomass	
	Woody debris:	
	- volume and biomass by diameter and decay class	
	Soil:	
	- site information	
	- soil features	
	- soil horizon information	

Remotely sensed data will also be used to enhance the NFI to assess whether the location of plots are skewed in any fashion, to assess the extent of change and the need to revisit plots, to extend the inventory beyond the 1%, and to provide other area-based parameters such as forest condition. A new project is underway to provide remote sensing products to assist in the monitoring of the sustainable development of Canada's forests. The project, called Earth Observation for Sustainable Development of Forests (EOSD; ***http://www.pfc.cfs.nrcan.gc.ca/eosd/***), is designed to provide complete (wall-to-wall) coverage of the forested area of Canada with satellite data at regular intervals to produce land cover, biomass and change products. The EOSD project will provide the satellite products required to enhance the plot-based NFI design.

The NFI will be ongoing. Change will be estimated from repeated sampling of photo and ground plots. The intent is to sample the entire country within the next five years and to spread the re-measurement over a 10-year period covering 10% of the area each year in a statistically defensible manner. Each subsequent re-measurement will be spread over subsequent 10-year periods.

Canada's National Forest Inventory is an interagency partnership. The Canadian Forest Service, under the guidance of the CFIC, coordinates NFI activities. Through the interagency arrangement, the provincial and territorial partners develop their designs and provide data. The federal government's role is to develop the standards, procedures, and infrastructure, and to conduct the analysis and reporting. The NFI is being implemented through bilateral agreements between the federal government and the partner provinces or territories. The field implementation has begun in a number of jurisdictions, and agreements are being finalized with the expectation that the remaining jurisdictions will begin implementation this year.

Natural Resources Canada (1999) A plot-based national forest inventory design for Canada: an interagency partnership project. On-line at: ***http://www.pfc.forestry.ca/monitoring/inventory/canfi/docs/design2e.pdf***, August 22, 2003.

Pilot Project for Inventorying and Monitoring Ecosystems Resources, States of Jalisco and Colima, Mexico

The pilot study covers two southwestern states of approximately 9 million hectares. The sample design includes primary (PSU) and secondary sampling units (SSU). The primary sampling unit measures 90 m x 90 m on a side and consists of nine 30 m x 30 m secondary sampling units. Each SSU is the size of a pixel on a Landsat TM image. PSU locations are permanent. Six of the SSUs will be measured. Subplots will be located in the SSUs to measure trees, herbaceous plants, shrubs, down dead wood, and soils. The variables are compatible with those used by the USDA Forest Service and the Canadian inventory system. A detailed description of the sampling design can be found in Programa de Desarrollo Forestal de Jalisco (2002).

Programa de Desarrollo Forestal de Jalisco (2002) Pilot project for inventorying and monitoring ecosystems resources. Technical Document 35. Guadalajara, Mexico. 130 pp. [Chapters are repeated in Spanish and English.]

Appendix B: White Paper for Mid-Continent NACP Intensive Campaign in 2005

White paper author: Pieter Tans

A. Scientific goals

As scientists we are expected to provide answers to the three major questions (not repeated here) posed in the NACP plan (Wofsy and Harris, 2002, p. 2). The answers have to be robust enough to inform policy in the near future. Uncertainty estimates need to be well defined and scientifically defensible. This is a formidable task and we are not being given a whole lot of time in the President's Carbon Cycle Science Plan.

The primary issue of both the magnitude and the possible mechanisms of the northern hemisphere terrestrial carbon sink have remained unsettled for well over a decade. Typically, "bottom up" estimates based on ecosystem models and/or inventories have tended to come up with magnitudes for the sink substantially smaller than what we deduce from "top-down" inverse models used to interpret atmospheric concentration patterns, at least when the evidence is not mixed through the use of "prior estimates." As long as these different approaches independently produce quite divergent answers, we can have no real confidence in any of the estimates. Thus far, atmospheric data have always been too sparse to be conclusive on a regional or even continental scale, and they have additionally been hampered by atmospheric model shortcomings. From the other side, it has proven very hard to sufficiently verify the scaling up of local measurements using models, or to validate satellite-based estimates for large regions. To make progress the different approaches need to be confronted in a region and at a time where we can maximize the information content and credibility of each method, so that the independent approaches can be assessed. Multiple models will be applied to both the top-down and bottom-up data sets. Needed areas of improvement will then be apparent, and we will be in a much better position to see how the approaches can strengthen each other.

Goal 1. Develop optimized sampling schemes for field and atmospheric measurements to efficiently monitor regional carbon stocks and fluxes.

Goal 2. Use "top-down" approaches to provide a region-level estimate of net carbon fluxes during short periods (weeks) with an accuracy of 10% by increasing spatial and temporal coverage of atmospheric measurements and by enabling improvements in the parameterization of transport/mixing processes in the lower atmosphere.

Goal 3. Use a variety of "bottom up" techniques to provide daily to annual estimates of carbon stocks and fluxes over a region by improving process model structure and parameterization. A hierarchy of field and remote sensing observations should be used for model testing, development of data assimilation techniques, and model parameterization.

Goal 4. Compare the top-down and bottom-up approaches and iteratively improve the independent approaches on daily to annual time scales.

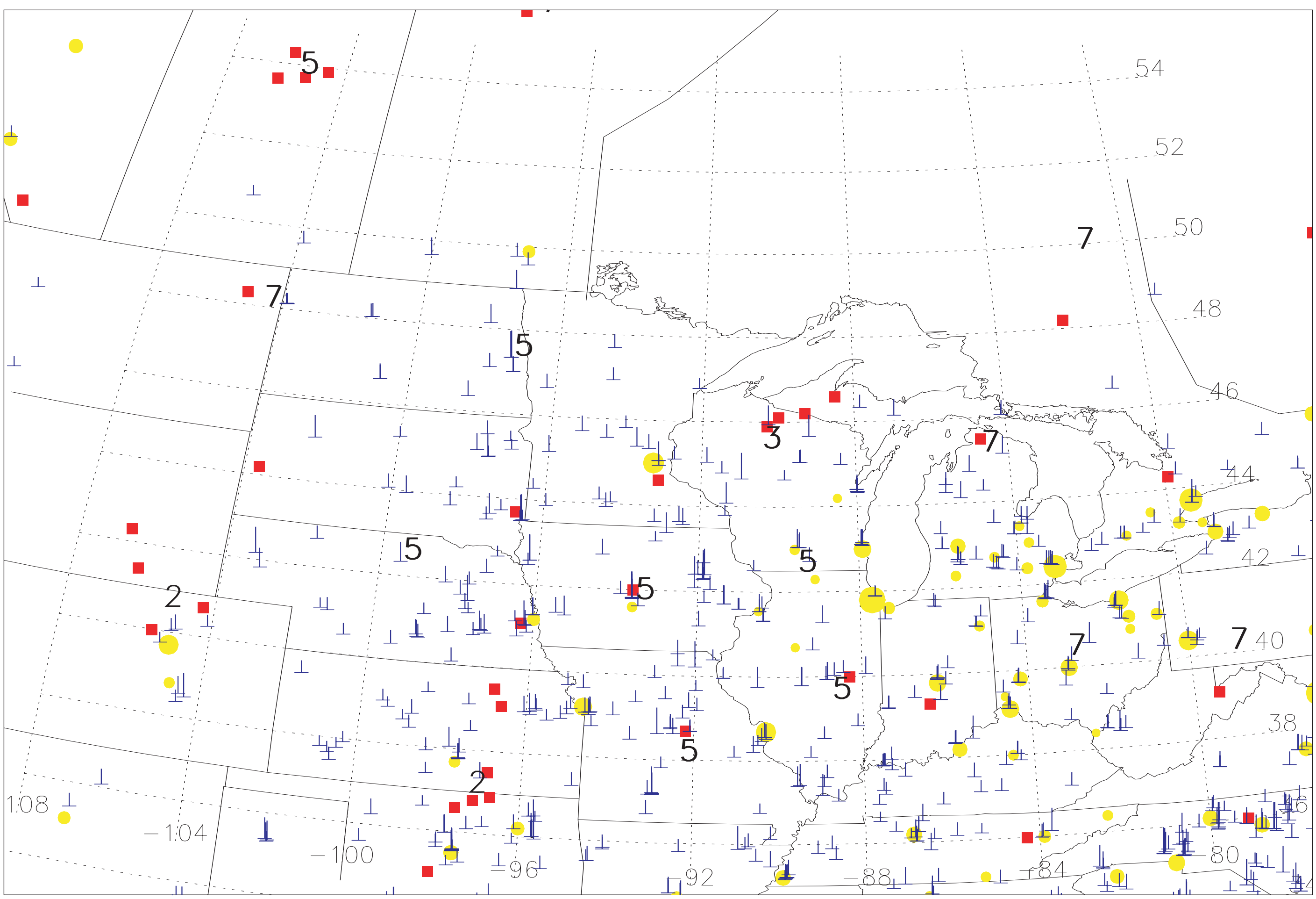
Goal 5. Produce "carbon stocks and flux maps" at various levels of spatial and temporal detail, and compare the results of the top-down and bottom-up approaches to diagnose methods.

B. Place and time

The center of the North American continent, the Midwest agricultural belt in the northern U.S. and Canada, is a large region in which the daunting complexities and the small-scale variability of ecosystems, soils, microclimates, topography, land use and land use history, are perhaps a bit more manageable. The area of the campaign will be eastern South Dakota, eastern Nebraska, eastern Kansas, northern Missouri, Iowa, southern Minnesota, southern Wisconsin, and Illinois (Figure 1). The difficulties of interpreting atmospheric measurements with transport models are minimized over flat terrain. The area is covered by the NOAA wind profiler network (***http://www.profiler.noaa.gov/jsp/profiler.jsp***), which provides hourly wind velocities from 500 m above the surface to 16 km altitude. The area is also a significant portion of the most intensively farmed region of the continent, with relatively low population density, but with several concentrated metropolitan centers. Crop growth models making use of satellite imagery have been applied to a part of Iowa, and have been compared to end-of-season yield statistics. Daily estimates of evapotranspiration are already routinely available for a large part of the area (***http://www.soils.wisc.edu/wimnext/water.html***), although they need to be evaluated with flux measurements. In the Carbon Sequestration Rural Appraisal, carried out in Iowa, it was estimated that on cropland under no-till the net annual carbon uptake is about 0.6 ton C/ha/year, and on land in the Conservation Reserve Program about 1.3 ton C/ha/year. The highest participation in the CRP occurs in the area straddling the state border with Missouri.

There will be an intensive during the peak season of CO_2 uptake (July) and in the fall when CO_2 respiration continues but most plant photosynthesis has ceased (October-November). In July the leaf cover is fairly uniform between corn and soybeans, which avoids nonlinearity effects in averaging over remote sensing pixels. The campaign will be embedded both in space and time within a long-term observing system that is being developed to detect net annual sources/sinks. For example, ecosystem process models will require at least a year of meteorological driver data for the full year of the intensive to "spin-up" the model to equilibrium and to calculate stocks. Other field data (e.g., inventories) for estimating stocks in bottom-up approaches are only available for 5-10 year means.

Figure 1. U.S. upper Midwest and southern Canada. *Yellow dots: metropolitan areas; red squares: eddy covariance flux measurement sites; blue: TV and FM towers taller than 800 ft and up to 2000 ft, with length of vertical line indicating height of tower; numerals 2, 3, 5, 7 indicating the (possible) location of frequent vertical profiles by aircraft, existing before 2002, starting in 2003, etc.*



C. Requirements

1. Long-term atmospheric monitoring. Species concentrations in the atmospheric boundary layer tend to be offset from those in the free troposphere as they "integrate" the effect of sources/sinks over large regions to varying degrees. There is significantly more variance in boundary layer concentrations than in the free troposphere. For these reasons boundary layer atmospheric sampling will be more intense than in the free troposphere. During the growing season peak, daily average depletion of CO_2, if confined to the lowest 1.5 km of the atmosphere, is about 6 ppm, which includes respiration at night.

In the area of the campaign NOAA/CMDL expects to instrument 6 tall towers starting in 2004 with high accuracy continuous CO_2 measurements, meteorological variables, and daily flask sampling (CO_2, CH_4, CO, H_2, N_2O, SF_6, and isotopic ratios). Thus, estimates of N_2O and CH_4 fluxes will also be produced from the monitoring system. CMDL expects to fly vertical profiles twice a week with flask samples and continuous CO_2, water vapor, temperature and ozone. Vertical profile locations will be coordinated with tall tower and flux measurement sites (Figure 1). Additional measurements on the tall towers will be CO and Radon-222, but both are contingent on the availability of suitable robust instrumentation. Development work is ongoing for the analysis of a suite of volatile organic compounds in the flask samples in addition to the species already measured. Perhaps additional tall towers will be added as a test of possible long-term sampling strategies.

In order to better define the large scale atmospheric concentration fields used by atmospheric models, CMDL has started in late 2003 two regular vertical profiles sites on the west coast, one in Texas on the Gulf coast, two on the east coast, and expects to add profiles over the BERMS site in Saskatchewan. The ground-based measurements elsewhere in the world will continue, with the addition of several volunteer observing ships (commercial vessels on regular routes) and NOAA hopes to add continuous CO_2 and Δ-pCO_2 measurements on buoys in the coastal waters of North America.

A subset of the eddy covariance flux sites in the region will start making high accuracy CO_2 mole fraction measurements by adopting careful calibration procedures. These measurements will be used to define mid-boundary layer concentrations under well-mixed conditions. The values will be compared to tall tower measurements and aircraft profiles in several cases.

2. Dedicated scientific aircraft. Two types of dedicated aircraft will play a role. A highly capable aircraft outfitted with a large suite of chemical measurements will probe the large-scale atmospheric variance of multiple species and their relationships. For example, CO and CH_3CN are tracers for biomass burning, CO is in many cases also a good proxy for the recent addition of fossil fuel derived CO_2, there is a whole series of anthropogenic tracers such as PCE, benzene, toluene, chlorinated compounds, certain ratios of hydrocarbons, and likewise plants and soils have their own characteristic emissions and deposition. In principle this allows for a considerable amount of air mass characterization, which will sharpen up the attribution for carbon sources/sinks (and will also have implications for air quality research). A second role for the "chemistry" aircraft is to fly patterns that will allow direct estimates of net CO_2 uptake. An aircraft such as the Lear Jet is rated to fly in all weather conditions, and may need to fill in some of the large scale patterns when the airplanes regularly rented by CMDL can not fly. A second type of aircraft, especially the low- and slow flying Ultra-lights such as Sky Arrow, Long-EZ, can measure fluxes of CO_2 and water vapor on relatively small scales. These results will be compared with flux measurement sites, crop model predictions and estimated patterns of evapotranspiration.

3. Biological measurements at intensive sites. Measurements at flux sites and other intensive sites should be made to develop biometric estimates of annual NEP, to estimate carbon stocks in soils to 1 m depth (labile and recalcitrant pools) and live and dead biomass, and to provide model parameters for the major biomes. Key variables for model parameters include leaf area index (summer maximum, timing of phenological changes), leaf and fine root C:N, litter quality, percent of leaf N in Rubisco, maximum stomatal conductance, leaf mass per unit leaf area (LMA), and others yet to be defined by the modeling community.

4. Long-term biological measurements at sites intermediate to inventories and intensive sites. The purpose of this level of intensity is to improve spatial representativeness of a limited set of more easily measured variables, such as aboveground biomass, tree height, leaf area index, and cover type. It will be coupled with remote sensing and modeling to reduce uncertainty in annual estimates of net carbon flux for geographic regions and land classes. Bottom-up models have difficulties incorporating site history effects on the spin-up to current carbon pools, thus carbon stocks in major components are needed for model improvement and data assimilation.

Soil respiration is a vital component of carbon fluxes, and is not easily accessible to observation from space. It is being measured at eddy covariance sites and with closed and open chamber methods. A possible strategy would be to measure CO_2 at three depths within the soil, at ground level, just above the canopy, and at three heights up to 20 m. This could provide the capacity for continuous, robust measurements at a larger number of sites. It should be tested at an AmeriFlux site and an automated soil chamber site. If satisfactory, such systems could be placed at existing sites of the USDA Soil Climate Analysis Network and a subset of the benchmark sites (see below). The systems should operate throughout the year, helping to separate root respiration from soil respiration. The measurements at different depths help determine the site of root heterotrophic activity to assist in model development.

5. *Inventories of carbon stocks.* Benchmark permanent soil quality (low frequency) monitoring sites will, with sufficient spatial density, be able to detect 5-10 year trends in soil organic carbon that could result from changing management practices or other causes. They will be representative of the various soil types, climate, management regimes, and vegetation classes. Instrumentation and measurement techniques will be standardized for comparisons between sites. The grid setup will build on presently available long-term sites such as LTER and university and federal research stations. The latter sites have a wealth of long-term crop and soils data and in some cases ecosystem process data as well. Data from the new sites should greatly improve existing inventories.

6. *Bottom-up models.* Some examples of the type of modeling approaches that will be necessary are given here. A crop growth model was run during the SMEX02 soil moisture investigation in Iowa. Inputs were detailed LANDSAT vegetation classification, MODIS 8-day composite reflectance, soil physical and chemical properties available from the STATSGO database, initial soil moisture status, and weather and climate data. Measured yields on selected fields were used to calibrate model yield parameters, and at the Walnut Creek Watershed crop yields have been compared with cumulative eddy covariance and soil flux measurements. A different type of model, the Century soil organic matter model uses databases for climate, soil properties, topography, and land use history, has crop growth and water submodels, predicts yields to estimate residue input to the soil, and predicts carbon and nitrogen in various soil compartments. The Atmosphere-Land Exchange Inverse (ALEXI) model uses GOES data, vegetation cover from satellites, and weather data (temperature, pressure, humidity) to estimate fluxes of sensible heat and water vapor on a daily basis. Visible in the resulting evapotranspiration maps are patterns that are coherent over large areas, sometimes as elongated bands more than a thousand km long and a few hundred km wide. When integrated with a canopy resistance model, daily predictions of carbon assimilation can be made. This approach has been developed furthest for crop systems. Coupling ALEXI with a Disaggregation approach (DisALEXI) using satellites with higher spatial resolution (Landsat, MODIS, ASTER) could provide real-time calibration of ALEXI using surface flux measurements by eddy covariance or gradient methods. Thus ground-based measurements could be integrated directly into large-scale flux estimates. In yet another possible approach, BIOME-BGC has been used to estimate daily GPP, NPP, and evapotranspiration, based in part on MODIS observations. Thus far it has been mostly applied to forested land, and more recently to grassland. A model such as SiB-2 simulates stomatal conductance, and thus the latent heat flux and the partitioning between latent and sensible heat fluxes, which has a significant influence on atmospheric dynamics. At the same time it provides GPP.

To the east and to the north of the intensive are extensive grassland and forested areas, respectively. The atmospheric data will register the impact of those areas. Modeling of those ecosystems, including the use of flux measurements, maximizes use of the data gathered in the campaign and likely improves the results for cropland areas.

7. *Transport models.* Needed for converting observed concentration patterns into source estimates are atmospheric transport models. Assimilated meteorological data at the highest resolution available from weather forecast models will be essential. Important current weaknesses are convective mixing, detailed land surface description including the physiological response of vegetation, mixing and stability of the nocturnal boundary layer, (lack of) conservation of tracer mass, representation and impact of cloud systems. The meteorological fields and mixing schemes will be used to calculate the transport of species in global models, high-resolution regional models, and in nested models (e.g., MM5, RAMS, TM5), all run in inverse mode. Receptor models such as STILT also use assimilated meteorological data, and they provide yet another way to estimate sources.

8. Land use and history. The Landsat Thematic Mapper has been in use since 1982, and can give a comprehensive picture for the last two decades. USDA Forest Service inventory data for forests (FIA), county level data from the National Resource Inventory and the USDA National Agricultural Statistics Service can be used for data before 1982, and as a crosscheck of the Landsat data. MODIS data also give a comprehensive view of current land use, but there are only a few classes.

9. Fossil fuel inventory. Data for fossil fuel use need to be separated by type (coal, oil, and natural gas), and algorithms need to be developed to disaggregate their use into more detailed spatial and temporal patterns, including large point sources such as power plants. It may help that in the area of the intensive campaign the population density is relatively low, and that there are some very concentrated metropolitan areas nearby (Minneapolis/St. Paul, Chicago, St. Louis, and Kansas City). This will give opportunities for verification of the use algorithms, chemical signatures, and perhaps even the magnitude of the emissions. The large fossil fuel component will have to be quantitatively accounted for when annual estimates of carbon sequestration/loss are made for a region. In addition, since September 2000 there is an ongoing geological sequestration project whereby CO_2 from a synfuels plant in Beulah, North Dakota, is injected into the Weyburn oil field in southeastern Saskatchewan. Every day, the emissions equivalent of 100,000 people is injected into the 180 km^2 oil field. If there are significant leaks they would be detectable in the amount of CO_2 and possibly its isotopic signature.