U.S. FOOD AND DRUG ADMINISTRATION

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SCIENCE BOARD ADVISORY COMMITTEE

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MEETING

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MONDAY, DECEMBER 3, 2007

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The meeting convened at 8:00 a.m. at the Hilton Washington DC North/Gaithersburg, 620 Perry Parkway, Gaithersburg, Maryland, Kenneth I. Shine, M.D., Chair, presiding.

ADVISORY COMMITTEE MEMBERS PRESENT:

KENNETH I. SHINE, M.D., Chair GAIL H. CASSELL, Ph.D., Member SUSAN KAY HARLANDER, Ph.D., Member LONNIE KING, D.V.M., M.P.A., Member JOHN H. LINEHAN, Ph.D., Member BARBARA J. MCNEIL, M.D., Ph.D., Member DAVID R. PARKINSON, M.D., Member XAVIER PI-SUNYER, M.D., M.P.H., Member ALLEN D. ROSES, M.D., Member LARRY SASICH, Pharm.D., M.P.H., FASHP, Member CATHERINE E. WOTEKI, Ph.D., R.D., Member

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SUBCOMMITTEE ON SCIENCE AND TECHNOLOGY MEMBERS PRESENT:

- C. THOMAS CASKEY, M.D., FACP, The Brown Foundation, Institute of Molecular Medicine, and University of Texas Health Science Center at Houston
- GARRET A. FITZGERALD, M.D., Professor of Medicine and Professor and Chair of Pharmacology, Department of Pharmacology, University of Pennsylvania School of Medicine (present via teleconference)
- PETER BARTON HUTT, Covington & Burling and Former Chief Counsel, FDA
- DALE NORDENBERG, M.D., Managing Director, Healthcare Industry Advisory, PriceWaterhouseCoopers
- EVE SLATER, M.D., FACC, Senior Vice President, Worldwide Policy, Pfizer, Inc.

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COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 FDA PARTICIPANTS:

ANDREW VON ESCHENBACH, M.D., Commissioner of Food and Drugs

NORRIS E. ALDERSON, Ph.D., Associate Commissioner for Science

ROBERT BUCHANAN, Ph.D., Center for Food Safety and Applied Nutrition

JESSE GOODMAN, M.D., M.P.H., Director, Center for Biologics Evaluation and Research

LARRY KESSLER, SC.D., Director, Office of Science and Engineering Laboratories

CARLOS PENA, Ph.D., Committee Executive Secretary

CARL SCIACCHITANO, Office of Regulatory Affairs

WILLIAM SLIKKER, Ph.D., Director, NCTR

STEPHEN SUNDLOF, D.V.M., Ph.D., Director, Center for Veterinary Medicine

JANET WOODCOCK, M.D., Deputy Commissioner and Chief Medical Officer and Acting Director, Center for Drug Evaluation and Research

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1	P-R-O-C-E-E-D-I-N-G-S
2	(8:01 a.m.)
3	CHAIR SHINE: Good morning, ladies
4	and gentlemen, and welcome to this meeting of
5	the FDA Science Advisory Committee. We're
6	delighted you're here.
7	We do have one member who we
8	introduced briefly in absentia last time, but
9	we're delighted that John Linehan is here.
10	John is Consulting Professor of Bioengineering
11	at Stanford. For a number of years he was
12	Vice President of the Whitaker Foundation,
13	giving away money, and is well known for his
14	contributions to biomedical engineering as a
15	field as well as in some of the areas of his
16	specific interest. He is a member of the
17	National Academy of Engineering, and we're
18	delighted to have John as a member of the
19	Committee.
20	The other new member is Cathy
21	Woteki. Cathy is Director of Scientific
22	Affairs for Mars, Incorporated. I'm waiting
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to hear what the major advantages are of
working for a candy company. But she has had
a very distinguished career. She was Dean of
Agriculture and Professor of Human Nutrition
at Iowa State. Before that, she was the first
Undersecretary for Food Safety at the United
States Department of Agriculture.

She has a past which has included a 8 9 couple of years in the Office of Science and Technology Policy. She ran the Food and 10 Nutrition Board for the Institute of Medicine, 11 12 and she is an elected member of the Institute 13 of Medicine. So, Cathy, thank you very much. She will add a lot I think to our discussions 14 in general, but particularly with regard to 15 food and food safety. 16

I think the other members of the Committee are all present. I know -- happen to know that Gail Cassell is in town. I saw her at the hotel last night, so I anticipate she will be joining us shortly.

At this point, let's turn to -- oh,

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pardon me, Carlos has a statement he needs to
 read.

Thank you. Good morning 3 DR. PENA: to the members of the Science Board, members 4 the public, and FDA staff. The 5 of to following announcement addresses the issue of 6 conflict of interest with respect to the 7 meeting and is made part of the public record 8 9 to preclude even the appearance of such at the meeting. 10

The Science Board will hear about 11 12 and discuss the agency's Critical Path 13 Program. The Science Board will hear about National and discuss updates the 14 on 15 Antimicrobial Resistance Monitoring System Program and activities related to melamine 16 from the March 31, 2006, and June 14, 2007, 17 Science Board meetings. 18

The Science Board will then hear about and discuss the Subcommittee review of the agency's science programs, and the Science Board will also hear about and discuss the

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agency's updates on drug safety. Based on the submitted agenda for the meeting, and all financial interests reported by the Committee participants, it has been determined that all interest in the firms regulated by the Food and Drug Administration present no potential for an appearance of a conflict of interest at this meeting.

9 We would like to note that Dr. Larry Sasich is participating as the consumer 10 representative, who is identified with the 11 12 consumer interests. Tn the event that involve 13 discussions any other products or firms not already on the agenda for which an 14 FDA or government participant has a financial 15 interest, the participants are aware of the 16 themselves 17 need to exclude from such involvement, and their exclusion will be noted 18 for the record. 19

all 20 With respect to other in 21 participants, we ask the interest of fairness that they address any current 22 or

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1	previous financial involvement with any firm
2	whose product they may wish to comment upon.
3	We have one public open public
4	comment period scheduled for approximately
5	4:15 p.m.
6	I would just remind all to turn on
7	your microphones when you speak, so that the
8	transcriber can pick up everything you state,
9	and turn them off when you are not speaking.
10	I also request all meeting
11	attendees to turn their cell phones and
12	Blackberries to silent mode.
13	Thank you.
14	CHAIR SHINE: Thank you, Carlos.
15	With that, let's turn to our
16	Commissioner.
17	DR. VON ESCHENBACH: Thank you, Mr.
18	Chairman.
19	Good morning, ladies and gentlemen.
20	Let me begin by adding my personal welcome to
21	the new members, John Linehan and also Cathy
22	Woteki. I apologize that I was, as often is
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10 the case, on an airline last night and unable 1 to get in in time for the dinner to personally 2 3 spend some time with many of the Board members, but look forward over time to being 4 able to have the opportunity to meet with you 5 personally and share some of the vision for 6 the future of this organization, and most 7 importantly for the important contributions 8 9 that you all make. The Chairman has been very specific 10 with regard to your unique talents 11 and 12 background and ability. They are, in fact, very important and crucial to the agency at 13 this particular point in time. 14 And I want to add a personal note 15 of thanks to Gail, who continues to serve, and 16 I really very much appreciate her willingness, 17 if you will, to re-up for another year of 18 19 service to this extremely important board. come to you this morning 20 Т and reflect on the fact that it has just been 21 about one year since my confirmation as the 22 **NEAL R. GROSS**

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FDA Commissioner, and essentially two years since I first arrived on the scene as the Acting Commissioner coming over from FDA.

4 This past year, this past two have been times of enormous 5 change years, within the Food and Drug Administration --6 changes that have occurred by virtue of many 7 external forces that have been impacting upon 8 9 the agency, most specifically the things that have fostered a continuous increase in the 10 scale and scope of the portfolio that FDA is 11 12 responsible for.

13 And also, very importantly, changes that have occurred within the organization as 14 have been self-reflective and self-15 we analytical and have addressed the question of 16 what changes do we need to make in order to 17 continuously be responsive meeting 18 to our 19 mission, continuously protecting to and promoting the public health in a context of a 20 world that's radically and rapidly changing 21 around us. 22

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And your participation and 1 your board are extremely important 2 input а as 3 components to helping us define, understand, and appreciate many of those issues, so that 4 we can go about the process of determining, 5 you know, what and how we must proceed. 6

One of the important points that 7 has underscored all of that change is some of 8 9 the things that regardless of change must always remain permanent. Those are, in fact, 10 the values, the core values of the agency. 11 12 And we have undergone over this past year or 13 so a real assessment of those core values in a way that we will be able to continuously 14 espouse those values in a way that they are 15 both understood and appreciated by those we 16 17 serve as well as become a living, constant guidepost for those of us within the agency in 18 19 terms of carrying out our day-to-day business.

20 One of the important values that is 21 reflected here this morning is the fact that 22 FDA has always been, must always be, and will

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13 always be a science-based regulatory agency. 1 Its decisions must be based on what 2 the science determines and dictates, what the data 3 defines as the things that should be done. 4 have over the past year 5 And we continued to affirm that and continued to find 6 ways to be able to demonstrate that as we have 7 carried out regulatory decisions. 8 one of the other 9 But important pieces of the change process was to recognize 10 not only the need to affirm that we are a 11 12 science-based regulatory agency, but to 13 appreciate the critical importance of science as we must also be a science-led regulatory 14 15 agency. Just as many other things in the 16 17 world around us are radically and rapidly changing, so is science, and so are the tools 18 and the technologies that science can bring to 19 very many critical 20 bear and important on issues. 21 We have gone about a process of 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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continuously assessing that role of where 1 science can lead us in the future, as we have 2 determined that as it relates to our 3 own ability to do strategy planning, and to do 4 the context of what research and 5 that in occur within laboratories 6 development must that we contain within the Food and Drug 7 Administration, and do that in a way also to 8 9 reflect what tools must be available in the field as we carry out analytical science and 10 analytical assessment of the products that 11 12 we're responsible for regulating. 13 There is much work that has been Some of that will be discussed later on done. 14 this afternoon in terms of a report to this 15 Committee, and then I look forward to that 16 17 report being presented to the Food and Drug Administration following this Board's further 18

19 actions and deliberations on that report.

But suffice it to say that we are continuously assessing the entire portfolio as it relates to our need to bring the tools of

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modern science and modern technology into the
 regulatory process.

As we continue this effort, we look 3 forward to our continued dialogue and our 4 continued opportunity to benefit from your 5 wisdom and your insight. 6 As a board, an advisory board, like many other boards, you 7 have opportunity 8 an enormous to help 9 illuminate that future in terms of the "what" that FDA must be addressing. 10

And I, as Commissioner, will 11 12 continue to commit to you that I, the senior 13 leadership of the agency, and the agency as a whole will continue to struggle, work, and 14 endeavor to define the "how" we will carry out 15 the "what" that is necessary and essential if 16 we are going and will continue to meet our 17 mission to protect and promote the public 18 health. 19

I want to speak to you this morning about some of those opportunities and some of those initiatives in terms of how we are going

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about continuously attempting to accomplish mission in the context of the rapid and radical changes that are occurring around us.

I alluded to earlier an effort at 4 our continued strategic planning process. 5 One of the most important aspects of that process 6 has helped us to define a strategy for the 7 in which it 8 future FDA, as attempts to 9 continuously achieve the high degree of success that it has always accomplished in the 10 past in achieving its mission to protect and 11 12 promote the public health, has now recognized 13 that part of our opportunity and need to do that in the future will require us to 14 be enqaqed in the total life cycle of 15 the responsible products 16 that we are for 17 regulating -- engaged, if you will, right from the very beginning of production all the way 18 19 through the process of consumption.

20 And that framework provides, if you 21 will, guidance for the entire portfolio, 22 because one could appreciate that whether

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we're talking about food or whether we're 1 talking about drugs or other medical products, 2 3 being enqaqed in total life cycle from production to consumption enables us to help 4 build quality in and assure the quality of 5 those products even before they come before us 6 for regulatory decision 7 а to approve, disapprove, or allow to be approvable. 8 9 But at the same time, in addition to staying engaged in the front end of the 10 process, in an effort to continuously improve 11 12 the quality of the products that are being 13 regulated, we must also stay engaged in the life cycle of the product even post-approval. 14 And so over the past year you have 15 seen opportunities that have continuously been 16 17 addressed with regard to ability our to enhance efforts post-market 18 our at 19 surveillance and engaging in products when they are being utilized in large, diverse 20 populations, in which we have the opportunity 21 to continuously learn, gain new knowledge, 22

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modify, and continue to improve on our
 regulatory decision process.

Those kinds of efforts with regard 3 beginning think strategically 4 to to and beginning to think comprehensively, as 5 an agency that is science-based, science-led, and 6 is both proactive in an effort to be able to 7 enhance the quality of products that 8 are 9 coming to the American people to assure, to protect, and to promote their health, is in 10 fact at the core of what we must and always 11 12 have done.

In an effort to carry out that 13 mission, in that context of that new framework 14 of reference with broad and extensive 15 responsibility, there have been a number of 16 initiatives which 17 are already underway, initiatives that you've heard about 18 on previous occasions, such as opportunities with 19 regard to critical path, to bring new tools of 20 science into that regulatory decision process. 21

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And there have been new initiatives

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been underway in an that have effort 1 to continuously enhance our ability to partner 2 3 and collaborate to expand our opportunities across that full life cycle of those products. 4 All of that activity falls into a context of 5 FDA having the capability to carry out that 6 mission by virtue of two assets. 7 One asset is our authorizations, 8 9 those things which Congress empowers us and enables us to do by virtue of legislative 10 mandate and legislative authority. 11 The 12 second, in addition to what we are authorized to do, is our appropriations and the resources 13 that are available with which we can then do 14 it. 15 16 In that regard, I want to spend just a little bit of time talking about two 17 areas or a couple of initiatives in both of 18 19 those areas that I believe are important as it 20 relates to FDA's future. With regard to authorizations, most recently a significant 21 effort in that regard has come about by virtue 22

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of the Food and Drug Administration Amendments Act, passed and signed by the President and now in the process of enactment.

reauthorization 4 That Act was а primarily of our user fee programs -- PDUFA 5 6 and MDUFMA -- but as many of you are well aware, it is in fact a very comprehensive Act 7 that has over 200 initiatives which we are now 8 9 legislatively empowered to begin to carry out, and it will enable us to significantly enhance 10 and increase our ability to effectively 11 12 respond to the challenges that are emerging around us as it relates to our regulatory 13 responsibility. 14

That effort began a couple of years 15 ago with extensive discussions, negotiations, 16 and interactions, and carried through all the 17 way through the legislative process. And I 18 19 personally believe that at the end of that 20 process FDA arrived at a place where this legislation will significantly improve 21 and enhance our ability to be responsive to the 22

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many challenges that are occurring around us.

Some things that occurred allowed 2 3 for us to have authorization to increase the fees for drugs and devices. 4 level of user This was an important part of the initiative, 5 because in previous versions of those user 6 fees, especially 7 around PDUFA, had we recognized the importance of being able to 8 9 have resources for pre-application consultations as a part of that strategy to 10 build quality into these products at 11 the 12 outset by having the opportunity to work 13 collaboratively with producers of those products to align them appropriately with the 14 regulatory pathway. 15

simple example 16 One very of the and the value of 17 importance of this this occurred over the past year by virtue of 18 19 CBER's efforts in terms of working proactively with vaccine developers along the lines of 20 being able to implement good manufacturing 21 processes that were science based, and in 22

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doing so significantly enhanced the capability 1 of vaccine production and the available of 2 safe and appropriate vaccines to be available 3 to the American people in an effort to be 4 responsive their needs 5 to and concerns, especially around seasonal influenza, and to 6 set the stage for anticipated concerns that 7 would arise in the event of a pandemic with 8 9 regard to avian influenza. That proactive working at the very front end of the discovery 10 and development process is in fact a formula 11 12 for success. Other new authorities will -- in 13 that FD triple A, as it is currently being 14 referred to, since "fa-dah" (phonetic) doesn't

15 seem to be a particularly attractive way of 16 legislation, although 17 describing that I'm personally lobbying for FDA-cubed, since I 18 19 think that sort of suggests expansive and exciting growth in that regard. 20 But one of the other things that is 21

22 important is, as you look at this legislation,

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will be the opportunities that provides for us 1 having to do with post-market surveillance and 2 3 our ability to create post-market infrastructures that will enable us to be able 4 gather significant information 5 in the to delivery and to the continuum, which will 6 become a very important part and insight into 7 further discovery. 8

9 We are actively engaged in a number of efforts, working to implement that post-10 market surveillance effort, but to do that 11 12 again thoughtful and mindful of the critical role of 13 science. Very soon you will be hearing about a major initiative described as 14 Sentinel. 15

It builds on the efforts that have 16 17 qone on already, in partnering with large clinical delivery systems, whether it's the 18 Administration, the Department 19 Veterans of Defense, and many others in which we will have 20 access to data systems that will enable us to 21 carry out important analyses of the impact of 22

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these products when being used in 1 large, diverse populations. 2

3 Most importantly in that effort, I call your attention to the fact that it is 4 being done in the context of not simply having 5 access to data and tools for data mining, from 6 which we will generate enormous volumes of 7 information, but most importantly to provide 8 9 -- to apply to that a scientific discipline, to make certain that we are applying the 10 rigors of scientific research even within that 11 12 initiative itself, so that we can begin to 13 understand the science of data mining as it relates to these critical parts and components 14 of information, so that we can separate signal 15 from noise, so that we can be absolutely able 16 to affirm that the information that we are 17 analyzing, and the knowledge that we derive 18 from that, is accurate, precise, and true, and 19 20 correct.

requires This not simply the acquisition of data and the recognition of 22

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signal, but a scientific discipline of critical analysis. And that scientific body of knowledge will be developed and applied just as we are developing and creating the infrastructure.

I point these things out because 6 this important legislation, as it empowers us 7 to move forward, must also carry with it -- or 8 9 we must carry with it the commitment, as we move forward, to do in а thoughtful, 10 SO careful, deliberative, scientific manner. 11

12 One other feature of the FDAAA 13 legislation that I would like to call your attention to is that it also contained a 14 15 provision for the creation of an FDA Reagan-Udall foundation, termed 16 the That foundation is a totally, 17 Foundation. completely independent 501(c)(3) foundation 18 by congressional 19 mandated statute, but separate and apart from the FDA in that it --20 although it is charged by Congress to support 21 FDA in its mission, it will have absolutely no 22

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any of the participation in regulatory decisionmaking or processes or function that occur within FDA. And I wanted to share that very important aspect of the Board specifically with you it relates as to а complete understanding of the Board.

The Board has been constituted. 7 Its 14 members have been appointed, and Mark 8 9 McClellan, former FDA Commissioner and Administrator of CMS, now in the private 10 sector, will serve as the Board's Chair. 11 It 12 is constituted, it is now in place, but pending outcomes of the continuous resolution 13 it has not yet undertaken any function, nor 14 has it been funded by any -- using any of 15 16 FDA's resources.

Some of the important initiatives that this Board will undertake in an effort to support the activities of FDA's mission will be, for example, its ability to help create public-private partnerships that would be supportive of initiatives such as the one I

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1	just recently mentioned Sentinel and
2	also begin to address the important
3	opportunity for support and creation of a very
4	specific FDA credentialed fellowship program,
5	which I have discussed with you previously in
6	concept, as an important strategy to bring
7	new, vigorous, intellectual capital into the
8	organization in a very significant way at the
9	level of individuals who are early in their
10	career development and with a very broad and
11	wide diversity of skills and new fields of
12	disciplines in scientific expertise that will
13	be relevant and important to the future of
14	FDA's responsibilities.
15	That fellowship program has been
16	underway with regard to its planning. It will
17	ultimately, we anticipate, be able to bring
18	into the agency 2,000 fellows. The program
19	would extend over two years. That would allow
20	a turnover of 1,000 fellows a year ultimately,

and we would certainly look forward to being able to recruit from that fellowship class

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approximately 20 percent of that group to stay in a long-term career path within FDA.

In addition to some of the efforts 3 that are underway with regard to the new --4 our foundation, I want to just simply remind 5 you that a lot of effort spearheaded primarily 6 by Janet Woodcock has already been underway in 7 partnerships and collaborations with 8 the 9 foundation for NIH, and also activities such the C-Path Institute, which 10 as are continuously efforts to improve the ability to 11 bring into the agency assets, partnerships, 12 collaborations, that leverage and expand our 13 ability to meet mission. 14

In addition to some of the efforts 15 that have occurred within FDAAA, many of you 16 17 have had the opportunity to see the food protection plan that FDA has recently released 18 and is currently under consideration, both by 19 administration, 20 the and ultimately we anticipate by Congress, in which there are 21 additional authorizations that 22 are being

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requested in an effort for FDA to carry out some of its specific responsibilities with regard to enhanced ability to protect our food supply, whether imported or domestic, and from farm to fork, or production, if you will, to consumption. So we look forward to a variety of efforts that will enable us to expand our impact.

9 The appropriations that are for these activities have 10 necessary also continuously been an important focus for the 11 12 agency, but to do SO in the context of 13 creating adequate business plan that an matches the strategic plan, to be able to 14 define specific initiatives that would justify 15 and, in fact, compel an appropriate investment 16 on the part of the American people by virtue 17 of appropriations, by being able to provide 18 value added to particular stakeholders, like 19 industry, such that an increase in user fees 20 for very specific, very unique, and particular 21 deliverables would also be an important part 22

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of that resource base.

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2	And as I've just alluded to, we now
3	have an opportunity with regard to our own
4	foundation for certain appropriate activities
5	to be supported through that process. And so
6	we look forward to continuing to build the
7	resource base of the institution to support
8	its programs.
9	And specifically with regard to the
10	budget, beginning two years ago when I
11	arrived, we began a process of attempting to
12	significantly increase the appropriations
13	coming to FDA over a period of time as part of
14	an expanding increasing investment in FDA's
15	portfolio.
16	In 2007, increases were recognized
17	and we as we have moved into 2008, the
18	budget that is currently under consideration
19	has in fact significant increases associated
20	with it, but the problem that we are currently
21	faced with is that we are in the midst of a
22	continuing resolution.

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1	And so although the budget itself,
2	as presented by the President and as
3	considered by Congress, has increases in both
4	appropriations and increases as it relates to
5	our user fees, we are continuing to function
6	at a level comparable to '07, because those
7	that budget increase has not yet been passed
8	into law.
9	We would hope that the continuing
10	resolution that is expected to expire later
11	this month will result in an actual passage of
12	the bill, but that continuing resolution may
13	continue into the next calendar year. That is
14	something yet to be determined by Congress,
15	but it does present significant concerns for
16	us.
17	We will continue to work with all
18	of our partners in developing and presenting a
19	cohesive, coordinated, and compelling resource
20	request package, especially to the
21	administration and to Congress. And we are
22	currently in process of working with OMB on

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the development of our '09 presentation, and that hopefully will be finalized over the next few months.

In addition to the need for our 4 addressing authorizations and appropriations, 5 a few other particular points that I'd like to 6 share with the Board as it relates to FDA's 7 continued ongoing effort at addressing 8 its 9 mission has been to recognize the extraordinarily important role 10 that globalization pays. 11

12 This was no more apparent perhaps 13 than very recently in FDA's prominent role in the President's Import Safety Initiative, in 14 which when one looks at the safety of imports 15 coming from other parts of the world, and the 16 rapidly, radically increasing volume of those 17 imports, a large portion of that portfolio is 18 19 of products that FDA regulates, made up including obviously food and drugs. 20

21 And so we have been actively 22 engaged in that Import Safety Initiative.

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That's a very global one involving many of the 1 cabinet secretaries and departments within the 2 3 government, and we have been specifically focusing on food and doing that in a way of 4 expanding FDA's presence beyond its borders, 5 again along the concept of being engaged in 6 total life cycle and being able to build 7 quality in by working with production outside 8 9 of our borders, specifically working with countries in which infrastructure is not as 10 mature as it is in other parts of the world, 11 12 and by being able create increasing to 13 capacity. I regret that I cannot spend the 14 entire day with you, because I leave this 15

18 Regulators, my peers if you will around the 19 world.
20 You may recall that last year, as
21 part of FDA's centennial, recognizing that
22 actually those drug regulators had never ever

afternoon to attend a meeting in Dublin, which

will be the Second Summit of International

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met together at one time. We took the initiative at FDA to host the first summit; 24 regulators from around the world did in fact come. All 24 will once again be present in Dublin.

focus is looking 6 Our on at opportunities, particularly around 7 drug regulation in the new molecular era to find 8 9 ways for further harmonization. And we will specifically be addressing the problem of 10 counterfeit drugs on this particular meeting 11 12 in Dublin. And, obviously, all major 13 countries and sectors are represented.

I return on -- from that meeting on 14 15 Friday of this week, and then leave Saturday Beijing, at which point will 16 for I be 17 participating with Secretary Leavitt in finalizing memoranda of agreement with China 18 19 it relates to our ability to address as 20 increasing capacity.

21 Those two memoranda are one with 22 the Chinese State Food and Drug Administration

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35 in which the relationship between U.S. and 1 around drugs, especially 2 China active 3 pharmaceutical ingredients and excipients, and other important components 4 many of druq production coming from outside our borders is 5 And also, with their 6 an important issue. AQSIQ or their export certification agency, 7 specifically around issues having to do with 8 food. 9 And so those hopefully will be very 10 productive as well very satisfactory, 11 as 12 ongoing interactions with someone who has 13 continuously emerged as a very important major player with regard to the products that are 14 15 coming into this country that FDA is responsible for. 16 So the international effort will 17 continue, as well as our efforts as it relates 18 continuously collaborating with 19 other to 20 partners. There is no question that this is 21 an agency that when I arrived two years ago I 22

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found to be stressed, stressed by virtue --1 and I also said stressed and stretched --2 3 stressed and stretched by virtue of the radical changes that are occurring around us 4 as it relates to science and technology and 5 the impact that that has on the nature of the 6 responsible for 7 products that we are regulating. 8

9 Changes that stressed and ___ stretched by the radical changes that were 10 occurring in how those products are derived, 11 12 many of them no longer being domestically 13 produced but coming from countries abroad, and no such thing as made in U.S.A. or China or in 14 Europe, but rather assembled in those 15 countries, and probably no more an important 16 example of that than the way medical devices 17 are currently being assembled. 18

And continuously stressed and stretched by the fact that the response or the expectations of FDA's performance continue to be centered around the fact we are and are

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37 always looked to be providing the leadership 1 as the world's gold standard. FDA will not, 2 3 has not failed in that responsibility. It still is remains the world's finest 4 and regulatory agency, able to continuously assure 5 the American people that it is protecting and 6 promoting their well being and their health. 7 But those of you in this room and I 8 9 recognize that as successful as we have been yesterday, and are today, that if we continue 10 to simply be the way we were yesterday and 11 12 today we will not be successful tomorrow. 13 Change must and is occurring, and change is occurring within this agency. 14 And will continue to work 15 we collaboratively and cooperatively with you to 16 define the "what" that the future holds in 17 store for us, and hope that you will trust us 18 to be thoughtful and creative in terms of how 19 20 will qo about the process of being we responsive to that new opportunity. 21

I'm going to stop here, use the few

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1	more minutes of my remaining time for some
2	questions from the Board, and then I do want
3	to take a couple moments for a special
4	presentation that I'd like to make.
5	But I'll Mr. Chairman?
6	CHAIR SHINE: Commissioner, thank
7	you for your comments. I've had the privilege
8	of serving on the Science Advisory Board under
9	three Commissioners. And since I'm not ranked
10	for high office, nor have any desire to
11	continue on advisory committees, I feel free
12	to make some observations to you, Mr.
13	Commissioner.
14	First, to recognize the courage and
15	wisdom with which you brought to this Board a
16	year ago, a request for an examination of the
17	science programs within the FDA. That was
18	wise, because it took advantage of the
19	scientific expertise on the Board, and three
20	members of the Board served on a Subcommittee.
21	It also brought some 30 scientists
22	from the academic community, from industry,
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from a variety of other backgrounds, to look 1 at the science situation and opportunities 2 3 within the agency; encouraged because whenever you ask anyone to take a look at your programs 4 they are in fact going to be looking for 5 suggestions, critique observations, and that 6 significant amount both 7 takes а of selfconfidence and courage, and I commend you on 8 9 that. emphasize -- and you 10 Ι want to discussion won't be here for the this 11 12 afternoon -- but as I have read the report 13 from the Subcommittee, it is clear to me that one of the principal concerns of this group 14 who are really very much supporters of 15 the is this Board, we care about 16 FDA, as the 17 agency, we care about its future. One of the principal 18 concerns 19 expressed there was not in the management of the organization per se, although there were 20 some suggestions, but with regard in fact to 21 the resources. 22

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1	And we recognize that in your role
2	as Commissioner you are part of a process
3	which has to address resources in an orderly
4	manner within the administration, but I think
5	it is clear that for those of us, particularly
6	for someone going off the Advisory Committee,
7	that the necessity for finding more resources
8	and not just from fees but from appropriations
9	is an important challenge and one which many
10	of us outside of the agency and outside of the
11	government have a responsibility to do in
12	order to help you deal with a stressed and
13	stretched organization.
14	I would also like to request that
15	you communicate to the staff of the FDA on
16	behalf of the Science Board that we have had
17	the privilege each year of evaluating
18	nominations for awards for scientific
19	contributions. And we have been repeatedly
20	impressed with the quality of those
21	contributions.
22	It has been in many cases very

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difficult choice to make a between 1 two finalists, given the quality of the science, 2 3 that the critique which we are going to discuss this afternoon represents the sense of 4 our Subcommittee and these consultants that we 5 must do better, that we have areas that we can 6 strengthen, but that this in no way minimizes 7 the that this Board has the 8 sense of 9 dedication and skill of many, many members of this staff. 10

And Ι believe that nothing 11 we conclude in the course of the report should in 12 13 any minimize those contributions, recognizing that the environment in which they work and 14 their future depends upon a variety of changes 15 including substantial increases in resources 16 that will allow the agency to go forward. 17

This Board strongly believes and 18 supports your motion that this is a science-19 based organization. Not that it does all of 20 the science itself, but that it works closely 21 with other agencies, but that there 22 are

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certain kinds of science that it must do in the area of regulation, science that others will not do because it relates to how and in what way the mission of the agency is carried out.

And as we debate these issues this 6 Commissioner, I 7 afternoon, Mr. want to emphasize that that debate occurred because 8 9 you asked for it. And I commend you for that, and I want to extend my appreciation to you 10 for the notion that you have suggested that 11 12 you will continue to use the Scientific 13 Advisory Board, sometimes painfully, but I think always constructively, in support of 14 this agency. And I want to thank you for that 15 16 support.

17 DR. VON ESCHENBACH: Thank you, Mr. Chairman. Just let me, if I may, take a 18 second to respond. I will look forward to the 19 report from the Science Advisory Board after 20 you've had an opportunity to appropriately 21 deliberate report that is 22 on the being

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1 presented to you this afternoon by the 2 Subcommittee, along with many important 3 advisors.

full well Ι recognize the 4 tremendous effort that went into this over 5 worked 6 this past vear as people have exceedingly hard to be thoughtful, insightful, 7 and to grapple with many of the issues and 8 many of the challenges. And in response to 9 that, I want to assure you, Mr. Chairman, and 10 the entire Board, and hope you will 11 12 communicate that to the Subcommittee, that 13 that report will be given our serious and full consideration and deliberation, and will be 14 15 utilized as effectively as we can in the context of shaping, defining, and implementing 16 the most appropriate scientific portfolio for 17 this agency. 18

I appreciate the point that you made that the -- and why it was so important that we do this. It is precisely because of the fact even if we had all -- an unlimited

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it in amount of resources, would be 1 appropriate for FDA to consider or think that 2 it would or should do all the science that was 3 necessary to be a science-based, science-led 4 agency, but that it must be certain it is 5 doing what is essential and appropriate within 6 the agency, both as it relates to developing 7 enable the tools that will 8 us to make 9 scientific decisions, as well as what we must be deploying in the field as it relates to our 10 ability analyze products and make 11 to 12 regulatory assessments. 13 But at the same time, to do that in the context of the radically changing world 14 15 around where science is emerging us in 16 multiple places _ _ NIH, in industry, in 17 academia and how we can find our ___ appropriate part and place in that larger 18 19 collaborative effort, so that FDA benefits from and does not in fact function apart from 20 a vacuum is a critically important 21 or in challenge. 22

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1	I believe that we are at a
2	crossroads where there is a larger societal
3	question that is before us. And it's not a
4	question to be answered solely by the FDA, but
5	I believe we are in the process of attempting
6	to provide all of the information upon which
7	that question can be based, and that is: what
8	do we as a society wish the FDA to be? And
9	what capacities and capabilities will it and
10	should it have as we go forward in the future?
11	That's a question that I think is
12	larger than the one we're addressing right
13	now, but it's the context in which that
14	question has to be addressed. So we are in
15	the process of working with you. We'll
16	continue to work with you, and I want to
17	assure you the seriousness of that on FDA's
18	part.
19	CHAIR SHINE: Thank you.
20	Questions for the Commissioner from
21	the Board?
22	DR. VON ESCHENBACH: Cathy, did you
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-- oh.

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WOTEKI: Yes, Commissioner, 2 DR. 3 thank you very much for your remarks and 4 setting the context for us. Ι was particularly impressed by your discussion of 5 the necessity of engagement in the life cycle 6 of products across the whole portfolio that 7 fall under FDA's regulation. 8

9 And I was just wondering in the of the remark that 10 context ___ and the conversation and interchange you've just had 11 12 of what the implications are for FDA for the 13 future of moving into a life cycle approach towards regulation of these products. 14

DR. VON ESCHENBACH: Well, there 15 are two important implications I think. 16 One simply reflects something that I think 17 has been an important discussion over the past two 18 years, and that is the recognition that if we 19 are going to be engaged in total life cycle 20 what essentially FDA is is an information 21 management business. 22

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It acquires data, it assembles it, 1 analyzes it, assimilates it into a context, 2 acts, and then continues to acquire more data 3 after that action. One of the implications is 4 creating the information technologies and the 5 bio-informatics, if you will, infrastructures 6 that must in fact underpin that new 7 full dimension portfolio. 8

9 So we have been working on IT infrastructure. We have been also working on 10 the informatics that are going to be necessary 11 12 with which to gather the right data and be 13 able to be certain that we're analyzing that, carrying it through that process, so, 14 as someone said, data qoes information, 15 to information goes to knowledge, and then in 16 fact truly knowledge goes to wisdom. 17 And that should be the framework of that regulatory 18 So one implication is: do we have 19 decision. the right tools with which to do that? 20 Secondly, the second implication is 21

we cannot do that simply within the walls of

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1	the FDA. We must be working outside of our
2	own, if you will, borders. And that requires
3	greater interactions, collaborations, and many
4	of the things that you see us embarking upon,
5	whether I talk about relationships with
6	regulators in other countries, whether it's
7	greater dialogue and interaction with regard
8	to the developers by virtue of things that
9	have occurred, and the new FDAAA legislation
10	with resources for pre-application
11	consultations, whether it's working with our
12	sister agencies like NIH, CDC, CMS, etcetera,
13	all those are intended to allow us to be able
14	to participate across that full continuum and
15	be constantly engaged with the product in a
16	way that we can learn, understand, and make
17	regulatory decisions when necessary and
18	appropriate.
19	CHAIR SHINE: Any other questions?
20	(No response.)
21	If not, I guess we can proceed.
22	DR. VON ESCHENBACH: Well, I have
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one of those bittersweet responsibilities at this point, but I see it more as a great opportunity than a responsibility, and that is to both pay tribute and to say thank you to individuals who have served this Board so well and have given so much time, effort, energy, and mostly have given their passion and their commitment to the cause.

9 This is an agency I have found that asks what's the secret of 10 when one its success, it's in the people who make up the 11 agency, and it's not only the people who are 12 13 inside the agency working on a day-to-day basis in Rockville or White Oak or any other 14 part or place of the -- it's also the people 15 who have made their life, their commitment, a 16 part of the agency as well. And certainly the 17 members of this Board exemplify that so well. 18

And so I want to take a few moments and present to individuals who are leaving having served so well, and the first is to Susan Kay Harlander. Susan, you've brought an

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1	extraordinary dimension to this Board.
2	Obviously, food is the first part of the Food
3	and Drug Administration, and I have to tell
4	you that although there are some who are
5	wondering whether it should continue to be so,
6	I personally think the vision and the insights
7	that you have brought really help continue to
8	crystalize the fact that as one thinks about
9	health and protecting and promoting health,
10	there probably is no other single thing we do
11	or put in our mouth every day that is
12	responsible for preserving and maintaining and
13	nurturing our health than the food we eat.
14	Food will and always will be a
15	critically important part of mission, and you
16	have been a critically important part of
17	helping us understand the importance of that
18	mission. And I want to thank you for your
19	service.
20	MS. HARLANDER: Thank you.
21	DR. VON ESCHENBACH: Xavier Pi-
22	Sunyer, where is he? We talked just a little
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bit a second ago about food and the importance of food in the Food and Drug Administration and health, and probably one of the most critical areas in public health that we're facing is the problem of obesity and the problem of malnutrition if you will.

And one of the important parts of 7 FDA's vision for the future is to see its role 8 9 as helping people to understand how to use the products that we regulate, and part of that 10 will be our continued effort, whether it's in 11 12 the food label, modifying the food label, or 13 in many other initiatives, to help us continue to address the problem of obesity, and most 14 importantly to look at it also from the point 15 of view of the consequences of diabetes as 16 that -- as becoming an important part of it, 17 and what must be doing to deal with 18 we diseases that result from problems having to 19 do with nutrition. 20

21 Your wisdom, your insight, your 22 guidance in the science that must underpin

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1	that have been important contributions
2	MR. PI-SUNYER: Thank you very
3	much.
4	DR. VON ESCHENBACH: and we're
5	so grateful to you.
6	MR. PI-SUNYER: Thank you.
7	DR. VON ESCHENBACH: Allen Roses.
8	I talked earlier about FDA being a science-
9	based and a science-led regulatory agency.
10	And Allen has brought that cutting edge vision
11	and fields like pharmacogenomics where many,
12	many are still grappling and struggling with
13	where these new cutting edge scientific fields
14	must and need to fit into the regulatory
15	pathway and the regulatory process, and our
16	ability to understand getting the right drug
17	to the right person for the right reason,
18	based not only on parameters of their disease
19	but based on the parameters of what they are
20	as being made up by their genes as a human
21	being and as a human person.

And, Allen, helping to define what

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those cutting edge new areas of science are 1 that we must be utilizing to illuminate has 2 been an extraordinary contribution and one for 3 which FDA will continuously be grateful. 4 Thank you very much. 5 6 This next presentation is a year old. When I first arrived -- actually, it's 7 more than a year old. When I first arrived at 8 9 FDA -- and I truly meant what I said all along, how critically important this Board is 10 to the FDA and to its mission and to its role 11 12 -- and recognizing that because of that I 13 wanted so much to be able to work with the Board, empower the Board, to be able 14 to reflect that critical leadership in helping us 15 to illuminate the future. 16

of the things 17 One that was SO special to me was that I had the privilege of 18 19 already being of the tremendous aware leadership capabilities of Ken Shine. 20 I was well aware of his enormous contributions to 21 science and to academic and to education, and 22

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to his ability to bring people together from a variety of diverse backgrounds and help them focus through the prism of science on what could and needed to be done to change the world.

And to be able to do that in terms 6 of could and needed to be 7 what done to continue to help FDA address the changes it 8 9 had to make was probably one of the most important gifts or assets that I could have 10 hoped for as a newly arrived Commissioner. 11

12 There was one problem. The problem was his term was over on the Board, and he no 13 longer needed to continue to serve. 14 But by virtue of the fact that the need was great, 15 Ken did what Ken always does, and that was 16 17 serve even more and serve beyond -- over and above the call of duty. And so he agreed to 18 extend his leadership as Chairman of the Board 19 continue his participation 20 and to on the Board. 21

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I will have to tell Ken -- I'll

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confess publicly -- that although he signed a contract to do that for one year, I was working behind the scenes with Norris to see if I could be able to work to renegotiate an even further contract extension.

And, Ken, the only thing that has 6 kept me from actually doing that and speaking 7 to you was Norris came back and said it was 8 9 absolutely, unequivocally, irrevocably illegal for me to do that. And so I've always 10 promised that I'll do anything I can for FDA 11 12 except anything that is illegal or immoral.

And since it was illegal for me to ask you to serve any longer, I am, therefore, taking the opportunity to present to you this plaque that is just a very small token of not just the appreciation but the affection that everyone at FDA has for you.

19 This is agency made an up of individuals that I believe exemplify the word 20 "public servant." You, sir, are a public 21 servant excellence extraordinaire, 22 par and

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56 service to FDA could never be 1 your ever reflected simply in a plaque. But it will be 2 reflected in the spirit of this agency and the 3 people who serve it and the people who will 4 continue to serve it now that your term as 5 6 Chair has appropriately come, and legally come, to an end. 7 (Applause.) 8 9 CHAIR SHINE: Thank you very much, Commissioner. It is always good to know that 10 we are within the law. 11 12 (Laughter.) 13 With that, we're going to go to our agenda. One of the individuals who is in that 14 15 other staff who has been just an extraordinary leader during my tenure here has been Janet 16 Woodcock, who is Deputy Commissioner, and she 17 is going to give us an update on the critical 18 19 path. Janet? 20 DR. WOODCOCK: Thank you, and good 21 morning. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	Carlos, I have about, you know, 45
2	minutes, so I'll try to keep it short, or I
3	can you can cut me off or tell me when to
4	accelerate. I don't mean to take up a whole
5	lot of time.
6	Let me figure this out. Where do I
7	point it? There we go.
8	All right. I'm going to give you
9	an update on FDA's critical path initiative,
10	which is something that has been going on for
11	a number of years and is beginning to bear
12	fruit and is intimately related to many of the
13	scientific topics that will be discussed this
14	afternoon by the report of the Subcommittee.
15	So I will try to relate some of what I'm
16	saying this morning to what the Subcommittee
17	has to say in their report.
18	First, I'm going to give a very
19	brief introduction, a conceptual framework,
20	what is critical path. I think people
21	continue to be a little bit confused about
22	that. What we've accomplished so far, some of

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the assessments and what the critics are -you know, various critics are saying about critical path, where we want to focus in 2008 and longer term, and then perhaps get your input on that.

So the conceptual framework -- when 6 this first came out in 2004 was a time when 7 medical drug and device discovery 8 and 9 development, the pipeline was not very robust, and that issue continues to this day. 10 Where in the '90s there was a very robust flow of 11 12 products, that has diminished, particularly in 13 the pharmaceutical area.

And multiple explanations of this 14 problem have been offered by various experts, 15 but critical path offered a new explanation, 16 that there had been 17 which was а lack of investment in the science that actually 18 supports the development of the products. 19

And although we framed this in terms of the pipeline problem, this had been an issue for FDA for a very long time, because

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1	the science that FDA does, and much of which
2	you'll hear about this afternoon, relates to
3	the applied science of development and
4	evaluation, how you actually evaluate these
5	products as they are being developed and then
6	as they are perhaps before the agency
7	for marketing and then looking at their
8	performance out in the market subsequently.
9	And FDA scientists had been working
10	on these issues for a very long time. We
11	called it regulatory science, and that was
12	such a non-starter in terms of the world.
13	People did not want to hear about regulatory
14	science, that applied science, so now we
15	now this was impacting actually the
16	development of the products themselves, we
17	felt, whereas before it had been more of a
18	problem for the FDA in evaluating the
19	products.
20	And so because this was now a
21	mutual problem, we felt it would get more
22	traction, but we renamed it and
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reconceptualized it and called it critical path.

3 And for -- we initially started out with critical path simply for the medical 4 products, and we have this diagram -- and I'm 5 not going to go over this in great detail, but 6 basically the message was that the discovery 7 science, basic scientific research, biomedical 8 9 research, is different than the science that is used to develop and evaluate products once 10 their scientific discovery is made. 11 12 And critical path we consider as a 13 bridge between discovery and delivery, but -and it's a different science -- this critical 14 path research that needs to support that 15 bridge is a different set of sciences. 16 And, of course, you're going to hear all about them 17 this afternoon in the from the 18 report Subcommittee. These are the sciences that 19 actually support moving a product down and 20

evaluating a product.

And actually you'll hear later in

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this talk that now we have moved critical path further down. As the Commissioner said, in the life cycle approach we used many of the same evaluative techniques to look at the performance of the product once it's out on the market during a further stage in the life cycle.

So what we tried to do -- and I 8 9 think our first actual achievement of the critical path initiative --10 was actually defining this problem. As I said, we had 11 12 never really gotten very much traction when we 13 talked about regulatory science, that members of the Science Board I think understand this, 14 but the broad community stakeholders did not, 15 that includes Congress, the medical 16 and community, and so forth. 17

Many people felt that FDA, in doing 18 19 its evaluation, basically doing was а regulatory enforcement 20 action. We simply would make an action and would not understand 21 that there had -- there was a vast amount of 22

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1	science that had to go into this
2	decisionmaking. Otherwise, the decisionmaking
3	would simply be arbitrary and capricious.
4	The problem was no one really owned
5	this science, although FDA scientists and
6	reviewers were uniquely positioned to
7	understand the gaps in this science. As a
8	result of this, we were often blamed for
9	development problems. Okay?
10	Something goes wrong during
11	development, something goes wrong after a
12	product is out on the market, or we
13	collectively fail to detect a problem with a
14	product that's out in use, and people think,
15	well, that's something you know, something
16	has gone wrong. But often, as you know, the
17	actual case was there wasn't the applied
18	science available to support the proper
19	evaluation or proper regulatory steps.
20	And this is very important, this
21	second bullet here, and I think it really
22	relates to what will be talked about this

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63 afternoon. Because our stakeholders have 1 never conceptualized FDA as needing to do 2 3 this, they really have not had a very clear idea of what regulatory science is, the agency 4 was generally not resourced to support 5 the 6 applied science necessary to modernize our regulations and modernize development. 7 In other words, we didn't have the scientific 8 9 resources to do this. And as you have already heard from 10 Ken, and from the Commissioner, our scientists 11 12 to this day make heroic efforts to bridge 13 these scientific gaps, by collaboration, by their personal efforts, and so forth. 14 Now, the biologics and device 15 programs do have very modest research funding, 16 17 historically. The foods program has had

fairly modest research funding, but the drugs program has really never had any significant research funding, scientific funding. And you may hear about this this afternoon a bit.

So what we tried to do is

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communicate -- and this is, again, the early part of the critical path initiative -- reach some kind of agreement on addressing the problems. The stakeholders, such as patient advocacy groups, really understood critical path faster than any other group.

And this is quite interesting, and 7 we think -- I think the reason is they had 8 9 lonq been investing in research, and the patient groups were extremely frustrated at 10 the progress of that science through the 11 12 development process and realized that there 13 were still major gaps preventing that advance -- those advanced scientific discoveries from 14 progressing into development. 15

The industrial sector agreed with 16 problem definition, 17 the but they weren't really sure whether they should play. 18 FDA 19 staff, because they had been doing this forever, I think were skeptical that 20 this would bring more help to them. That's really 21 what they need. They certainly -- all the FDA 22

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scientific and review staff agree on the need for research and support of their regulatory science, but they were unsure that critical path would actually be able to help them.

This has changed, as I'm going to 5 talk 6 about later, and we have а very enthusiastic group of people who would really 7 have tremendous number of scientific 8 а 9 projects that need to be done. So it's clear this is a long-term effort. 10

So what did we do, given 11 that 12 critical path itself didn't bring any new 13 resources? We emphasized collaborating ways of accomplishing the objectives. It's clear 14 that the basic science community, as well as 15 16 the industry, as well as patient and biomedical community, have a stake in getting 17 these products made available, and also having 18 them be well evaluated. 19

20 And, therefore, we all have a stake 21 in sort of getting this done in the common 22 ground, so perhaps we could come together in

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collaborations and make this happen.

Another objective 2 is to pool 3 existing resources, because funds were scarce. One of the issues that had arisen is that the 4 -- many of the industrial development programs 5 this is 6 and true in devices, pharmaceuticals, biologics, and it's also true 7 to some extent in the foods area and others --8 9 that the industrial sector had kept much of the information they had 10 generated confidential, and so it wasn't being shared. 11

And SO there's huge amounts of 12 information -- clinical trial data, 13 animal data, and so forth -- that wasn't -- didn't 14 move to knowledge, because it was not shared. 15 So we -- part of the goal of critical path 16 was to pool this information and use it, and 17 also to use NIH-funded trials not to simply 18 answer a single question of the researchers at 19 also to accomplish some 20 NIH but of these broader objectives, and we are doing that. 21

So we identified in critical path a

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number of areas that were critical for improvement, including biomarker development clinical trial modernization, bioinformatics, and manufacturing of products, and I'm going to go through some of these briefly and talk about the progress.

And these are the areas I'm going 7 to talk about for biomarker development. 8 In 9 biomarker development, I think our first area of progress has been to get broad acceptance 10 of the notion of qualification of a biomarker 11 12 of fitness for use. Up to this point, 13 everyone talked about validation of biomarkers, and primarily talked about 14 surrogate endpoints, which are endpoints that 15 can be -- that are biomarkers that can be used 16 instead of a clinical endpoint 17 to show effectiveness of a product, a drug 18 or а device, or whatever. 19

These are very controversial and very difficult to achieve -- a surrogate endpoint. And because folks confuse all

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biomarkers with the surrogates, the chance for progress was very slim. So we have had numerous advisory committees and scientific discussions, and so forth, and have generally gotten everyone to accept the idea that what we need is the fitness for use criterion for use of a biomarker.

In other words, that the scientific 8 9 data that is generated about the biomarker is adequate to use it for whatever you might be 10 using it for. Are you using it to select a 11 12 patient population? Are you using it to 13 prevent people from getting an adverse event? And so forth. depending on what you use the 14 biomarker for, you need a different type of 15 16 data to support that use.

17 We're also -- because many of these biomarkers going to be in vitro 18 are 19 diagnostics or imaging agents, we're working devices 20 across centers and drugs ___ and biologics, for example, are working together 21 on these issues. 22

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69 Within the Center for 1 Drugs, а formal biomarker qualification process has 2 3 been set up, and an agency-wide process is being developed. And what we will do as we 4 get these new biomarkers is we will post the 5 data and have a public comment period on the 6 biomarkers. 7 Right now, the new biomarkers we're 8 9 looking at, we're undergoing a process, is a set of drug-induced nephrotoxicity biomarkers 10 that have been submitted by the Predictive 11 12 Safety Consortium to the FDA. And we are in 13 the process of looking at those biomarkers. They have been qualified by that group for use 14 in animal toxicology studies as more sensitive 15 16 measures. So once we complete our analysis we 17 will post that publicly, post those data. 18 And 19 that way hopefully we can move toward public, scientific acceptance of new biomarkers. 20 Now, internationally, this has --21 there has been a lot of interest as well in 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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Europe. They have an Innovative Medicines 1 Initiative that they propose at funding for a 2 3 very large amount of money over a number of years, and we are working with the folks who 4 are doing the Innovative Medicines Initiative 5 to make sure that our efforts are synergistic 6 and not duplicative, but they also may come up 7 with new biomarkers. 8

9 And the EMEA and the Japanese regulators are participating with us in our 10 biomarker qualification process. So hopefully 11 12 what we would have is new biomarkers for a 13 variety of uses that would be accepted worldwide by the regulators. 14

And we are working in the ICH, the International Conference on Harmonization, on pharmacogenomics terminology.

Now, one of the biomarker -- one of 18 the types of biomarkers that has moved ahead 19 very rapidly in the past several years to a 20 great extent because, of course, 21 of many people like Allen out in the scientific 22

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community, as well as by very heroic efforts I think by members of the FDA staff is pharmacogenomic biomarkers.

And these have the potential both 4 to improve the efficacy as well as improve the 5 safety of existing drugs and biologics that 6 are out on the market as well as new ones that 7 are coming along. So we have announced a 8 9 relabeling of а number of drugs 6MP, _ _ irinotican, warfarin, codeine -- and you will 10 soon probably be seeing more announcements of 11 12 druqs where pharmacogenetic markers will 13 improve the dosing of these drugs or reduce adverse events. 14

In the policy arena, we have also 15 been working on guidances on new emerging type 16 of diagnostics 17 in vitro such as gene expression assays, and so forth, and this has 18 19 of course caused a great deal of controversy, which many people are probably aware of, but 20 we are continuing to move on in this area, 21 these type of new gene expression 22 because

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assays, and so forth, will be some of the tools that we will use in critical path.

3 We have issued more guidance on pharmacogenomic data submission. 4 Our data submission process, our voluntary submission 5 process, is going very well. We have had over 6 30 submissions, and that is a collaboration 7 across the FDA that NCTR is hosting much of 8 the data from. 9 And we are hearing about genomic experiments that are being done on 10 numerous types of products. 11

12 We have recently opened this up, 13 and now we're going to be getting proteomic, metabolomic, and other of 14 types newer molecular experiments submitted to the 15 voluntary process as well. 16

And there are multiple consortial efforts going on in this area, which I will discuss a little bit. Safety is important. We're going to be talking a lot about safety today. For pharmaceuticals and biologicals and medical devices, side effects don't happen

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to everyone. They usually happen to a small
 subset of individuals.

And what causes a specific individual to have a side effect? That is one of the important aspects of safety that is often neglected in the goal of simply adding up the number of problems.

We need to improve safety through 8 9 better mechanistic understanding, and this relates to critical path, because we finally 10 have the scientific tools to understand why 11 12 various people get various side effects. But 13 we need to develop those tools and apply them to the development process. Otherwise, we're 14 not going to learn. 15

And we think certain biomarkers may 16 17 be low-hanging fruit. For example, genomic biomarkers of the most prominent 18 one ___ 19 experiences has been with abacavir, and the that genomic marker 20 fact there is а for immunologic -- it says here skin reactions, 21 but it's really skin -- immunologic reactions 22

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74 that lead to anaphylaxis, very serious 1 reactions. 2 3 There also have been markers published carbemazepine with 4 for Stevens-Johnson Syndrome in TENs, which 5 are extraordinarily serious subcutaneous reactions 6 from that drug. 7 As we said -- as I said earlier, 8 9 there are genomic markers that look at folks that metabolize warfarin differently 10 or differences in the target for warfarin. 11 12 Warfarin is an anticoagulant. This story is 13 rapidly evolving. We have relabeled warfarin, that there will but expect be 14 we more 15 information accumulating quickly about the proper use of warfarin, and we're doing this 16 as one of our critical path projects as kind 17 of a proof of concept. 18 And codeine also was in the news. 19 Codeine doesn't work at all in some people, 20 and other people it 21 is very rapidly metabolized to morphine. In certain nursing 22

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mothers, this may result in a serious toxicity cases. biomarkers. to skip over this, because I see Ken looking at his watch here, and we have to move along. The real issue here is: what entity is charged with developing safety biomarkers? I mean, if we simply would leave this to academia as kind of a, you know, project of interest or research project or something, this isn't really going to get The real world requires we need to do done. concentrated validation studies qualification studies to get these to point where they can actually be used clinical medicine.

There are several consortia that 19 I've presented before. 20 There's a Serious Adverse Event Consortium that is working on 21 The C-Path Institute is working on it this. 22

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to the infant or perhaps fatalities in some 2 3 So these are just examples of safety 4 Future opportunities -- I'm going 5

with the Predictive Safety Consortium. And the Biomarker Consortium at the FNIH is also working to some extent on safety biomarkers.

But we're going to need worldwide 4 collaborations to get the clinical data that 5 we need, because especially with these genomic 6 there is ethnic variability 7 markers that occurs around the world in many of these, and 8 9 we need data from many populations to SO really understand the performance. 10

And we're going to be talking a 11 12 little bit I think -- I will be talking a 13 little bit about safety surveillance using health care databases. We need to link with 14 those to be able to identify the cases, the 15 people who are actually having these problems, 16 and then be able in some way to test their 17 DNA, so that we can really identify what the 18 19 risk factors are for new problems.

20 Now, in cancer, we have a very good 21 partnership with the National Cancer Institute 22 called the Interagency Oncology Task Force,

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1	and there we are working on a number of
2	critical path projects. The Biomarker
3	Consortium at the Foundation for NIH has a
4	Cancer Steering Committee that FDA is
5	participating in actively, and we are also
6	working with two cancer groups the American
7	Association for Cancer Research, and on
8	biomarker development, and with ASCO, the
9	American Society for Clinical Oncology, on
10	clinical trials using these biomarkers. So
11	these are all critical path projects that are
12	occurring in the cancer area.
13	In imaging, the story is not as
14	promising, unfortunately. Imaging is probably
15	one of the most promising fields possible I
16	think for advancement of development science,
17	and yet we're having extremely slow progress.
18	It's very frustrating, in my opinion.
19	Imaging some group ranked the
20	top 20, you know, medical advances of the last
21	century, and imaging was right up there. A
22	couple of different imaging techniques were

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rated as amongst the highest advances. But we need to do better in this area, so this is one area I think where we -- we have issues with the agency review function in imaging, and we have issues on the outside with the ability to develop imaging agents and standardize them.

The Alzheimer's Neuroimaging 7 Initiative is going on at the Foundation of 8 9 NIH for a number of years. That's one effort where there is actually concerted effort to 10 look at the natural history of Alzheimer's 11 12 using imaging biomarkers. Hopefully, this 13 will yield important data that tell us how we can use imaging in Alzheimer's Disease in 14 product development. 15

And we need a better way to support 16 the use of molecular probes, which is the 17 future of imaging. That is the intersection 18 of molecular medicine with imaging, and to do 19 in product development. Right now, 20 that again, many of these probes are developed by a 21 single company. They're used in development 22

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of a single product, and then they are put on the shelf and they are available for general use ever again. And we are trying to make efforts under critical path to remedy this situation.

So I think I'll skip over this and 6 talk a little bit about our clinical trial 7 modernization efforts. Like all areas of 8 9 critical path, there is a parallel. We need to advance the evaluative science, and then we 10 need to modernize the regulation to match 11 12 those scientific advances that we make. And 13 those things need to occur in parallel. So there is policy development that has to occur, 14 along with scientific development. 15

And nowhere is this clearer I think than in clinical trial modernization. And so we have focused to some extent on modernizing the regulations in this area, because the science is difficult and is moving slowly. We have issued a number of guidance

-- guidances out of the critical path office

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-- exploratory INDs in '06, a final guidance on using computerized systems in clinical trials in '07. Obviously, if we don't move modern informatics into the clinical trial realm, we're not going to get anywhere with clinical data.

Adverse event reporting to IRBs, 7 this is a very big problem for the IRBs. 8 We 9 put out a draft guidance, '07. Supervisor responsibilities of investigators, and using a 10 centralized IRB process, and the FDA guidance 11 all efforts 12 for that. So these are to 13 modernize and clarify the regulation of this scientific endeavor, in other words, 14 of clinical trials. 15

also have done 16 We а number of 17 hearings. These hearings are a prelude to regulation changes, exemption from informed 18 19 consent requirements for emerging research, adverse event reportings to IRBs, and then a 20 direct final rule on GMPs, which are almost 21 done with. 22

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We also announced in '06 the BiMo 1 Initiative, which is trying to modernize the 2 way we -- our regulatory oversight of clinical 3 trials. We recognize that in the United Sates 4 executing a clinical trial 5 doing -and getting it actually done and reporting out the 6 results is a very lengthy and very difficult 7 process that no one is very happy with. 8 9 This is pushing the conduct of

clinical trials overseas, and it also limits 10 the number of clinical trials that are done. 11 12 But if we can't do a lot of clinical trials, 13 we are not going to be able to evaluate the impact of all of this new science that is 14 15 being done on human health. We have to be able to do these trials, so we've got to do 16 17 better at our execution and oversight of clinical trials. 18

To this end, we had a meeting with the Drug Information Association, defining and implementing quality in clinical investigations, because that's -- there are

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82 two issues. One is quality, and the other is 1 human subject protection. And quality is part 2 of human subject protection, in fact. 3 That was a very positive meeting, and I think there 4 is common ground across many sectors in how to 5 improve the quality and efficiency of the 6 clinical trial process in the United States. 7 То this end, we are forming a 8 9 public-private partnership with Duke, and we have signed an MOU with Duke. It was recently 10 announced in November. This will to the end 11 the public-private partnership will 12 be ___ 13 assembled to the end of improving the quality of clinical and efficiency of execution 14 trials. 15 We think that this is -- again, FDA 16 only has a small part of this, but it's an 17 essential part and we can kind of lead or move 18 this dialogue along. 19 I'll skip over the methodologic issues. 20 But, again, the lack of a really 21 large academic support base in the United 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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for clinical trial methodology States and 1 biostatistics applied to clinical trials means 2 3 that this field hasn't moved as quickly as it should, and we need to have new clinical trial 4 methodologies develop able 5 that are to incorporate all of these biomarkers and other 6 tests into them. These were primarily part of 7 the adapter designs. 8

9 Bioinformatics is another issue that is supported by critical path. It's one 10 of the critical path need opportunity areas. 11 12 You'll hear about this this afternoon I think 13 in fair amount of detail from the а Subcommittee report about the needs of the 14 FDA. And the critical path initiative has set 15 up ways of trying to address these to the best 16 17 we can given our resources within the FDA, along with the CIO of FDA and the Office of 18 Planning. 19

And we've set up -- which I talked to the Board about a little bit last time -business review boards, and so forth. So we

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have supported the Data Standards Council to
develop a large number of data standards that
are kind of they are the infrastructure
that is going to be needed if we are going to
pool data across multiple clinical trials,
across multiple development programs, and
actually learn and develop scientific
information and knowledge from all of these
development programs that are going on.
So I will spare you all the details
of this, but we are hard at work in doing
this.
What we learned and we learned
something very important from the from our
Subcommittee review we learned that what we
really need to call all this is the
information supply chain, that we at FDA have
this information supply chain that we manage.
And I think this is very important,
because we were never able to explain to
people why it's important that we be able to
describe this product out here in health care,

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85 in this hospital, okay, and have an actual 1 description of that and how that might impact 2 on our scientific activities over here in 3 NCTR, but it does. 4 That's the information 5 supply 6 chain, and what we are looking at, say, in the life cycle is how this medical device is 7 impacting this person in this hospital who has 8 9 an adverse event, and maybe it will go back to the laboratory of Larry Kessler or NCTR and 10 we'll figure out, through genomic assay or 11 12 whatever, what the root cause of that might 13 have been, or some human factors analysis, or whatever. 14 So this is an extremely important 15 concept of information supply chain, and we 16 are working on this under critical path. 17 CHAIR SHINE: Let's just take five 18 more minutes. 19 DR. WOODCOCK: Five more minutes. 20 21 Okay, sure. So the final part of progress is 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

the drug -- the product quality for the 21st 1 century, pharmaceutical manufacturing. We are 2 moving along on this. This continues to make 3 progress, and we are right now working with 4 5 Europe, the Europeans, to try to have a new 6 process for changes in manufacturing that doesn't require so many submissions to the 7 regulatory agencies. 8

9 This was one of the goals all along that have the quality systems of 10 is the manufacturers be competent to manage change 11 12 control without consulting the regulators 13 every time any change was made. So we're working with the Europeans this. 14 on Obviously, this will require worldwide 15 collaboration of regulators, but I think this 16 is -- this is where we really wanted to get 17 with this initiative, and through many years 18 of concentrated effort we're getting there. 19

20 We coined this term -- quality by 21 design -- for talking about this, that you 22 would really understand the critical process

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and product parameters, so that you would have a design space that would allow for a lot of 2 changes.

This type of science, manufacturing 4 science, again isn't -- people really don't 5 think of this when they think of biomedical 6 science, but this is just as important as any 7 other part of the manufacturing control and 8 9 testing and evaluation of products, because fundamentally if these products aren't made in 10 a reliably -- reliably and robustly and 11 uniformly, then all of the other testing that 12 13 you do is worthless.

Now, in 2007, we also expanded the 14 critical path a bit. We had a critical path 15 report issued for generic drugs issued in '07, 16 and this described the scientific activities 17 that would need to be done, particularly add 18 19 new dosage, new types of dosage forms to 20 generics, such as creams, inhaled products, and so forth. We don't have good models for 21 how they would become generics right now, and 22

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often they don't. They are big lacunae in the generic availability, and that increases cost for the public.

addition, complex 4 In druq substances, those that aren't simple, 5 are going to have a hard time becoming generics, 6 and more science is needed there. And we also 7 brought in everyone at FDA in an FDA-wide 8 9 Critical Path Steering Committee to figure out the priorities for scientific projects. 10

So, in 2007, we also talked to the 11 foods folks and veterinary folks about what 12 13 they needed. You're going to hear a lot about this probably this afternoon on the need for 14 new evaluative technologies in the food area. 15 We're very well aware of this, and one of the 16 interesting things I think the Subcommittee 17 this afternoon will talk about is that 18 19 currently the science and technologies is coalescing, so that gene expression or genomic 20 tests or whatever are applicable to drugs, are 21 applicable to foods, and so forth and so on, 22

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and so are many of these technologies.

So back -- I'll just finish up 2 3 here. The question of the critics is: well, has this really meant anything? 4 Has critical path changed drug development? I think it has 5 definitely changed the dialogue, and we have 6 an unprecedented amount of collaboration going 7 on worldwide now in many areas, including 8 9 patient groups who are working alongside of us in medical societies, subspecialty societies. 10

The voluntary genomic data 11 12 submission process is a big success. The 13 manufacturing changes are successful and are making demonstrated impact. And the 14 а consortia that have been set the 15 up, are also making scientific 16 collaborations, 17 progress.

There is buy-in and enthusiasm and 18 19 participation at FDA, but by no means is everyone convinced. 20 They want to the see funding, okay? And so this depends in part on 21 funding. The FDA Amendments Act, as Dr. von 22

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90 Eschenbach said, was signed and includes an 1 FDA foundation that was intended 2 to help 3 support critical path activities, but we continue to be on a 4 -- and we are on a continuing resolution, and that hasn't been 5 6 implemented yet. But we -- so currently we mainly working 7 are with our external collaborations that are really continuing to 8 9 grow. I'm going to skip over 10 this, because Ken said I should. 11 12 And let's see, I just want to talk little 13 about the criticisms of the а initiative. People say this isn't tightly 14 15 focused enough, it's too broad, it lacks a few specific compelling goals, and, therefore, 16 they are not sure that all FDA staff is on 17 board, or that funding can and will penetrate 18 to all levels of FDA. And it is true, we --19 think basically the 20 well, SO that's Ι criticism. 21 22 I'm sorry. It seems to be going **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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the wrong direction here. There we go. 1 Okay. We feel that real progress has been 2 3 made with the critical path initiative, but we could do more with more. We have not really 4 been funded until late fiscal year '07 where 5 we received \$5 million. That has been the 6 far for the critical 7 funding SO path initiative, and some of that supporting the 8 9 bioinformatics efforts I discussed earlier, some of it was given to the centers to support 10 some of their research activities. 11 The agency is really taking a long-12 13 term transformative point of view with critical path, not a short-term focused win 14 approach. And we'd be -- I'd be interested in 15 what you think about that. 16 17 Our current practices have been in effect for about 20 years, and it is very 18 19 difficult to change. But I think the way we've changed the manufacturing regulation, 20 and actually the way manufacturing is looked 21 at on the -- in the industrial sector over the 22

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92 past five years has really been extraordinary. 1 We have made a very significant change. 2 So the needed investments also take 3 because they involve scientific 4 time, We don't expect to have results in 5 research. 6 six months from projects that require scientific research. 7 So we have to commit to ensuring an 8 9 engaged and modern scientific workforce. Ι think that is 10 going to be part of the discussion this afternoon, but I would 11 say 12 that modern regulation is not just going to be 13 enforcement. It's going to be science-based, because the products and the tools 14 of development are very cutting edge science. 15 And we have to be able to look the industry 16 scientists in the eye and have our own science 17 at the level of the science that 18 we are 19 regulating. 20 And we need to -- we do, though, need to articulate a transparent and sound 21 plan for identifying, evaluating, 22 and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	implementing our critical path priorities, and
2	we will do so in 2008. It has been somewhat
3	an ad hoc process up until this point.
4	So thank you very much for your
5	attention.
6	CHAIR SHINE: Thank you, Janet.
7	Comments from the Board? Questions
8	from any members of the Board? Yes, please,
9	Dr. Sasich.
10	DR. SASICH: Thank you very much
11	for the presentation. Just one quick
12	question. Can you give us an example where
13	regulatory science has either prevented or
14	delayed the approval of a new molecular
15	entity? And this is exclusive of follow-on
16	biologics or generic. As long as you don't
17	have to divulge something that's commercial
18	confidential information.
19	DR. WOODCOCK: Do you mean sort of
20	lack of regulatory science?
21	DR. SASICH: Right. Where it is
22	where it may have actually delayed or
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prevented the approval of a new molecular
 entity.

3 DR. WOODCOCK: Yes, we think -- I would say this happens all the time, okay, 4 because we have unanswered questions. 5 What --6 you know, what we started out what I _ _ started out with was that the lack of the 7 evaluative science now, regulatory 8 or the 9 science, whatever you want to call it, is now impacting the development programs, not just 10 FDA's ability to assess them, but actually the 11 success of development. 12

13 And I think we see hundreds of cases every year where the uncertainties, not 14 just in new molecular entities, okay, because 15 don't hundreds 16 we a year, but where the uncertainties at the end of the development 17 program are such that we have to go and ask 18 information or data and put 19 for more the product through more cycles. 20

And often sometimes those questions are never addressed satisfactorily, and the

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95 product cannot reach the market, because the 1 questions cannot be answered. Other times 2 3 there are simply long delays. Other times the uncertainties seem adequate, the product gets 4 out on the market, but it actually turns out 5 6 it hasn't been adequately evaluated, new problems arise, and these cause additional 7 problems when the product is on the market. 8 9 Availability of additional tools, both to evaluate them before getting on the 10 surveillance after well as 11 market, as 12 better surveillance after marketing would 13 really ameliorate this situation. Dr. Woteki? CHAIR SHINE: 14 DR. WOTEKI: Yes. I'd like to go 15 back to the biomarkers work. On the food 16 17 side, FDA has a very well-developed process for reviewing health claims that you might 18 19 to make about a specific food want or а substance within that food. 20 And that whole regulatory review process really rests on the 21 availability of biomarkers, of risk for 22

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1	disease, and how they are affected by the food
2	or the substance and food in question.
3	And to what extent is the work
4	that's going on on the qualification or
5	fitness for use ideas with respect to
6	biomarkers also being considered on the food
7	side?
8	DR. WOODCOCK: Yes, they are
9	closely related. As I said, we are trying to
10	develop an agency-wide process for
11	qualification of biomarkers. And we recognize
12	that generally the biomarkers that are now
13	used in the foods area I mean, there are
14	several categories. One would be very
15	specifically nutrition-related, and then the
16	others such as serum cholesterol or whatever
17	would have been long-accepted biomarkers by
18	the medical community.
19	And so the question arises, how
20	would you get new biomarkers in the foods
21	area? Well, it's very much the same question
22	as, how would you get new biomarkers in for a
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97 drug or for a device or anything else? It's 1 the scientific data and the qualification of 2 that biomarker would have to go through a 3 process of clinical evaluation. 4 And, yes, so the short answer is 5 We're involving the groups in CFSAN who 6 yes. are involved in reviewing the health claims, 7 and we -- when we develop the agency-wide 8 9 biomarker process it will definitely take into account the need for looking at biomarkers for 10 health claims. 11 12 CHATR SHINE: We're running а 13 little bit behind, so I'm going to move on to the NARMS report. And then, Janet will be 14 back on safety, and I'm hoping that -- if 15 you've got some questions, make a note. 16 I'm hoping that in that question period you can 17 ask some follow-up to this as well. 18 recall, Board 19 the As you was

responsible for scientific review of NARMS. That material has been -- was obviously provided to NARMS, and Steve Sundlof is going

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1	to give us a follow-up with regard to the
2	outcome.
3	Steve?
4	DR. SUNDLOF: Thank you, Ken.
5	Yes, it has been about six months
6	now since the report was finalized. I think
7	the report issued on May 25th of this year,
8	and so I just wanted to report back now on
9	what progress has been made in carrying out
10	the recommendations of the Committee.
11	It became just as background,
12	NARMS is the National Antimicrobial Resistance
13	Monitoring System. It is run by three
14	different components. The coordination is
15	through FDA CVM, but it includes CDC, and it
16	includes USDA. It started actually became
17	operational back in 1996, and then back
18	then it was largely E. coli and salmonella,
19	but since that time campylobacter has been
20	added to the panel, as well as enterococcus
21	and the new arm of NARMS, which is the retail
22	meats arm that I'll talk about.

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And each year samples are collected from both humans and animals and retail foods to culture these bacteria and then determine whether or not they are susceptible or resistant to a panel of antimicrobial drugs, and these drugs are selected based on their health. importance human So that's to background.

9 The purpose of NARMS is to identify changes in antimicrobial resistance patterns 10 in zoonotic food-borne bacterial pathogens and 11 12 certain selected commensal organisms. And 13 having that information, then we can respond to unusual or high levels of bacterial drug 14 resistance in humans, animals, retail meat, in 15 mitigate further development 16 order to of resistance. 17

And also use information to 18 we 19 assist us in making decisions on the approval For instance, if we know that there 20 of drugs. is resistance issues associated with certain 21 antimicrobial drugs, take those into 22 we

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consideration in determining whether or not those drugs can be approved, and we use it to design follow-up epidemiology and research studies to better understand how these resistances is developing and emerging and spreading.

So, again, the NARMS program is --7 basically has three components. The USDA --8 9 Agriculture collects information at slaughter animals that qoinq through 10 on are the slaughtering process, collect samples for 11 salmonella and campylobacter for instance. 12

13 CDC is -- does the human component and looks at the state departments of health, 14 submits samples, and they are tested, and then 15 are looking at 16 we at CVM retail means, 17 actually going into supermarkets, taking samples of meats, poultry, and pork and beef, 18 19 and running all of these same tests using the same equipment and the same means of analyzing 20 the results. 21

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So the Science Board -- the Science

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Board Advisory Committee was established to 1 evaluate the NARMS program and address four 2 3 questions about the program that we had particular concerns about. The first one is 4 the sampling strategy. Are the -- is the --5 are the samples that are reflected, are they 6 representative of the 7 greater public in general? Or are there biases in how we are 8 9 sampling? Are the research studies that are 10 being conducted under NARMS, are they the 11 12 right research studies? Is there potential to 13 do more, are we doing too much, etcetera?

international The activities, 14 because more and more antimicrobial resistance 15 is a global issue, it requires that there be a 16 international collaboration 17 lot of and cooperation. And then, data harmonizing --18 harmonization and reporting, because there are 19 three separate agencies that are dealing with 20 lot times the data 21 this, а of are not transferrable across, and could this be 22

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improved.

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2	So the Subcommittee met on April
3	10th and 11th of this year and heard
4	presentations from NARMS partners and
5	stakeholders, and the Committee report again
6	was presented on actually, on June 14th by
7	the Chair, Lonnie King. And thank you,
8	Lonnie, for all the work, and I would also
9	like to recognize the other members of the
10	Subcommittee, including Susan Harlander, John
11	Thomas, Glen Morris, Jim Riviere, Larry
12	Granger, and Scott McEwen were the members
13	of the Subcommittee.
14	General comments of the
15	Subcommittee were that NARMS has evolved into
16	a mission-critical tool for FDA that is
17	absolutely essential in the work that FDA
1.8	does That the commitment and the dedication

18 does. That the commitment and the dedication 19 of the NARMS team is very laudable, that outstanding progress 20 and acceptance has occurred over the last decade, 21 since its inception, and suggests -- it was suggested 22

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that visioning and strategic and business
 planning process be initiated.

3 And then, the suggested program should evolve and become more predictive, that 4 there's a lot of potential here in the NARMS 5 program to do things that are beyond what 6 we're currently doing. It's underappreciated 7 benefits for meeting the needs of veterinary 8 9 and human medicine, and -- but that we were -it was suggested that we keep the focus highly 10 focused on public health. 11

And then, to develop a 10-year plan with a lot of involvement from the public. So here is -- here is our -- where we've -- what we've done to date in response to the report. We have held strategic planning meetings. These are ongoing.

The first one was held September 17th and 18th, and at that meeting this report was discussed thoroughly and with the intention that the -- to start the planning process, to start the visioning process, and

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1	to look at both long- and short-term goals.
2	The discussions have continued. We
3	will the next one will be in March at the
4	infectious or emerging infectious disease
5	conference meeting, and so this is an ongoing
6	part of our response to the plan.
7	Sampling strategy we the
8	Committee Subcommittee determined that
9	there are inherent biases in the sampling
10	strategies employed by NARMS and gave
11	recommendations on how they could be improved.
12	And here is what the Committee
13	found, that interstate and intrastate
14	variability and the number of isolates
15	submitted by clinical labs this is on the
16	human side vary considerably. So there is
17	quite a bit of variability in physician
18	culture practices. In other words, when does
19	a physician actually take a culture in order
20	to determine what bacteria might be present
21	and where the resistance might be occurring?
22	So that's highly variable.

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1	There is a it would be ideal if
2	we had a national random sampling of clinical
3	isolates, but the Committee recognizes that
4	this may not be feasible. And then, options
5	within the current sampling structure would be
6	to stratify data where feasible and periodic
7	activity active sampling of the clinical
8	laboratories rather than the passive approach.
9	And then, encourage monitoring of commensals
10	from healthy humans rather than humans that
11	are ill.
12	In response to that, the isolates
13	in NARMS random sampling of all clinical
14	isolates at this point is not feasible. There
15	are multiple laboratories in every state, and
16	it's just not feasible at this point in time
17	to try and do a random sampling, largely
18	because the resources aren't available.
19	Sampling of sampling in all
20	states for salmonella is frequency-based, and
21	that is good, and so that every one in
22	every 10 salmonella samples is sent into NARMS

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for doing microbial susceptibility testing.

But for campylobacter that's not 3 the case. So there are some some 4 frequency-based -they may be frequencybased, but they are different, so in one state 5 it may be all campylobacter, in another state 6 it may be one in five, so that's inconsistent. 7

continue evaluate 8 And to the 9 sampling scheme and conduct data comparisons -- for instance, look at the NARMS data, which 10 is a small subset of a greater database, the 11 12 Public Health Laboratory Information System, 13 which collects many more samples, and look at the NARMS samples compared to those bigger 14 databases and see if they look like they're 15 representative. If not, then we have some 16 17 more work to do.

currently 18 Resources are not 19 available for targeted studies. We have to rely on -- we don't know what physicians --20 variability and how physicians 21 what the determine whether or not the culture -- and so 22

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we're going to be relying on other sources of published literature, for instance.

3 NARMS is not stratified. In the annual reports, there is a large number of 4 sites in the NARMS area. And some of them 5 generate a lot of samples, some of them don't 6 generate that many samples. 7 Many sites have very small numbers, and there's a lack of 8 9 detailed demographic data at some of the sites, so we don't really know a lot about 10 where the sample originated. 11

12 There is an article and articles 13 that are under development that look at the distribution of clinically important multi-14 drug resistant salmonella isolates. 15 So, again, we're going to have to go back 16 to literature in order to determine whether or 17 not we think the sampling is biased or not. 18

19 If there are more -- if more 20 resources became available, we would like to 21 expand the catchment area for campylobacter 22 and look at testing for commensals in non-

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108 diarrhetic humans, in other words healthy 1 That's on the human side. 2 humans. 3 Now, on the meat -- retail meat side, the Committee determined that this was 4 extremely important data to have, because it's 5 6 the closest that you qet to the actual Samples are from a limited number 7 consumer. of areas and a small number of products. 8 9 Lack of national sampling strategy limits broader interpretation, and it was 10 suggested that it may be more useful to adjust 11 12 the sampling strategy to look at specific 13 hypothesis-driven questions, recognizing that we're -- the resources available are not going 14 to be sufficient to get a really robust 15 sampling of the entire retail market. 16 17 It was agreed that retail meat surveillance is very important. That's what 18 -- we agree with that recommendation or that 19 comment by the Committee. The data provides 20 -- it provides data on prevalence of enteric 21 bacteria in retail meats and the prevalence of 22

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resistance. The data are used to support the
 evaluation of new animal drugs. This is our
 Guidance 152.

We do use this information that we obtained from retail meats, as well as the other parts of NARMS, to look at what kind of baseline resistance is out there, and then make some determination whether or not the introduction of a new antimicrobial may in some way drive that resistance.

And then, it's very useful again for monitoring resistance if we do approve a drug, and that way we can -- we can determine what effect it is having.

It provides a source of retail meat 15 isolates, so we can compare human isolates to 16 improve our understanding of the contribution 17 of retail meats to infections in humans. 18 19 Again, it's the closest that you actually get It's the last step is the 2.0 to the consumer. food-to-fork -- farm-to-fork chain, and so 21 it's -- the information is very useful to us. 22

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1	Sampling is limited by availability
2	of resources and personnel. We did have
3	Pennsylvania joined the program in July of
4	2007, so that is increasing some of our
5	sampling geography. Maryland we think is
6	rejoining, and so, again, there is another
7	state that will be involved in it.
8	We may reduce the testing of ground
9	beef and porkchops, because there is out of
10	all the samples we may have one or two out of
11	thousands of samples that actually are
12	positive for campylobacter and salmonella, so
13	take those resources and put those against
14	some other foods like poultry that where
15	the incidence is quite high.
16	And then, we are also looking at
17	pilot studies where we are going to compare
18	different kinds of meat. For instance, with
19	or without skin and bone in poultry may have a
20	significant impact on the bacteria present.
21	Other pathogens that we think are going to be
22	important in the future clostridium

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difficile, thinking about adding that, and MRSA, multi-drug resistant Staph aureus, is obviously one that is very important these days.

So those are two areas where we're thinking of expanding. Also, looking at turkey parts and seafood. We're not there yet, but those are the ones that we're considering for future use.

In terms of the animal side, the 10 live animal side, the part that USDA is 11 12 responsible for, slaughter samples, samples 13 from the pathogen reduction HACCP programs that USDA FSIS conducts are biased, because 14 the plants are not randomly selected. And it 15 is actually going away from random selection. 16 17 FSIS is now going to be targeting those plants that have the biggest problems for more 18 19 intense sampling.

20 So we will be less random in the 21 type of samples that are collected under that 22 program. Now, the clinical diagnostic

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laboratories that basically uses samples from diseased animals are not very germane to actual looking at the public health aspect.

NAHMS, which is the National Animal 4 Health Monitoring System, and other on-farm 5 data that can be potentially used is limited 6 7 because they representative, are not generally, of a national program. And they 8 9 are sporadic, so that one year we may be looking at cattle, three years you might be 10 looking at pigs. It doesn't give you a 11 12 continuous look at what's happening in the 13 microbe world.

recommendation And the 14 was to encourage other pilots, in collaboration with 15 the animal health food safety 16 and 17 epidemiological program, another USDA program that is not -- we are looking at that, but 18 19 right now there is not a lot of funding for that program, so there is not going to be a 20 lot of data generated, at least in the near 21 future. 22

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1	Samples from the FSIS slaughter
2	samples provide for ongoing monitoring, and in
3	June FSIS moved to a more risk-based
4	inspection. I already talked about that. We
5	are looking at FSIS is also looking at some
6	studies where they are looking at background
7	information, just take some snapshots and get
8	some background information, a raw ground beef
9	component baseline study, is scheduled is
10	completed, and this year there is a young
11	chick and broiler baseline study in progress
12	to get a kind of a representative idea of what
13	is actually occurring, and then a young turkey
14	and market hog baseline studies are
15	anticipated for 2008.
16	So we can take the HACCP studies or
17	the general HACCP samples and look at the
18	frequency and compare them to these baseline
19	studies and determine whether or not those
20	HACCP samples that are coming through at

21 slaughter are actually representative of what 22 they're seeing in baseline studies.

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1	And then, we'll have ongoing
2	discussions to explore alternative sampling
3	strategies including taking samples from the
4	NAHMS studies and the CAHFSE studies. So
5	that's that was a sampling.
6	Then, we also asked the Committee
7	to evaluate the research that is conducted
8	under NARMS, and are there epidemiological or
9	microbiological research studies that would
10	better serve the goals of NARMS. And in that
11	we we looked at an active research program.
12	The Committee found that an active
13	research program is critically important to
14	the continued success of NARMS, and these are
15	the areas where they suggested further
16	expansion, and that would be laboratory
17	methods, standardization of laboratory
18	methods, platform development, and some pilot
19	projects, to expand the hypothesis-driven
20	research with an emphasis on assessing human
21	risk and to encourage more collaborations and
22	partnerships and gain understanding of flow of

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resistant genes and bacteria across the farmto-fork continuum.

So NARMS is actively involved in 3 research to try and standardize the laboratory 4 methods. This has been very important, and it 5 6 has been the payoff has been _ _ very 7 rewarding. Not only looking are we at standardization standard methods for 8 _ _ 9 culturing, but also looking at some of the new techniques for identifying organisms through 10 PCR microarrays and molecular serotyping. 11

12 The platform development, we're 13 linking NARMS to data -- susceptibility data with PulseNet, and sequencing the salmonella 14 genome with Craig Venter Institute just down 15 the road here, and then ongoing studies to 16 better understand cross-resistance, 17 linked resistance, and transfer of resistance 18 determinants in both pathogenic and commensal 19 20 organisms. So research into how these determinants of resistance are moving among 21 the microbial world. 22

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116 And then, to do -- we're looking at 1 some of the pilot projects that we are looking 2 I mentioned MRSA and clostridium 3 at aqain. difficile, thinking about adding those to the 4 enterococcus strains in humans 5 NARMS, and food and farm animals, and targeted 6 local resistance profiling to help answer regulatory 7 questions. 8 9 CHAIR SHINE: Steve, five minutes. DR. SUNDLOF: Okay. Thank you. 10 The research studies -- again, 11 12 NARMS research projects are driven both by 13 hypothesis testing and the need for new methods, continued studies on the burden of 14 illness, what are the actual harms that result 15 from exposure to resistant bacteria. 16 back 17 And looking we are at historical strains, so we're looking at some 18 libraries of bacteria that 19 of these were around at the time when new antibiotics were 20 approved years ago, and seeing if there's a 21 relationship between resistance development 22

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and the approval of those drugs, and looking at some other methods, the newer methods, multi-locus sequence typing and others.

enhance 4 Continue to _ _ we are continuing enhance collaborations 5 to with 6 NARMS partners, and we are looking at our academic friends and then others to help us 7 with the research. Also, we have numerous 8 9 collaborations with other government organizations and with the international 10 community. 11

12 And that brings to the us 13 international activities. How is NARMS doing in of relating to the broader 14 terms 15 international issue of antimicrobial And, again, it is 16 resistance? а qlobal There is strongly endorsed -- the 17 problem. Committee strongly endorsed continuation and 18 expansion of our international programs, our 19 improve coordination of 20 need to NARMS components internationally for purposes of 21 creating a global system, a global model using 22

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118 NARMS as the model, and then continuing the 1 need to adopt new technologies and ensure 2 3 quality data and timely reporting. is committed to supporting 4 NARMS international activities. We contribute to 5 6 the WHO global salmonella surveillance support system, and we are helping with training of 7 other countries, especially China, to develop 8 9 similar systems. We collaborate in North America with ResistVet, which is a Mexican 10 counterpart of NARMS, and CIPARS, which is the 11 12 Canadian counterpart of NARMS.

13 And we are -- we are enhancing the network development, the international network 14 of integrated surveillance for antimicrobial 15 bacteria, 16 resistance and enteric being forum for communication on 17 developed as а harmonization. 18

Just as an aside that's not here, we also participated in the Codex Alimentarius Task Force last month held in Korea on antimicrobial resistance, and this task force

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119 has been established to develop risk 1 assessment and risk management guidelines for 2 3 countries in dealing with this issue of antimicrobial resistance. 4 Data harmonization and reporting --5 current plans are for 6 our more and more harmonization. We're getting there slowly but 7 Again, the data resides in three 8 surely. agencies, but we're coordinating 9 different We are getting much that across the board. 10 better with the help of David White and Beth 11 12 Karp of getting these reports out in a much 13 more timely manner, so we will continue to work on that. We think that's very important. 14 I'll kind of skip through here. 15 I did want to go through this, and I guess I'm 16 going to need Carlos' help on this. Just to 17 show you some of the data, how we've been 18 19 managing the data lately, and so I'm not sure what I do here -- let me just go -- okay. 20 So let's drug like gentamicin, 21 take a for instance, and we can take gentamicin, look at 22

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1 it in the various animals and retail meats, so 2 why don't we click on chicken breasts for 3 instance.

4Okay.Ground beef -- let's try5ground beef.Okay.Unfortunately, this one6-- let's try a different drug.Let's go to7cephalosporins.You can go down here.Okay.8And let's try ceftiofur.You only have that9from the last two years.

Dave, do you have a drug that we've had since 19- -- or since 1996?

DR. WHITE: Yes. If you pick one of the animals at the bottom, it will give more data.

DR. SUNDLOF: Okay. Oh, okay. I'm sorry. That's right. We were just -- I was just dealing with retail.

Try chicken. Okay. And then, 18 19 turkeys and cattle. There we go. So you can look at these -- the data over the years, and 20 trends in antimicrobial 21 you can see resistance, and it looks like chicken in this 22

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121 case is going up. Is that right? I can't 1 read those colors. I think I'm going color-2 3 blind. No, it's actually cattle, I believe, is going up over the years. 4 this allows look 5 So to at us various antimicrobials, and it allows people 6 7 access to this, SO they can get trend information over time. And so this is just 8 9 one of the examples of ways we're trying to do a better job on the reporting part. 10 And Ι know I've run over, 11 Mr. 12 Chairman. I apologize. But I would like to, 13 again, express my thanks to the Committee for doing an outstanding job. 14 CHAIR SHINE: Thank you, Steve. 15 And I do want to give Lonnie and 16 members of the Committee to comment 17 with the program's response 18 regard to to your 19 Lonnie, do you want to start off, review. 20 just --DR. KING: Sure. Thanks, Steve. 21 Really appreciate that report and 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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the updates, and also compliment you for the 1 progress the activities are taking, especially 2 in the areas of research. I think those are 3 some really good suggestions and actions. 4 One of the things that came up that 5 I know you didn't probably have time to cover 6 was the need for interoperability of the data, 7 not only sharing amongst the three agencies 8 9 that are involved, but also to make it more accessible to researchers outside of 10 the agencies to kind of leverage research. 11 Have you given any more thought to that? And could 12 13 you respond to that? I think one of DR. SUNDLOF: Yes. 14 the issues that we are continuously working on 15 with all of the NARMS partners is to try and 16 information accessible. 17 make that This information that I just showed you will be 18 accessible to everybody, so anybody can go in 19 and look at the information, the entire amount 20 of information that has accumulated. 21 making the individual data 22 Now,

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available I think is something that we need to put more effort into to make sure that -- you know, that anybody that wants that information has access to it, to do more hypothesis-driven research. So I think we are -- we are making progress.

It's -- when we started out, for 7 the first few years it was just a disaster in 8 9 terms of everybody having different information that nobody could -- you know, if 10 I wanted to look at CDC's information, it was 11 very difficult. If CDC wanted to look at 12 USDA's, it was very difficult. And we've come 13 a long way in making that more homogeneous. 14

CHAIR SHINE: Susan?

DR. HARLANDER: I noticed as you showed us the demonstration that the data that you have goes through 2003, and I think that was, you know, one other thing that the Committee was very concerned about is, if we are really going to be using this information to drive drug discovery and many other kinds

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1	of things, that a three- or four-year lag in
2	having access to that information is an issue.
3	And, you know, I notice that you
4	are addressing that, but I guess personally as
5	a member of the Committee would really
6	encourage that the timely publication of that
7	data, as well as the searchability of it, and
8	the availability, to industry that will be
9	developing those drugs is extremely important.
10	DR. SUNDLOF: Yes, thank you. And
11	I'm happy to report that we're making rapid
12	progress on it. And, Mike, can you or,
13	Dave, can you tell me where where we're at
14	in terms of getting the annual reports out?
15	DR. WHITE: Sure. Just to let you
16	know, we also this summer hired Dr. Beth Karp
17	as a new position, the NARMS Coordinator
18	position, and that is a position that has been
19	vacant for about three years. And her major
20	responsibility is putting together the
21	executive report, which is going to be the
22	report that puts together side by side the

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retail data and the animal data and the human data.

Sundlof 3 As Dr. mentioned previously, typically what has happened with 4 is there has been three annual 5 the NARMS reports by each of the three participating 6 Federal Government agencies. We have created 7 now an executive report which puts it all 8 9 together. And if you look at web hits on our website, it's 1,000-fold higher for 10 the executive report than the individual reports. 11 12 So we're moving toward that, and Dr. Karp's 13 responsibility is putting that together.

The '05 annual report is going in front of our central management next week for approval. After that, it will be two weeks probably before it's released. CDC is working on the '05 report as well, and we're working on the '04 and '05 executive reports as we speak.

So with the addition of Dr. Karp, we have doubled our NARMS group by two, 50

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1	percent from myself to myself and Dr. Karp,
2	but we work working much better together as
3	a team with all three federal agencies. So
4	we're making progress, and I expect in the
5	next two years to be as caught up as we can be
6	in terms of real time surveillance, probably
7	at least a year behind to 16 months behind.
8	CHAIR SHINE: I see John Thomas
9	sitting there. John, you wanted to commend?
10	DR. THOMAS: Yes. John Thomas.
11	With the globalization being mentioned on
12	several occasions, I think the trading aspect
13	of some of these countries that are bringing
14	food to the United States shores is extremely
15	important. And I don't know where the
16	resources are going to come from, but it's
17	extremely important that other countries be
18	brought into this loop.
19	DR. SUNDLOF: Yes. Thank you for
20	that.
21	And just this year we have issued
22	an import alert on Chinese shrimp, largely
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127 because of the use of fluoroquinolones and our 1 concerns about resistance. So it already is 2 3 having an impact on international trade. And one of the areas we think is 4 ripe for expansion is to look -- start looking 5 seafood possible vehicle 6 into as а for spreading antimicrobial resistance. So thank 7 8 you. 9 CHAIR SHINE: I want to again thank Dr. King and his Committee for the review, the 10 NARMS for a response, and we will follow with 11 12 considerable interest. 13 Thank you very much. We're going to take a break until 14 15 10:30, make up a couple of minutes, and we'll start promptly at 10:30 and see if we can get 16 back on schedule. 17 (Whereupon, the proceedings in the foregoing 18 matter went off the record at 10:20 19 a.m. and went back on the record at 20 10:31 a.m.) 21 CHAIR SHINE: Understandably, there 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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has been no subject of greater interest over 1 the last couple of years to the Science Board 2 3 than the issue of drug safety, and we're pleased that Janet Woodcock is going to give 4 us an update on drug safety. In the question 5 period, there will be an opportunity also for 6 questions with 7 follow-up reqard the to critical path presentation. 8

Janet?

9

DR. WOODCOCK: Thank you again. 10 What I'm going to do this morning is not do a 11 12 slideshow but actually talk about the recent developments. We've talked to the Science 13 Board in the past about the IOM report on drug 14 safety, about the subsequent reports, and so 15 forth, but very recently, as you heard from 16 the Commissioner, the FDA Amendments Act was 17 passed. And this is a voluminous statute, but 18 it has a very large section devoted to drug 19 20 safety.

21 It also added \$25 million 22 additional in user fees for drug safety

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activities, and it authorizes an additional \$25 million to be appropriated -- but that has to be done by the appropriators -- to carry out the drug safety section that was passed in

6 Now, CDER -- I'm speaking now as 7 head of CDER -- CDER's prior commitments 8 included the response to the IOM report and 9 the subsequent reports and activities by the 10 IOM on drug safety, as well as the GAO and 11 other plans that the agency had made.

12 Currently, these are all being unified plan 13 crafted into а that will incorporate these activities and actions with 14 the drug safety elements in the Amendments 15 Act, because the Amendments Act calls for --16 17 upon the agency to do a large amount of activities. 18

It has a lot of procedures, and so 19 forth, will 20 and put forth so we а comprehensive plan early in '08 about how we 21 will address all of this as a whole, 22 as a

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the statute.

single effort rather than separated efforts
 responding to the IOM and to the Amendments
 Act, and so forth.

4 So first I want to talk to you 5 about Title IX of the Act, which is entitled 6 "Drug Safety." And I think this is really a 7 ground-breaking statute in the sense that it 8 has been many, many, many years since the 9 Congress opined in law about post-marketing 10 safety of drugs.

Α lonq time the reporting 11 ago 12 requirements were put in for post-marketing adverse event reporting, and that was simply 13 the structure that we see under what 14 you 15 consider maybe MedWatch or AERS, the Adverse Event Reporting System, where people send in 16 17 reports and the companies have mandatory reporting requirements of adverse 18 events. That was basically what the statute said about 19 drug safety. 20

21 And now I think with the passage of 22 this Act we get a much greater emphasis on

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post-marketing period and the performance of products in the post-marketing period versus the previous statute that was mainly addressing drug quality as well as the premarket requirements for getting drugs and biologics onto the market.

is this 7 So how statute new What actually do they address? 8 structured? 9 Well, first of all, there are three new authorities that are put into the statute. 10 One is the authority for the agency to require 11 12 post-market epidemiologic studies or clinical 13 trials require them under certain circumstances which the statute goes into. 14

The second authority is the ability 15 of FDA to require sponsors to make safety-16 changes, 17 related label so FDA can order sponsors to change the label to include safety 18 certain 19 information under, again, 20 circumstances that are outlined in the 21 statute.

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And the third, the agency can

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require sponsors to develop and comply with risk evaluation and mitigation strategies -and these are called REMS -- within the Act.

authorities 4 Now, FDA had some this that embodied in 5 related to were regulation before the restricted distribution 6 parts of our regulation, and I'll explain this 7 a little bit. This actually codifies this in 8 9 a statute.

And these authorities don't take place for 180 days, so they're not in effect right now. They will go into effect, and they are pretty much self-executing, although we're going to have to figure out which ones of these are going to require additional guidance or actual development of regulations.

So let me talk about the first one, 17 Section 901, post-market studies 18 and 19 surveillance. Now, in effect, FDA has always 20 negotiated with sponsors and called for studies to be conducted post-marketing when 21 there were problems or even at the time of 22

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approval there were post-market commitments made.

But for a variety of reasons these 3 weren't always accomplished in a timely manner 4 or, once a drug was on the market, the agency 5 difficulty reaching 6 had agreement with sponsors on additional studies that had to be 7 made -- done. And the agency's only tool at 8 9 that time would be to remove the drug from the market. 10

this provides a new set of 11 So 12 authorities and tools for calling for these 13 studies. So the FDA may require studies at time of approval or after approval if there is 14 new safety information. And the requirement 15 must be based on scientific data and is 16 limited to certain specific purposes to assess 17 a known serious risk related to the use of the 18 19 drug or to assess a signal, which is very common, of the serious risk that arises post-20 market, or to identify an unexpected serious 21 risk when available data indicates the 22

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potential for such a serious risk.

there has to be 2 So а reason. That's really -- and, obviously, Congress was 3 concerned about capricious placing of these 4 requirements on sponsors, and, therefore, laid 5 out a series of caveats or requirements that 6 the agency would have to fulfill, more or less 7 conditions, before this could be required. 8

9 It is limited to prescription drugs and biologics, this particular provision. 10 And before -- and the caveats go on. Before 11 12 requiring a study, the agency has defined that 13 the current adverse event reporting and active is also surveillance system that in the 14 statute that I'll get to in a minute, but that 15 these entities, these methods, will not be 16 17 sufficient to meet the purpose, these three purposes I just described. 18

And before requiring a clinical trial -- and the clinical trial is obviously viewed in the statute as the most onerous type of requirement -- you have to conclude that

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the reporting requirements, the surveillance system, and an epidemiologic study, none of these would be sufficient. Okay?

And then, if this happens and a 4 requirement is placed on a sponsor, then the 5 submit timetable 6 sponsor has to а for completion of a study as well as periodic 7 reports on progress. And there is all sorts 8 9 of, you know, stipulations on what the sponsor needs to do. And this is in response I think 10 to the perceived problem that these studies, 11 12 once agreed upon, were not completed and 13 executed in a timely manner.

So this will have a timetable throughout the course of the study -- how much enrollment is there, how -- you know, how far has the study progressed, and so forth. It will have to be submitted to the agency.

And then, there is enforcement here that says if sponsors violate this, they can't market their drug, basically. They can't introduce a drug into interstate commerce if

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they are in violation of this provision. And they may be in violation if they fail to

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they may be in violation if they fail to comply with this timetable or any other requirement of the section, unless they can demonstrate some good cause.

Now, we all know from a scientific 6 point of view sometimes it's very difficult to 7 enroll people into a study, particularly if 8 9 the product is already on the market and approved. People may not want to be in a 10 study where they don't get the product, 11 12 depending on what the product is. So FDA has 13 to determine whether or not the good cause is good enough. 14

Now, the second provision 15 is safety-related label changes. 16 Now, FDA ___ just like the prior provision, FDA could not 17 mandate a label change to a drug or biologic. 18 FDA would have had to have pulled it off the 19 That was the recourse the agency had. 20 market. I think Congress and the media and 21 never really understood that 22 everyone we

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lacked these powers, so this provides 1 new authority to require labeling changes based on 2 safety, new safety information with strict 3 negotiating changes, 4 timelines for because it's not that these changes didn't occur in 5 the labels, but sometimes the sponsor and the 6 FDA did not agree on the safety signal and 7 there was a very prolonged time period wherein 8 9 such label changes, safety label changes would be negotiated between the FDA and the sponsor. 10 And this, of course, made 11 the 12 clinical community very unhappy as well as 13 patients, because here they didn't know about information the safety that being 14 was discussed, and they were out there prescribing 15 the drug or taking the drug. 16 17 So this, aqain, applies to

prescription drugs or to a generic if there's no innovator that's marketing. And we have to promptly -- we, FDA, in this part of the statute have to promptly notify the sponsor when we think new safety information reaches

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this threshold, okay, that might have to getin the label.

3 And, of course, that's probably an area where we need to develop some guidance or 4 5 whatever. That's a gray area. When we see and thousands and thousands 6 thousands of signals every year, when has one reached this 7 threshold that we think it should get into the 8 label? 9

After notification, then the sponsor must within 30 days either submit a supplement that contains a label change or notify FDA they do not believe a label change is warranted and why not.

And then, we have to promptly 15 review this, and discussions may not extend 16 for more than 30 days after the original 17 notification unless FDA decides an extension 18 So this remarkably decreases 19 is warranted. the time of back and forth and negotiation 20 about label changes for safety. 21

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Within 15 days after the discussion

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is over, the FDA may issue an order directing 1 the sponsor to make whatever label changes FDA 2 3 deems appropriate. And within 15 days of receipt of the order, the sponsor must submit 4 a supplement containing a label change, and 5 then with five -- within five days the sponsor 6 using dispute 7 appeal resolution may and there is all 8 procedures, sorts of 9 elaborate dispute resolution procedures in this -- actually in the statute. 10 Okay. Now, so this is important, 11 12 but I think you can also see how important it is for us to all go as a group to a paperless 13 label, because if we're having paper labels 14 out there they, for the next year and a half 15 probably floating around, depending on the 16 expiry period of the drug, will have erroneous 17 safety information in them. 18 19 And we have -- I have spared you the great details, but FDA has been working 20 for years to move to a system where we get 21 away from the paper package insert, and it is 22

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all based on computers. This would allow 1 these label changes, then, to go right to the 2 3 pharmacy, swipable, with a bar code, and the label comes up with the new safety information 4 in it. 5 Otherwise, we'll be in a 6 very difficult situation of maybe sometimes having 7 to recall all of the stuff, repackage it with 8 9 new labels or whatever, which, you know, is totally inefficient both for health care and 10 for everyone else. 11 So enforcement -- if the company 12 13 has not submitted a supplement within the 15

14 days, or within 15 days of dispute resolution, 15 the same enforcement mechanism applies, as I 16 mentioned earlier, which means it can be --17 you cannot market the drug in interstate 18 commerce.

Now, to help us out in making our
standards, Congress provided definition of new
safety information, which would reach this
threshold. Information derived from a

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1	clinical trial, an adverse event report, a
2	post-approval study, or peer reviewed by a
3	medical literature, derived from post-market
4	risk identification analysis system in this
5	system is something that's set up in the
6	statute that I'm going to talk about in a
7	minute, or other scientific data deemed
8	appropriate by the Secretary about a serious
9	risk or an unexpected serious risk associated
10	with use of the drug, and it goes on, or the
11	effectiveness of any risk management
12	strategies.
13	So part of new safety information
14	might be that the risk management strategy is
15	failing, and that additional measures need to
16	be taken. So, and this goes on in some
17	detail. So those are the first two, the
18	ability to order new things, label changes or
19	clinical studies, with significant penalties
20	to the firm if this doesn't happen.
21	The third one risk evaluation
22	and mitigation strategies is actually an
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felt it had authority that FDA but 1 not everyone shared that opinion. So this applies 2 3 to prescription drugs and biologics, and is the set of risk management strategies over and 4 do for 5 above what you ordinary any 6 pharmaceutical that was out there.

7 So in the pre-approval situation, 8 the statute says that FDA may determine REMS 9 is needed to ensure that the benefits of the 10 drug outweigh the risks, and, if so, the FDA 11 then informs the sponsor and requires a REMS 12 -- risk evaluation mitigation strategy -- to 13 be submitted.

And there are a whole number of 14 factors that the Congress said should be 15 included, so this recognizes the fact, say, 16 that a cancer drug is going to have like a 17 tremendous number of serious side effects, and 18 19 so forth, and you wouldn't put extraordinary restrictions around the cancer drug, because 20 basically the treating community understands 21 that and will use the drugs appropriately, 22

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whereas a headache drug, if it has some very special serious adverse event, you might have a fairly extraordinary system around it to make sure it isn't misused. So they go through all this.

And then, post-approval, if no REMS is in effect for a drug, the FDA may determine that REMS is needed and require the sponsor to submit one if there is, again, new safety information as described in the statute.

And then, the sponsor must submit within 120 days, or sooner if the FDA decides that's necessary for the public health.

Now, the elements of REMS, the only 14 the risk evaluation and management 15 strategies were actually dreamed up by FDA in 16 the '90s to address risky drugs that 17 were nevertheless needed by the population. 18 And 19 sort of the poster child of that was thalidomide. 20

21 So along with the sponsor of 22 thalidomide a risk management strategy was put

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into place to make sure that pregnant women 1 wouldn't get exposed to thalidomide, okay, and 2 since that time a number of these have grown. 3 There are a number of risky drugs that have 4 special problems, but that another -- a group 5 of people may benefit from, but you need to 6 manage those drugs in some special way that 7 isn't normal in the health care system. 8 9 So the only thing that's required -- so there's a lot of flexibility with this 10 REMS, in other words, because what you do to 11 make a drug safe depends on what the risk is, 12 13 and pregnant women is one thing, narcotic abuse is another thing. So there is all sorts 14 of things that need to be done depending on 15 what the problem is. 16 So the only required element in the 17

17 so the only required element in the 18 statute for REMS is a timetable, and that 19 timetable is a timetable for evaluation of how 20 effective the risk management strategy is, and 21 that's a good thing. I think, again, we have 22 been very pressed for resources at the FDA,

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145 have difficulty evaluating and the 1 we effectiveness of these strategies, 2 also because, again, the tools, the scientific 3 tools for evaluating the effectiveness aren't 4 really out there very well. 5 And hopefully maybe some of these 6 pharmacovigilance systems that 7 the statute also calls upon us to establish will provide 8 9 the tools for us to see how well these things are working out in health care. So anyway, 10 the only thing you have to do in the REMS is 11 12 the timetable. 13 And then, here's the menu of things that could be contemplated. Med guides -- a 14 med guide is something for the patient, that 15 tells the patient about the risks. 16 Α

18 letters to the health care providers or 19 educational programs or whatever. 20 And then, restricted distribution, 21 so that you can -- the drug would only be safe

communication plan might be

used in certain hands, for example, given to

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certain -- maybe an in-patient situation or at a clinic to treat addictions or something. You know, you can think of a wide range of restrictions that you would do depending on the kind of drug that it is.

So we're allowed to require these 6 restrictions, but there are caveats here. 7 Ιt must be commensurate with a specific serious 8 9 risk listed in the label. So we can't be going on -- FDA can't be putting on all kind 10 of restrictions unless there is a fairly 11 serious risk. Of course, that's in the eye of 12 It may not be unduly burdensome 13 the beholder. on patient access, considering patients with 14 serious and life-threatening diseases 15 or people who have difficulty accessing health 16 17 care.

This is a real issue, and in fact what we have found, which I think is something all policymakers need to keep in mind, is if we restrict access too much the patients will go on the internet and they will get the drug

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without any health care intervention whatsoever, and that is an extraordinarily risky situation, since they may not get the real drug, or, if they do, they may be taking a very risky drug without any oversight from a health care practitioner. So we have to walk that line fairly carefully.

And then, we're asked to make sure 8 9 that these risk management systems, whatever they might become 10 be, are more -more homogeneous and standardized, and that would 11 12 be obviously extremely useful, because we're 13 going to create more errors than we prevent, if 50 different have for 14 we systems restricting drugs that pharmacists 15 and hospitals and everyone have to implement. 16

The problem with that, then, we have to get various commercial entities to work together to collaborate on a standardized system for restricting access. But Congress calls upon us to do that, and we have done that. In certain situations that has been

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1 effective.

2	So how what types of
3	restrictions are being contemplated? Congress
4	goes into this as well. You could restrict to
5	health care providers who have particular
6	training or experience or special
7	certifications. We could restrict to
8	pharmacies, practitioners, or settings that
9	dispense the drug and require them to be
10	specially certified. The drug could be
11	dispensed only in certain settings, or
12	patients could be subject to monitoring or
13	have to be enrolled in a registry.
14	And, actually, we have done all of
15	these in one flavor or another already,
16	depending on the type of harm or problem that
17	we're trying to mitigate. And then, we have
18	to talk to our advisory committee about and
19	to others, to our disarm advisory committee
20	about standardization and try to pursue this,
21	and then pursue evaluation to make sure that

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these restrictions are not unduly burdensome

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to health care and to patients.

So now drugs -- as I said, we've 2 3 already done this, so the drugs that are currently under these will be at some point, 4 which we're trying to determine -- the legal 5 are difficult, but 6 issues at some point they'll be deemed to have a REMS. 7 And so all of the drugs that have already been under 8 9 restricted distribution and any one drug moving forward will all be under this REMS 10 scheme. 11 12 And for the final piece of 13 enforcement is civil money penalties are in the And, therefore, anyone 14 statute. who violates these sections shall be subject to a 15 civil money penalty, and there is a scheme of 16 prices -- of costs for the fines in the 17 Okay? And the longer you fail to 18 statute.

19 conform to the requirements, the higher the 20 price tag goes.

21 Now, the other section I wanted to 22 talk about briefly has already been alluded to

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1	in this part about these new authorities, and
2	that is pharmacovigilance and active
3	surveillance. The Congress is very interested
4	in FDA setting up a system that is able to
5	utilize more or less real time or in
6	reasonable time information from health care
7	databases, including billing and claims data,
8	transactional data, and e-health record data.
9	And the statute says FDA must,
10	through collaboration, develop methods to
11	obtain access to these data sources and
12	develop validated methods for establishment of
13	risk identification and analysis system is
14	what they call it to link and analyze
15	safety data from multiple sources.
16	Now, I think this is a tremendous
17	scientific opportunity. Many people have been
18	talking about this. It is technologically
19	doable. It is simply required the
20	governance and structure of this has been, you
21	know, unknown up until this point. So
22	Congress is telling us to go ahead and set

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this up.

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2	And this is something that we have
3	been contemplating under this what we call a
4	Sentinel network. The goal is that the system
5	will include 25 million patients by 2010, and
6	100 million by 2012. I think this is modest.
7	I think this could be easily exceeded very
8	rapidly, if we can get the right people at the
9	table.
10	And then, we have to Ken is
11	telling me I have to move ahead, so I'll just
12	tell you that this is a tremendous
13	opportunity, this pharmacovigilance, and we
14	will take advantage of it. And hopefully we
15	will, you know, be announcing within the next
16	few months how we're going to do this.
17	The formation of the Reagan-Udall
18	Institute Foundation for FDA that allows us
19	to would allow them to set up public-
20	private partnerships may provide a very good
21	venue for doing this. In doing this activity,
22	FDA will need to partner with the data

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holders, and the data holders are mainly the health care systems themselves that have the patient data.

4 Obviously, they are motivated to 5 make their patients safer and to have the most 6 efficient and safe health care possible. And 7 FDA has the authority, then, to utilize those 8 signals to make changes in drug labels or 9 devices or whatever needs to be done, and we 10 hope to build a synergy there.

At some point, I know you're the 11 12 Science Board, so this is -- this will be 13 information science, but I would like to quickly link this to the basic science, 14 15 because what we need to do is not only find out who these events are occurring in but why 16 17 are they occurring. And we actually do have the scientific tools now to find that out as 18 well, and it's a whole range of issues all the 19 way from human factors to pharmacogenomics 20 that we can investigate. 21

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So the statute also reauthorized

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1	the Prescription Drug User Fee Act, and
2	pertaining to drug safety additional monies
3	for post-marketing activities were included in
4	that reauthorization. And it removes the
5	limitation that was previously in the User Fee
6	Act, or I think it was three years after
7	approval there was this window that those
8	monies could be used for, and that restriction
9	is now removed and the money could be used at
10	any time.
11	FDA did analyses that showed that
12	actually the burden of activities of
13	relabeling and all of this continued for a
14	very long time after drug approval. We
15	continue and this gets to Larry's question
16	earlier we continue to learn a tremendous
17	amount about drugs for a very long time after
18	they are put on the market.
19	So I will finish now. So the
20	bottom line here is that as a result of the
21	user fee program, and so forth, CDER the
22	Center for Drugs once the budget is passed
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154 by Congress will have an infusion of 1 new resources to address drug safety. And there 2 3 are key points that we will be focusing on. As I said, we'll come out with a plan of how 4 we're going to do all this early in '08. 5 Post-market surveillance has to be 6 enhanced, obviously. We are in the process of 7 8

8 redesign of the AERS database, and an agency-9 wide reporting system, so people can report to 10 FDA for any medical product. They can just 11 report to one place and it will go to the 12 right database within the agency. So we're in 13 the process of doing that through the BRB.

We need to set up the process for 14 pharmacovigilance that's called for in the 15 statute, as I just described, and this fits in 16 well with our -- which we have worked up very 17 carefully the concept of the Sentinel 18 _ _ 19 network. We need an advanced computational infrastructure and support, and I think we'll 20 hearing about that this 21 be а lot more afternoon. 22

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1	We are increasingly using large
2	databases. There is no other way to figure
3	out the performance of these products in the
4	real world. You have from Steve about, you
5	know, antibiotic resistance, and so forth, or
6	in the health care systems, without the use of
7	advanced informatics and large databases.
8	But we also are seeing increasingly
9	the use of meta-analysis as a way of looking
10	at evaluating signals. This also requires
11	advanced computational infrastructure that we
12	don't have right now at the FDA, and so we'll
13	be planning to build that.
14	We'll need additional staffing in
15	post-marketing, and we're going to need to
16	write a great deal of policies and procedures,
17	including the roles and responsibilities
18	between new drugs and the Office of
19	Surveillance and Epidemiology, and
20	incorporating all of the new procedures that
21	are in the Amendments Act that are spelled out
22	in there. It's a very procedure-intensive

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And, finally, we need to focus on 2 risk communication, another science that needs 3 And advanced. 4 to be we have recently published the first edition of our new safety 5 newsletter, and we will continue to be doing 6 that, as well as health professional 7 and consumer and patient information sheets on 8 9 emerging safety issues. So you probably have all seen these in the press about emerging 10 safety issues, and I think, you know, this is 11 12 going fairly well.

We are communicating earlier about these issues before they are really resolved, and that is a double-edged sword, of course, 15 so we will have to keep evaluating how that 17 works.

So that's an update on our safety 18 activities and probably at the next Science 19 Board we'll be able to explain our plan for 20 dealing with these provisions of 21 the Amendments Act. 22

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1	Thank you.
2	CHAIR SHINE: Dr. Woodcock, thank
3	you.
4	Please, we've got time for
5	questions. David? Dr. Parkinson?
6	DR. PARKINSON: These are really
7	interesting new authorities.
8	CHAIR SHINE: Want to pull the mic
9	up a little bit?
10	DR. PARKINSON: Yes, sure. I'm
11	just saying that the authorities are very
12	interesting. And they raise the question for
13	me, just as I consider efficacy and safety to
14	be on the same biologic spectrum, the real
15	question is: at what point do efficacy
16	considerations fall under these authorities?
17	So, for example, let's say you had
18	no information from the clinical trials that
19	it continued to be conducted on these
20	molecules. That in fact certain subsets of
21	patients, as defined by the original label,
22	have no chance of responding. Does that

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1	become a safety issue? And does it fall under
2	the REMS authority? This probably has not
3	escaped your attention. I'd be interested in
4	your thoughts.
5	DR. WOODCOCK: Yes. The question
6	is that at the end of the day, what we're
7	making is a benefit-risk analysis.
8	DR. PARKINSON: That's right.
9	DR. WOODCOCK: Safety cannot be
10	considered absent the idea of what the benefit
11	of an agent is. And Dr. Parkinson's specific
12	question is: if you become aware post-
13	marketing that a drug perhaps is really
14	targeted or should be targeted toward a subset
15	of individuals, and there is no evidence that
16	in the broad population as defined in the
17	label the drug is overall effective, what
18	would FDA do?
19	I don't know. I can't say that I
20	do not believe this is the kind of situation
21	that was contemplated by those who wrote this
22	legislation. They were thinking of
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traditional adverse event type of safety problems. So I think, for example, the Hatch-Waxman statute, which was passed in 1984, and was fairly short and clear compared to this statute, we're still litigating it. Okay?

Every month or so we have a little 6 Hatch-Waxman law, okay, so I think we're just 7 starting down the path with this legislation 8 9 of we're going to do the clear things that it calls for, we're going to execute those, and 10 then we're going to have to see about these 11 12 long-term implications, because nobody knows 13 at this point, you know, what all of the final interpretations will be. And some of those 14 will be decided by the courts. 15

16 CHAIR SHINE: But the presumption 17 is that every agent has some side effects, so 18 that if you had a population which had no 19 benefit, but side effects, it's hard to escape 20 that, ultimately become a safety issue. But 21 it will be interesting how that resolves.

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Dr. Roses?

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1	DR. ROSES: I'm also in awe of
2	these authorities. So if it's required safety
3	information that triggers these time-related
4	events, and we've been looking at it as if all
5	of the safety information is bad, so assume
6	you had additional safety information that
7	says with 99 percent accuracy that you can
8	identify the people who have the adverse
9	event.
10	Are they similarly subjected to
11	this early release of information, even though
12	that might change the competitiveness in the
13	marketplace? Is that seen as advertising?
14	DR. WOODCOCK: Okay. That's
15	another, you know, wrinkle on this, another
16	subtlety, okay? What Dr. Roses has asked is
17	you might have information that enhances the
18	safety of a product through testing, and that
19	I mean, we feel that you've probably the
20	genetic data on genetic testing in warfarin
21	ultimately will be shown to be of that nature,
22	for example. How does that fit into this

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1 scheme? And is it subject to early release,2 and so forth?

3 You know, I think everyone is still struggling with these paradigms, because they 4 are all very new. And that's where I said, 5 6 "Here you have new technology, say genomic data or others, that might identify who is at 7 a specific risk." This is new territory, and 8 9 the regulatory policy has to evolve in concert, which I think is your point. 10

So our job isn't -- we have to --11 isn't just to absorb the new science. 12 We have 13 to do that, but then we have to make a it regulatory policy that embraces 14 and is consistent with prior actions and is legal, 15 and hopefully is in the best interest of 16 patients, and so forth. 17

18CHAIR SHINE: Other comments or19questions? Larry?20DR. SASICH: Thank you. A21question, and then a brief comment. Under

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Section 901, and the authority to require

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clinical trials on safety issues, I kind of have trouble getting my arms around the ethics of conducting clinical trials for safety and what an informed consent document might look like in that situation.

And my comment is, and I hope I'm 6 not offering up another unfunded mandate to 7 the Food and Drug Administration, but in terms 8 9 of numerous of a plethora of REMS programs that may be very divergent, I think 10 the limited Canadian system а extent 11 to has 12 addressed this.

13 They have a special access program within Health Canada, and my brief 14 _ _ the experience that Ι have with it the 15 was withdrawal of Tasmar, which happened very, 16 This is a drug that patient --17 very quickly. a Parkinson's drug the patient shouldn't cold 18 turkey on, and so this allowed a method for 19 the government to approve the distribution of 20 the drug. 21

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withdrawal, and the drug came off the market, analyses done, it the were and was subsequently reintroduced to the market as -know, would you have you to go back to Congress to do something like that?

DR. WOODCOCK: I think those 6 No. authorities are available now to the Food and 7 Drug Administration. Ι will point out, 8 9 though, that in our health care systems these things are more chaotic versus where you have 10 a nationalized health care system, where, you 11 12 know, the central edicts are able to be 13 carried out, say in Canada by the provinces. They manage pharmaceutical access. That isn't 14 the case in the U.S., and so because we have a 15 different system we just have to run things 16 differently here. 17

CHAIR SHINE: David?

DR. PARKINSON: Although one of the other questions I was going to ask you was, was there anything in the legislation to suggest that particular drugs or classes of

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164 drugs could be confined to use by particular 1 physician groups --2 3 DR. WOODCOCK: Yes. 4 DR. PARKINSON: -- and yet you actually did describe them. 5 DR. WOODCOCK: Yes. 6 So 7 DR. PARKINSON: that's interesting, and that's I think important, 8 9 very important and very new within the chaos of what passes for health care systems in this 10 country. 11 12 DR. WOODCOCK: Right. And that 13 might be viewed by some as some inching down toward some reaching of FDA into the practice 14 of medicine. Okay? But, in fact, we have 15 restricted -- we have mandated -- we have made 16 the manufacturers do it, though, in the past, 17 and tell them, "You can only distribute to 18 these guys," and that put kind of an excessive 19 burden on the manufacturers who had to tell 20 other physicians who might want the drug, "You 21 can't have it," and it was the power of the 22

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manufacturers.

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2	But now it is within the statute to
3	say, "This is a legitimate restriction that
4	can be put by the Federal Government."
5	CHAIR SHINE: Dr. Woodcock, I
6	presume that the effect of the Sentinel
7	network could be to identify an issue which
8	then became a subject of a clinical trial.
9	DR. WOODCOCK: Yes.
10	CHAIR SHINE: And you could then
11	use that. You mentioned in the beginning of
12	your talk \$25 million in user fees and then a
13	potential for \$25 million for appropriations.
14	Was that to cover the Sentinel trials? Or
15	what does that cover?
16	DR. WOODCOCK: That was to well,
17	it's hard for us I'm not a lawyer, so it's
18	really hard for me to determine from the
19	statute, but it had to do with drug safety,
20	and I'm not sure which of the provisions it
21	was actually intended to cover.
22	However, clearly, we are told to do

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1 the network part, the pharmacovigilance in 2 partnership, and we are -- you know, it would 3 cost more than that if it --

CHAIR SHINE: Yes. I mean, one of the things that worries me about that is, in fact, the total cost, and some of the providers that you are asking to partner with you are already operating on very narrow margins.

DR. WOODCOCK: Right.

11 CHAIR SHINE: And it's not at all 12 clear that -- what their motivation -- they 13 would like to know in their population what 14 the issues are. But there is going to be 15 additional cost there.

DR. WOODCOCK: Yes. And what we're looking at, like many things we do under critical path, is consortia, public-private partnerships, because everyone stands to gain. Although these -- they would like to look, and they are looking in their own health care systems. What people would really like is

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have their findings replicated in other settings or to find out, say, this setting finds out this other setting does a managing this

And that kind of sharing could be enabled by having a distributed network where you could do queries across --

CHAIR SHINE: Okay.

WOODCOCK: -- multiple data 10 DR. So there is something in it for 11 sources. 12 everybody, or that's how we have to create it, 13 so that there will be a common ground where it will be a win-win for a wide variety of 14 people, because this could not be strictly a 15 federal funded activity at the level of money 16 that we have. 17

We also need money to -- we need 18 19 more staff, because, as I think Andy said earlier, this is a new science, and there is 20 going to have to be a research component of 21 this. 22

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1	CHAIR SHINE: Yes. I mean, this is
2	the analytical part of this is going to be
3	substantial.
4	DR. WOODCOCK: Yes.
5	CHAIR SHINE: It's not clear to me
6	where the resources for that come from
7	DR. WOODCOCK: Yes.
8	CHAIR SHINE: under these
9	circumstances.
10	Yes, sir.
11	DR. SASICH: Yes. Just back to the
12	clinical trials and the ethics of conducting.
13	DR. WOODCOCK: Oh, yes.
14	DR. SASICH: Thank you.
15	DR. WOODCOCK: Yes. We frequently
16	do large safety studies for medical devices,
17	and we not the government, but they are
18	frequently done. They might be clinical
19	trials, and it depends on the alternatives.
20	We wouldn't take and also, the degree of
21	certainty about whether or not the adverse
22	event is actually related to the product.
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1	If you believe that there is a
2	signal, then you probably might not do a
3	clinical trial to confirm it, if you actually
4	believe there's a causal association. But in
5	many situations you don't know, and,
6	therefore, a clinical trial is the best way to
7	rule it in or out. And for the purpose of
8	ethics what you do is put in a Data Safety
9	Monitoring Board and make sure as soon as
10	you're certain then you would stop the trial.
11	CHAIR SHINE: And, finally, in your
12	beginning presentation on critical path,
13	you've talked about, if you will, the slowing
14	pipeline of new agents, and so forth. Do you
15	see any signs of that turning around in terms
16	of applications to FDA?
17	DR. WOODCOCK: Not in terms of
18	application of new drug applications. What
19	we see is more INDs, so more people are
20	trying. But, of course, we can't guarantee
21	that there will be the success rate has
22	gone down, so we don't know what will happen.

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1	What we're seeing worldwide is a very low
2	rate of applications.
3	CHAIR SHINE: Thank you very much,
4	Dr. Woodcock.
5	In the few minutes before lunch,
6	you will recall that the FDA actually did what
7	I consider to be an outstanding job in
8	analyzing the basis for the damage to pets
9	associated with animal feeds that were brought
10	in from China, which were attributable to
11	melamine and a variety of metabolic products.
12	We had a very good presentation on
13	that analysis, and asked that we get an update
14	on the follow-up with regard to the lessons
15	learned by that event and any additional
16	information that we might receive about it.
17	And Norris Alderson has agreed to do that.
18	Norris? Thank you.
19	DR. ALDERSON: Thank you, Board
20	members.
21	I have to tell you, I really didn't
22	volunteer for this.
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1	(Laughter.)
2	You'll recall at the June meeting
3	you heard from David Acheson, and
4	unfortunately David is not available to be
5	here today with you, but he did participate in
6	preparation for this short briefing.
7	And we agreed, finally, that rather
8	than parade up to five people during this time
9	period up here we agreed that I would do this.
10	And if you have questions, I've got support
11	all throughout the room to help me, so here we
12	go.
13	Just to bring you up to date today,
14	what I really want to talk about is these
15	three areas. What we've done in the area of
16	methods development and validation, as you'll
17	recall, at the June meeting we spent a lot of
18	time talking about the resources and time that
19	went into developing these methods very fast,
20	so we could do a lot of analysis that took
21	place.
22	I want to spend a few minutes
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talking about where we are with the risk 1 assessment that we briefed you on at the last 2 3 meeting. David Hattan presented that information to you. And we've had a lot of 4 discussion internally since the last meeting 5 to talk about where do we go with the issues 6 associated with melamine and its analogues in 7 animal feeds, and we'll spend a few minutes on 8 9 that.

But getting back to what we told 10 you about at the last meeting, recall that in 11 12 March of this year there was an unbelievable 13 recall of pet food across the United States. It started out in just a few brands, but 14 quickly expanded because of the efforts of a 15 lot of folks in FDA developing methods and 16 starting to look at the pet food that's out 17 there in the marketplace. 18

determined 19 that that We soon it also could be in the feed for 20 melamine food-producing animals, which brought 21 in a wholly whole different of 22 а set

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1 circumstances and risks we had to evaluate, 2 and that was a big subject of the risk 3 assessment.

That recall of that pet food is the largest emergency response that we have ever had in FDA. It was wide scope, many types of pet food, and then it expanded, as you will recall, into the food-producing animal feeds. Particularly in hogs and fish is where we identified some specific areas of concern.

Following that, we had to -- in 11 12 concert with those feed methods, we had to 13 develop methods for tissue as well. And you'll recall that we presented to you some 14 tissue work that we had done based on some 15 incurred residues and that we had developed 16 into our CVM research facility, and we looked 17 at a number of tissues in some animals we 18 intentionally dosed with some material. 19

I have to point out, it's interesting -- and Allen Roses pointed it out during the briefing at the January meeting --

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the significance at the time this Board was doing the science review, how this came across in terms of our ability to respond to this in the timeframe we were able to do it, and that happened just because we had the resources and the expertise in the agency, for without that you would not have been able to have the response that we had.

9 It's also important that, if you've 10 paid attention in the newspapers in the last 11 few days, Michigan State University, by its 12 past history, has published a report of a 13 survey that they conducted that based on this 14 survey 300-plus dogs and animals were killed 15 because of this pet food contamination.

16 So that gives you some idea of the 17 issues associated with this. I don't have 18 this right yet.

So what have we done in the 19 Okay. development 20 methods arena since the last ORA, as they always do, and you've 21 meeting? understand that methods 22 qot to once а

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development -- we always continue to refine that method to improve its efficiency, and that continues to be an effort of ORA and their labs, not only for just feeds but also for tissue.

addition, NCTR has developed 6 In label standards for both melamine and cyanuric 7 acid, labeling with both N-15 and C-13. 8 The 9 important thing to understand about this is those materials commercially 10 are not available. Without NCTR, we would not have 11 12 that capability to do the labeling -- to 13 develop these label standards.

Now, the importance of these label 14 standards is help us to continue to approve 15 the efficiency of these methods, because with 16 the label materials, as you go through the 17 of detecting these analogues 18 process and can determine with the 19 label issue, you standards how efficient you are, particularly 20 in abstraction, and that's where we lose a lot 21 of these materials in the process. 22 But with

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this, we know how well we are doing.

You cannot say enough about that, 2 to have 3 of being able internal standards available where you can track what you're 4 doing as you are analyzing the samples. 5 It's also important because of the vast array of 6 We have to look at these materials 7 matrices. and just think of the different types of pet 8 9 foods, feed for food-producing animals, and the tissues in the various foods that we're 10 talking about. We're talking about fish, 11 12 pork, beef, and poultry.

13 In the CVM area, they have continued their dosing in fish, particularly 14 because we had some indications previously 15 that the crystals were being formed there. 16 And what CVM has continued to do, as well as 17 providing these dose tissues to all of the 18 laboratories involved, they began to study 19 dosing with both melamine and cyanuric acid in 20 four different fish species. They are doing 21 serial sacrifice of those to look at the 22

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depletion rate based on that dosing.

In addition to the residues, they 2 3 are looking at renal crystal formation, and as right now we really can't give you a 4 of summary of that information, where we are, 5 because the analysis is not completed. 6 But I can tell you they are seeing the crystals form 7 those fish that were dosed with both 8 in materials at the same time, as compared to no 9 crystals when you dose singly. 10

What really 11 qot Renate 12 Reimschuessel from CVM started with this was 13 they got some cat crystals from other sources and began to look at those crystals for 14 composition. That led us to do the work we 15 are working on in fish today. 16

In the risk assessment arena, the information that we have internally within FDA, as well as the other work that is going on outside of FDA, we have seen nothing that would push us to change our risk assessment that we presented to you at the last meeting.

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178 there are some information 1 Now, needs which we talked about, 2 and we are continuing to refine within the resources what 3 we think our priorities should be in terms of 4 additional information. I'll do more of that 5 later, but, first, what is the mode of action 6 of these materials as it relates to 7 the And what are the species differences? 8 kidney? 9 And is there a bioaccumulation in edible tissue? 10 So, in summary, no new information 11 12 change where we are from a safety perspective, 13 but, yes, there are other things we need to do. 14 We wanted to put this slide up 15 16 here, because Ι hope you've had the 17 opportunity to look at our new food protection plan, because this material and this issue has 18 19 a direct relationship, so I wanted to put just these points up there for consideration and 20 think about melamine of how that fits in these 21 particular points of food 22 in terms а

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protection plan.

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Just briefly, prevention builds safety in this from the start. Intervention, a risk-based inspection, and testing, and how do we respond to that? Rapidly and with effective communication.

And then, in that we apply the food 7 prevention -- food protection principles in 8 9 this, focus on the risk. We think we need more information there, obviously, which we've 10 talked about. Target the resources to 11 12 optimize risk reduction, address both unintentional and deliberate contamination, 13 and use science and modern technology systems 14 to address these issues. 15

So where are we on next steps? If you'll recall, back in the risk assessment report that we presented to you, and you had an opportunity to look at back in June, there were two pages of additional things we would like to have, would help us. We've gone through a process internally since then to

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1	look at, really, what's our priorities and
2	which would help us the most.
3	This is where we come out. As I
4	said earlier, any time we have an issue like
5	this, the laboratories will continue always to
6	refine the methodology, and that's certainly
7	something in this particular instance we will
8	always do.
9	We need to know, what's the dose
10	threshold for these crystals to be formed? Is
11	dosing sequence a pre-condition for eliciting
12	renal toxicity? What's the effects of the
13	other two analogues ammeline and ammelide?
14	Janet spent some time this morning
15	talking about biomarkers for renal toxicity.
16	Well, we need that here also.
17	Elimination of residues and
18	crystals on termination of exposure. And
19	then, with this information, what do we do
20	with the risk assessment? Do we need to make
21	some changes in it?
22	And so that's kind of the process
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1	of where we are, Mr. Chairman, what has
2	happened since the last meeting, and I would
3	be excited if I didn't continue to recognize
4	all of the great FDAers, particularly in our
5	laboratories, who have contributed to being
6	able to address this particular issue,
7	particularly ORA, CFSAN, CVM, NCTR, and our
8	FERN Laboratory partners.
9	Thank you, and I will call on these
10	other people to address questions that I maybe
11	not can answer.
12	CHAIR SHINE: Thank you very much.
13	Are there questions? A couple of
14	things I wanted to ask. What is our current
15	policy with regard to any of these animal
16	foods coming in from China specifically at the
17	present time?
18	DR. ALDERSON: I'll point to my
19	CFSAN and ORA compadres over here to my right.
20	DR. BUCHANAN: In part, I'm going
21	to have to call on Steve to talk about the
22	rules associated with feed additives. But
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1	these are not substitutes for protein when
2	added to a product that is considered
3	adulteration, if it's not appropriately
4	approved as a human food. And as far as I
5	know, it's not approved as a human food, so it
6	would be an unregulated, unapproved food
7	additive, if it was added to any protein
8	concentrate that was being used directly for
9	human food.
10	Now, I have to turn it over to
11	Steve to talk about the restrictions for its
12	use in animal feeds.
13	DR. SUNDLOF: In terms of what
14	we're doing to prevent this from occurring, we
15	have a we still continue to have an import
16	alert, I believe, on all vegetable protein
17	concentrates coming from China. And that
18	means that no products that contain vegetable
19	protein concentrates, including wheat gluten,
20	corn gluten, rice protein concentrate, the
21	products that were in the pet foods, can come
22	into the United States unless they have been

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properly tested and they can verify that they are free of these contaminants.

And so, as you know, we are also in the process of developing a food importation plan that will specifically address exporters and how we are going to verify in the future that foreign exporters are complying with the U.S. standards. So more to follow on that.

9 CHAIR SHINE: Thank you. The other question I had, Norris, was there was a real 10 question, because these foods were 11 being evaluated on their protein content, and this 12 13 was not a legitimate protein content, so there was a question about where there was actually 14 some fraud involved here, legally. And at the 15 time there were investigations going on with 16 17 regard to that. What has ever happened with that? Do we know? Have there been any --18 I don't know. 19 DR. ALDERSON:

20 CHAIR SHINE: Have there been any 21 legal actions taken with regard to the 22 behavior of any of the manufacturers here?

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1	DR. ALDERSON: Carl or Steve, any
2	of you?
3	DR. SUNDLOF: To my understanding,
4	I don't know, there may be some criminal
5	investigation that's going on within the
6	Office of Criminal Investigation. We don't
7	we're not privileged to that information.
8	I think the Chinese officials took
9	some enforcement action against the people in
10	China who actually produced this, but to my
11	knowledge I am not aware that there is any
12	criminal investigations going on, although it
13	is entirely possible.
14	CHAIR SHINE: Yes, I'm not implying
15	anything other than that the question was
16	raised.
17	DR. SUNDLOF: I understand.
18	CHAIR SHINE: And I was curious as
19	to whether there was a follow-up.
20	Members of the Board? Dr. Roses?
21	DR. ROSES: Yes, I was very
22	impressed in June, as you said, and I'm still
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1	very impressed. But in the interim period
2	since June, I have had the opportunity to do
3	some scenario testing for counterterrorism.
4	And I think one of the things you had the
5	right pieces in the right place at the right
6	time for this particular response, but there
7	is a growing body of knowledge concerning
8	other things that could have tremendous
9	effects on the human population.
10	Is the FDA a participant in some of
11	these scenario testings, particularly those
12	that are being done by the
13	DR. ALDERSON: Ellen is not here
14	today, but if she were here she would tell you
15	that we participate regularly in these
16	exercises looking at what-ifs. These go on
17	regularly, as best I can tell you.
18	CHAIR SHINE: Anything else? If
19	not John Thomas?
20	DR. THOMAS: Ken, as you'll
21	remember, you sent me one of those initial
22	risk evaluations on the melamine
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1	CHAIR SHINE: Right.
2	DR. THOMAS: and it goes back to
3	biomarkers. Most of it was assessed on the
4	basis of simply nitrogen, which was pretty
5	non-descript and represented a cheap filler
6	for this particular pet food.
7	CHAIR SHINE: Yes. And I again,
8	I would emphasize that I was very grateful to
9	members of the Science Board plus other
10	consultants that we were able to get very
11	rapid input on the risk assessment document
12	that was created and give the agency some
13	input.
14	Norris, thank you and your
15	colleagues for the update.
16	Ladies and gentlemen, the Committee
17	will adjourn to the there is a room in the
18	restaurant for us to have lunch. We will
19	reconvene promptly at 12:30. We have a lot of
20	work to do this afternoon on the science
21	report. I'd like you to keep this report in
22	mind as we go forward with the science report.

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1	We are recessed until 12:30.
2	(Whereupon, at 11:33 a.m., the proceedings in
3	the foregoing matter recessed for
4	lunch.)
5	<u>proceeding</u>
6	(12:30 p.m.)
7	DR. SHINE: Good afternoon.
8	Welcome back from lunch.
9	Before we have Gail Cassell present
10	for the subcommittee, let me reiterate a
11	couple of observations I made earlier this
12	morning when the Commissioner was here.
13	I consider this project to be a
14	tribute to both the wisdom and the courage of
15	the Commissioner; the wisdom, because he was
16	willing to allow the scientific programs of
17	the FDA to be evaluated by a subcommittee of
18	this board assisted by some 30 distinguished
19	individuals from academia, industry, public
20	policy, law, et cetera.
21	And he did so without any
22	interference with that group, although the
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group had staff support throughout the staff scheduled meetings, and scheduled conferences and so forth, but in no way interfered with either the conclusions, findings or

recommendations of the subcommittee.

6 Secondly, it took courage because individuals 7 whenever ask a of you qroup particularly loaded with academics to look at 8 9 anything they can find things to criticize. But in the spirit of constructive criticism I 10 was impressed at all these people who did 11 12 their work pro bono, consultants all were 13 uncompensated; that they cared about the FDA, they cared about its future, and they made 14 recommendations and articulated findings that 15 they believed were in the best 16 lonq term interests of the institution. 17

Just before the break we heard a presentation on melamine. Melamine as you know is a substance whose breakdown products produced stones in the kidneys of animals, and killed several hundred dogs and cats. It was

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significant fact that the agency had 1 а scientific analytic capability that allowed 2 them very rapidly to determine the basis for 3 That capability allowed them to 4 the illness. develop methods that could be used to measure 5 feeding 6 the impact of that material to livestock, hog and fish. And without 7 the scientific capabilities that were available, 8 9 this country would have potentially been in serious difficulty. 10

scientific The advisorv board 11 12 believes strongly that FDA must be a science 13 based institution, although as you heard this morning and you'll hear again this afternoon, 14 not all that science has to be carried out 15 16 within the agency. Some of it must be, because it cannot develop the scientific basis 17 for its regulatory function without a strong 18 19 scientific infrastructure, and that that science must be available to meet its overall 20 responsibilities when other agencies have a 21 different set responsibilities 22 of and SO

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The melamine presentation again 2 demonstrates that within the agency there are 3 some very good scientists who do very good 4 And this board every year 5 work. reviews science nominations for awards for 6 achievement, and I'm very pleased the 7 last several years when we evaluated this, 8 it's 9 been very hard to choose between the nominees, the science has been so good. 10 So the capability within the agency 11

12 is very substantive, but as you'll hear, there are real limitations to it. The environment 13 in which that takes place is being challenged. 14 15 And if we're to meet the challenges of going forward 16 science as the regulatory 17 environment changes, there must also be changes in the way in which resources 18 are provided. 19

20 And finally I would emphasize that 21 some aspects of this report are in the control 22 of the Commissioner, how the agency is

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organized, and some of the other elements of it are clearly administrative activities.

3 But you will hear a great deal 4 about resources, and resources are only partially in the control of the staff and the 5 Commissioner. 6 Resources are about the responsibilities of the Congress, 7 of the administration, as well as of the private 8 9 sector and with the establishment of a new foundation there may be opportunities for new 10 public-private enterprises. 11

12 However the Science Board we 13 believe can play an important long term role in overseeing the science activities within 14 the agency and in helping to analyze and 15 implement many of the recommendations in this 16 report. 17

The subcommittee that was involved 18 chaired by Gail 19 this is Cassell in and included Allen Roses and Barbara McNeil. 20 You will never fully understand the amount of time 21 and effort that Gail Cassell has put into this 22

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192 enterprise. I know because I participated in 1 many, many, many conference calls. And that 2 3 was a fraction of the work she did in putting this together. And we owe Gail 4 enormous gratitude for the extraordinary leadership 5 that she provided. 6 also want to thank the other 7 Т of the subcommittee 8 members and the 9 consultants, and we'll ask Gail to introduce the report. We will hear from a number of the 10 consultants. 11 12 We will then entertain an action 13 item by the board as part of our discussion of the report, in order to move the agenda 14 15 forward. Gail Cassell. 16 REPORT OF THE SUBCOMMITTEE ON SCIENCE AND 17 TECHNOLOGY 18 OVERVIEW OF PROCESS, FINDINGS AND 19 RECOMMENDATIONS 20 CASSELL: Tim, I agree with 21 DR. everything that you have said. And in the 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

interests of time won't duplicate what you've just said, because there is nothing that I disagree with.

I first of all though would like to 4 start out by again reiterating I think to the 5 agency and to the Commissioner the gratitude 6 and appreciation for all of their hard work as 7 we went through this process, particularly 8 Carlos Pena who was with us night and day, 9 weekends, to provide information that 10 was requested. 11

And then in addition I'll say a little bit more about the committee, because I think it's a very important point.

This afternoon in addition to my 15 staff I requested several of the committee 16 members to make a few comments as well. 17 Those are the areas which were highlighted in the 18 report, and I feel we need additional comment. 19 First of all, just to reiterate the 20 this science board, it 21 charge to was to appoint subcommittee to whether 22 а assess

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science and technology within the agency can
 support current, and more importantly perhaps,
 future core regulatory functions and decision
 making.

The subcommittee tasked 5 identify scientific 6 specifically to gaps. We've done that. We've identified those eight 7 areas that we think are the highest priorities 8 9 and must be addressed, in fact very quickly addressed because the onslaught 10 of new products, technologies, devices is already 11 12 upon us, so they have to be addressed.

And that goes for application of new technologies to foods as well as to medical products, and also manufacturing.

In addition we were to identify mechanisms for maximizing the effectiveness of science and technology capacity and priority setting. You will find in the report a lot of details about how we think the agency can maximize the great resources that they have in terms of human capital, the knowledge base

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they have in regulatory science, and furthermore, the warehouses of data that they have that apply to biomedical research that are currently being unrealized, and you'll hear more about this in the presentations this afternoon.

lastly leverage 7 And the to scientific capacity to invoke the public and 8 9 private sectors. There is nobody working in the area today of science and research, U.S.. 10 Competitiveness or preeminence in science, 11 where we know that to be competitive and to 12 13 succeed and to address the problems society faces overall that you can do this with one 14 sector alone; you have to have public-private 15 partnerships. In fact that's one of the major 16 strengths of this country has been. I think 17 we have a competitive edge, but we may be 18 19 losing it very quickly in this regard.

20 One thing I want to emphasize that 21 you will not see in the charge. Many will say 22 this subcommittee has overstepped their

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charge, overstepped their bounds. There is a reason for that, and I hope you all will

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The subcommittee was not asked to 4 make recommendations about 5 assess or And specifically we were cautioned 6 resources. not to do this; that that was not our charge. 7

As you will see as we go through 8 9 these presentations, there was absolutely no way to review one in the absence of the other, 10 because the scientific gaps, the mechanisms 11 for maximizing and leveraging, cannot 12 take 13 place without adequate resources.

And furthermore, if in fact there 14 are not adequate resources as you will see, 15 this will greatly compromise a lot of the 16 dreams that we all have, and expectations that 17 we have of the agency. 18

19 I want to emphasize the uniqueness Today we have unprecedented 20 of this review. scientific advances that will allow us to 21 reduce regulatory uncertainty. Never before 22

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in history have we had such tools.

will not be able to 2 We take 3 advantage of those tools without the 4 appropriate resources, without the appropriately trained personnel 5 and scientists. 6

Increasingly complex 7 product reviews based advances, well 8 on as as 9 globalization. Innovation is coming from around the world, not just from the United 10 States anymore; from within our own borders. 11

There is increased scrutiny of agency by all stakeholders; I need not expand on that, we all greatly appreciate that.

An unprecedented opportunities, as I've already said, to leverage with partners. There is a decline in funding in real dollars. Peter Hutt will address this toward the end of our presentation this afternoon.

20 One thing that I want you all to 21 appreciate, this is only the second time in 22 the history of an agency that has been in

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existence for over a century that a committee has actually been asked to look at the agency as a whole: what are the gaps? How can we maximize what we are doing? Only the second time. But as far as we can tell from looking at as many documents as we could get our hands on, only the second time.

8 This is very significant, because 9 you can review one program at a time, one 10 center at a time, and not be concerned because 11 you can perhaps feel that you can address 12 those concerns.

13 When in fact you look at the entire agency as a whole collectively, and you keep 14 hearing recurring themes, as I will expand 15 upon in just a minute, this is what makes you 16 in fact concerned, and this is one reason that 17 we have been so brutal if you will in this 18 19 Because we were looking at the agency report. collectively for the second time in over a 20 half-century. 21

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It's unique because it is the 100th

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anniversary actually we started during the year of the 100th anniversary in 2006. This is a very critical time for FDA in its history. And it's a very critical time for society in general.

We can actually accept the fact that they are greatly under resourced, and I would say, as some of our committee members have said, limping along. Or we can restore it to the gold standard that it has been worldwide.

We are rapidly losing the ability to set standards, and we will be following the standards set by other countries and other regulatory agencies if we don't act and act now.

17 Sorry to be on the soapbox. Just 18 one more word about this committee. The 19 composition I would argue also is unique. 20 When you review, because of a lot of issues 21 surrounding advisory bodies, many previous 22 committees, you will see a definite imbalance

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of individuals either from industry or
 academia or government.

3 We went to great lengths in selecting this committee to identify the very 4 best people in the public sector, the private 5 sector, academia and government by the way. 6 And we think I don't think, I know 7 we have the very best. 8

9 We had 33 people that were more engaged than any other committee I've ever 10 worked on. I co-chaired the congressionall8y 11 12 mandated review of the National Institutes of Health over a decade ago. I was also on the 13 committee that the Gathering 14 wrote Storm report that was also requested by Congress. 15 We had a lot of really good people. 16

But I'm telling you, nobody, no committee, has ever worked better together, and harder, in terms of providing input and assessment than these 33 individuals.

I think that is a testament, number one, to two things: one, how much people value

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the agency, their appreciation for the impact this agency has on all of use; and lastly, and importantly, the concern that each of the 33 members has about the future of the agency unless we address the deficiencies that we have identified in this process.

What was the process? First of all 7 and importantly, we asked FDA to tell us each 8 9 center, what do you think your major gaps and challenges are? You have two appendices in 10 the report, Appendices L and M, that go well 11 over 200 pages, where they have 12 very 13 thoughtfully put together center by center what they see as their greatest challenges, 14 and not only that, but maybe for the first 15 time in history, each of those are linked back 16 17 in Appendix L to their regulatory application.

I would argue in fact if you take 18 19 that, and the fact that divided our we subgroups looking 20 committee into at every 21 center, three cross-cutting programs genomics, surveillance biostatistics, 22 and

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202 information technology then in fact this may 1 be the first time in history, at any given 2 3 point in history, you actually have а moving forward, 4 blueprint for because you know, based on both internal assessment and 5 6 external assessment where the gaps are, what the needs are; again, a uniqueness I think 7 with regards to this particular group. 8 9 The other thing is that we did not have time to nor did we have the intent 10 to review individual scientists nor individual 11 12 laboratories. I think that is an important 13 point for the Science Board to consider in looking toward the future. 14 The structure of the report: it's 15 important that you realize that while we had 16 these working groups looking at every center, 17 all of those reports, including very specific 18 findings and recommendations, are not in the 19 They're in Appendices D through K, in 20 report. detail. 21 But what is in the report are those 22

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1	issues that were identified as common,
2	recurring themes, as far as what the gaps
3	were, and that they were cross-cutting issues.
4	Detailed reports, as I've already
5	said, are for each of the centers, are in
6	these three cross-cutting programs, are in the
7	appendices.
8	I'm not going to try to walk
9	through all of the recommendations nor the
10	findings, because those are spelled out in the
11	report. I've tried to do that a little bit of
12	the slide handout that I gave you, but I won't
13	take time, to try to conserve time.
14	But you should realize that the one
15	resounding conclusion that we reached very
16	early on is that science at the FDA is in a
17	precarious position. The agency suffers from
18	serious scientific deficiencies, and is not
19	positioned to meet current or emerging
20	regulatory responsibilities.
21	And the bottom line is, demands of
22	FDA have soared; resources have not. The
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reasons demands have soared, there's been an 1 extraordinary advance of scientific 2 3 discoveries. I mentioned the complexity, the qlobalization 4 issues, and also emerging emergence of challenging safety issues just 5 because we are getting better at the science. 6 We understand the complexity, the different 7 reactions, the genomic effect as you'll heart 8 from Tom Caskey this afternoon, of product 9 development. 10 impact of these deficiencies The 11 are profound, because what is underappreciated 12 13 by the public and the policymakers is that science is at the heart of every decision made 14 by FDA. If we don't get the science right, 15 all is for naught. 16

And that ranges all the way from 17 pre-product review all the 18 way to 19 manufacturing, and years out as we monitor the surveillance of the performance 20 as far as safety and efficacy of new products. 21

Very important that you keep that

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in mind, and I would emphasize that from its 1 founding the FDA charged with doing 2 was as it related to 3 research basically food safety, and by the way, something that you 4 never hear, three of the six centers within 5 FDA have research in their title: CDER, CBER, 6 Keep that in mind. 7 NCTR. As Dr, Shine has said, not all of 8 9 it is in fact laboratory based, nor should it It is not a basic science research 10 be. agency. We never wanted to be. But there 11 12 absolutely are very critical important areas 13 of research that have to be done and can only be done by the agency, and if it's not done 14 in-house, they're the ones that identify those 15 They need to have the resources and 16 needs. the mechanisms to allow them to get that work 17 done. 18 Just to mention the fact that I the 19

handout of the slides I talk about the breadth of the responsibilities of FDA, and would remind you that science is at the heart of all

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206 those Janet will recognize them, because I 1 borrowed those slides from a presentation she 2 3 gave us early on. What you may not appreciate is that 4 in 2006 the FDA was responsible for monitoring 5 over 300,000 sites around the world. This was 6 on every continent, and in over 100 countries. 7 Imagine that. 8 9 So FDA, it's not hard to imagine if you really look at the breadth of their 10 responsibilities, touches lives, health and 11

10 you really look at the breadth of their 11 responsibilities, touches lives, health and 12 well-being of all Americans, I would argue 13 much more so than any other federal agency or 14 entity; it is absolutely integral not only to 15 our health and safety but national economy and 16 also security. And we won't get into that, 17 but we know we could talk all day about that.

18 It regulates this agency regulates 19 a trillion dollars in consumer products a 20 trillion dollars in consumer products, or in 21 other words, 25 cents of every dollar that 22 every American spends annually in this

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1 country.

2	But listen up, while in fact they
3	regulate a trillion dollars, their budget,
4	appropriate budget, is only \$1.6 billion. It
5	doesn't take a lot I think to figure that
6	versus the large number of oversight
7	responsibilities that we've just referred to
8	to realize it's not enough.
9	Each American only pays today 1-1/2
10	cents a day for FDA to regulate over 80
11	percent of the food they eat, and all of the
12	medical products in fact they depend on for
13	life, essentially.
14	I'm not going to go over a lot of
15	the findings. But just to hit some high
16	points that you will hear about later. And
17	that is, dealing with the scientific
18	organization and structure within the FDA, and
19	also with respect to new science and how we
20	think in fact FDA needs to position itself
21	with respect to that.
22	You will hear also about one of the

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1	other major findings that deal with food
2	safety, and the fact that we now have, due to
3	constrained resources, fire-fighting
4	regulatory posture instead of pursuing a
5	culture that is pro-active regulatory science
6	which you absolutely have to have to protect
7	the public's health; otherwise you are always
8	playing catch-up.
9	There are, as Dr. Shine referred
10	to, a lot of positive trends at FDA. And you
11	cannot misjudge those. Because in fact with
12	very few resources FDA has taken some very
13	positive steps over the last few years.
14	One, the Critical Path Initiative,
15	which you heard from from Dr. Woodcock this
16	morning. But you will see in the report, it's
17	a great idea, but we need more resources if in
18	fact that great idea will ever in fact be
19	realized, those ideas, ever realized, which
20	are crucial.
21	Next there is a consolidation of
22	laboratories and personnel at White Oak
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facility. It's wonderful, but will only realize its full potential if it's fully resourced.

The establishment of the Reagan-4 Udall Foundation is great, but 5 unless I'm wrong, Janet, what I have understood is that 6 only \$1.5 million of FDA monies can be put 7 towards this foundation. That's hardly enough 8 9 for operating expenses, much less to really stimulate, and be a full partner in terms of 10 in this new and valuable conducting work 11 12 foundation.

13 There has been the appointment of a commissioner for first deputy the time 14 referred to as chief medical officer. This is 15 The committee would have liked to have 16 great. seen that title be chief medical officer and 17 scientific officer to acknowledge the role of 18 And by the way we think that is a 19 science. 20 huge job for any one person, and ideally what you'd like to see is not only a chief medical 21 officer, but also a chief scientific 22 I mean,

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sorry, a chief scientific officer and a deputy commissioner for science, and a deputy commissioner for medicine.

And then lastly, Ken, I think that 4 about having asked this 5 your comment committee, the board, to review the science 6 and technology is a very positive step. 7 One of the recommendations you will see in fact is 8 9 that some centers and programs have not undergone review, just like the agency as a 10 whole, very rarely. We think this is a big 11 A lot of good things can come from 12 mistake. 13 constant and consistent external peer review. Not only do you identify gaps on the spot, 14 but you also educate others from the outside 15 in terms of what the real challenges and needs 16 17 are. They can become the strongest advocates for making changes. 18

I think then that the other thing you will hear about this afternoon are some of the other recommendations that we referred to. And I won't say more, other than the fact

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that Ken, we did not really, and members of 1 the science board, I think you can appreciate 2 that we really didn't have time to do an in 3 depth review of the Office of 4 Regulatory Affairs which obviously is dependent on good 5 science, and having outstanding scientific 6 personnel. 7 We think there needs to be a closer 8 9 look at the National Center for Toxicological Research as a valued asset, but how can we 10 maximize it even more? 11 And then lastly, we agree with you, 12 13 Ken, the Science Board should play a very active role going forward in terms of timely 14 and effective implementation of the 15 recommendations of the subcommittee; but also 16 to see that we have in place good mechanisms 17 for constant and consistent and rigorous peer 18 19 review programs. 20 With reqards to the workforce issues, I applaud Dr. Von Eschenbach this 21 morning. I heard that he announced a very 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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exciting initiative for an addition of, is it 2,000 new fellows over the next two years. This is exactly what you would hope would happen, but I would point out, I think in all fairness to Dr. Eschenbach, this won't happen unless we have more resources.

7 Did you know that currently, in 8 fact in five of the major centers, that we 9 have under 100 total fellows and visiting 10 scientists working in the agency. Some, like 11 the Center for Veterinary Medicine, don't even 12 have the resources to have a single fellow, or 13 a single visiting scientist.

Think about that. Think about the 14 value we all know of bringing young people in, 15 training the next generation in regulatory 16 science, having visiting scientists on the 17 cutting edge, right to work beside those in 18 the agency. Think of the opportunities lost 19 by not having enough resources to have those 20 21 programs.

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Lastly, and more importantly, what

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we consider to be possibly the very weakest link is the information technology infrastructure here at the FDA. This is something that absolutely needs immediate attention. And Dr. Dale Nordenberg who is on our IT working group will address that.

I'd like to introduce now the next 7 speaker who is Eve Slater. Eve has a very 8 9 impressive background in that she was senior vice president for regulatory for Merck for 10 only for vaccines but also 11 years, not 12 medicines. Under her watch there was not a 13 single black box, which is remarkable I think given what we know. 14

In addition she also took time out to recently be a public servant, and served as assistant secretary of health, so she knows the government side. And now she is senior vice president of public affairs at Pfizer, and has agreed to graciously agreed to come this afternoon.

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Thank you.

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1	HUMAN CAPITAL AND SCIENTIFIC INFRASTRUCTURE
2	DR. SLATER: I should note that
3	actually when I agreed to serve on this
4	committee I was not employed by the industry.
5	Only joined relatively recently again.
6	Thank you very much, all of you,
7	for your attention to this topic. It comes as
8	no surprise to any of us that the FDA is at a
9	crisis of confidence as we speak.
10	Media and congressional criticisms
11	seem even more numerous than ever. And while
12	the FDA Amendments Act passed in September
13	creates unprecedented opportunity, the
14	operating environment is unlikely to improve
15	unless further actions are taken, which was in
16	part the attempt of this committee.
17	By now you are familiar with the
18	outline of the report, and Gail has had the
19	opportunity to review with you in broad terms
20	the budgetary and organizational issues that
21	we discussed as a consequence of our extensive
22	deliberations.

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215 Please be assured that 1 our recommendations are being made in the spirit 2 deep respect for this agency and 3 of its contributions, and with recognition of 4 the dedicated service to public health that is 5 delivered 24/7 by this agency. 6 The urgency of our advisory is 7 simply predicated upon the fact that we see 8 9 signs of an increasingly chaotic environment descending upon you, and we hope to rally 10 support for your mission. 11 12 My charge is to focus on the human capital and scientific infrastructure. 13 So even as far back as 2005, when I wrote in the 14 New England Journal a sounding board piece 15 entitled, Today's FDA, I called for urgent 16 attention to infrastructure. 17 To simplify our rhetoric, your job 18 is to get the right drug, device, food, so 19 forth, to the right person or pet or whatever 20 at the right time. 21 22 And there is a misperception even **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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among those who commented on this report over the weekend that somehow strengthening the FDA and the science of the FDA serves the pharmaceutical industry. This is a very naive notion.

As I stated back in `05, the need is for equipoise. That is in any negotiation, whether it be to allow a phase one trial to proceed, to approve a device, whether it be a labeling change or approval for a DTC ad, the scientific expertise of the regulatory must be on a par with that of the industry negotiator.

13 Without this the playing field 14 could become tilted.

So within the three pillars 15 identified in our report the research agenda 16 science, 17 for regulatory the staff that supports that agenda, and the infrastructure 18 that supports the staff especially IT 19 there is urgent need for infusion of money and the 20 personnel capable of creating the state-of-21 the-art center for regulatory science required 22

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217 by a society that relies on you to ensure 1 their safety, safety and efficacy of products 2 3 that they use, 25 cents out of every dollar. capital and infrastructure 4 Human are key; you will hear more about the details 5 of our report on information technology in a 6 moment, and also on the details of 7 the specific scientific initiatives that 8 were 9 discussed. Cathy also will talk very 10 much about food safety in a moment. 11 12 But I will focus on a concept that 13 I believe actually supersedes each of these important considerations, and that's the 14 notion of a field of regulatory science. 15 The at its disposal 16 FDA has а 17 wealth of experience and data. To support the science, and it's an emerging science, of 18 19 risk-benefit analysis. And this is really where we feel that the scientific expertise of 20 the agency needs to be encouraged, and needs 21 be infused with vision, 22 to resources,

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personnel, manpower and remain on the cutting edge.

3 We hear about personal medicine all Right medicine, right person, right 4 the time. time. And this is of the 5 course key ingredient of the critical path initiative, 6 which hopefully will be enriched 7 by the Reagan-Udall Foundation, and the creation of 8 9 the Incubator for Innovation in Regulatory and Informational Science, the IIRIS, as noted in 10 Section 3.1.2 of our report. 11

Development of tools to translate the products of innovation have never been better. But to do them justice you need an infrastructure and human capital inspired by the vision and not handicapped by resource limitations of its current magnitude.

Beyond IT, you need an experienced portfolio manager to guide the projects along. Beyond vision you need scientists trained not only in systems biology but also in newer biostatistical methods, such as data mining

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and so forth.

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2	Beyond reviewers conversant in
3	regenerative medicine, you need experts in the
4	emerging disciplines of risk-benefit analysis
5	and importantly risk communication.
6	Gale asked me to say a few words
7	regarding drug safety, and we are all very
8	familiar with recent reports by IOM, GAO, HHS,
9	and amplified by numerous academic analyses of
10	several recent drug safety crises.
11	Title IX of FDAAA fortunately has
12	provided a path forward, provided that the
13	promised funding materializes, and that proper
14	planning for implementation of these
15	recommendations takes place, takes place with
16	manpower that has the time and the luxury of
17	time to be able to plan for this important
18	aspect of FDA responsibility.
19	We devoted several sections, and in
20	fact Sections 3.1.1 through 4 to
21	recommendations regarding human capital. We
22	noted the efficient recruitment and retention;
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cies. It is critical that you institute metrics. And fortunately, this is

performance metrics. And fortunately, this is perhaps one of the few recommendations we were making that will not require too much more in the way of appropriation.

the need to develop more career ladders; to

encourage fellowships; encourage intra- and

extramural training, resourcing from

sister agencies.

We have spent quite a bit of time 10 in the report focusing on the need 11 to 12 establish as Gale said an office of chief 13 scientist for FDA, reporting to the deputy medical commissioner of hopefully 14 and scientific affairs, as the name should change, 15 16 or perhaps even as а separate deputy commissioner level. 17

Perhaps we could put up the slide that you kindly made for me that basically outlines this structure. Thank you. I don't know if anyone can see it, but it's in your handouts; it's in the handout on your lap.

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your

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1	But basically the chief scientific
2	office is on the far left as I see it.
3	Now reporting in to this chief
4	scientific officer and let me emphasize, this
5	chief scientific officer should not be an
6	officer in name only. The chief scientific
7	officer must have ample budgetary
8	responsibility to be able to follow through on
9	his or her recommendations.
10	Reporting to this person, this
11	person obviously needs input, and therefore we
12	are recommending that there be deputy
13	directors for each of the centers responsible
14	for monitoring the science for which the
15	center is responsible.
16	These individuals as well should
17	have budgetary discretion and also play a role
18	in the developing of scientific priorities,
19	appointment of fellows, and the development of
20	extramural collaborations.
21	And these individuals can and
22	should be enabled by outside boards, and
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informed by outside boards at the center level, and oversight of course can be provided by the Science Board itself.

Then further on the far right-hand 4 box we have recommended the creation of a 5 of extramural collaborations 6 director and training in Section 3.1.4, and then as you'll 7 see also the establishment of the IIRIS, the 8 Incubator for Innovation and Regulatory 9 and Information Science wherein ideas 10 can be generated, and this group can perhaps have 11 12 some form of informal or dotted line type of 13 relationship to the Reagan-Udall Foundation as that opportunity evolves. 14

So the vision of a culture of 15 regulatory science enabled by an environment 16 17 where personnel and infrastructure support that mission will lead to an FDA that is 18 19 confident in its service to public health. And that of course is that we and all of us 20 sincerely desire for the FDA, and certainly 21 what the American public needs and deserves. 22

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1	So thank you very much. I guess
2	there will be questions afterwards.
3	DR. CASSELL: Dr. Slater agreed to
4	come and say these important words with us
5	this afternoon even though her son will be
6	performing tonight beginning at 6:00 o'clock
7	at Carnegie Hall. And this is a very young
8	son. But I just wanted to share that with
9	you, to let you know just how committed this
10	committee is and has been, and really have not
11	denied any of my current requests.
12	So thank you, and we will excuse
13	you to be sure that you get there on time.
14	Bottom line is, FDA today, the
15	total number of personnel through appropriated
16	funds is the same as it was 15 years ago.
17	Stop and think about that in the face of the
18	expanding responsibilities we've talked about,
19	and you'll hear more about from Peter Hutt.
20	And lastly, you often hear people
21	at the agency say, ah, we can't deal with
22	another advisory body. We know you have many
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advisory bodies.

I would wager, however, that this 2 board of external scientific counselors that 3 we are asking you to establish will be one of 4 the most important groups of external advisers 5 that the history of the agency will have. 6 Last week I gave a keynote address 7 at NIH, and I was actually presented with a 8 9 bound copy of the Cassell-Marks Report of the Intramural Program of NIH, and I can only tell 10 you, this was well over a decade, the report 11 12 was released. But the point was, they were 13 talking about what an impact these changes in terms of a peer review, in the rigor of the 14 training programs, has had on the impact of 15 16 the agency. So I just couldn't resist sharing 17 I apologize, Ken. 18 that. Next, I've asked Cathy Woteki to 19 tell little bit about our 20 а strong you recommendations as it relates to food safety. 21 Cathy is uniquely qualified to do 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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225 this, having served as undersecretary of 1 agriculture. By the way also at the Institute 2 of Medicine, and by the way, as dean of the 3 Veterinary School at the University 4 I'm sorry, dean of the Ag school, that's even 5 bigger and better sorry, Lonnie but now is 6 actually in the private sector. 7 So I can think of no one better to 8 9 address these issues, having served in all sectors. So Cathy, thank you. 10 SCIENTIFIC GAPS: CAPABILITY AND CAPACITY 11 FOOD SAFETY: A STATE OF CRISIS 12 13 DR. WOTEKI: Thank you. Didn't want to take on a degree I hadn't earned. 14 I want to turn your attention now 15 to what the committee where are we going here 16 there we go to what the committee considered 17 after our long deliberations to be the two 18 19 orphan centers within the Food & Druq Administration. 20 those 21 And are the Center for Veterinary Medicine and the Center for Food 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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Safety and Applied Nutrition.

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The reason that we came to that 2 3 conclusion was the neglect and erosion of their resource needs over really what amounts 4 to being decades. And that erosion now means 5 that they can't really address anything beyond 6 the top priorities that are on their plates, 7 and also, that major issues of public health 8 9 concern are not being addressed, and particularly the two areas that we were most 10 concerned about were cosmetic safety 11 and 12 nutrition.

13 Now having said that the committee recognized, and in also it's written 14 our that it's really through 15 report, the extraordinary efforts of the staff in these 16 two very important centers that have focused 17 the resources that they have against those top 18 19 priorities, and have managed so well in so many different crises that they have faced, 20 that they have been able to carry on as they 21 have address the major public health 22 to

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concerns.

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But our conclusion is that that they are now so frayed, and so stretched, that this is a major issue for the entire agency to face.

The context of our review I think 6 is very important. We started our work during 7 the winter of 2007, so earlier this year, and 8 9 we worked through the late spring, in collecting and beginning the analysis 10 of information that we obtained from the agency 11 12 well as from а number of different as 13 organizations and individuals that we consulted with. 14

15 And during this period of time there were a cascading set of food product 16 recalls that were going on, both in the human 17 food as well as in the pet food 18 area, involving E. Coli 0157h7 in fresh spinach; 19 salmonella in peanut butter; and the melamine 2.0 incident that we heard about earlier this 21 morning, which was extraordinary. It resulted 22

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228 in more inquiries to the agency, over triple 1 the number that they get on an annual basis. 2 So at the same time, the Center for 3 Veterinary Medicine, the center that was at 4 the center of responding to this melamine 5 contamination, only has two full-time people 6 who are working on pet food issues. 7 So it required drawing on resources 8 9 not only within CVM, but very broadly within the other areas within FDA. 10 So primary finding in the 11 our committee's report as it relates to food 12 13 safety is that FDA does not have the capacity now to assure the safety of food for the 14 nation. The basic functions like inspection, 15 rulemaking, 16 enforcement and are severely eroded. 17 And as examples of this there's 18 19 been a 78 percent reduction in inspections in these areas over 35 years. So again we are 20 not talking about recently; we're talking 21 about over a period of decades. 22

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1	Food establishments are inspected
2	on average about once every ten years. The
3	CVM workforce is at 375 FTEs, or only about 4
4	percent of the total within FDA, but yet they
5	face unique and really diverse
6	responsibilities as it relates to the many,
7	many species that they must address, as well
8	as maintaining a human health orientation.
9	In CFSAN just in the last five
10	years the workforce has declined from 950 FTE
11	to 771 FTE. Cosmetic safety only has a total
12	of 20 FTE, to address this huge area.
13	And lastly the CFSAN no longer
14	generates the science that it needs to
15	undergird its responsibilities in human
16	nutrition.
17	Now why has this happened? Well,
18	on the one hand there has been a dramatic
19	increase as well as a diversification of the
20	responsibilities that these two centers face.
21	Again, just in the last five years there have
22	been additional legislative responsibilities

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food relate to contact surfaces, bioterrorism response, food allergen labeling, transfat labeling, egg safety and pandemic flu use and as well as minor minor

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planning species health in the veterinary medicine area.

Both facing 7 centers are an increased complexity in the tasks that they 8 9 have to undertake; increased scientific demands as not only the evolving scientific 10 base, but the consideration that has to be 11 given to that in any of its decision making; 12 13 compounded then by inadequate resources.

in one should have 14 Now way we anticipated that we would have ended up in the 15 situation that we are today because back in 16 1991 a report of an advisory committee on the 17 Food & Drug Administration was delivered to 18 the secretary of what was then called Health 19 and Human Services. And that report said 20 there are deep concerns about the viability of 21 the food programs, and the lack of agency 22

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priority for food issues; decline in resources and program initiatives during the past 10 to 15 years indicate a lack of agency management attention and interest in this area, although public interest in and concern for an effective food program remains high.

Now the food regulatory environment
is very complex. FDA does not have the only
responsibilities in this area. They do have
major responsibilities.

divided within But they're the 11 organization itself. And they are also shared 12 13 with the U.S. Department of Agriculture, the Department of Homeland Security, the Centers 14 for Disease Control and Prevention, and also 15 importantly, health 16 most state and 17 agricultural agencies.

So it's not only a coordination issue among national agencies, but also with respect to state agencies that FDA has to address. And with respect to the research base it has to access, most of that is being

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1	sponsored by either the National Institutes of
2	Health or two agencies within the Department
3	of Agriculture.
4	So it's highly complex regulatory
5	and science environment in which they operate.
6	I'd like to now turn to our
7	specific recommendations as they relate to CVM
8	and to CFSAN. And first up, I'd like to
9	address CVM.
10	The report, the committee, endorses
11	the agency's high science priority areas, and
12	these are four.
13	First of all methods to identify
14	residues as well as emerging infectious
15	diseases. The anti-microbial resistance
16	monitoring function, the science as well as
17	the informatics required for the NARMS system.
18	Biotechnology as it relates to
19	genetic engineering, cloning, and use of
20	phages of bipharma.
21	And fourthly, new technologies in
22	drug manufacturing and delivery, as they
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particularly relate to nanotech, genetics,
 biomarkers, new approaches to categorizing
 microbial resistance.

The key stressors that CVM are facing are a convergence of a massive data volume and complexity with newly developed products from the Omex revolution.

Unique databases with respect to 8 9 the number of species and the diversity of species and endpoints, well 10 as as human health, and that is compounded by the under-11 12 staffing problem, and vacancies in kev 13 scientific positions, along with lack of funding. 14

Our recommendations with respect to 15 CVM were to bolster the in-house scientific 16 17 capabilities in emerging areas that are relevant to veterinary medicine, bolstering 18 the IT capability and integrating within FDA 19 with CVM partners, and Dr. Nordenberg is going 20 to talk about these issues more later. 21

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And then lastly to foster

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1 integration with cutting edge science 2 activities, not only across FDA, but also with 3 the external partners and expanding the FDA 4 fellows program.

5 With respect to the Center for Food 6 Safety and Applied Nutrition, the committee 7 also endorses seven of the agency's top 8 priorities in this area.

9 The food production sciences particularly focusing on risk mitigation at 10 the source. Consumer understanding 11 of 12 nutrition and food safety information, so that 13 labeling can be more informative, and we hope that people will be able to act on that 14 labeling as well. 15

Implementing Allergen 16 the Food Protection 17 Labeling and Consumer Act in effective interventions; detection of food 18 19 borne viruses; and the development of intervention techniques 20 prevention and to prevent food borne viral diseases. 21

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Safety of cosmetics, and lastly,

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adverse event reporting and analyses.

The key stressors that CFSAN 2 are 3 facing are, again, lack of resources; the decline already mentioned; 4 that I've new mandates, as well as the fact that CFSAN has 5 eliminate its 6 had to extramural research programs; globalization of the food supply; 7 the development and implementation of a wide 8 9 variety of new food processing technologies; the emergence of new threats to public health; 10 the ongoing ever-present emergency response 11 12 that CFSAN faces; outmoded IT systems as well 13 as laboratory instrumentation; and the fact that they are able only to address the highest 14 priorities. 15

Our recommendations for CFSAN are 16 17 that additional resources be provided to retain, leverage the 18 attract, and to 19 scientific expertise and regulatory research in the seven priority areas that I've already 20 mentioned. 21

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Again, this is not a complaint

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about the way the agency is currently working. Rather, we feel very strongly that the staff within CFSAN is doing a commendable job in setting priorities and developing innovative ways to leverage what little that they do have.

7 And secondly, to provide leverage 8 for them to interact in a collaborative way 9 with research agencies so that they can get 10 the research focus onto this regulatory 11 science that they need created.

Priorities are immediately 12 to 13 correct the lack of support for staff and infrastructure, and that means funding, and to 14 invest in the 21st century regulatory science 15 that could anticipate future food safety 16 issues and develop a cadre of professionals 17 capable of applying the science 18 new to emerging challenges. 19

In addition we strongly recommend that they be provided with resources to allow them to leverage research programs sponsored

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by sister organizations, and that this be done conjunction with the chief scientific in officer that Eve just described to you.

And while building the veterinary 4 and food safety capacity we want to remind 5 6 that we not neglect the other two very within 7 important these areas two very that 8 important centers relate to human 9 nutrition and cosmetics. These are biq industries, and they are not being addressed. 10 11

Thank you.

DR. CASSELL: Our next presentation 12 13 will be given by Dr. Tom Caskey. Member of the National Academy of Sciences, a terrific 14 academician. By the way also having gone to 15 Merck rather early on in his career to lead an 16 effort in genomics, and now back as head of 17 one of Texas' premier institutions it 18 as 19 relates to genomics.

And he tell us where we are at FDA 20 with respect to genomics, and where he thinks 21 we need to be. 22

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1	Tom.
2	GENOMICS: IMPACT, CURRENT AND FUTURE
3	CHALLENGES, AND OPPORTUNITIES
4	DR. CASKEY: I see my responsibility
5	to support a vision and accelerate commitment.
6	My opinion is that the genome
7	initiative is probably the transforming event
8	of a century for advancement in medicine. We
9	are only in year seven. So that's a strong
10	statement.
11	What I'd like to do is highlight on
12	this slide one, a dream, and two transforming
13	events.
14	In 1990 when the commitment was
15	made by a strong group to proceed with the
16	genome project, no sequencing instruments
17	available. Bioinformatics couldn't handle it.
18	And the molecular biology was not available
19	to do it. Truly a dream state.
20	We look back on it now, the dream
21	was fulfilled, the promise of the science
22	achieved.
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1	The two transforming events,
2	though, for others that I want to point out,
3	and let's go to item three. Upon the
4	discovery of the high frequency of polymorphic
5	triplets in tetramers, it was a ah-hah moment
6	for the forensic science community. Because
7	they knew simple PCR automated machines,
8	informatics, would free the innocent, and
9	convict the bad guys.
10	One billion dollars committed to
11	it; out of that has come tremendous programs
12	with the UK national office, and of course the
13	FBI, and also CSI, I must say. You know we
14	probably supported CSI.
15	(Laughter)
16	The last one, which I think for me
17	is an ah-hah moment is the individual genome
18	sequence. The breakthroughs that have taken
19	place in the last two years reporting complete
20	genome sequences on individuals have been done
21	with old devices. These devices have the
22	capacity of only being able to turn out

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gigabytes of data in a day.

2	The new I'm sorry, terabytes
3	gigabytes. I get this mixed up. And the new
4	instruments have the capacity to move to
5	terabyte output.
6	Now if you have a vision for the
7	FDA to have personalized medicine, to correct
8	drugs and avoid the toxicities, you couldn't
9	ask for a better situation to be dealing with
10	for an ah-hah moment with new technology.
11	I won't go over the points on this
12	slide, but just again to highlight a couple.
13	On item four, clinical trial sectoring. All
14	of us now accept this is by far and away the
15	best way to go. It gives us a limited
16	population of patients to study. We can test
17	the utility of our drug against that target
18	for ideally selected patients, so HER2 chronic
19	myelogenous leukemia, epidermal growth factor
20	strategies, have all been developed with that
21	strategy.

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1	and more. It's the proper way to go.
2	We're going to come back to a new
3	problem created by this strategy.
4	Item #5: biomarker usage,
5	highlighted in earlier talks today. Janet
6	certainly made very good points on that.
7	I remember this from our Merck days
8	when PCR enabled us to measure the viral
9	titers . We moved very rapidly once we had
10	that tool in hand.
11	And I'd like to go to item #6.
12	We've already commented on drug toxicity, and
13	the success that's been enjoyed by the
14	discoveries related to the launching of
15	abacavir. And I'd like to just make the point
16	that four and six are linked. As we go to
17	smaller and smaller populations of patients to
18	demonstrate the utility of a drug, the cause
19	of sectoring of the trial group, we expose the
20	drug to smaller numbers of people.
21	So upon launch we expose the drug
22	now to large numbers of people. So the safety
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1factor that we had, by the targeted2population, we do not have now because it's a3small group.

So it is critical that the FDA and the scientists in the room take on the objective of post-launch safety, as we end up with greater and greater efficiency to approve the drug on target.

9 Now the FDA has done a remarkable job in my opinion in trying to move on the 10 initiatives of genome science. We have 11 12 already talked extensively about the critical 13 path initiative. Absolutely the right direction to move in. We've talked about 14 trying to herd the cats by bringing in the 15 pharmaceutical industries to share data, with 16 the Expression Database sharing. Kudos to FDA 17 in achieving that. 18

And we've already heard earlier today about the FDA outsourcing to highly technical companies that do high throughput DNA sequencing in the area of infectious

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1	disease. Right decision; right move.
2	And then the formation of a
3	genomics working group, absolutely the right
4	thing to do.
5	So the FDA has been moving
6	effectively in these areas.
7	Now what are the points that we
8	could make based upon the review? The
9	Critical Path Initiative in my opinion and in
10	the opinion of most in this room would be, it
11	was visionary. It was underpowered, if you
12	take a look at the examples used by the FBI,
13	and examples used by NIH.
14	So the right idea, but underpowered
15	in commitment.
16	Number two, genomics leadership is
17	small. It's been predominantly an add-on
18	responsibility for people who give a lot of
19	time already to projects within FDA. And it's
20	been handled somewhat in an ad hoc manner.
21	More recently an ad hoc manner by
22	enthusiastic scientists, I might add these
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people gave extra time to make this work.

Number three, a focused genome leadership has only really been identified and enhanced, and I still feel and the committee feels, inadequately funded.

And then the technical base now is 6 limited because 7 somewhat they usina are instruments that are coming off the shelf as 8 9 opposed to being involved with investigators that are providing state of the art new 10 instrumentation, such as the high throughput 11 12 sequencing that I've just mentioned.

13 So let me go through quickly the recommendations. One, it's necessary 14 to 15 formalize organization of the genomics I think the best example that I 16 program. would give of the FDA moving in that direction 17 would be the data sharing on the Expression 18 Databases. 19

20 There is more in the report. 21 Please take a look at it.

Recommendation #2: mechanisms for

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recruitment, training, retention, high quality staff. This is definitely an area for immense improvement, an enhancement of the FDA's capacity. And it really links in my opinion, as you move on these new technologies, both genome science and informatics.

7 I'm a genome scientist. I'm not an 8 informatics person. But my machines won't 9 work unless I have informatics. And 10 informatics will not work unless they have my 11 machines.

12 And so it's absolutely synergistic 13 that these two be enhanced. The leadership training already mentioned of 14 as we've bringing in young people, 2,000 fellows into 15 is the absolutely 16 FDA an outstanding 17 announcement that we've heard this morning. We all know that you have to have experienced 18 trainers though to make those fellowships work 19 well; and you've got to have the funding to 20 fund them. 21

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So while the vision is good, the

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246 details need to be worked out and carefully 1 developed. It's the right idea. 2 Recommendation #3: 3 The committee strongly recommends increased collaboration 4 with academic centers of excellence and other 5 agencies in the private sector. 6 And I know from my experience in 7 the commercial world, you do better with these 8 9 collaborations when you come with an open checkbook. To go and set up a collaboration 10 in which you cannot write a check, you hear 11 12 very small and weak responses. 13 So the mechanism that is already in place, the CRADAs, which have been used very 14 successfully by FDA, offers an opportunity to 15 expand, the opportunity for FDA to develop its 16 own directions and research programs working 17 with expert centers and working with biotech 18 companies that can give them the data they 19 need for advancing their mission. 2.0 And I would give you one example. 21 There are many people working in the area of 22 **NEAL R. GROSS**

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preclinical safety testing. How do you validate preclinical safety testing for the outcome? Well, a way to do that is to take the approved drugs that have come through FDA; study those outcomes against the preclinical safety mechanisms.

How many companies do you know of
that would take on that particular challenge?
I say that FDA can do that with CRADAs and
can do it with the right selected companies,
and our committee feels that way.

Recommendation #4: private-public 12 13 initiatives. You have to develop of course a win-win situation, so let's face the facts 14 You qot leading health 15 here. care corporations that have the patience, they have 16 17 the electronic records, they have the If we can improve the care of those 18 outcomes. patients in both the outcomes of their health 19 care and the efficiency of cost of drugs, by 20 selecting the right drugs for the 21 right patient, we've got a perfect win-win situation 22

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for FDA to be interacting with the health care
 provider.

The other one I would point at at a more basic level, you've got very narrowly focused biotech companies that have the ideas, which need to have validation through FDA.

Recommendation 5: this is all about 7 critical If you don't have 8 mass. the 9 scientists in house that think and breathe and discuss genome science, nucleic acid, 10 proteomics, mass spec, you name it, then you 11 12 don't get the original idea.

13 Let me just remind you of a couple of discoveries that we all use daily. 14 DNA chips, sequencing, pathway analysis, RNAi. 15 Ι think the largest number of scientists that 16 made those contributions in those research 17 groups was five. There were five people in 18 19 the sequencing group.

Now did five people brilliantly come up with those ideas? No, they didn't come up with it alone; they came up with it in

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the environment they probably cannot even 1 recall how the synapses occurred to lead to 2 3 those developments.

So the critical mass is needed for 4 the FDA to have a prepared mind. 5

And then the last point that not I 6 will make but that the committee made was in 7 order for genome core groups to function we've 8 technology 9 got to have an information And I've already made the 10 infrastructure. point: don't know of many information 11 Ι 12 systems that would be able at the present time 13 to handle the output that we currently can generate in DNA, DNA sequencing, probably new 14 pathway analysis systems. So in order for us 15 to make use of this new technology, we've got 16 to have it interpreted by electronic methods. 17 Thank you. 18 19

Tom.

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DR. CASSELL: Thank you very much,

Our next presenter, to give

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committee, is Dr. Garret Fitzgerald, who's joining us from Rome by way of phone.

3 Garret also has a unique area of in translational research, 4 expertise and a strong commitment training 5 to the next generation in regulatory science, but is here 6 this afternoon with us by phone to emphasize 7 the importance of the FDA having in place 8 9 mechanisms whereby they can scan the environment, identify new areas of emerging 10 science that they will be dealing with, so in 11 12 fact they can actually be prepared and not 13 constantly be playing catch up. The science is too complex to depend on being able to 14 catch up after the fact, after you are already 15 receiving products for review, or not using 16 the most up to date technologies. 17

Garret, thank you very much for 18 going to so much trouble to be with us. 19 DR. FITZGERALD: Thank you, Gail. 20 CASSELL: And 21 DR. I'm sure you haven't seen the two slides that I pulled 22

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251 together for you to talk from. They are from 1 the key messages that you had sent me over the 2 3 weekend. So thank you very much. DR. FITZGERALD: Okay. Can you hear 4 5 me? DR. CASSELL: Very well. Very well 6 indeed. 7 DR. FITZGERALD: Okay. 8 9 EMERGING SCIENCE: PREPAREDNESS OR CATCH-UP DR. FITZGERALD: So I'd like to just 10 build on the theme that Dr. Caskey has spoken 11 12 In the reports we highlight eight areas to. 13 of emerging science and technology that will particular challenges 14 present and opportunities for the FDA. 15 recap, they relate to a 16 And to 17 systems approach, to understanding biology, which is closely wedded to reliance 18 on information systems and analysis. 19 Wireless health 20 care devices, nanotechnology, advances imaging 21 in and robotics. And advances in products 22 new **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

including cell and tissue based projects, regenerative medicine, stem cell research, and combination products.

what I'd like to draw your And attention is that while to these rapid developments in these areas impinge on all of health care and indeed on the human condition, particular relevance they have to the discovery and development of new drugs.

And furthermore, and what they will 10 require, is an increasing emphasis 11 on 12 interdisciplinary skill sets, and the agency 13 just like companies and academic institutions will need to be able to tap into a critical 14 of individuals capable of both 15 mass integrating and applying information derived 16 from these emerging technology and therapeutic 17 modality drug discovery drug 18 to and development. 19

20 Now I'd like to just highlight a 21 few of that these have immediate relevance to 22 the drug discovery and development process.

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1	Tom has spoken to the rapid drives
2	that have already been made since in sensitive
3	and quantitative detection in biological fluid
4	using mass spectrometry of biomarkers of drug
5	effect that are both based on the hypothesis
6	of how the drug works, but also biomarkers
7	that are unbiased by any concept of how we
8	think the drug works.
9	Now this is a rapidly emerging area
10	which I think we all believe is going to
11	impinge dramatically on our understanding of
12	how drug works, and particularly how they
13	might be individualized in their utility.
14	Secondly you are all aware of the
15	increasingly cheap access to individual
16	genomic data. Proteomics is a burgeoning
17	area, and there are beginning to be very rapid
18	development in how we can actually apply
19	proteomics in a quantitative fashion, again,
20	not just configured on a hypothesis of how a
21	drug works, but also in a hypothesis free
22	approach.

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1	The bioinformatics capabilities
2	that will be necessary to harness this
3	information presents a particular challenge.
4	And increasingly we're moving to an
5	understanding of biology and indeed drug
6	action that is configured on the intersection
7	of many biochemical pathways in a so-called
8	systems approach.
9	Now a positive impact some of these
10	emerging science will have on the drug
11	discovery and development process: first of
12	all, they will help and already do help in the
13	rational identification of promising drug
14	targets. And increasingly we will use a
15	diversified array of model systems, different
16	species, different cell-based model systems,
17	for proof of principle of drug action.
18	We will be able to harness those
19	technologies that I spoke about to project
20	quantitatively a drug concentration-response
21	relationship from those model systems across
22	the translational divide into humans.

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255 And this will expand considerably 1 efforts that we currently deploy to 2 the stratify drug use at an individual level to 3 maximize effectiveness and to minimize risk; 4 the so-called personalization of medicine. 5 Now where does the FDA stand with 6 respect to these emerging scientists? Well, 7 we noticed three areas in which a deficit is 8 9 apparent, and indeed a critical deficit is apparent. 10 The first of these was actually in 11 The explosion of these new 12 human capital. 13 sciences in their own right, but most importantly in a context where they have to be 14 15 integrated, have really shined a light on a critical deficit in individuals who have the 16 skill 17 sets capable of harnessing this information. 18 19 miqht say this doesn't Now Ι necessarily restrict the 20 agency. But the relative absence of those individuals within 21 the agency obviously undermines substantially 22

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256 the potential of its role in the regulatory 1 mission. 2 So the first critical deficit is in 3 human capital. 4 The second critical deficit is 5 the reorganization of science 6 actually in accommodate 7 within the agency the to of acquisition of this information, 8 type harvesting 9 relevant information from these databases, and the integration of this 10 information with the regulatory mission fo the 11 12 agency. So the second critical deficit is 13 really in organization and integration with 14 the emerging sciences within the regulatory 15 mission. 16 And of course the third critical 17 deficit is in resources. Because without the 18 application of resources to infrastructure and 19 the development of the relevant human 20 to capital, there is no possibility of the agency 21 being able to plug into this transformational 22

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impact of science on a mission.

2	So if we consider the emerging
3	sciences of the FDA, what are the needs?
4	Well, the first need obviously is to build
5	internally a critical mass of individuals who
6	are familiar with, conversant with, these
7	emerging sciences.
8	But it's unrealistic to think that

8 recruit 9 one could and train а sufficient individuals number of with these complex 10 interdisciplinary skill sets who are retained 11 12 solely as employees within the agency.

So we believe that an important 13 part of this is the new type of scientist that 14 15 is focused on the emerging sciences within the network that 16 agency, but is part of а 17 integrates them with the community of similarly skilled individuals extramurally. 18

We believe this is necessary to harness the capability of intramural scientists to deploy these emerging sciences to drug development and discovery.

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So where can one turn for those 1 sorts of interaction? Well, we think one area 2 3 of high potential that is relatively underexploited is the academic sector. 4 Much all of the innovative that 5 but not has in this area of emerging science 6 occurred actually occurs within the academic sector. 7 And virtually all of the training in these new 8 9 intricate plenary modalities will occur in the academic sector. 10 Secondly, the academic 11 sector

12 itself by other initiatives has been pushed 13 increasingly to become re-engaged in the process of drug discovery and development. 14 15 Historically the academic sector actually played a considerable role in the discovery of 16 But really over the last several 17 drugs. decades that has been ceded almost entirely to 18 industry. 19

20 But now new initiatives, 21 particularly those within the NIH, which put 22 an emphasis on so-called translational

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259 the science, has pushed interest of the 1 academic sector increasingly back towards drug 2 3 discovery and development. So if you will, the time is right. 4 However to align the expertise that 5 may lie within that sector with the regulatory 6 science mission of the FDA, it is necessary to 7 have the FDA resourced appropriately to be 8 9 able to garner prompt, and align those necessary parts of the academic sector with 10 their mission. 11 12 Additionally we see а great 13 opportunity at this interface as far as education is concerned. On the one hand it is 14 an opportunity to grow individuals with these 15 interdisciplinary skill sets who miqht 16 be 17 recruited by or interact with the agency. But the other side of the coin is, 18 19 increasingly it is important to attract people

21

20

22

And of course there is increasing

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from that sector to be exposed to regulatory

science within the agency.

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interest in that sector for that to occur,
 because of the emphasis on educational
 science.

So one of the initiatives that you 4 will see within the report is what is called 5 the incubator for innovation in regulatory and 6 information science, or IIRIS. And this would 7 be a structure that would be under the control 8 of the chief scientific officer and would be 9 resourced sufficiently recruit 10 to that critical intramurally of scientists 11 mass 12 within the FDA, that core of interdisciplinary 13 scientists who could then interact in а network with centers of expertise housed in 14 the extramural sector, and different ventures 15 might have particular types of expertise that 16 the FDA would wish to harness. 17

For example there might be centers 18 19 of expertise biology in systems and metabolomics and biomarkers and translational 20 therapeutics in regenerative medicine for 21 example. 22

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261 And these sites could be not only 1 sites for training and exchange, but 2 also 3 sites for collaborative pursuit of initiative that would 4 programmatic add particular value to the expansion of expertise 5 within FDA itself. 6 So in a way this could be thought 7 of as the sort of Jet Propulsion Lab of the 8 9 FDA. So in summary we believe that these 10 emerging sciences and technology promise to 11 12 revolution both prevention and treatment, with 13 a particular impact on drug discovery and development. 14 We believe that the FDA needs to 15 institutionalize its approach to this area, 16 both programmatically and educationally. 17 We believe that the academic sector represents a 18 particular opportunity free of many of the 19 trappings of conflict of interest for the FDA 20 if it resorts to engage B to be maximized and 21 invoke the programmatic and educational 22

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1 initiative.

2	And finally we believe that neglect
3	of a strategic approach to these emerging
4	sciences will impinge rapidly on the ability
5	of the FDA to fulfill its regulatory
6	responsibilities to the American public.
7	DR. CASSELL: Garret, thank you so
8	much. Very well said.
9	Our next speaker and the next
10	subject is one that I alluded to as perhaps
11	the weakest link but the most critical link in
12	terms of advancing science and returning FDA
13	to its standards so that it can lead us
14	instead of playing catch up.
15	The next speaker is Dale
16	Nordenberg. Dale is a pediatrician by
17	training, but yet has played a very extremely
18	important role at the Centers for Disease
19	Control in preparedness for not only
20	bioterrorism but also an influenza pandemic as
21	far as putting together the information
22	technology infrastructure.

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1	In other words he's very well aware
2	of the challenges of putting in place IT
3	systems in complex organizations. And he just
4	in fact in the last six weeks joined the
5	private sector, and is looking at it from
6	different eyes now, but will talk to us about
7	what the findings were of the information
8	technology subcommittee, or sub working group.
9	I might also add that if it were
10	not for Dale you wouldn't have the slides you
11	have before you today, and our report wouldn't
12	look quite as professional as it does. I
13	learned a lot about my inadequacies in IT in
14	working closely with you, Dale. Thanks again
15	for all your support.
16	INFORMATION INFRASTRUCTURE: THE WEAKEST BUT
17	MOST CRITICAL LINK
18	DR. NORDENBERG: Thanks, Gail. I
19	hope that wasn't an advertisement to do
20	PowerPoints for the group.
21	(Laughter)
22	So I'm not traveling in space to
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264 speak to you today, but I feel like I've 1 traveled in time with Gail over the 2 last 3 several months, and also certainly have followed Gail around the globe; but virtually 4 as this report was being prepared. 5 And I would echo what Ken has said 6 in terms of the incredible amount of time and 7 energy that Gail has put into this report, and 8 9 it's been a privilege to work with Gail and the group, and it's also been one of the most 10 rewarding activities I've participated 11 in 12 professionally. 13 I would also like to say that, as

Gail mentioned, coming has from CDC, and 14 coming from government, and managing 15 technology, I'm probably, as we 16 started to with 17 meet the information technology professionals at the FDA, I probably had as 18 19 good a seat as anybody to have a sense of the state of IT and IT competency of the agency. 20 And I would say that it took but a few minutes 21 to realize and to develop incredible respect 22

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for the information technology folks at the agency.

So while we will be identifying 3 significant gaps in the information technology 4 infrastructure, I think that our colleagues 5 and the scientists that have spoken before me 6 7 have enumerated reasons the many why information technology challenges 8 are very 9 significant. And again, I'd like to reiterate that Ι believe that there has been 10 some slow, important progress perhaps too 11 but 12 important progress by very competent people in 13 the information technology arena at the 14 agency.

So if I were standing up here, I 15 could pretend like this, if I were standing up 16 here with a black box, and I said to you, this 17 had a couple of wires sticking out of it, and 18 I said, guess what, I can solve all of the 19 FDA's information technology problems, and we 20 can catapult science and the FDA to the next 21 century just by plugging this in, probably 22

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half the people in the room would say, where
can we plug it in and when, and the other half
would say, you're crazy.

This is the challenge of managing technology and science today. So both groups are perhaps right. Fifty percent of the time one group will be right, and 50 percent of the time the other group will be right.

9 Let's see if I can figure out how10 to work this technology.

So what I'd like to do is start out 11 12 and level set, because one of the things I 13 find when scientific groups come together, and many people together, is that the 14 come definition of technology varies, depending on 15 which chair you're sitting in. 16

So one of the interesting things 17 about information technology, it has three 18 different roles. It's an infrastructure for 19 I mean you need engineers to put in 20 the FDA. essentially the plumbing to move 21 things around. 22

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1	But it's also a science. You need
2	the informaticians to help push forward the
3	molecular biology and the other emerging
4	sciences.
5	So there is clearly a scientific
6	component to the IT agenda.
7	And then in addition the third hat
8	that IT wears, it's a regulated product. When
9	you have a device that you are putting in
10	someone's chest that is moderating heart beats
11	and beaming that across distance to another
12	device that's receiving it, now technology
13	actually becomes a regulated product.
14	I think that's important to bear in
15	mind as we move forward through our
16	discussions.
17	So in terms of the IT arena, what I
18	mentioned here is that we think of IT in the
19	context of supporting programs, and then we
20	think of it in terms of supporting
21	infrastructure, infrastructure being boxes and
22	wires and the management, and obviously the

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1	programs being the day-to-day regulatory
2	mandate related activities of the agency.
3	In terms of scope, again, just to
4	level set, the scope here really is referring
5	to components. These are the various
6	components that one must touch when one is
7	dealing with an infrastructure related to
8	information.
9	These are the databases, these are
10	the hardware, the software, and so on and so
11	forth.
12	So it's easy. I think perhaps
13	because we all have computers on our desk, and
14	most of us I don't know if anyone does, but
15	most of us don't have mass specs on our desk,
16	you know.
17	So it's easier for us to imagine
18	that, you know laboratory science is a whole
19	lot more complicated than doing anything in
20	technology, because obviously we all have
21	computers and databases right on our desktop.
22	But in fact information technology
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which wears these three hats requires the same careful rigor that the science that we practice does. And in fact there is a tendency to forget that.

this simply 5 So enumerates the 6 components. And then the next slide says, okay, if we have these three hats, and we have 7 these two arenas, infrastructure and programs, 8 9 and then we have all these components, then at the end of the day hopefully you are driven by 10 mission. 11

12 And so there are processes that you 13 execute in order to support the mission, this whether be electronic application 14 processing, networks to deal with safety and 15 efficacy; whether you're doing risk detection 16 17 technology; those types of processes to support the FDA mission. 18

What is causing these gaps? There are a lot of challenges that have already been identified for science. And since technology wears three hats, anything that is affecting

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1	science at the FDA is affecting the IT agenda.
2	One, because it's a science; and
3	two, because it has to support the science;
4	and three, because it's a regulatory product,
5	regulated product in many cases.
6	So vast amounts of data, the
7	emerging sciences, when you think about the
8	fact that every 12 to 18 months the capability
9	of technology doubles, storage capability,
10	processing speed. In two years, now you're
11	four times, right? In three years you are now
12	eight times.
13	By the time you are $3-1/2$ years out
14	you now have integrated a magnitude in terms
15	of changes in your technology capability.
16	So there is a real challenge
17	historically in how do you manage this rapid
18	pace of innovation and technology. Now what
19	we have is actually the perfect storm. Now as
20	has been pointed out in the genomics arena and
21	in the other emerging sciences, these sciences
22	are moving as fast as technology.

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1	So now you have things changing at
2	multiple entities, multiple sciences, multiple
3	disciplines, changing magnitudes over really a
4	handful of years.
5	So the challenge here in terms of
6	managing emerging science is information
7	sciences, and then rapidly emerging technology
8	is tremendous when you step back and take a
9	look at it.
10	Now you get on top of that
11	globalization, which is another gift that
12	technology has given us, because now we're
13	flying all over the place very rapidly; we're
14	shipping things all over the place very
15	rapidly; and how we have even greater stress
16	on the system. We have what has already been
17	mentioned is the challenges of shared
18	jurisdiction, so the CDC, the FDA, the USDA,
19	multiple agencies, are developing systems and
20	perhaps not optimally working together to
21	define areas of intersection.
22	Now there are a large number of

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sites that have to be monitored. As Gail has 1 mentioned there are 300,000 sites that have 2 3 been enumerated overseas that have some type of role; they produce some product that needs 4 to be regulated. And that is mind boggling, 5 and to think that that could be monitored or 6 regulated without technology I think we can 7 appreciate is almost impossible. 8

9 Positive trends but critical gaps: so this basically refers back to what I was 10 just talking about when I launched into the 11 talk, that everybody is familiar with the 12 13 stories about technology investment that has So what our subgroup did is, we 14 qone awry. took a step back and said, hm, if we have 15 significant gaps, does it make sense, are we 16 comfortable saying let's push resources into 17 to close those gaps? 18

And so one of the things we have to ask ourselves is what has been the track record. And what we see here is that strong management has been brought in very recently,

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which is a very good sign. We see really 1 strong interactions between the scientists and 2 3 the technology folks at the agency; very good This has resulted in the birthing of 4 sign. effective though embryonic or young governance 5 will adjudicate mission 6 boards that and technology. 7

The IT activities are starting to 8 9 decentralize, but they are not there yet, so highly coordinated 10 they are not yet. Standards in process. There's 11 are qood 12 external collaboration between the FDA and 13 external standards bodies. Again, it's that whole activity globally is early 14 on; the impact is still too soon to detect. 15

The recognition of key challenges is fairly universal and consistent throughout the agency, which suggests that people will be able to come together and agree on what needs to get done.

21 Business processes are getting 22 effectively mapped out, but again it's

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embryonic.

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2	Strong collaborations with external
3	partners are forming, especially in the area
4	of standards as I mentioned. And the office
5	of CIO, and the CIO is quite new, just
6	probably with the past year, has already
7	identified five critical initiatives which
8	address many of the issues that we are talking
9	about today.
10	So we feel that there is good
11	progress but slow progress on the horizon.
12	And that'll be an important point that I'll
13	wrap up with in a couple of minutes.
14	So I'm going to go through fairly
15	quickly several issues that we have touched on
16	as we move forward, and some perhaps new.
17	Information supply chains: this is
18	an expression that I like to use, because it
19	actually implies something is getting
20	produced. It's not about putting boxes and
21	wires out there, but somehow we have to figure
22	out what information do we need to produce to

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drive quality, safety and efficacy from a
 regulatory perspective.

What kind of information products 3 do we need to produce to support innovation 4 across the industries that are regulated by 5 6 the FDA, so that products are coming out so market 7 the faster, cures come to the interventions come to market faster, but they 8 9 come to market safely as well.

So it's often that you hear people 10 talk about clinical trial networks, distinct 11 from pharmacovigilant activities. But when 12 13 you really take a step back and you look at the type of data that's collected, it's very 14 similar. And in fact we have to start to look 15 at shared infrastructures that are going to 16 17 emerge, and it's important to realize that the FDA has two different types of technologies 18 that it manages: internal, you know, they own 19 the building, they own the boxes, they own the 20 wires, they can build a network. That's good. 21

On the other hand when you want to

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shared health information build exchanges 1 across the country or the globe, they don't 2 3 own all those hospitals. They don't own the pharmacies, they don't own all the clinics. 4 So that becomes a much greater challenge. 5 But it is an opportunity for the 6 FDA to provide critical leadership. 7 science and emerging risk, 8 New 9 we've talked about this a lot. I think that the notion of IIRIS, which is 10 again the incubator for innovation and regulatory 11 12 regulatory and information sciences, is a very 13 interesting concept. One of the issues that the group clearly discussed was the research 14 agenda for the agency. It's one thing for 15 example to say these are the things that the 16 FDA needs to research; it's another thing to 17 say here is a structural entity. This is a 18 19 structural organization that is being 20 proposed; a set of processes that are being proposed; so that the FDA can in perpetuity 21 identify its research agenda and adjust to the 22

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1	rapidly emerging sciences and technologies
2	that it's exposed to.
3	So it's important, if one were to
4	determine or identify very specific research
5	agendas, it's very possible that in several
6	months or a year, they would change.
7	So IIRIS is interesting in that it
8	gives the agency an ability to adapt.
9	The other aspect of IIRIS that's
10	important is that it's not just important for
11	science from a chemistry or biology or product
12	perspective, but from an IT perspective. If
13	you don't push IT people into IIRIS, there is
14	going to be disjunction between the IT
15	capability and the science. We are not going
16	to get the kind of marriage that we've talked
17	about.
18	Food safety has already been
19	discussed. Crossing intergovernmental
20	agencies is critical. The vast number of food
21	lines that are hitting our borders from
22	international sites is incredible. Clearly

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food safety requires the technology, if you will, intervention to augment the people or person intervention.

there are technologies like 4 And remote sensing kind of technologies that could 5 be put in place at the site of manufacturing 6 or in transportation vehicles that clearly 7 needs to be developed, and the FDA has an 8 opportunity to take a leadership role 9 in developing those technologies and closing 10 those gaps. 11

12 The ΤТ infrastructure of the 13 agency, there is clearly an opportunity to tune up this infrastructure. There have been 14 surveys of the infrastructure prior to our 15 assessment that have identified that as many 16 17 as 80 percent of the servers have already exceeded their recommended server lives. The 18 19 servers are often scattered across the agency. As I've mentioned, while this is a 20 serious gap and has caused, or could cause 21

significant problems, one of the things we see

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is that already there are activities that have been put in place that will start to rectify it. The question is, how fast might that occur without sufficient resources to support the excellent capabilities of the folks on the ground. The other aspects of that would be recognition that technology has evolved as fast as the genomics arena or faster. We know that barely 10 to 15 years ago nobody was using emails, mid-`90s nobody was really using

12 email. There as hardly a worldwide web.13 Today we are about to experience an

overloading of the Internet because of the 14 amount of video content that's moving around. 15 And certainly we can appreciate why 16 for example the FDA might find itself, like many 17 agencies and corporations, trying to figure 18 evolve infrastructure 19 out how to its sufficiently quickly as we move forward. 20

21 From a workforce improvement 22 perspective, I don't need to spend a lot of

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1 time on this. It's not different from the 2 issues that were already expressed in the 3 science discussions.

Tight integration with IIRIS and informatics training program, same type of concept, would be two of the most important things we might talk about.

One of the things that we spoke 8 9 with folks during our interviews about is the opportunity for FDA as a regulatory agency to 10 be able to work through legislative channels 11 to help progress and propel standards that 12 13 would promote health information exchanges; to support remote sensing kind of 14 promote or technologies, or to support types of things 15 such as e-pedigrees. 16

And there has been some legislation 17 for some of these, including the e-pedigrees. 18 19 the complexity of trying However to get industries, governments, domestic as well as 20 international, to start to adopt these types 21 technologies is certainly not trivial; 22 of

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again, a big opportunity.

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2	So I'm going to come back in this
3	last slide to this concept of a rationale for
4	recommending investment. Certainly there are
5	critical gaps, but we have already as I
6	mentioned identified evidence of significant
7	commitment, significant capability, and some
8	early progress.
9	And we have already talked about
10	the fact that there is new and strong
11	management that has been brought onboard in
12	the information arena.
13	And so I think that it's accurate
14	to say that the subcommittee believes that an
15	investment in the IT arena would be capable of
16	being managed by the folks at the FDA, and
17	that it would ultimate be able to have a very
18	significant impact on regulatory science and
19	the regulatory mandate that the FDA is
20	challenged with daily.
21	Thank you.
22	DR. CASSELL: Dale, I hope in the
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1	discussion you can come back to maybe
2	commenting on the warehouses of clinical data
3	that I referred to earlier, and what we think
4	the implications of that are. I know you
5	didn't have time, but that would be great.
6	I'd like to move now to discussion
7	of the expanding responsibilities but
8	declining resources that have occurred over
9	the last 20 years.
10	The person that perhaps has the
11	greatest insight into this situation is Peter
12	Barton Hutt, former chief counsel for FDA,
13	someone who was recently declared in the
14	publication called The Hill that he is the
15	utmost authority on food and drug law, and
16	certainly has a love for this agency and a
17	respect for the agency I think bar none.
18	And we'll just tell you that many a
19	night, many a weekend, that Peter was in his
20	office, way into the wee hours of the morning,
21	pulling together data, and at the same time
22	teaching a course at Harvard on food and drug

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1	law. So total commitment again by one of the
2	committee members.
3	Peter.
4	INCREASING RESPONSIBILITIES AND RESOURCE
5	CHALLENGES
6	MR. HUTT: It has perhaps not
7	escaped your attention that our group is
8	comprised of 32 distinguished scientists and
9	one regulatory lawyer.
10	At the first meeting of the group I
11	recommended that the 32 scientists focus
12	obviously on what they knew, and obviously
13	what I did not know, namely, the scientific
14	needs of the agency, and that I should spend
15	my time focusing on two relatively narrow but
16	I think critical issues.
17	The first is the increased
18	statutory responsibilities, as Gail said, just
19	limited to the last 20 years, that drive the
20	science needs at FDA. It is these statutory
21	obligations that impose on FDA the obligation
22	to use good science in the interests of public
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1 health.

2	And then second, look at the other
3	side of the coin: what resources has Congress
4	given FDA to deal with those science needs?
5	Because if we did not look at those two
6	issues, any report that we might issue would
7	be totally misleading; indeed, I would say
8	fraudulent.
9	The result is a report that I
10	prepared at Gail's request for the
11	subcommittee. It's 35 pages long with an
12	additional six tables of data that document
13	both the increased responsibilities and the
14	stagnant resources.
15	Let me begin with just a very brief
16	overview of the increased responsibilities.
17	They come from three sources: the first, and
18	the one that you would be most familiar with
19	would be the statutes enacted by Congress that
20	directly amend the federal Food Drug &
21	Cosmetic Act, or impose related obligations
22	directly on the agency.

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285 the Just in past 20 years Ι 1 prepared a table, a 10-page table of more than 2 3 125 statutes. That's more than six every year for 20 4 the last years that create new requirements for FDA. 5 Ι will mention 6 just one in particular to give you an illustration. 7 Ιt was signed by the president in September of 8 9 this year. It's called the FDA Amendments Act of 2007. It has 11 separate chapters. 10 It is 155 pages long. And it imposes more than 200 11 12 requirements on the Food new δ2 Druq Administration. 13 This is it is the longest and most 14 complex statute in FDA history. 15 These are the kinds of statutes 16 17 that weigh upon FDA and that impose the scientific needs on the agency. 18 is also a series 19 But there of statutes, and I have a Table 2 to my report 20 documents representative because 21 that Ι couldn't list them all but representative 22

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1	statutes of general applicability that also
2	have major obligations on FDA.
3	And I will give you one example
4	that I know you'll be familiar with. It's
5	actually one of the most important statutes in
6	American history, the Freedom of Information
7	Act.
8	And you might say to yourself,
9	well, that's a very good statute. It is a
10	terrific statute. But FDA every year spends
11	\$11 million implementing the Freedom of
12	Information Act. It imposes not just on
13	clerical personnel but on FDA's scientists an
14	obligation to go through the agency's
15	scientific records and determine what can be
16	made available to the public.
17	And thus it also is a drain on FDA
18	resources.
19	And finally there is an area that
20	very few people understand, presidential
21	executive orders. I'll give you just one
22	example. The president this year issued an
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executive order authorizing the Office of
 Management and Budget to review all agency
 guidance documents.

Now FDA, I don't know, Janet, if anybody has ever counted the number of FDA guidance documents. I've seen an estimate of 3,000.

But in the future OMB is authorized 8 9 for each of these kinds of documents to issue oversight requirements. This will mean that 10 FDA scientists preparing these documents must 11 12 now be prepared to defend them at a higher 13 level in government, which means they will be spending more time, and in fact, probably 14 fewer of these documents will be available 15 because of the oversight requirements. 16

The cumulative impact of all of 17 this, all of these legal requirements, is 18 19 immense. Ιt is this that imposes the scientific needs on FDA. 20 And these statutory requirements corresponding 21 carry no appropriations. Appropriations come from one 22

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288 committee of Congress; statutory requirements 1 come from different committees. 2 3 We thus often see а complete disconnect between new statutory obligations 4 and no new appropriations. 5 I list in my report five pages of 6 FDA safety programs beginning in 1960 that 7 remain unfinished because of the lack of 8 scientific 9 resources to make the safety determinations that those programs require. 10 Now let's look at the other side. 11 12 Let's look at the corresponding resources that 13 can be placed against these 125-plus statutory obligations that have occurred just in the 14 last 20 years. 15 We, I will confess, had a great 16 difficulty 17 deal of in quantifying the resources available. FDA has never had a 18 validated budgetary historical database that 19 would chronicle the increase 20 in funds, or the increase or decrease 21 decrease, or in personnel over time. 22

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289 The database that is contained now 1 in Tables 4 and 5 of my report was constructed 2 3 as we went along, and I would like to pay FDA personnel 4 tribute to the who labored mightily to help me put together what occurs 5 there. 6 knowledge it's the only 7 То my database that exists on this subject, and I 8 9 hope that in the future it will be kept up to date. 10 faced with this But 11 enormous 12 increase in scientific responsibilities, let's 13 see what has happened. The number of personnel, appropriated personnel 14 not user 15 fee personnel, but appropriated personnel increased over 20 years roughly by 700 people. 16 17 And that those 700 people were expected to be sufficient to implement those 18 125-plus statutes. 19 The number of dollars did not keep 20 with inflation. FDA lost 21 up money to inflation over that 20 years. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	And that is why in desperation the
2	agency beginning in 1992 had to resort to user
3	fees, and why in desperation the industry had
4	to agree to go along with user fees.
5	But the result of user fees and
6	this is documented in the report has been
7	that some parts of FDA are barely adequately
8	funded through user fees, and other parts, as
9	Cathy Wotecki pointed out, particularly the
10	what you might call the orphan centers, food
11	and veterinary medicine, they are the poor
12	people of the agency; they have been
13	devastated, and in fact, as my report points
14	out, CFSAN has been disintegrating before our
15	very eyes.
16	Now in conclusion let me say that
17	FDA is the oldest and most important
18	regulatory agency in our country. Virtually
19	every thing it does is based on science.
20	Indeed it is science that leads FDA, not the
21	statutory requirements themselves.
22	But because of a lack of money and
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personnel the agency is now barely I would say crippled and limping along. It is powerless to do the job that the American public expects. It is what I have called in my report the paradigmatic example of hollow government.

Increased expanded 7 responsibilities; reduced 8 stagnant or 9 resources; and thus the inability to undertake the kind of work envisioned by the American 10 people and by our Congress to protect this 11 12 country's public health.

13 DR. CASSELL: Thank you very much, I hope people will read your document, Peter. 14 and they are the opinions of Peter, so well 15 informed, not necessarily the opinions of all 16 the committee members, as you might expect, 17 but a very important document for the history 18 records in terms of the picture of the agency 19 that we have today, and a lot of hard work. 20 21

Carlos, could you please turn the projector back on? I'd like to just summarize

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1	by making a few comments.
2	If we could just get back to the
3	last two slides. Yes.
4	SUMMARY AND RECOMMENDATIONS
5	DR. CASSELL: So in conclusion it
6	is true that our committee overstepped our
7	charge. We were forced to look at resources.
8	But I hope you can all appreciate why we felt
9	it was so important to overstep our bounds.
10	We are at a very critical point in
11	our history, and in the history of the agency.
12	Without a significant and sustained increase
13	in funding, the FDA cannot perform its
14	mission. And that is our conclusion.
15	I will tell you it is absolutely
16	the unanimous conclusion and strong and
17	adamant feeling of every member of our
18	committee, bar none.
19	Lastly, the current situation has
20	developed over many years as you've heard from
21	all speakers. This is certainly not
22	attributable just to the last few years, or to
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293 particular commissioner, this the 1 or individuals that are here today in the agency, 2 3 but rather over many years. The question is not how or why we 4 got here, but rather, how do we strengthen FDA 5 going forward. 6 FDA staff is highly dedicated to 7 protect the public's health. And again this 8 9 is a unanimous feeling of the committee, but can no longer fulfill their mission without 10 appropriate tools and personnel. 11 Just to emphasize the urgency of 12 13 the situation, a recent report documented that as far as scientific personnel go, FDA has a 14 much higher attrition rate than any other 15 federal agency; and indeed, the two largest 16 of 17 centers FDA currently without are а director. 18 And I will just say that within the 19 past five years, I think it is, Dale, that 20 there have been four different CIOs. 21 So I think that what we all have to 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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realize is that we have some critical positions that need to be filled, as you've heard some every person that's spoken today, that we've heard from all fo the centers as we

And unless there is some hope that 6 the resources will be available to allow these 7 new leaders to fulfill their responsibilities 8 9 to the public and to protect the public's health, I fear we will not be able to attract 10 leaders the best that we need in these 11 12 positions as we face these challenging times.

went through the interview process.

13 Aqain, I would remind you, this balanced committee committee 14 was а representing academia, government 15 and industry. This is not the feeling of a single 16 No single sector stands to benefit 17 sector. anymore than anyone else. 18

But we as citizens all stand to benefit as we've alluded to in terms of saving lives, and also protecting our security as well as our economic leadership and

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preeminence in science if in fact we act now. The other situation I would end by just saying we do feel is urgent, and do agree that the public's health is at risk if action is not taken. This last statement is extremely

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important given other reviews that have taken place that have warned unless action is taken the public health's is at risk. Т think you have heard this afternoon from Cathy Woteki one of the reasons

thing is

that

11 that we felt that we needed to say it's urgent 12 and that people are at risk is that those 13 warnings have come to pass. living 14 We are them now, seeing them everyday, and if we 15 don't take appropriate actions they will only 16 increase and not decrease. 17

Lastly, Ken, I'd like to recommend 18 that the science board accept the report of 19 the subcommittee, and then take further steps 2.0 to provide the review of in depth analysis of 21 some of the high priority areas that we have 22

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this

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1	identified.
2	I appreciate you giving us so much
3	time to share the fruits of our labor this
4	afternoon.
5	DR. SHINE: Gail, before you sit
6	down, with the caveat that as with other
7	reports I think we ought to provide comments
8	from the center leaders and other management
9	with regard to the report, as a member of the
10	committee would you move the recommendation,
11	and we'll see if there is a second for it
12	before we open the discussion?
13	DR. CASSELL: Could you just restate
14	what you are suggesting in terms of with the
15	caveat for input.
16	DR. SHINE: The motion would be that
17	the Science Board, Science Advisory Board
18	accept the report of the subcommittee with
19	thanks and appreciation; that it takes steps
20	to provide further review and have an in depth
21	analysis of high priority center programs, the
22	role of the NCTR, and the scientific capacity

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297 it seek additional comment and that from 1 response to the report from center directors 2 3 and other parts of FDA management. DR. CASSELL: I so move. 4 DR. 5 SHINE: Is there a second to that? 6 (The motion is seconded) 7 DR. SHINE: The motion is made and 8 9 seconded. The report is open for now discussion. 10 SCIENCE BOARD Q&A AND DISCUSSION 11 12 DR. SHINE: I do have to tell you I 13 was delighted to hear about the Cassell-Marks event that you had, since I had the privilege 14 of serving as a member of your committee on 15 16 that report. CASSELL: Well, Ken, 17 DR. I would point out to your big surprise that maybe you 18 don't even remember either, that report was 19 released to Harold Varmus, the director of 20 NIH, in May of 1994, and by November we had an 21 implementation plan as did Congress along with 22

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298 a progress report where progress had already 1 been made in that short a time span. 2 Well, I think it is 3 DR. SHINE: appropriate to point out that in his remarks 4 this morning, the Commissioner said he was 5 going to look very closely at this report in 6 terms of trying to move the agenda forward. 7 The report is open to the members 8 of 9 the committee for comments, questions, suggestions. 10 Susan, food safety, CFSAN. 11 12 DR. HARLANDER: Well, I was very 13 impressed with the report. It's very comprehensive. I was pleased to 14 see the 15 report on CFSAN. My responsibility has been primarily focused on food. 16 I'm in agreement with all of the 17 conclusions around the food side of FDA. 18 19 I'm most concerned with your finding and your recommendation, 4.1.2, and it 20 has to do with that the recommendations have 21 not been followed in the past. And I believe 22 **NEAL R. GROSS**

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in having worked with this board for four years that that is not because there isn't an understanding of the needs of everything that has been identified by all the folks that I've had an opportunity to get to know over the last four years.

And so it seems to me the challenge 7 for Science beyond 8 the Board goes our 9 recommendations to FDA, and it has to go to how do we influence the political process that 10 confers upon the agency all of these increased 11 12 responsibilities, statutory and presidential, 13 and doesn't couple that with sufficient appropriation. 14

And I'm wondering if 15 so your committee, your subcommittee, discussed ways 16 that we can influence beyond the FDA folks and 17 influence the political process. Because I 18 think just building budgetary recommendations 19 20 into the next round is not going be to sufficient to institute the kind of changes, 21 broad changes, that have been surfaced in this 22

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1	report.
2	And so I just raise that and put
3	that out as an issue that I think we need to
4	address.
5	DR. CASSELL: We did not take this
6	on specifically, as you might imagine, Sue.
7	I will just say that I think it is
8	up to all of us, the members of the
9	subcommittee, the members of the board, to
10	better educate the public and also the
11	policymakers in terms of our findings and what
12	we think the implications of those findings
13	are. And then hopefully the public will
14	communicate this and their concern to the
15	policymakers.
16	I think it is all our
17	responsibility, and all of our responsibility
18	to work hard to see that action is taken
19	promptly.
20	And I'd turn to Ken to kind of
21	maybe perhaps lead us through a discussion in
22	terms of what he thinks the role of the
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Science Board should be.

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DR. SHINE: Thank you, Gail. 2 I would emphasize the point that 3 made about education, getting some of Gail 4 this data out and understood by a variety of 5 people is going to be essential. 6 As I suggested I'm going off the 7 December 31st, thank Science 8 Board come 9 goodness. And that provides me with an opportunity to speak very forthrightly in the 10 political arena with regard to some of these 11 12 issues, and I hope that other people who have 13 been active in this process will also provide support. 14 I do want to emphasize one problem. 15 Obviously the media has already picked up on 16 the report which had to be posted on 17 our website prior to the meeting it 18 as was

19 appropriate.

The media of course looks at the criticism and that becomes the headline. On the one hand that may be useful in terms of

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1 getting the attention of policymakers and 2 other leaders in terms of addressing the 3 problem.

The risk of doing that however is that you don't necessarily indicate the respect and appreciation that the agency deserves as it does its own work.

So finding a balance between making 8 9 it clear that there are enormous opportunities, and I would emphasize to the 10 board that although the executive 11 summary 12 talked about perhaps generalities, TTand 13 systems biology, et cetera, et cetera, that the appendices have a good deal of detailed 14 15 information about scientific opportunities. And I think in that regard it was responsive 16 17 and is responsive to the commissioner's charge. 18

But I would say, Susan, and unfortunately the way either the media or public policy works is often not in a balanced way, that we not lose sight of the fact that

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this is a very good agency which is being, for the reasons that Peter Hutt very articulately explained being stretched and stressed as the Commissioner said this morning, and is in real danger in terms of being able to conclude its mission.

And I think getting that across in 7 the appropriate venue is going to be very 8 9 powerful, and I hope that not only members of the board but others there were 30 very 10 distinguished individuals who were consultants 11 12 by virtue of their learning about the agency 13 and so forth I hope they will be adding their information and knowledge to the educational 14 process. 15

16 Others may have other ideas or 17 suggestions.

Other comments?

DR. SASICH: Thank you very much. I'd like to express my gratitude to the subcommittee for the job that they did. I'm a new member on the committee, and a

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consumer representative. And this was a
 Herculean task, and one that was very
 important.

I think in terms of some of the 4 issues, particularly some of the issues that 5 Mr. Hutt had raised about prescription drug 6 users fee, that is an old issue for a lot of 7 consumer groups. That goes back to 1997 8 a 9 large number of consumer groups were very much concerned about the reauthorization if PDUFA 10 and its effect that it would have on the 11 12 agency over time.

13 It didn't get picked up by the news 14 media. And that's part of the problem. In 15 this last round of reauthorization of PDUFA 16 not much was written about consumer groups' 17 concerns about PDUFA.

But I suppose the thing that one of the things that came to mind to me last night was the policy process and how it might be influenced.

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The public, and in the form of

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consumer groups, has been pretty unsuccessful in being able to get people on Capitol Hill to listen to the problems that were so precisely outlined in Mr. Hutt's report. Would it be possible that in future meetings of this group, maybe one meeting a that have people from the year, we Appropriations Committee, people from the committees of jurisdiction over the Food & Drug Administration, and reqularly we in service them so that we could at least get a

11 12 feeling whether they actually understand the 13 issues or not.

I think one of the biggest problems 14 that the agency has always had is, it hasn't 15 had the resources or the opportunity to be 16 able to communicate directly well, I won't 17 say that, not directly but it doesn't appear 18 from the outside looking in that the agency 19 has been able to make the arguments that need 20 to be made in a way that's understandable by 21 the people that appropriate the money. 22

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1	And I think it's absolutely
2	critical. We need to find a way to get to
3	those people so they understand the issues
4	that were raised in this report. They are
5	absolutely critical.
6	Thank you.
7	DR. SHINE: Thank you, Dr. Sasich.
8	Others may want to comment. My response would
9	be, first of all, it is unlikely that staffers
10	or others are going to agree to come regularly
11	to a meeting of this board to be schooled.
12	On the other hand given the nature
13	of this report, I would suggest to the
14	Scientific Advisory Board and the Commissioner
15	that it may be useful to ask some key folks to
16	join with the board at some future meeting and
17	ask their reactions to this report in terms of
18	both the analysis and the recommendations.
19	That I think would be fair. I
20	think could be constructive, and in fact,
21	giving given some feedback presumably they
22	would read the report before responding.
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1	That would be just one thought that
2	I would put on the table.
3	DR. SASICH: I don't disagree with
4	you. I think it's going to take more than one
5	meeting. These are enormously complex issues.
6	DR. SHINE: I don't disagree at all.
7	DR. SASICH: I was just searching
8	for some kind of mechanism where at least we
9	have some kind of assurance that Congress does
10	understand these issues.
11	A lot of the public understands
12	these issues, and spend a lot of time with
13	them. We've never been able to get the
14	seriousness of this issue across to the
15	public.
16	The thing that we are worried about
17	now as what has historically been part of drug
18	regulation in the United States, we wait for a
19	tragedy and then we react. And the basis the
20	way the system seems that it was designed to
21	evolve was that a science-based regulatory
22	authority would use the science to be able to

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308 predict that there is a big risk out there, 1 and we need to do something. 2 3 We need to find a way to be more proactive, and the system has got 4 to stop being only reactive. 5 DR. SHINE: Thank you, Dr. Sasich. 6 Other comments? Allen, you were a 7 of this 8 member group. You're on the 9 subcommittee. Do you have any additional comments that you want to make? 10 DR. ROSES: Yes, I do, but I'm not 11 12 much of a politician. 13 (Simultaneous voices) DR. SHINE: I am not either, but 14 anything about the report or any aspect of it, 15 or the science or whatever. 16 DR. ROSES: Yes, as this was going 17 on I saw an emphasis that I hadn't realized as 18 part of the committee; is that this is really 19 a lack of parity between our overstepping our 20 mission and trying to explain why and how 21 these things happen with regard 22 can to **NEAL R. GROSS**

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1	budgetary issues.
2	I have a pacemaker so I get a
3	little worried.
4	Okay, that fixed it. I fixed it. The parity
5	between that
6	(Interruption)
7	DR. ROSES: No, they just sent me a
8	notice that said the wires that I have
9	(Laughter)
10	DR. ROSES: By the way.
11	I think the issues are so
12	monumentally important, that it was so
13	monumentally important to the committee to put
14	this into perspective that sitting by the
15	science alone, and detailing the deficit
16	without strongly putting some of the reasons
17	and the resource issues on the table would
18	have only seemed half of it.
19	And I am wondering why we are
20	apologizing for it. One of the things I
21	remember that struck me as very, very odd when
22	we went, when Peter Hutt went through one of
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310 his histories at one of our meetings over the 1 considerable 2 telephone was that there was 3 worry about whether we would have a report that would be read. 4 I understand my history of this 5 it should be 6 isn't as deep as but Ι understand there were reports in the past that 7 went to one of the FDA directors, apparently, 8 9 and wasn't even accepted. I would think that that would be a 10 And I feel very very strangely in 11 shame. 12 saying that in the absence of the 13 Commissioner. But I think were he here I would basically say that. 14 There is a tremendous amount of 15 work about it from a tremendous 16 amount of horizontal and vertical issues that went into 17 the thoughtfulness of this report, 18 and I believe that there ought to be a mechanism of 19 carrying it forth to the legislature and to 20 the politicians that are responsible 21 for making things happen. 22

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1	I understand that the FDA is not
2	allowed to lobby. But those of us who were
3	now I'm in the academic section I started
4	this, I was in the industry section, I have
5	gone the other way those of us who can do
6	this really ought to find some meaningful way
7	of continuing to put this agenda forward.
8	Because things are really at risk. Imagine if
9	melamine occurred simultaneously with one
10	other thing. Just imagine. We wouldn't
11	necessarily be talking about 300 dogs or cats.
12	We might be thinking about thousands of
13	people. This is untenable; absolutely
14	untenable. And our focus ought to be what we
15	should do about it, not necessarily how we
16	came to it and not necessarily why we phrased
17	it the way we phrased it.
18	But it's the outcomes that matter,
19	and the predicted outcome from no attention to
20	this matter is devastating.
21	DR. SHINE: I'm going to ask Barbara
22	as a member of the subcommittee also to make
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any comments she'd like to make.

I would one the one hand I think the Commissioner has every right to get additional input from center directors and others with regard to specific recommendations and so forth.

least the tone of his 7 But. at. comments this morning were that he was he had 8 9 commissioned this report. I think he's going to look at it very carefully. I don't know, 10 as with any leader, that you can guarantee 11 12 that someone is going to totally endorse a 13 report.

But I certainly sense from him an openness to look at the logic of these recommendations.

And I think it also is important that you've emphasized that his degrees of freedom with regard to corporate lobbying for additional money may be limited. On the other hand there are four graduates of this Science Advisory Board as of this year, and there also

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313 are opportunities for individuals who are, as 1 private citizens, have every right it seems to 2 3 me to educate. I think education is a very 4 And important part of what our responsibilities 5 6 are. Barbara, do you want to make any 7 additional comments? 8 9 DR. McNEIL: I don't think I can say more, Ken, I'm probably being 10 too much redundant. But the committee 11 was just 12 enormously thorough. And I don't think Gail 13 mentioned that there were several in parallel subcommittees that worked 14 and 15 therefore had the opportunity to dig much more deeply than they would have if we'd been 16 working as a committee of the whole. 17 So I was on one that had to do with 18 the evaluation component of 19 things. And hearing in my committee, and looking at 20 the results of the others, it was quite clear that 21

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everybody did an enormously thorough job, and

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at the end of the day I personally and I think 1 most of the other people did came away with 2 3 just an enormously greater respect for individuals at the FDA than we had before we 4 started. 5 6 We always had respect, but it just multiples result 7 of went up as а our appreciating the amount of work that they had 8 9 to do. So that was just I think tremendous. Our concerns were two analogous, 10 raised, one was an enormous number of needs 11 that have to be met, and Gail and the various 12 13 speakers mentioned them. And the other one though was the 14 concern that they just might not get enough 15 attention, and how we would move to have that 16 17 happen is what I think we were all concerned about. 18 19 thoroughness, gratitude So and 20 concern. DR. SHINE: Other comments from any 21 other yes, Dr. Linehan. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	DR. LINEHAN: So this just my first
2	meeting. So I read the report with great
3	interest. It was really a wonderful report.
4	It was very deep, and there is a lot to digest
5	in the report.
6	One of the items mentioned which
7	was a little concerning to me was the Harris
8	poll showing that the public's confidence in
9	our regulatory process has decreased.
10	And it was somewhat of a surprise
11	to me. Because in my perspective, in the work
12	that I do, I've always had an increasing
13	respect for the regulatory process as it has
14	gone on.
15	So I think this is a serious
16	problem, and someone brought that up a moment
17	ago, that what tends to get in the paper is
18	not the good news but it's the bad news. And
19	if I had the answer to that I probably would
20	be a billionaire trying to figure out the way
21	to do the right public relations pitch.
22	But I think we're going in the
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right direction in this report with the fellowship program, and that is something that looks to me that it's implementable. It can have an enormous impact by bringing in a thousand fresh faces, young men and women from the universities and other settings, to infuse some new ideas, some new peoples into the mix.

That miqht have 8 some public 9 relations value in and of itself. But the young people of our country I think are very 10 interested in public health. In one of my 11 12 former lifetimes at the Whitaker Foundation I 13 had a chance to visit most of the major research universities. And the young women 14 and men in engineering are very much attracted 15 to bioengineering, because they see 16 it as 17 helping people.

So I think the attitudes of the young and people are very much supportive of the responsibilities to mankind so to speak to develop new products and so forth. And I think the FDA does a great job also.

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I would compliment I haven't met 1 everyone, but last year I was commissioned by 2 3 the Institute for Health Care Technology Studies to do a study of how medical devices 4 developed. And in addition 5 are to interviewing many people from industry, 6 all from entrepreneurs, physician-7 the way scientists, physician-inventors to presidents 8 9 of companies, I found very much a uniform respect in general for the FDA. 10 The idea that the quality system 11 12 regulations that have been put in place with 13 the design of medical devices have been very

14 much I think appreciated by in general by 15 industry because it does give a way to 16 systematically do a good job in developing 17 medical devices.

And in addition the guidance documents were very much appreciated by those who are working to try to bring innovations to the public.

So the FDA is doing a great job. I

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think the people, at least in the CDRH that I had mentioned, are smart people. They really have the public interest in mind.

The problem is that it is understaffed as I see it from reading the report. We don't have the resources to do the things that we need to do to step forward. A couple of people mentioned today about what's going to happen in the near future.

also listening to few 10 Т was а people remark about what happened 15 years ago 11 12 versus what happened now. And from the 13 technology point of view, remember 15 years ago we used to be talking into shoe boxes. 14 They used to be called cell phones in those 15 Now you can't even find them they are 16 days. so small. 17

So there is going to be a rapidly increasing technology that is going to drive medicine, and so we have a responsibility to the public I think to make sure that this report gets actualized, and the FDA gets the

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319 resources that they need. 1 2 Thank you. Sure, thanks. Yes, I 3 DR. KING: think both for CVM and CFSAN, a couple of 4 5 comments. think 6 Ι after Cathy Woteki described those 7 two orphan centers are centers, I think we get an idea of what I knew 8 9 was happening but maybe not that intense. Ι think it's a travesty that unfortunately those 10 terms are apropos, and it's truly unfortunate. 11 12 The gap between what has to be done by 13 government, and what it's mandated and what it really can do is a growing distance almost on 14 a daily basis. 15 And it just seems to me that we've 16 talked about I think it's more than a tipping 17 point. I think it's already started to lean 18 over in the wrong direction. 19 We've talked about the idea of 20 getting to legislators and appropriations 21 committees, and why we always jump to that 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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conclusion, because they have the money and it is important. It's been kind of an unproductive strategy in the past.

that And it seems to me those which is public safety impacted, the and public health, which is really at risk, are the ones who are going to have to step forward and really think about doing something on behalf of this agency and certainly those two centers.

11 So when you consider a world where 12 this agency would unfortunately be broken or 13 nonfunctional, our public would really not be 14 very well served. And unfortunately, that's 15 probably a feasible outcome.

So I think there are three things I'd like to see done. One is a national communication strategy. This has got to be put out in front of the public's idea as a national strategy, and I think a conversation. It has to go beyond Congress.

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The second thing is the capacity,

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and just thinking about CVM, and what's kind 1 of brought us down into this really serious 2 problem is the lack of that critical capacity, 3 and that is the first step that is going to 4 help us climb out. And that came out loud and 5 clearly in the report, hiring the critical 6 talent that's needed. 7 And third thing is just to make 8 9 sure that there is an execution strategy that goes with the plans. 10 DR. SHINE: Many of us on the board 11 12 members of other organizations, have are 13 various constituencies, and should the board

14 accept this report as the motion calls for, it 15 seems to me we have an obligation to 16 disseminate that report widely in terms of 17 those organizations.

And to the extent that there are opportunities to network that, that seems to me to be entirely appropriate.

21 And I think a number of people, 22 particularly members of the committee, are

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likely to be asked for comments and so forth about this. I think as private citizens they ought to be able to do that in light of the importance of this activity.

But I think your three points are 5 6 very relevant. I asked Cathy to come back to when she started the table, because 7 this activity she member 8 was not а of the 9 Scientific Advisory Board. She now is. So now Cathy, in addition to talking 10 about nutrition from the point of view 11 of а 12 nutrition expert, you can provide us your 13 wisdom as a member of the Scientific Advisory you want to make any additional Board if 14 comments. 15

DR. WOTEKI: Well, perhaps just one, and that is to reinforce the comment that Gail made in her introductory comments about the committee dynamic.

In this case the committee did review all aspects of science across this incredibly complex regulatory agency. And

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although we worked in subcommittees, when we 1 brought forward our draft reports, and when 2 Peter introduced the analysis that he had done 3 legislative requirements, 4 on the and the when all of that 5 resources, was brought together, was when the committee I think came 6 to the realization that the situation is as 7 immediate in its need for attention as has 8 9 been communicated today.

So I really do want to say that I 10 believe that this report is one of the best 11 12 reflections of the dynamic of a diverse 13 committee that when it brings together an enormous amount of data and has the time to 14 actually sit down and reflect on it comes to a 15 set of conclusions that very few of us 16 as walking 17 individuals into this assignment perhaps would have made. 18

So again I think it's a reflection 19 of the data and the time to consider it, and 20 the committee's 21 also, urge that recommendations given 22 be very serious

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consideration.

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2	DR. SHINE: Thank you, Cathy.
3	David. Dr. Parkinson.
4	DR. PARKINSON: I must say I thought
5	this was an excellent report. As I read it I
6	came to the understanding that it had both
7	diagnostic and prescriptive elements.
8	I can tell you, even though as someone
9	who has interacted a lot with the agency over
10	the years, the diagnostic elements were
11	revelatory. The range of responsibilities,
12	and the declining support by the government in
13	terms of resources for this agency.
14	Yet to Gail's point, this is
15	basically a prescriptive document. It
16	outlines a blueprint by which this agency, in
17	a time when medicine is changing, when
18	elements around the food and veterinary world
19	are changing quite rapidly, so the
20	complexities are changing, this document does
21	represent an historic blueprint as a basis for
22	discussion going forward.

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325 think that it would And Ι be 1 irresponsible of the committee to send such a 2 document forward without trying to bring to 3 the American public the fact that without 4 additional support, this agency cannot meet 5 the expectations of the public. 6 So I think the two go together, but 7 I must say I really personally would like to 8 9 thank the subcommittee members for what Ι think is an historic document that really 10 represents a blueprint for one of the most 11 12 important federal agencies to at least 13 consider as it begins to reinvent itself, which many of the institutions in biomedical 14 15 research are having to do because of the new biology that Tom talked about in any case. 16 So I think other agencies should be 17 envious of having such a macroscopic look. 18 But all of this will be meaningless without 19 20 resources and support. DR. SHINE: Xavier, do you want to 21 make any comments? 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	DR. PI-SUNYER: Yes, I'll just
2	mention first of all I'd like to salute and
3	congratulate the group who put this together.
4	I also think it's an outstanding document.
5	I am left with two statements that
6	I think were made this afternoon, one is by
7	Mr. Hutt who talked about hollow government,
8	getting increased responsibility with
9	decreased resources, and therefore, not having
10	the ability to do the job appropriately; and
11	then comment by our consumer representative
12	that we wait for a tragedy and then we react.
13	I think also I'd like to recall the
14	statement by Gail Cassell that there are
15	enormous opportunities here, and I think it
16	would be a tragedy if we don't take this
17	report as an enormous opportunity to move
18	ahead and help the agency do the job it needs
19	to do with the resources it requires.
20	DR. SHINE: It is interesting, there
21	was at least one recent history of the agency
22	on the occasion of its 100^{th} anniversary, and

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327 the comment is absolutely correct that every 1 major legislative change that has taken place 2 mission 3 in the of the organization has occurred after some series of tragedies of one 4 kind or another. And it's unfortunate. 5 More recently I think the safety 6 issue is one of the driving forces. 7 The how fact difficulty have is in 8 we we 9 anticipate the needs before we have those kinds of crises. 10 But again Americans like to fund 11 12 disease management; not prevention. And 13 that's one of our challenges. Before I call for a vote, I want to 14 ask Gail if she has any benediction? 15 No, other DR. 16 CASSELL: than to thank you, members of the Science Board, for 17 reading the report. It's long, too long, we 18 19 would argue, but also for your thoughtful 20 comments. appreciative, 21 And Ι am and Ι certainly will relay those to those 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

subcommittee members who were not able to join us, and again, thank the committee, subcommittee, as well as the FDA staff.

You should know that Janet Woodcock 4 came into work was it two days, Janet, or one 5 6 day after your knee surgery. And I can appreciate that, and it was in part because we 7 were putting more work on the agency in terms 8 9 of information we needed in short order in order to have accurate information to make to 10 draw our conclusions. So thank you, and 11 I look forward to hearing the response of the 12 13 Science Board.

14DR. SASICH: Can I just make one15brief statement?

Т kind an educational 16 of sense moment here, since we have the media in the 17 The Congress of the United States has 18 room. the constitutional responsibility for making 19 all of this run. They have the responsibility 20 to appropriate the resources, and they also 21 have the responsibility for oversight. 22

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1On November 2nd, or on November 3rd,2I think it was, we've had the 20th new drug3approved since PDUFA was passed in 19924withdrawn from the market for safety reasons.

5 People can quibble about the way I 6 counted number 20. There are at least another 7 four drugs that remain on the market in the 8 United States, approved since 1992, that were 9 removed from the markets in foreign countries 10 but remain on the market here.

I think the press needs to understand that it is very easy for members of Congress to use beating up the FDA as cover for their lack of interaction in terms of appropriating resources for the agency.

Saying that, I'd like to make only suggest one amendment to what's on the floor; and that is that we do consider some statement that we will try and move this report forward into the public sector so people do understand what the stakes are that they are facing; if we could add that as part of the motion that

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330 is on the floor, I'd appreciate it. 1 The motion that is before the 2 Is what's before the committee 3 committee. trying to carry this message forward to the 4 public and to policymakers. 5 6 DR. SHINE: Well I'm sorry? Ι think we have a motion on the floor. 7 (Remark off mike) 8 9 Let's do that. I'm going to call the question on the motion that's on the 10 floor, and seconded. 11 12 All in factor, aye. 13 (Chorus of ayes) DR. SHINE: Opposed, 14 no? Abstentions? 15 The motion is unanimously approved. 16 Gail, some of you may know 17 The that Gail broke her leg skiing in the middle 18 of all of this. And she was in bed trying to 19 put this whole thing together while and then 20 subsequently did it while limping around on 21 That's real service. 22 crutches. **NEAL R. GROSS**

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1	I want to really express my
2	appreciation to her, to the other members of
3	the committee, but especially to her for the
4	load that she carried; to the consultants that
5	worked so hard.
6	I do think from my recollection of
7	the telephone conversations I was on, although
8	there may not have been unanimous support for
9	Peter's appendix, the support was pretty
10	widespread on the committee. I thought the
11	overwhelming majority of the members of the
12	committee did support his analysis.
13	And even though it's an appendix,
14	and there was some debate as to whether it
15	should be included in the substance of the
16	report, it was decided to make it an appendix,
17	and I think that's appropriate since it was
18	individually authored.
19	But I believe it was the consensus
20	of the group to support it.
21	DR. CASSELL: Ken, if I could just
22	interrupt to say, I probably didn't say it
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332 very well, but absolute consensus in terms of 1 his conclusions. There were a couple of 2 3 points, but not related to the conclusions or the analysis of the data. So thank you for 4 bringing this up. 5 DR. SHINE: Carlos, Norris, Janet, 6 I mean you really did do a 7 we owe you. terrific job in supporting this activity, and 8 9 I think we are very grateful to you. I would like to make a couple of 10 suggestions for follow up. Keep in mind I'm a 11 12 lame duck so the committee can do whatever it 13 wants. One, I would like to see the report 14 reduced with help of the agency to four pages. 15 I'm quite serious. 16 17 (Laughter) It doesn't have to be small print. 18 It doesn't have to be on a chip. It should 19 easily readable set of bullets, 20 be in an because when you are trying to convey this 21 kind of report, whether it's public 22 to **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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policymakers, or a community organization or whatever, you've got to be able to say it in a form and this goes to Larry's concerns you've got to convey it in a form that they can pick up and look at the front and middle and the back and close it up.

And I can tell you I've had plenty 7 of experience with reports. I think it will 8 9 be hard to distill perhaps, but in fact all series of bullets 10 you want is а about findings, and bullets about recommendations, 11 12 and who did the work, and use that document 13 for purposes of education and so forth.

the executive 14 Even summary, as succinct as it is, it is too long for many 15 people to read. And I just 16 I really do believe that putting in a little effort to 17 producing a very brief version of this with 18 some major meshes will help a lot in terms of 19 Larry's concern about education. 20 Because if you do that you know, I recently did a report 21 in Texas on access to health care in the 22

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1	uninsured. I printed 750 summaries. There
2	were requests for 4,000. I then produced four
3	pages, it was distributed almost 10,000 four
4	pages on request. That's what people want to
5	hand out at meetings and things of this sort.
6	So I would just urge you to look at
7	doing that.
8	Secondly, I would also like Carlos
9	and Norris with Janet's follow up of this to
10	solicit responses from Center directors as we
11	discussed in the motion. But also, I would
12	ask you to work with the committee with regard
13	to follow up specifically as it relates to ORA
14	and NCTR so that we don't lose that in the
15	transition that we are sure that we do the
16	follow up in terms of the in depth which your
17	motion suggested.
18	And finally I would just emphasize
19	that after the process is completed, and we've
20	had input and so forth and so on, the key I
21	believe, and you've already got all the
22	ingredients to it, the key in dealing with the

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Congress is not, we need more money; it's we have to have funding to do the following things which are at risk.

And I think a high degree of specificity in terms of how and in what way you connect your report to the funding is going to be essential for those who in fact are going to communicate our message.

9 But own experience has been my sitting in front a congressional committee and 10 saving, increase everything X percent 11 or 12 whatever is likely to get you relatively small amount of attention. 13

On the other hand saying that you need significant resources and that this is the agenda that has to be played out, and invariably staffers or others are going to ask you, for what, for what part of this.

And so I think we need to think some of that out as this goes forward. Keep in mind that we still have to get responses from within the FDA and so forth. But I think

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the vision that combining 1 as you've articulated with requirements 2 the 3 resources is critically important in terms of making the case. 4 DR. CASSELL: So Ken, while you are 5 have been talking about a shorter version of 6 the executive summary and being more specific 7 of linking needs with 8 in terms actual 9 resources, you were looking at me the whole time. 10 DR. SHINE: No, no. 11 DR. CASSELL: No, but I'm wondering, 12 13 and I just want to ask specifically just for guidance, were you looking to the subcommittee 14 to deliver these two requests? Or were you 15 looking to the agency to deliver those? 16 I understand 17 DR. SHINE: As motion, we accepted the report, and as 18 19 subcommittee, at least that I appointed, I'm prepared to dissolve you, okay? 20 I think you have done your work very well. 21 I would request that perhaps as an 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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individual you work with the staff on a four-1 pager, because you know this report very well. 2 is about, this is communication 3 And this you know, if 4 strategy. And you tell me frankly I've never seen a report no matter how 5 complex you couldn't reduce to four pages if 6 you really wanted to. But I think if you take 7 six pages, that's your business. 8 9 All I'm saying is, I think if you want, and I'm picking up again on Larry's 10 observations, if you want a communication 11

12 strategy you must have some kind of material, 13 and I would say once you agree on that you put 14 that up on the website, because there are 15 people who will not download a whole report, 16 but may download your four pager or whatever 17 in terms of the communications issues.

I mean this is is there any? Yes, please, Susan. Susan and then Norris. DR. HARLANDER: I guess as part of that, I think it's a separate document, but I think you talked about a summary of the

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recommendations of this report.

But having been involved in trying 2 to communicate directly to the public about 3 genetically modified foods for about 30 years 4 with not a lot of success, if we really want 5 to communicate directly to the public, there 6 were a few very very key facts that I think 7 would capture why what you are proposing is so 8 9 critically important. And it probably doesn't have to do 10 with any of the recommendations that you 11 actually come up with. But it and it could 12 13 be captured in about six bullet points out of

report that would be compelling 14 your а communication directly to the public that 15 probably doesn't contain hardly any of the 16 recommendations that this committee actually 17 came up with. 18

And I think that's an important one to really focus on too, because you know, one and a half cents for everything that this agency does for us in terms of food safety and

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drugs and health and health promotion, you 1 know, is a very small amount. And the public 2 3 can get their arms around and their minds around listening to Cathy having to go through 4 all of this education to understand 5 how important the FDA was. And I heard the same 6 from several other 7 thing people, that expecting the public to embrace everything 8 is coming out of this report 9 that is not possible, unless you can capture it in a few 10 bullet points that really become compelling 11 12 enough that they are willing to contact their 13 congressman and say, what's going on here? And why don't they have that support? 14 So I think we need a summary, but I 15 need some also public relations 16 think we 17 people that can help capture the essence of the importance of this agency, and something 18 19 that all of us could use to pass on to all of our e-mail contacts about the importance of 20 this that will compel people to actually do 21

something personally about it. Because I

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340 think that's how it's really going to happen. 1 DR. SHINE: Susan, the committee, we 2 3 are not going to write this paper. But I would argue that everything you need is in 4 this report. And I think if you have if you 5 do decide to do a full page version you can 6 pick out of that what the agency is, what it 7 does, why it's important, what the the fact 8 9 that there was a group that looked at it, what the findings and what 10 were, the recommendations were. 11 12 And it simply it's simply а 13 synopsis of the report. I think we will do a lot of the things that you are talking about. 14 But again I don't believe that we 15 should be writing documents. But 16 I would 17 suggest that that would be a useful way to summarize the report that goes beyond 18 the 19 executive summary; let's put it that way. Norris, you were going to make a 20 point. 21 DR. ALDERSON: Since this is 22 а **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	public document now, I think we can fully
2	expect to receive some comments from the
3	public. And I would ask you and the board how
4	you would like us to dispense with those
5	comments.
6	DR. SHINE: Gail, what do you say?
7	DR. CASSELL: You dissolved us.
8	(Laughter)
9	DR. SHINE: I didn't ask the
10	subcommittee to do it. I'm asking for your
11	advice.
12	DR. CASSELL: I believe it's
13	extremely important to hear not just from the
14	public but also the many stakeholders. It was
15	our original intent, as you may recall from
16	very early conversations to have that input
17	before writing the report. But I must admit
18	we are somewhat biased in wanting to analyze
19	the data being asked to look at the science to
20	make our best judgments based on the data, and
21	then to have this input and comment from
22	stakeholders that sometimes are not based on

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data but rather strong desires or wishes.

So it is time to have that input, and also, not just from the public, but stakeholders, those that you want to hear from.

The best mechanism by which to get 6 that, I think, might be in the form of another 7 meeting like this, but to welcome input from 8 9 those individuals in terms of making public I mean this is not uncommon. We do 10 comment. it in the Institute of Medicine, National 11 12 Academies of Sciences. You know once you have 13 a report and it's issued, then to actually get feedback that, and take it into 14 on consideration as you determine and establish 15 further priorities. 16

Norris, what I would 17 DR. SHINE: suggest is that when you get the material, if 18 you would and your colleagues would sort that 19 material into there will be classes 20 of questions, whole groups of questions about 21 particular activities. 22

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1	And if Gail is comfortable with it,
2	I'm perfectly happy on the written side to go
3	over with them the classes of questions. And
4	then between you and I we can decide among the
5	consultants and so forth who might be most
6	helpful in answering those questions. And
7	we'll try to have a mechanism to respond.
8	DR. ALDERSON: Granted that we will
9	likely get questions, as just a matter of the
10	report being public, what is your thoughts
11	about seeking public comment?
12	DR. SHINE: Well, I think that goes
13	to the point that Gail made. I would endorse
14	her notion that I think it would be very
15	useful to specifically seek public comment.
16	And that's another way that you reach the
17	public is by asking the public to talk. I
18	agree.
19	DR. CASSELL: I will say, Norris,
20	that I have had e-mails from people that have
21	seen the articles in the news, and then go to
22	the FDA website and say they spent as long as
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1	an hour but still can't find the report.
2	So even with the link, and I must
3	admit that these are from some pretty
4	knowledgeable individuals, and I think that
5	one would need to certainly make it a lot more
6	readily accessible if you really want to get
7	them to read it and comment on it.
8	DR. SHINE: But I do, I would think
9	the idea of having mechanisms for public
10	comment would be very valuable whether at the
11	next meeting or at some other kind of venue.
12	I think both of us agree with that.
13	MR. HUTT: Norris, as you know there
14	is a well established mechanisms. You could
15	open a public docket site and receive public
16	comment, and put just a short notice in the
17	Federal Register inviting public comment.
18	That has been done in many instances.
19	DR. SHINE: Yes, the dilemma that
20	has, Peter, is that that then raises the
21	question we were talking about before of
22	responding appropriately.

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1	MR. HUTT: No, quite frequently this
2	is just to gather information. There is no
3	need to respond.
4	DR. SHINE: Very good. Janet?
5	DR. WOODCOCK: My question about
6	this is, simply, at what point do we want to
7	do that? Okay, right now the report is before
8	the committee, okay, and there are going to be
9	additions to it. When it is in a final state
10	I'm sorry, when it's more in a final state,
11	then that might be the best time then to seek
12	public comment? Or you could do it now as a
13	part of your further deliberations.
14	And I think we are open to either
15	approach; we would just need to know what the
16	committee would like. We can do this at any
17	point.
18	DR. CASSELL: I guess I didn't
19	understand that. I mean I thought the report
20	was accepted by the Science Board
21	DR. SHINE: It is.
22	DR. CASSELL: as a report.
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1	DR. SHINE: That's correct.
2	DR. CASSELL: But I didn't
3	understand then it will be modified by the
4	Science Board without a possibility of the
5	subcommittee being able to respond to that
6	modification.
7	DR. WOODCOCK: Okay, I mean the
8	ORAP. There are some additions that are going
9	to be looked into, correct?
10	DR. SHINE: That's what we're
11	talking about. And again, I would think that
12	if the timing works, the next meeting of the
13	Science Board might be a good time to have
14	public comment which would be relevant to it
15	at that stage.
16	Any other comments? Barbara.
17	DR. McNEIL: I guess I would argue
18	for sooner rather than later. So I'm not sure
19	when the next meeting is. But it's got to be
20	March or April? March? It would strike me
21	that if we are worried about extra
22	appropriations, then I would want to I would
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347 think we'd want to get public opinion with the 1 that have if there 2 document we now are 3 additional things that are going to be added, fine. That can occur later. 4 I would just go out 5 But the as 6 holidays, just try and get as much as we can, so that we can then go for it. 7 DR. CASSELL: I actually endorse 8 9 what Barbara's just said. We need to do it today, as soon as we can get it in the Federal 10 Register for public comment, as 11 Peter has 12 suggested, that would also be my 13 recommendation, only because we know from the major events that have happened from the time 14 we started the report until now, things happen 15 so rapidly that completely change. You don't 16 17 want this report to become stagnant before people have an opportunity to comment on it. 18 19 Months seem like years. DR. SHINE: Clearly you could have a 20 website for comment at the kind of 21 Peter talked about very rapidly; there would be no 22 **NEAL R. GROSS**

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1	reason to delay that.
2	I think the issue of a public
3	meeting becomes a logistical issue in terms of
4	when or how you under what auspices you do
5	that, in terms of who is going to be there.
6	I guess you could have a meeting of
7	the Science Board early in January or
8	something of that sort for purposes of doing
9	this.
10	DR. ALDERSON: I think there are two
11	says to do this. One, we could put up a
12	Federal Register notice as Peter talked about,
13	ask for public comment by a certain time, and
14	you incorporate, somebody incorporates that
15	into the report, or you add that as an
16	addendum.
17	A second option including the first
18	one is at the next Science Board meeting, that
19	becomes a public meeting for anyone to come
20	speak at that time also.
21	DR. SHINE: Yes, but all we're
22	hearing is the interest is there any
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a public meeting time potential for any Next Science Board meeting may not earlier? be until April or May. And I think the is question is, there a potential to do something earlier? I don't know the answer to that.

DR. CASSELL: I would just look back 7 at what happened with the critical path. 8 And 9 I'm not wanting to play that. Although I watched it from early days until now. 10 Ιt seems to me you posted that very quickly to 11 12 the Federal Register after its announcement in March of 2004. And if I'm not mistaken, you 13 got a lot of input. And I don't view this 14 really much differently than the critical 15 path. It's a blueprint much like the critical 16 and I'm hopeful that maybe the 17 path was, response to this report will be as you know 18 voluminous as it was to the critical path, 19 with very good insight from the public. 20 SHINE: And if you did that 21 DR.

relatively soon you'd get some notion as to

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whether and in what circumstances you would have a face-to-face public meeting. All right, that makes sense.

Okay, Lonnie.

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KING: Another thing 5 DR. to Norris, would be something maybe 6 consider, more creative that's not Washington based. 7 And that may be you know we are talking about 8 9 incubators and innovation. There are some really good methodologies now about citizen 10 engagement. There was that one the other day 11 in New Orleans that had five cities, 10,000 12 13 people, in one day actually came out of that meeting with input, recommendations 14 and consensus. 15

Very powerful meeting; every city that was engaged had its own communication strategy and people involved in newspapers and media.

It's one way to kind of garner that national attention and focus if you will that's not too Washington based that I think

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351 probably this and what's in it probably 1 deserves that kind of recognition. 2 3 Ιf you are interested in can recommend some folks to talk to you about it. 4 DR. SHINE: That'd be interesting. 5 Barbara. 6 DR. McNEIL: I actually hadn't 7 I'm not sure if I was clear on what I was saying 8 9 but I wasn't actually recommending a public meeting. 10 I was assuming that if we can just 11 12 go as fast as humanly possible to get opinions 13 in the way Peter suggested and the way Norris and Carlos could implement, we would have 14 15 them. That's going to give us as broad a 16 17 spectrum can possibly get. Public as we meetings may add a little bit, but they can 18 also waste a lot of time and add a lot of 19 posturing. 20 21 DR. SHINE: We're on the same page, then. 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	DR. McNEIL: So forget it.
2	DR. SHINE: We're on the same page.
3	I misunderstood what you are talking about.
4	Any further comments about this
5	report? Allen?
6	DR. ROSES: Yes, I'm a little
7	concerned that we are trying to decide what to
8	do with a report, when this is a report
9	essentially to the Commissioner. And the
10	Commissioner is going to need some input I
11	think into what he thinks we ought to be doing
12	with the report.
13	Second, the fastest way that I know
14	of getting information out is to put it on my
15	daughter's Facebook.
16	DR. SHINE: Well, Allen, it's true.
17	But it seems to me it would not hurt at all
18	this is already posted on the web if there
19	were an opportunity for people to comment on
20	it, that could be useful to inform the
21	commissioner; I don't see any problem with
22	that.
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1	Any other comments?
2	We are going to take a break and
3	have a public hearing, but I would like to
4	know if there are are there any individuals
5	who want to speak at a public hearing?
6	OPEN PUBLIC HEARING
7	(No audible response)
8	DR. SHINE: No? Because I don't see
9	the point in taking a break and coming back
10	and announcing that there is no public
11	hearing.
12	COMMENTS FROM THE SCIENCE BOARD CHAIR
13	DR. SHINE: Well, let me make in
14	the absence of someone charging the mike, let
15	me make a couple of closing comments.
16	First of all I want to express my
17	personal appreciation to the staff. That
18	includes Jan and Carlos and Norris from the
19	time that I've been involved with this
20	Scientific Advisory Board. You've provided
21	really important support to the Science Board.
22	I want to express my appreciation
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to the members of the board who have been 1 attentive and participatory at the meetings, 2 but also been available for this project and 3 for consultations; for peer review of project 4 problems that have come along. And I think 5 that input and that participation has been 6 very important. 7 Janet has stalwart 8 been а 9 participant and informant and giving us heads up on developments and allowing input and so 10 forth, as well as the work that she did on the 11 12 science review; thank you. 13 Ι want to aqain thank the Commissioner, and I would urge my colleagues 14 on the board to remember that a dozen years 15 ago the Science Board was really very active 16 in terms of looking at science, evaluating 17 programs, things of this sort. And then at 18 least when I came on board, had slipped into 19 what I would call a dog-and-pony show version 20 of the Science Board, where the staff took 21 what they appropriate 22 thought was and

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presented it to it, often taking up all the 1 time of the presentation, 2 so there was no 3 opportunity for real discussion and qive Commissioner 4 interchange. And Ι Crawford credit for the fact that he empowered 5 us to have an executive committee that would 6 create an agenda for the Science Board, and 7 twice 8 then as I've expressed today, 9 Commissioner von Eschenbach has really tried to use this Science Board, whether it was on 10 the melamine problem and the consultations 11 12 there, or the science study or whatever. 13 But like any other activities in a democracy, I would just urge my colleagues on 14 the Science Board to remember that these 15 opportunities and privileges are not earned 16 easily, and I would hope that we do not lapse 17 back into the dog-and-pony show version, but 18 in fact the active Science Board who has the 19

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Criticism can be painful, but in

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interests of the agency in mind, and which is

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fact it can also be useful. And I think as long as we have open dialogue, open discussions and so forth, it can be for the benefit of the agencies, we ought to do that.

To the staff, many of whom attend 5 these meetings, thank you for your input, for 6 your commitment, for the very good work you do 7 for the agency, and not only for the American 8 9 people but for the world. You are in fact the world leaders, and in spite of our concerns 10 about where the agency is going, I am quite 11 12 convinced that we continue to have some 13 sterling performers, stressed and overstretched, as the Commissioner said, but 14 meeting responsibilities. 15

Our pledge, my pledge, is to try to 16 do whatever I can in the private sector to try 17 to see whether we can't move the agenda so 18 19 both the programs that and the resources 20 required in order to keep the agency the premier agency in the world can happen. 21

And I know my three colleagues who

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357 are going off the board will assist in this 1 activity. 2 I can't close without saying to 3 Gail, I'm fond of the wonderful phrase from 4 5 Guys and Dolls, you have our marker. Thank you so much, and thank all of 6 your colleagues for a superb job. 7 ADJOURNMENT 8 9 DR. SHINE: If there is no other pressing business, and I see no hands, this 10 meeting is adjourned. 11 12 (Whereupon, at 3:32 p.m. the proceeding in the above-entitled matter was 13 adjourned.) 14 15 16 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com