



Report of Property Presumed Unclaimed

Name of Holder, Name of Contact, Address, City, ST, Zip, Phone, E-Mail

Table with 6 rows containing fields for FEIN, Extension No., Account ID, Report Year, Report No., and address change checkbox.

Section I:



If you are reporting mineral property, you are required to use Form UCM-1, Report of Property Presumed Unclaimed Trust for Unlocatable Mineral Owners.

- Non Life Insurance Company Holder Report (Report Year July 1 through June 30)
Life Insurance Company Holder Report (Report Year January 1 through December 31)

Total number of properties being reported

Amount remitted \$

The amount remitted is for all items held and owing that have remained unclaimed, unpaid and are presumed abandoned/unclaimed.

Number of shares reported

The number of shares reported is the total from Section III, page 2. Please see the instructions, found on page 8, for information related to the transfer of securities or call us at the phone number below.

I, the undersigned, declare under penalty of perjury, that to the best of my knowledge and belief, the following is a true and complete report of unclaimed property now in possession or under control of the holder...

Name of Officer or Holder Authorized to Sign Report (please print)

Signature Date

Title Phone Fax

Mail this report and remittance to:
Unclaimed Property, Montana Department of Revenue, PO Box 5805, Helena MT 59604-5805
Questions? Call toll free (866) 859-2254 (in Helena 444-6900).

Report of Property Presumed Unclaimed

Section II:						
	Column 1 NAUPA property type code	Column 2 Date of last transaction/ date payable	Column 3 Amount due to the owner	Column 4 Owner's social security number or federal identification number	Column 5 Owner's name: list alphabetically by last name, first name, middle initial	Column 6 Last known mailing address: street, city, state, zip code
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
Amount remitted			\$			

Section III: CUSIP number _____						
	Column 1 NAUPA property type code	Column 2 Date of last transaction/ date payable	Column 3 Number of shares	Column 4 Owner's social security number or federal identification number	Column 5 Owner's name: list alphabetically by last name, first name, middle initial	Column 6 Last known mailing address: street, city, state, zip code
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
Number of shares reported						

Report of Property Presumed Unclaimed

Instructions for Reporting Property

If you have questions, please call us toll free at (866) 859-2254 (in Helena 444-6900).

General Information

What is Unclaimed Property? Any financial asset for which an owner has not generated activity during a period of time (see reporting requirements below) is considered unclaimed property. These assets may include uncashed checks, savings, checking, payroll (wages, bonuses, commissions), credit balances, money orders, customer deposits, travelers checks, stocks and bonds (uncashed dividends, interest checks, underlying shares principle), insurance proceeds, certificates of deposit, and other intangible interests or benefits.

What is the Holder's Responsibility? The holder of unclaimed property is required to send written notice to the apparent owner of property with a value exceeding \$50, not more than 120 days or less than 60 days before filing the report.



Please Note: If you are reporting mineral property, you are required to use Form UCM-1, Report of Property Presumed Unclaimed Trust for Unlocatable Mineral Owners.

Reporting Requirements

(70-9-803, MCA)

1 Year

Company dissolution
Class action court judgment
Court or government agency
Wages and bonuses
Utility deposits from customers

3 Years

Credit memo or refund
Gift certificate
Life insurance
IRA/earliest date of distribution

4 Years

Stale state warrants

5 Years

Stock or equity interest
Debt/interest payment
Demand, savings of time deposit
*Contents of safe deposit boxes
Cashier's checks
Commissions

7 Years

Money orders

15 Years

Traveler's checks

*Contents of Safe Deposit Boxes: All contents of the safety deposit box are required to be reported and delivered to us. Nothing may be destroyed. Contents could include, for example, money, jewels, stock certificates, bonds, life insurance policies, promissory notes, deeds, leases, mortgages, contracts, wills or, automobile ownership certificates. Please attach Inventory Listing Sheet, Form UCH-2, for each box.

Important Reporting Information

- Retain a copy of the filed report for your records and mail the original, with your remittance, to us.
- We will allow a dormancy charge (service charge) to be deducted from mineral property presumed abandoned only if a valid and enforceable written contract exists between the holder and the owner under which the holder may impose the charge. The dormancy may only be deducted if the holder regularly imposes the charge and it is regularly reversed or otherwise canceled. (70-9-806, MCA)
- All property is required to be reported and remitted. However, the detail information regarding the unclaimed property owner is not required for items where the owner is unknown.

Filing Options

Electronic Filing: A holder report can be filed electronically through a secure file transfer process. To learn more, please go to unclaimedproperty.mt.gov.

Please follow these instructions when submitting your report electronically:

- The report is required to be in a text file. Do not provide your report in an executable file format, as a statewide policy for Montana will not allow us to accept this format from an external source.
- The text file is required to be in the current NAUPAII format, which may be obtained at <http://www.wagers.net/hrs>.
- Do not encrypt the file.
- Do not password-protect the file.

Paper Filing: Please follow the instructions below.

Instructions for Page 1

Line 1 Enter your federal employer identification number (FEIN) on line 1. If you are filing for more than one location, please include your extension number for the specific location that is reporting.

Line 2 If you know your Account ID, please provide it on line 2.

Line 3 Enter the report year that applies to this report.

Life insurance companies' report year is the period of January 1 through December 31, with the report due on May 1 of the following year.

For all other holders, the report year is the period of July 1 through June 30, with the report due on November 1 of that year.

Line 4 You may report more than once a year. In order for us to correctly process the additional reports, please indicate the report number on line 4. Your original report is always considered report number 1. When you file an additional report, it is important that you do not include property that you listed on a previous report.

Line 5 If you are no longer in business and are filing a final report, check the box on line 5 and enter the date that your business ceased operations.

Line 6 If your address has changed from the last report filed, check the box on line 6.

Section I:

- Check the type of holder report being submitted.
- Enter the total number of properties you are reporting.
- The amount remitted is for all items held and owing that has remained unclaimed, unpaid and is presumed abandoned. The amount remitted is the total from Section II, page 2. Make your check payable to Montana Department of Revenue.
- The total number of shares reported is the total from Section III, page 2. Please see the instructions on page 8, for information related to the transfer of securities or call us toll free at (866) 859-2254 (in Helena 444-6900).

Instructions for Page 2

You may photocopy page 2 of this report if additional space is needed. A computer printout is acceptable in place of Section II and Section III of this report. The printout is required to contain the same information in the same format as this report. If a printout is used, the print size is required to be at least a 10-point font. Attach a copy of the printout to page 1 of this report.

Section II:

➤ Please complete all columns.

Column 1 Enter the NAUPA property type code for each property reported. A detailed list of NAUPA codes is included in these instructions for your convenience.

Column 2 Enter the date of the last transaction or date payable for the property being reported.

Column 3 Enter the amount due to each owner.

Column 4 For each owner, enter the social security number or federal employer identification number.

Column 5 In alphabetical order, list each owner by last name, first name and middle initial.

- In the case of unclaimed funds held or owed under any life or endowment insurance policy or annuity contract, list the name of the insured or annuitant and the name of the beneficiary.
- In the case of unclaimed insurance policy benefits, list the insured's or annuitant's name first and beneficiary's name second.
- In the case of custodial or trustee accounts, list the owner's name first and the custodian's or trustee's name second.
- In the case of cashier's checks or money orders, list the payee's name first and the remitter's name second.

Column 6 For each owner, provide the last known mailing address including street, city, state and zip code.

Section III:

➤ Please provide the CUSIP number for the securities being reported.

➤ Please complete all columns.

Column 1 Enter the NAUPA property type code for each property reported. A detailed list of NAUPA codes is included in these instructions for your convenience.

Column 2 Enter the date of the last transaction or date payable for the securities being reported.

Column 3 Enter the number of shares for each owner.

Column 4 For each owner, enter the social security number or federal identification number.

Column 5 In alphabetical order, list each owner by last name, first name, middle initial.

Column 6 For each owner, provide the last known mailing address including street, city, state, and zip code.

NAUPA Adopted Standard Property Type Codes

The following codes have been endorsed by NAUPA for use by each state in the development of standard reporting; however, some states do not currently accept these codes. The State of Montana's agreement to utilize these codes is a step toward standardization in unclaimed property reporting.

Code	Description
Account Balances Due	
AC01	Checking Accounts
AC02	Savings Accounts
AC03	Mature CD or Savings Certificate
AC04	Christmas Club Funds
AC05	Money on Deposit to Secure Fund
AC06	Security Deposits
AC07	Unidentified Deposit
AC08	Suspense Accounts
AC99	Aggregate Account Balances
Uncashed Checks	
CK01	Cashier's Checks
CK02	Certified Checks
CK03	Registered Checks
CK04	Treasurer's Checks
CK05	Drafts
CK06	Warrants
CK07	Money Orders
CK08	Traveler's Checks
CK09	Foreign Exchange Checks
CK10	Expense Checks
CK11	Pension Checks
CK12	Credit Checks or Memos
CK13	Vendor Checks
CK14	Checks Written-off to Income
CK15	Other Outstanding Official Checks
CK16	CD Interest Checks
CK99	Aggregate Uncashed Checks
Court Deposits	
CT01	Escrow Funds
CT02	Condemnation Awards
CT03	Missing Heirs' Funds
CT04	Suspense Accounts
CT05	Other Court Deposits
CT99	Aggregate Court Deposit

Code	Description
Insurance	
IN01	Individual Policy Benefits or Claim Payments
IN02	Group Policy Benefits or Claim Payments
IN03	Proceeds Due Beneficiaries
IN04	Proceeds from Matured Policies, Endowments or Annuities
IN05	Premium Refunds
IN06	Unidentified Remittances
IN07	Other Amounts Due Under Policy Terms
IN08	Agent Credit Balances
IN99	Aggregate Insurance Property
Miscellaneous Checks and Tangible Personal Property	
MS01	*Wages, Payroll, Salary
MS02	*Commissions
MS03	Workers Compensation Benefits
MS04	Payments For Goods and Services
MS05	Customer Overpayments
MS06	Unidentified Remittances
MS07	Unrefunded Overcharges
MS08	Accounts Payable
MS09	Credit Balance — Accounts Receivable
MS10	Discounts Due
MS11	Refunds Due
MS12	Unredeemed Gift Certificates
MS13	Unclaimed Loan Collateral
MS14	Pension and Profit Sharing
MS15	Dissolution or Liquidation
MS16	Misc. Outstanding Checks
MS17	Misc. Intangible Property
MS18	Suspense Liabilities
MS99	Aggregate Misc. Property

Code	Description
Securities	
SC01	*Dividends
SC02	*Interest (Bond Coupons)
SC03	Principal Payments
SC04	Equity Payments
SC05	Profits
SC06	Funds Paid to Purchase Shares
SC07	Funds for Stocks and Bonds
SC08	Shares of Stock (Returned by Post Office)
SC09	Cash for Fraction Shares
SC10	Unexchanged Stock of Successor Corp
SC11	Other Certificate of Ownership
SC12	*Underlying Shares or Other Outstanding Certificates
SC13	Funds for Liquidation/Redemption of Un-surrendered Stock or Bonds
SC14	Debentures
SC15	U S Govt Securities
SC16	Mutual Fund Shares
SC17	Warrant (Rights)
SC18	Mature Bond Principal
SC19	Dividend Reinvestment Plans
SC20	Credit Balances
SC99	Aggregate Security Related Cash
Trusts, Investment and Escrow Accounts	
TR01	*Paying Agent Account
TR02	*Undelivered or Uncashed Dividends
TR03	Funds Held in Fiduciary Capacity
TR04	Escrow Accounts
TR05	Trust Vouchers
TR99	Aggregate Trust Property
Utilities	
UT01	Utility Deposits
UT02	Membership Fees
UT03	Refunds or Rebates
UT04	Capital Credit Distributions
UT99	Aggregate Utilities
ZZZZ	Properties Not Identified Above

* Please specify the date range on “periodic payments” if there are multiple amounts of the same property type being reported for a single property owner.



Reporting and Delivery of Securities Property

When reporting securities, please remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Montana. The required evidence depends on the type of security and how it will be delivered to our custodian on behalf of the state.

I. STOCK REMITTANCES

Two days prior to delivery, you are required to fax an intent to deliver to:

- (1) ACS Unclaimed Property Clearinghouse - (617) 722-9660, Attn: Custody Department, and
- (2) Montana Department of Revenue Unclaimed Property - (406) 444-7997, Attn: Miscellaneous Tax Unit

Your fax is required to include:

- CUSIP numbers
- number of shares
- issue names
- delivering party's DTC numbers
- certificate numbers and registration (for physical certificates)
- copy of statement (for DRS/Book Shares)

Direct Transfer (All eligible shares are required to be deposited through DTC or DWAC if not a DTC participant)

Nominee:

Glory of the West & Co.
 FEIN: 83-0367507
 DTC Participant: 0954
 Agent Bank: 26017
 Account: AUZF0259702

Book Entry Shares/Direct Registration Shares (DRS)/Dividend Reinvestment Shares (DRP)

Nominee:

Glory of the West & Co.
 FEIN: 83-0367507

Address:

ACS Unclaimed Property Clearinghouse
 260 Franklin St. 11th floor
 Boston, MA 02110

Note: You are required to include a confirmation with your Report of Unclaimed Property stating that Glory of the West & Co. is the owner of the shares. Please also fax a copy of the confirmation to the State of Montana, (406) 444-7997.

Physical Certificates (non-DTC eligible shares only)

Nominee:

Glory of the West & Co.
 FEIN: 83-0367507

Address:

BNY Mellon Security Trust Company
 1 Wall Street, Attn: Mike Viscone
 3rd Floor - Receive Window C
 New York, NY 10286

Note: Send the *original* certificate to custodian at the above address; send a *photocopy* of the certificate with your report--please do not deliver the original certificate to the State of Montana with your report. Send only one certificate for each security position reported.

II. MUTUAL FUNDS (Include complete fund names, FEINs and CUSIP numbers. Please set up account so dividends are reinvested.)

Send interested party statements to:

ACS Unclaimed Property Clearinghouse
 Attn: Custody Department
 260 Franklin Street, 11th Floor
 Boston, MA 02110

Register funds as follows:

MAC & CO
 Mutual Fund Operation
 PO Box 3198
 Pittsburgh, PA 15230-3198
 Account: AUZF0259702
 FEIN: 25-1536944

Note: You are required to include a statement with your Report of Unclaimed Property listing the shares in the state's name. Please fax a copy of this statement to ACS Unclaimed Property Clearinghouse (617) 722-9660.

III. WORTHLESS SECURITIES

All securities, including worthless securities that remain unclaimed for a period of five years are required to be reported to the State of Montana and transmitted to our custodian, ACS Unclaimed Property Clearinghouse. Please follow the instructions outlined above.