individual donation testing sites.

[Slide.]

The clinical utility of this assay was further established by testing 10 seroconversion panels in comparison with antibody and antigen assays, HIV-1 RNA was detected 10 and 3 days earlier at 1 to 16 dilution, and 12 and 7 days earlier, when tested undiluted.

The test met the current FDA sensitivity standard of 100 copies per ml.

[Slide.]

Subsequent to licensure, two reports of HIV transmission involving three recipients were identified. The first case was at the South Texas Blood and Tissue Center in San Antonio and the second at Florida Blood Services in St. Petersburg.

[Slide.]

Dr. Busch and Dr. Leparc will review these cases in detail, but the next two slides present the key points of this report.

In the San Antonio case, transmission to a single recipient occurred from a unit of red cells that tested negative by an investigational minipool NAT assay, p24 antigen and antibody assays.

The implicated unit was tested at

different dilutions by unlicensed and FDA licensed assay. Importantly, inconsistent detection was observed in diluted samples, but the undiluted samples were detected suggesting that ID-NAT may have detected this donation. The viral load was approximately 150 copies per ml in the sample, so we are looking at a very low viral load sample here.

[Slide.]

In the Florida case, FFP and red cells manufactured from a unit that tested negative by a licensed minipool NAT assay, p24 antigen and antibody assays, transmitted HIV to two recipients.

The cases were identified by lookback.

Genetic studies are underway to establish linkage between donor and recipient, but in this case, the implicated sample is not available for further testing.

[Slide.]

These cases indicate that rare event/HIV transmissions continue to occur even after implementation of pooled sample NAT, which reduces risk to 1 in approximately 2 million and the window period to 11 days. ID-NAT is expected to further reduce this to 1 in approximately 3 million and the

window period to 7 days. This is for HIV.

[Slide.]

Although ID-NAT is technically feasible, further refinements are needed for efficient nationwide implementation. Current platforms for ID-NAT are semi-automated and require manual specimen preparation, reagent addition, et cetera.

[Slide.]

Upgrades are needed to maximize efficiency for high volume use and these upgrades and the regulatory submissions for approval will require time.

Automation capabilities and associated training of lab technical staff will be necessary to minimize error and assure component safety and availability.

[Slide.]

So, in conclusion, FDA strongly encourages manufacturers to expedite development of fully automated platform for high volume use of ID-NAT to further reduce the low risk of HIV transmission from window period donations.

FDA will work with manufacturers to expedite the review process to ensure timely implementation of ID-NAT nationwide at some point

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in the future.

Thank you.

DR. NELSON: Thank you very much for that succinct and complete summary.

Are there any questions? No.

Dr. Leparc wanted to report on the Florida case.

## Case Report - Florida Blood Services German Leparc, M.D.

DR. LEPARC: Thank you.

[Slide.]

Following is a summary of the donation data associated to the investigation of the recipients of a newly seroconverted volunteer donor on whom serologic markers for HIV were found to be positive on the last of five blood donations that were collected in the course of eight months.

[Slide.]

This is a depiction of the total donor history. In yellow you will see the seropositive donation that took place in May, and all products from that donation were discarded. In red, you will see the donation immediately prior to the seropositive one during the window period that resulted in two of the components being made

available and eventually transfused into two recipients, red blood cells and fresh frozen plasma.

The platelets were discarded after their expiration date, five days after collection.

[Slide.]

The prior three donations were part of our lookback, also an effort and failed to reveal any patient, recipient of this blood, who seroconverted as a result of the transfusions of those components.

The first donation by the donor was collected exactly a year ago today, during the massive public outpouring that followed the tragic events of September 11th, 2001. Subsequent to that, a whole blood donation from the same individual was collected every 56 to 61 days, so this person became a first-time donor and a very regular donor after September 11.

Each and every one of those donations prior to seroconversion was tested individually for HIV-1/2 antibodies, for p24 HIV antigen using FDA licensed enzyme immunoassays, as well as for the presence of HIV viral genome using nucleic acid testing based on transcription-mediated

amplification using reagent in a 16-member meaningful configuration as specified by the test manufacturer and within the testing protocol established in the IND approved by FDA to evaluate the feasibility of nucleic acid testing for the screening of blood donations.

Seroconversion of the donor was detected in the last blood donation in May of this year.

All blood components, as I mentioned before, were appropriately quarantined and discarded.

[Slide.]

The seropositive condition of the donor, once confirmed, prompted the initiation of lookback procedures that led to the discovery of seroconversion in the recipients of blood components from the immediately preceding blood donation.

Both the recipient of red blood cells and the recipient of fresh frozen plasma from the blood donation in March were found to be seropositive for HIV, as well as have positive HIV RNA by nucleic acid testing.

The inner platelets from this donation again was discarded after reaching the five-day expiration date.

[Slide.]

As far as the prior donations, we have at least one living accessible recipient for each one of those, and in every case we have no evidence of seroconversion indicating that there was no exposure to the HIV virus in those prior donations.

[Slide.]

An exhaustive analysis of the testing performed on samples of the index donation to runs, as well as testing on all of those who participate in the same donor drive did not uncover any testing anomalies and we had the conclusion from those results that the donation linked to the transmission of HIV occurred during that brief period estimated to be somewhere between 7 to 11 days after exposure when the donation cannot be interdicted by the use of current testing methods.

[Slide.]

Review of the testing data for the seropositive donation shows that the seropositive was clearly positive with the ELISA test on the initial testing being 7 times the cutoff, as well as on the repeat testing.

The NAT-HIV Multiplex Minipool had values of almost 22 times the cutoff. In the Multiplex

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Singlet for HIV, it was about 18 times the cutoff, and in the Discriminatory HIV and Singlet, about 15 times.

The immunofluorescence assay gave a positive result of 2-plus negative control and positive, so it was not the strongest immunofluorescent assay, but showing that there was some degree of early seroconversion.

[Slide.]

The donor at the time was notified of the abnormal results and actually follow-up samples were obtained and with similar results. By contrast, when we reviewed the results of the testing performed in the donation collected during the serological window period, we found no evidence of either HIV antibodies with a very low optical density and a signal-to-cutoff ratio of 0.2, the signal-to-cutoff ratio for the antigen, again these are tested on in singlet, was low, at 0.1.

The nucleic acid testing in the multiplex configuration in a minipool of 16 members, purple, showed a good internal control with over 173,000 relative light units. The anilide was well below the internal control and with a signal-cutoff ratio of 0.2, close to 0.3, so this was a clearly

negative result.

[Slide.]

In conclusion, we could not determine the viral load on the donor at the time of the collection, during the window period, and this was because no archival samples were available. The red blood cells were transfused, the plasma was transfused, and the platelets were discarded after expiration date. As a result of that, we are looking at the feasibility of maintaining archival samples for future investigations.

Our laboratory, however, tests
approximately 9 percent of the nation's blood
supply for nucleic acid testing, and at that volume
to have a feasible archival and retrieval system
that can allow efficient aliquotting and store for
long term, at this point we haven't figured how to
accomplish that.

We had components. As opposed to the case in Texas where there was a remnant of fresh frozen plasma that provided plenty of material for study, we didn't have anything like that.

As Dr. Hewlett mentioned, there are studies ongoing on the HIV genotyping for donor and recipients to establish a link. These studies are

being done both at the CDC and FDA laboratories from samples obtained at different times from each 2 one of the three parties. 3 Lastly, we plan to perform an HIV 4 infection dynamic staging on the seropositive 5 samples that are archived from the donor. 6 7 are ways where you can approximately determine the time when the donor could have become infected 8 prior to donation by doing some staging tests that Dr. Busch will describe later. 10 So, that is a brief summary of the case 11 12 that we had. 13 DR. NELSON: Thank you very much. 14 Neither the donor nor any of the 15 recipients had a seroconversion illness of any 16 type? DR. LEPARC: 17 Actually, this was, of No. 18 course, a surprise for all three parties. was no indication whatsoever that infection had 19 occurred. 20 21 DR. SIMON: Did you find any risk factors 22 in the donor? 23 DR. LEPARC: Yes, and I cannot go into 24 much details about this because, of course, there 25 is litigation now in progress, and our counsel has ajh

advised us not to discuss this, but there was a risk factor which was unknown by the donor at the time.

DR. NELSON: Thank you.

Dr. Busch.

DR. NELSON: I think this case and these cases are important because they certainly say something about the sensitivity of our surveillance and the usefulness of lookback and the whole issue. I am impressed that we were able to find some cases where there was still an issue that all of us hypothesize might not have disappeared completely.

DR. SIMON: I guess in the interim, even with individual NAT based on what Dr. Hewlett showed, you still have a few days there, so we are not going to get to zero until we get to pathogen inactivation theoretically is my feeling.

DR. NELSON: I was a little surprised given the doubling time of HIV, at the very low copy number, which should be a fairly small window on average, but maybe this patient wasn't average. Maybe there was something going on leading to this very low copy number.

Viral Dynamics in Early Seroconversion
Michael Busch, M.D.

DR. BUSCH: I can start just talking through the slides. The first few slides are the summary of the case reports both from the San Antonio transmission case and an earlier published study that we did in collaboration with CDC related to a transfusion in Singapore that transmitted HIV from a window phase unit.

The San Antonio case, the first slide is a timeline. It is very similar to Dr. Leparc's study in that a donor seroconverted to HIV antibody, and the prior donation from that donor had been transfused, and through lookback, the recipient of that donor was recalled and found to be infected.

Fortunately, in that case, the recovered plasma had actually been shipped to Europe but not yet pooled, so it was able to be brought back to the States and studied, and it is through studies of that plasma, as well as follow-up samples from the donor and from the infect recipient that we were able to, first, confirm that this was the source of transmission, so extensive sequencing studies were done to unequivocally demonstrate that.

The other thing we were able to do was to do viral load analysis and most importantly,

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dilutional studies to assess what the detectability of that unit would have been had it been tested singly or at intervening dilutions relative to the current pooled test systems.

So, there is a table that demonstrates the detection. The sample was detected undiluted and there was detection, and as the sample was diluted out on both the Roche and the Gen-Probe systems both at 1 to 8, 1 to 16, 1 to 24, we began to lose detection a fraction of the replicate tests.

Importantly, this original NAT was actually what is considered a home brew NAT, San Antonio brought up their own assay system under IND from FDA, but this particular donation was just given at a viral load of about 150 that was just detectable essentially at the pool sizes being used with some relative rates of detection.

The other case that is described in there was published a year and a half ago. It was again a transfusion in Singapore, same story in that a donor seroconverted. They were not screening by pooled NAT at the time, but the prior donation plasma that was determined to have infected a recipient was again available and was subjected to the same kind of sequence proof of transmission and

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then characterization of the viral load. 2 The same sort of story played and that the 3 unit was detected consistently by both the Roche 4 and Gen-Probe systems undiluted and was detected at 5 serial pooled dilutions with relative frequencies that eventually, essentially became negative out at 7 the 1 to 24 pool size. 8 So, these are two additional examples 9 although in these cases, the plasma from the 10 implicated unit was available. 11 That was the time course, the sequencing. 12 [Slide.] 13 This is the dilutional data on the San 14 Antonio case, and again at 1 to 8, both 15 manufacturers essentially picked it up. At 1 to 16 16, it was still picked up 3 out of 3 by one, but a 17 portion on the other, and at 1 to 24, both 18 companies missed it, about a third or a quarter of the time. 19 20 [Slide.] 21 The Singapore case, I talked through it. 22 [Slide.] 2.3 So, this is the dilutional work on the

Singapore case. Again, the upper part is controls,

importantly undiluted both Gen-Probe and Roche

systems detected consistently, but as you began to dilute it out 1 to 8 and then 1 to 16 and 1 to 24, you see the sample is negative, so, just as predicted from the earlier studies, as you will see.

[Slide.]

I just want to quickly, though, run through our understanding, which we have had for quite a long time, of the evolution of viremia. We really predicted these cases would happen, and it shouldn't have been a surprise, talk a little about the sequential stages of early infection for both HIV, HCV, and a little bit about HBV, and then get into really what we would predict would be the frequency that we would be missing units that could be detected by individual and are being missed by minipool.

[Slide.]

Just HIV, the early dynamics. Many of you have seen this many times in this arena.

Importantly, I think this so-called eclipse period following exposure before we can detect virus even by single unit NAT, there are observations when we test back samples from infected people of transient periods of very low level viremia during this

period, which we don't know for sure, but may be infectious, as well.

Then, we have this brisk ramp-up viremia followed by antibody conversion and stabilization, steady-state RNA.

[Slide.]

This is just one of the examples of a blip viremia for HIV, so this is a plasma donor who was detected by pooled NAT at day zero. That is the definition here. Then, as we test back, day minus 4 on back, we can detect by full-input, high-sensitivity studies single unit type testing.

Immediately prior to the early consistent minipool positive, we can detect low level frequency viremia, which essentially is analogous to these transmission events that we are observing, but interestingly, if we look back in a number of these panels a week or so before that early viremia, we detect another transient phase of viremia, sort of primary viremia phenomenon similar to what you heard this morning about West Nile.

Again, whether this is infectious, we don't know. Again, it is erratically detected even by the individual NAT, and we think this eclipse phase between here is probably not infectious, but

studies are in process to study that further.

[Slide.]

By looking at a large number of panels, we can quantify the ramp-up viremia, and it is really this data that allows us to estimate the doubling time and project the relative window closure achieved by individual versus minipool NAT or intervening pool size.

[Slide.]

HCV, a similar summary graph. Again, I will show you some examples of really a very common phenomenon, that before ramp-up viremia, there is frequently a transient very low level viremia that is observed for weeks before this explosive ramp-up phase, and then you go through a very long, almost two-month plateau viremia, very high titer, readily detected by minipool NAT, which explains why we are seeing such a relatively high yield of HCV minipool NAT.

[Slide.]

This is just one example of an HCV blip viremia, so this donor, plasma donor was picked up at day zero by minipool NAT. They had one sample here that had about 100,000 copies that was initially missed by the large plasma pooled NAT,

but what I want to emphasize is this period of actually several months prior to ramp-up viremia, during which we could detect viremia.

This is four replicate, full-input TMA assays, and the portion of the bars that are filled here are the percentage of the four reps that were positive by the single sample input full sensitivity TMA, so you see this donor went through sort of cycles of a week of very low level viremia erratically detected even by individual NAT, then negative for a week, and then positive for a week, then negative, then positive.

[Slide.]

Just shows a series of these. This is a group of fix panels that are actually, as I will show you later, being transfused into chimps now, serial samples from these human plasma donors, but you can see here this blip viremia extending back from day zero in six of these cases with a sort of similar cyclic kind of viremia.

Again, this is the proportion of four replicate individual donation NATs that are positive. An important point here is this strongly suggests that even individual donation NAT is unlikely to interdict all infectivity because it is

only able to erratically detect the viremia that exists in this early eclipse phase.

[Slide.]

Just one slide. This is from some work that Fred Prince is just publishing where they infected chimps with very low level, exposed chimps to one viral particle, then 10, then 100.

What you can see here is that a single viral particle actually can lead to--this doesn't show it on this slide--but to transient viremia and a low level T-cell immune response, and then as you get to 10, there is actually even a low-level antibody response, and then at 100 copies you get full infection.

So, the message here is that we think these blip viremias, they are either an early phase of virus just smoldering in the liver and just beginning to get a foothold in the body, or they may represent repeat exposures in these high-risk people at very low doses that are unable to establish a full infection.

[Slide.]

These, as I indicated, in collaboration with Harvey Alter and Chris Murphy, these units from these pre-blips in the valleys between the

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blips and ramp-up, and then the blips are being transfused into chimps to try to really define when is infectivity established relative to the detectability of viremia either during the blips, which is very erratic, or if necessary, into the early ramp-up phase.

So, we are really trying to do the studies that will better characterize infectivity versus viral load in the early phase of infection.

[Slide.]

Just for HCV, similar, we have got a number of these cases, 37, where we have good ramp-up phase viremia load data and can, from that, derive a doubling time estimate, and then the next slide shows just one example of how we can use that ramp-up phase viremia and the differential sensitivity of minipool versus individual NAT, which is about a 20-fold difference.

[Slide.]

Given the rapid ramp-up phase, a 20-fold difference in sensitivity, testing a sample essentially in pools of 20 versus singly with the same assay, only translate into about a four-day difference in the window period closure.

This is the important sort of conversion.

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It allows us to project the yield of the new assay, single versus pool.

[Slide.]

The same thing we have for HBV, a doubling time of about two and a half days.

[Slide.]

This is actually a summary of the work we did with FDA, Biswas and colleagues looking at the performance of different antigen tests versus pooled sample versus single sample NAT on HBV. The important point here is with HBV, we actually achieve much more window closure with the conversion from pooled to single unit because the doubling time is much slower, so the ramp-up is slower, so you actually get about a 20 to 30-day further closure of the window by going for minipool to single unit.

So, as we begin to get HBV, then, clearly, single unit NAT will be an important advance.

[Slide.]

So, how do we take this window closure data and tell you how many people will get infected because we are not doing individual NAT? To do that, we need to know the rate of new infections in the donor pool, and that is the incidence rate, and

this is data from the REDS group looking at incidence rates for the main viruses.

You can see actually that the incidence rates have dropped and that we are now looking at incidence rates of about 2 per 100,000 person years for HIV and about 3 for HCV, higher incidence for HBV.

[Slide.]

The incidence rate parameter is adjusted to account for higher incidence in first-time donors and to exclude non-transfusable units and then we multiply that adjusted incidence rate times the window period to estimate either the residual risk or the yield of going to individual NAT.

[Slide.]

In terms of the duration of the pre-detectable window, what we have done is to take the current sensitivities of the assay system, so the pooled NAT systems currently are detecting at about 80 copies per ml for HIV and 190 for HCV, the 50 percent hit rates for HBV. We are still using surface antigen tests, so we are picking up at around 2,200 copies, and then we estimate based on the doubling time how far back in time would these donors, these people have had a viral load of 1

copy per 20 ml, which is the infused volume of plasma.

So, we are now using a new, more empiric dataset to estimate the duration, the theoretical duration of infectious viremia that would be present at the level, sort of worst case estimate that one copy in an infused volume of blood could transmit.

[Slide.]

When we do that, we take the detection limits of the current screening systems, the minipool NAT or HBsAg. We take the doubling time of the virus and from that doubling time and viral load, we can project back that there is about 8 to 9 days of probably infectivity for HIV and HCV preceding detection by the minipool NAT.

[Slide.]

Then, we can take those periods of time and multiple them times the incidence rate to get current risk estimates. So, this is how we kind of talk in terms of the risk now for HIV is in the range of 1 and 2 million, and for HCV is in the range of 1 and 1.5 million, and we now have estimates with confidence bounds integrating the incidence rate and the window period estimate.

[Slide.]

The critical question today, though, is how much extra closure of the window would be achieved if we moved from minipool to individual NAT. As I showed you on that one graphic, and do statistical modeling of the data, we can estimate the window period difference by increasing the sensitivities of these assays 20-fold by going from an average pool size of 20 to single, and we estimate that really there is only about a three-day for HCV and about a four-day for HIV window period difference between the minipool and single unit.

When you them multiply those window closures times the incidence rate, you get around 2 per 10 million, 2 to 3 per 10 million, which would be about 3 to 4 per year predicted donations that are missed by minipool NAT, that could be interdicted were we doing single unit NAT on an annual basis.

[Slide.]

Now, there has been discussion, and you will hear some suggestions that perhaps we should move the pool size from 16 to 8, or 24 to 6, and this is actually the same data as I showed before,

but instead of on a logarithmic scale, it shows it on a linear scale to give you a better sense of why that doesn't get you much.

This shows, for HIV, the rate of ramp-up viremia, and for HCV, and what you can see here is that going from an assay that has 80 to 40 copies, so twice the sensitivity, really only gets you a very modest closure of the window, because you are in an exponential growth phase of the viral load.

So, this just graphically illustrates what I will show you in the next slide, which is the statistical analysis of what would you get if, instead of testing with Gen-Probe at pools of 16, we went to Gen-Probe at pools of 8, or a similar analysis on the right side for Roche if you went from the 24-member pools to test the intermediate 6 pools, so let's just focus on the Gen-Probe because I think that is the assay where there is serious discussion about reducing pool size.

[Slide.]

What you can see for HIV is that by reducing pool size in half, you will only detect 5 per 100 million donations so it is 1 in 20 million units would be predicted to be detected by going from a pool size of 16 to 8.

In contrast, if you went all the way, and you took it that additional 8 individuals, you would pick up an additional 15 per 20 million--0.14 per 10 here. The bottom line is you will only pick up 4 by going all the way from 16 to neat.

If you go from 16 to 8, you will pick up 1 of those 4, from 8 to 4, you will pick up a second one, from 4 to 2, a second one, so you will only pick up one-quarter of the yield that you would get if you went all the way to single unit NAT by going from 16 to 8. That is the big message of this analysis with confidence bound, so essentially there is not even a statistically significant window closure given all the data we have by going from 16 to 8.

[Slide.]

This slide is just to emphasize, this is the summary of the risks, that pre-NAT, you know, we had risks in around 1 in a million for HIV.

Post-minipool-NAT, we are down at close to 1 in 2 million, but even after we go to indication NAT, because of that low level viremia that exists, we think the risk will remain and we will still be talking about risks with individual NAT of about 1 in 3 million, so don't think that by going all the

way to individual NAT we will eliminate risk, because we won't. We will still have breakthrough transmissions and still have risk.

[Slide.]

This is just one other way that we can estimate the residual risk and the impact of going to individual NAT and what we realized is that we actually have an unbelievably accurate measure of the rate at which donations are being given in this early window period. That is the NAT yield that we are picking up.

So, what we realized is if we take the NAT yield rate as observed and then we simply factor the NAT yield rate times the relative durations of the minipool-positive window and these earlier window periods, either the pre-minipool NAT, potentially infectious window, or the ID-NAT window, we can calculate out the projected risk with minipool NAT or the project yield of individual donation NAT, so a very simple calculation of taking the yield of minipool NAT and adjusting it by the relative lengths of these window periods allows us to derive an independent, but on the next slide you will see a virtually identical way of estimating the risk, and they come

out almost identical to the rates that I presented earlier.

So, we have picked up so far in the first three years, about 145, 145 HCV yield cases and 10 HIV yield cases. When we take these through those calculations, we estimate the same risk factors of about 1 in 1.5 million for HCV and 1 in 1.7 million for HIV, and we also can predict the yield of ID-NAT going all the way to single unit would pick up about 1 in 5 million donations that are currently being missed. So, I think strong corroborating data to support the predictions that were based on the window period model.

[Slide.]

I just want to take a moment, I don't have time to go into any detail, but just to mention that there are a number of studies that demonstrate the relationship between viral load and infectivity. I don't have time to talk about it, but there is animal studies analogous to kind of the studies I showed you, the infection of chimps with serial doses of virus or the transfusion of plasma units from these window period donors to understand when does infectivity exist.

All of these studies for certainly HBV and

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HCV indicate that as few as 10 viruses transmit 50 percent of the time to chimps, so in my opinion, infectivity probably exists. Certainly single unit NAT positives are infectious and probably exist even in the low level that may exist in a component undetectable by single unit NAT.

[Slide.]

Then, the human data, these kinds of cases we are talking about, the transmissions from these lookback studies are actually very important cases to study to understand that window period infectivity, so we are really trying to compile as many of these cases into a national effort to get the data and the samples that may exist from these cases to build a model and understand infectivity in real humans from transfusion of these pe-seroconversion units.

[Slide.]

This is what I did want to talk very quickly about, though, if that, you know, when we talk about picking up on or two additional infections per year, how much does that translate into really health care and human savings.

Obviously, for each of these patients that gets infected, it is a tragedy, and if we could do

it, we should clearly move to individual NAT, but just to emphasize that the number of quality life years that are lost by virtue of transfusion of an HIV infected unit, because of the age of patients, the underlying, you know, morbidity of patients that are transfused translates into about seven quality life years for HIV and 0.6, so HCV is much less clinically important than HIV from a health care outcome perspective.

When you then ask, okay, how many cases will be prevented per year by minipool NAT and how many quality life years gained by going to doing what we are doing now in minipool NAT. We are really, with current minipool NAT, only gaining about 60 quality life years by doing the combination HIV-HCV minipool NAT.

By going the next step of introducing single unit NAT, We are only going to buy an additional total of about 20 quality life years.

The final slide I will show is this one, which just puts into context that as we have moved from introducing the first generation assays in this example HIV, we interdicted a very large number, about 1 in 10,000 units was infected, and those units were causing 92,000 lost quality life

years by transfusion of those unscreened units.

Introducing the first generation assay essentially saved 90,000 quality life years of morbidity and life. In contrast, as we progressively move to first generation, second generation, third generation antibody assays, closing the window, we really have only picked up a few hundred quality life years with each of those progressive improvements in the antibody test.

Then, as we bring in antigen or NAT, because there is so little residual risk, the incremental gain both in terms of infections prevented and in quality life years gained is really extremely modest, only about 20.

I think the point here is we have made enormous progress and clearly I think there is agreement that we need to get to individual donation NAT, but I think the additional gain that we will be gaining by doing so is very modest relative to where we have come.

Thank you.

DR. NELSON: There were a number of people wanted to comment.

Dr. Andrew Heaton from Chiron.

Open Public Hearing

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DR. HEATON: Thank you for the opportunity to review the recent introduction of the Chiron Procleix NAT test and the implications for a potential reduction in pool size.

During my presentation, I will review

Chiron's experience in the introduction of NAT on a worldwide basis to highlight the implications as the committee considers the appropriate pool size for nucleic acid testing.

When the Procleix assay was approved in February of 2002, this was the conclusion of an extraordinary rapid development cycle from the challenge of FDA Commissioner Kessler in 1994 through the NHLBI contract in 1997, to the launch of an IND in 1999 of a completely new technology. That was an extraordinarily rapid development cycle.

As a result, although the assay was developed very quickly, Chiron and Gen-Probe elected to upgrade the original semi-automatic system to an enhanced semi-automatic system, and it was this system that was used to pursue regulatory approval and is now used routinely by U.S. blood centers.

The more fully automated walkaway system

for routine testing has taken longer to develop with the result that industry is continuing to use the original system.

[Slide.]

During the period of evaluation under IND, routine blood testing was performed in pools of 16 with the remaining samples added at the end of each run, but in parallel with the trial, the U.S. military initiated individual donor testing, and this data, combined with the individual samples, were used to support the claim, which is listed up here, which allows both pools of 16 and individual donor testing.

The specificity of the assay was excellent with minimal pooling-induced cross-contamination and the sensitivity of both pooled and individual donor testing was excellent, and as expected, there was a small but measurable improvement in the specificity of those samples tested individually.

[Slide.]

Assay analytical sensitivity of 30 copies per ml easily exceeded the 100 copy per ml design goals on the standards of the FDA, and in pools of 16, the assay also meets European and FDA regulatory standards.

The yield reported in the package insert is summarized in this table and it has been consistent with the experience of that of most other developed countries where similar pool sizes have been used.

[Slide.]

In France and Australia, which were two countries that rapidly adopted NAT testing, the assay was, and still is, used both in pools and in individual testings in the same system using common training systems, common procedures, and common applications.

In Australia, the larger centers use pools of 1 and 24, and France's pool are 1 and 8, and in each system, smaller centers use individual donor testing. In practice, the test performance was similar within a blood system and the level of false positivity and invalid run rates were not significantly different between IDT and minipool for a given blood system.

In Australia, there was no evidence that pooling increased initial reactive rates and the frequency of true test positivity was similar.

Consequently, there appears to be no operational difference in test performance where individual

donor testing is performed in blood centers with small collection volumes compared to larger centers in the same system.

[Slide.]

Summarized in this slide is the Chiron worldwide experience with pool sizes. This ranges from individual donor testing in Singapore and Portugal to 8 pools in much of Europe, 16 pools in the U.S.A., and 24 sample pools in Australia and Hong Kong.

[Slide.]

Summarized in this slide is the relationship between pool size and donation collection volume in blood centers. Although two-thirds of the blood centers perform individual donor testing, 75 percent or approximately 75 percent of blood is actually tested in pools of 1 and 16 or 1 in 24 because the larger centers process such a greater proportion of the world's blood supply.

Chronologically, there has recently been a trend towards reduced pool sizes as new systems have come up.

[Slide.]

In order to respond to the requests of

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industry, Chiron has modeled the impact of decreasing pool size. Our model includes workload equipment requirements and test requirements and represents a very conservative approach, and we believe that blood centers in practice would likely improve on this.

As shown in the bottom left of this slide,

1 run of 100 tubes allows the generation of 88

results and most centers require a technician to

pull and complete testing of 1 run since this fits

well with the average 8-hour work day.

In the case of pooling, while the assay may take approximately 6 hours to complete, the pooling adds an addition 2 hours. Subsequently, a 2-run processing protocol has been developed and technicians are now being trained using this workflow system. This would have the effect of decreasing the workload by approximately a factor of 2, and is now used routinely in Australia in those centers where IDT is performed.

At the request of the blood bank industry, we assess both IDT and pools of 1 and 8 and if you look on the top line, in blood centers of 100,000 per year, assuming a work week and consistent sample receipt, the model predicts that testing the

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daily requirements could be handled in 1 run per day and pools of 1 and 16 or 1 and 8, and the workload would only increase to 2 runs per day or double the workload for individual donor testing, which of course explains why the smaller blood centers perform individual donor testing.

In the case of large centralized laboratories, testing 1 million or more per year, pools of 16 would require 3 runs per day or 2 assay technologists, while individual donor testing would require 37 runs per day, dramatically increasing personnel requirements.

This does not directly translate into technologist head count requirements, since technologists are already being trained to perform 2 runs in a shift and centers have begun to adjust the workflow to do even better than that through improved staging of the different assay processing steps.

[Slide.]

Using current eSAS automation for individual donor testing, a technician can complete 2 runs in a shift, which depending on the time available and the scheduling of the workflow, could as much as double equipment requirements.

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The additional workflow increases the demand, so the software control pipetting devices and the frequent contamination may reduce the equipment service life, so there are significant equipment effects.

Since blood centers are under great
pressure to minimize turnaround time, most samples
are tested overnight on the third shift, which
maximizes simultaneous throughput requirements.
Consequently, although staggered or sequential
shifts would maximize efficiency, concurrent assays
of common practice to maintain high throughput and
minimize turnaround times.

In addition, the separate components of the test kits must be stored at three different temperatures, placing great demands on refrigeration to frozen storage, and since all NAT tests are subject to significant contamination risk, special cloth, unidirectional workflow, and custom designed facilities are essential to maintain the consistency of results.

[Slide.]

Consequently, based on modeling, our analysis for the conversion of blood centers currently converting testing in pools of 16 to

pools of 8 would take six to nine months to complete once the decision had been made. This would require that all technicians convert to 2-rack processing and labor requirements would likely increase by as much as 10 to 20 percent.

This could be achieved in less than six months. The key limiting factor is the time to rewrite the computer software that controls the pipetting devices, the length of the validation, and the length of the regulatory cycle.

Although individual donor testing would require much greater increases in test reagent manufacture and operating equipment, the longer implementation cycle is principally the result of the need for additional space buildout of test facilities and the hiring and retention of additional staff.

Both Chiron and Gen-Probe would need to expand customer support and manufacturing personnel where the customers will need to identify additional assay personnel who must often meet extremely demanding State requirements for the performance of complex laboratory testing.

A limiting factor in this conversion is that of personnel since equipment and reagent needs

could be met in a six- to nine-month, but the personnel and space requirements would take longer.

[Slide.]

In order to facilitate the assay performance, upgrades are planned for the Procleix System. These include automation of the reagent and addition steps which are currently handled manually.

The automation, which is anticipated to be available for trial in approximately 12 months, would also assist in recordkeeping and process control. The second upgrade would automate the addition of target capture reagents and the subsequent wash steps, and further upgrades of the luminometer and software are also planned to follow the first two steps.

For the long term, the fully automated Procleix automated system being developed as the TIGRIS by Gen-Probe is expected to enter clinical trials by the end of 2003. Consequently, there are both mid-term and long-term automation plans which would support the introduction of reduced pool sizes.

[Slide.]

In summary, I hope that I have been able

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to provide a review of worldwide testing practices using the assay. The systems achieve turnaround times comparable to the immuno tests. Clearly, blood center test volumes have influenced the pool sizes.

A transition to smaller pool sizes or individual donor testing is clearly possible and Chiron and Gen-Prove anticipate being able to meet reagent and equipment demands rapidly. A limiting factor is for testing pools of 8 is the existing eSAS System and the time to rewrite, validate, and secure approval of the pooling software.

In the case of individual donor testing, the limiting factor is identifying appropriate facilities to support the specialized equipment and staffing needs together with the time required to train the personnel.

Midlife improvements to the current system are in process and walkaway automated system will be available by the end of the next year. This combination should allow the transition to reduce pool size or individual donor testing based on the needs of industry.

The development cycle has already greatly benefited from a collaborative relationship between

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the FDA, NHLBI, Chiron, and Gen-Probe, and Chiron stands ready to assist the policy makers in their decision of the pool size of choice.

Thank you.

DR. NELSON: Thank you, Dr. Heaton.

Next is Karen Long from Roche. Is Karen Long here?

DR. GALLARDA: I am not Karen Long.

DR. NELSON: You don't look like Karen

Long. I am Jim Gallarda. I am Director for Blood

Screening at Roche Molecular Systems, and I will be

giving a synopsis of our assessment of the

situation.

[Slide.]

I want to thank FDA for allowing us to participate in today's discussion. We have been asked to address two general areas. The first is what are the current constraints in doing single unit testing using the COBAS AmpliScreen System that Roche has developed, and secondly, what are our future plans for our single unit testing program.

[Slide.]

In answer to the single unit nucleic acid testing question with the current system, can it be

The simple answer is yes, but it has a done? string of caveats associated with it.

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Our system has been designed to a three-tiered algorithm to do either pools of 24, which resolve through secondary pools of 6, which are then resolved at the tertiary level to single unit. We provided data in our submissions demonstrating this fact.

The implementation issues associated with the current system to be used as single unit NAT testing are rather complex, and I have just listed a few of them.

Mike Busch has given a very lucid explanation about the incremental yield that one can expect with single unit NAT, and this has to be viewed in the context of what are the labor resources, both availability of trained labor and the cost to implement single unit testing with the current semi-automated systems, and also it should be viewed in the context of the additional risk of documentation errors or other type of errors that are due to the increased testing demands.

[Slide.]

Dr. Hewlett mentioned earlier in her talk that in 1994, we kicked off to a discussion of how

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to capitalize on the power of NAT to interdict infectious units that were in the pre-seroconversion window period.

Retrospectively, looking at what happened, this has been a success story for both programs. For the COBAS AmpliScreen system, in our submission, we cited that we had over 40 HCV window period cases that were successfully interdicted using minipools of 24, and for HIV, there were three window period cases that were interdicted, again using pools of 24, and we just learned this morning that in our currently IND for the HBV clinical trials, we screened for three weeks now, 40,000 samples, and we have identified our first window case for HBV.

So, the good news is the current system, semi-automated, has done a good job.

[Slide.]

Going to a single unit discussion, the incremental yield, the fact is that yes, we are all I believe in agreement, let's head towards single unit testing. Having said that, Mike has shown that it will be an incremental yield, but there will be, in the blip area of the eclipse phase of the pre-seroconversion infectious time period,

samples that are infectious, that cannot be detected even with single unit, so we will not have a zero risk blood supply even with single unit testing.

[Slide.]

The workload issues with the current system. Both systems are semi-automated. They require substantial manual labor, and it is our view that higher workload may lead to increased operator error, and I might say that this is not simply Roche's opinion. This has been validated with the practitioners of NAT in the country.

[Slide.]

Potential risks. A 16 to 24-fold increase in a single unit scenario with the current systems could create inventory shortages. We don't know, but it is a plausible scenario.

[Slide.]

There is a shortage of skilled medical technologists in general required for the rather complex NAT testing in the semi-automated systems.

[Slide.]

So, our view is that for sure with our system, we feel that it is not the best approach to go to single unit testing with the semi-automated

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systems. So, I would like to switch gears to the next topic, and that is what are we doing about this.

It is our view, Roche's view, that we should invest heavily into a fully automated single unit system for the three viruses now that are being screened.

[Slide.]

I just want to say that we are putting out eggs into a basket, and that basket is to develop a sample in, results out, high throughput system for a multiplex detection of the three viruses that are mainly being screened for currently.

[Slide.]

Our strategy for a single unit system is to really rely on what we have already historically proven an aptitude for, and that is what I would call as our core competencies.

[Slide.]

We have developed a robust back-end PCR walkaway machine, the COBAS Amplicor Analyzer. The users in our clinical trial all agree that this is probably the most robust element of our system.

So, we have a very excellent Swiss engineering firm that has designed complex

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instrumentation for such type of testing.

[Slide.]

We have a very promising program in Japan where the Japanese Red Cross has been using a system that we call the AMPLINAT system. The front end of that is our GT-X automated sampler extraction device, and this is being used to extract simultaneously all three viruses in a multiplex format.

[Slide.]

On the back end, we have a lot of experience developing complex TaqMan master-mix reagents for the simultaneous detection of the three viruses. So, our core competencies cover the hardware and associated software for complex instrumentation, and our reagent groups have experience in developing field-proven multiplex reactions for multiplex detection of the three viruses.

[Slide.]

I will just go over a couple of slides, what are the critical customer requirements.

[Slide.]

The first one is it has to be able to fit into their routine workflow. It must be the

ability to have sufficient automation to reduce operator involvement and associated human errors, and, of course, have positive ID throughout the entire process.

[Slide.]

So, we have a large program with very large teams in multiple countries working on an automated solution for extraction and simultaneous amplification detection.

[Slide.]

It should be able to handle single unit testing with minimal increase in labor requirements, and importantly, the ability to process at small and large centers, the same number of donations that would be covered in the time period in our current 24-pool system.

[Slide.]

It will be a multiplex assay covering HIV-1, HCV, and HBV. The system will provide for general menu expansion. We are actually looking at Parvo B19, HAV, CMV, and most recently, looking at West Nile virus.

Full process control both for the target analyze, as well as the hardware critical control processes, and, as I mentioned, positive ID.

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[Slide.]

a party.

There have been some discussions about alternatives, and we view these as second choice alternatives to a fully automated system, but they are things that we are looking at. We can go to pools of 6 with the manual sample prep. You don't have a 24-fold increase in problems, you have a 4-fold increase in problems.

Back up one slide, please.

[Slide.]

We have several systems, in Japan, as I mentioned already, and other diagnostic applications that are automated sample preparation devices. These are not currently being pursued for licensure in the U.S., however, that is something that Roche conceivably could move to.

[Slide.]

So, in conclusion, we believe strongly that moving to single unit NAT with the current semi-automated systems may pose a greater risk than the benefit provided, and that single unit NAT is best accomplished by aggressively pursuing and devoting sufficient resources to create these high throughput, fully automated systems.

Finally, we believe that we have got

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experience, tested experience in developing complex systems and reagents to meet the interim and long-term needs.

Thank you very much.

DR. NELSON: Thank you.

The next group that wanted to speak is from Gen-Probe. Dr. Sherrol McDonough.

DR. McDONOUGH: Hopefully, we will get the slides going in a moment.

I will be continuing the discussion on the Procleix System and I am really going to cover two topics. The first is the manufacturing facility that Gen-probe has built to address the ability to manufacture reagents.

The second will be our fully automated system, Procleix Automated System or TIGRIS.

[Slide.]

This is a picture of our facility in the San Diego area. It was commissioned for use in 1999. It was designed and built specifically for the production of nucleic acid testing reagents and was used to build conformance labs for the HIV-HCV product. It was licensed in February of this year, and when we look at the capacity, we believe that we could adjust to the market requirements whether

that is a move to pools of 8 or movement to individual donation testing in a period of 6 to 7 months from the time the decision was made.

[Slide.]

Now, I would like to talk about the fully automated instrument.

[Slide.]

Some of the design features of the automated system are listed on this slide. First of all, primary tubes can be loaded directly.

There is no requirement for an ultra-centrifugation step or any serious manual steps.

at a time. The instrument creates a worklist by scanning those bar codes, so that is all done automatically.

Once the sample processing begins and the first 180 tubes have been sampled, they can be removed from the instrument and another 180 tubes can be added.

When you start the day, you can put out enough reagents and fluids to do 1,000 tests. At that point, you need to stop, remove the wastes, and replenish the fluids.

[Slide.]

The Procleix System is a single tube assay. That means all the steps from sampling processing, amplification, and detection are performed in the same reaction tube, so there is no need for the instrument to transfer from one reaction vessel to another during the entire process.

That helps maintain specimen I.D. and also reduces a source of contamination within the instrument.

The productivity targets for this instrument are to have time to first result about 3.5 hours and then 125 test results released per hour thereafter. We are developing multiplex tests, for example, for our HIV/HCV tests, that would be 250 results per hour, 125 results for HIV and 1 25 results for HCV.

The instruments maintains full traceability through positive identification of the specimens, and the assay performance will be comparable to that seen in the already licensed system.

[Slide.]

This is a picture of the instrument. It looks big here, but it actually takes up much less

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room than the semi-automated system, so if a laboratory has space for the semi-automated, they will have space for this instrument.

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Specimens are loaded in the bay that is shown open on the right side of the instrument. Reagents are loaded on the top left. All the sample processing occurs in that middle part of the instrument. All of the assay performance steps are performed there, and the intervention is through the computer on the right.

[Slide.]

The development timeline for this instrument is as follows: We are to the point in development where we are doing evaluations with customers. I am happy to report that we have already performed an evaluation with customers for the diagnostic side. The instrument is being developed for both diagnostic and blood screening applications.

So, the initial evaluation with customers was completed earlier this year, and we are in the process of setting up the evaluation with blood center customers, and that will take place in fourth quarter.

As you already heard, the goal is to start

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the clinical trials on this instrument at the end of 2003.

[Slide.]

So, in conclusion, we have a manufacturing facility specific for nucleic acid testing reagents with capacity up to 100 million tests per year.

The automated system will give results similar to the semi-automated system that is available now. The instrument will reduce personnel time and increase adherence to GMP by performing many of the steps that have to be done by humans now, such as worklist creation, correct placement of Cals and Controls, ensuring use of master-lotted materials, in-date materials, et cetera.

Thanks for the opportunity to present.

DR. NELSON: Thank you, Dr. McDonough.

Dr. Gilcher from Oklahoma.

DR. GILCHER: What I want to talk about briefly, very briefly, is an overview of nucleic acid testing at the Oklahoma Blood Institute. We began in April of 1999 using HCV-RNA-PCR with a minipool of 24.

In November of 1999, we added then HIV-RNA-PCR at a minipool of 24. In March of 2000,

we set up a separate HIV-HCV-RNA-TMA, that is a Chiron/Gen-Probe laboratory as a minipool of 16 to compare workflow of the minipool PCR versus the minipooled TMA, and assuming at 100,000 donations, the PCR would be 8,333 tests. The TMA would be 6,250 tests.

[Slide.]

In running that particular study, and it was a study for workflow, our conclusions were that for a large laboratory, and our collections at that time were about 170, 175,000. We will do over 200,000 donations this year that will be tested by NAT.

For a large lab, over 100,000 TMA-enhanced laboratory workflow as far as NAT testing operations.

On July 1st of 2002, we then switched to single donation nucleic acid testing as our test of record at OBI. So we have, in a sense, done both the minipool PCR, the minipool TMA, and now the single donor or the ID TMA.

[Slide.]

There is a particular case that I want to talk about. We have not had any forward misses or front-end misses as we have heard about, and I will

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mention that in a moment, but we have had an interesting, what I call "back end" miss.

This occurred on February the 9th of 2000. We had an EIA and Western blot-positive HIV donation, which was minipool PCR-negative at a 1 to 24. The same donation sample, that is, a frozen sample that was tested 21 days later, and that is important that it was the same sample, and it was frozen and thawed, and that is important.

I thought Dr. Busch might talk about the concerns of aggregation, but that doesn't seem to be the case here, because testing that thawed sample by minipool PCR at 1 to 24, it was still negative. We did a 1 to 16, it was negative, but the "Neat" was, in fact, PCR-positive.

[Slide.]

There are a number of objections to single donor NAT that you have heard about - too costly, too much space, too many technologists, possibility of increase human errors, lack of total automation, increased opportunity for contamination, increased run failure rate, increased delay, and inventory release. All of those were concerns that we had when we addressed the issue of single donor NAT.

[Slide.]

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These are our reasons that we made the switch. First, was the "Back end" miss that I showed you with HIV, and by the way, there have been a number of those with HCV.

Then, the reported HIV "front end" misses that you have heard about, the San Antonio, later the Tampa case. There is one in France. There is the Singapore case.

Then, the third point was that we had operational challenges, and we felt that we could overcome those. We overcame the issue on cost, space, technologists, compliance, test performance, and obviously operational impact.

When presented to our Board, they felt that for us in Oklahoma, that it was the right thing to do.

[Slide.]

The cost was justified by the elimination of HIV antigen, the absence of pool discrimination, and that is extremely important because that resulted in the capture of lost products, and the objection that is made that it will delay the release is simply not true. In fact, we are able to capture platelets that would have clearly been lost during the discrimination period, because our

discrimination is a pool size of one, then, other cost reduction measures that were introduced at the blood center.

To accommodate this, we built two mirror image laboratories, each with the potential capacity for up to 500,000 single donor tests.

Now, the numbers of techs that we had to hire is important. We went from 4 with our minipool to 10 for the single donor NAT, and those 10, it is estimated can perform up to the 250,000 tests. To do 500,000, obviously, we would have to increase significantly.

180,000 donations then tested by the minipool would be 15,000 tests by PCR, whereas, the single donor TMA was 180,000 tests or 12 times as many tests for us as what we had been doing.

[Slide.]

No pooling steps - reduces the time to do the NAT. There is clearly a faster turnaround time. There is, in our opinion, less chance for error without the pooling. There is the same degree of manual testing as with the minipool. Clearly, we would like more automation.

Testing time is faster by getting rid of the pooling, and this is very important, laboratory

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operations return to a single test platform, and
Kendra Ford has accompanied me here today, the Vice
President of Operations, who can talk more about
that if you have questions afterward. That is an
important point.

[Slide.]

This is really our learning curve that I am demonstrating here. This is the Chiron validity statistics. When we started out, you can see we have a very high invalid run rate, the purple line.

That was a number of factors. One of the most important factors for us was an environmental factor that we had not expected. We built the two new laboratories that I told you about, and inadvertently, the plumber hooked up the drain lines to the wrong system, and we had a sump pump pumping backward.

We had the second lab which we have not used contaminated before we entered the lab, and finally figured it out. So, that is included in that. But our invalid run rate has come down and continues to come down. That is a learning curve.

[Slide.]

So, lessons learned. The total space for the two labs interestingly, without pooling taking

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up that space, is only slightly larger than the prior space. 180,000 donations is 15,000, as I mentioned before, with PCR minipool versus 180,000 tests with single donor TMA or 12 times more tests, but with only 2.5 times as many technologists to perform the tests.

Detraining of existing technologists to convert from PCR to TMA was absolutely critical in our system. It was easier to train technologists who had not performed NAT testing ever than to take techs who had done the test and detrained them, and then trained them on a different test.

Clearly, as I said before, it is easier to operate in a single test environment versus a pooled test environment.

So, for us at the Oklahoma Blood

Institute, we are doing individual donor nucleic acid testing, and we are making it work.

Thank you.

DR. NELSON: Thank you. Comments? Did you have viral load on the one case that was the back end failure?

DR. GILCHER: Excellent question. We don't, but we have sample. One thing that we do at the Blood Institute is we maintain two years plus

the current year as repository samples, so we have repository samples on everything, and that is something that will be done.

DR. NELSON: Susan Stramer, American Red Cross.

DR. STRAMER: Thank you for those who are left. I don't even know if we have a quorum, if it's legal to have a meeting.

Even so, I will read my statement. Thank you very much.

I am Susan Stramer, the Executive Scientific Officer for the American Red Cross.

The American Red Cross through its 36 regions and nine testing laboratories supplies approximately one-half of the nation's blood for transfusion needs. We thank the FDA and the Blood Products Advisory Committee for this opportunity to speak on the implementation of single unit NAT to reduce the remaining risk of HIV transmission through transfusion.

I see I am clearing the room.

Recognizing the potential significance of NAT for HIV and HCV, the Red Cross initially began exploring the implementation of NAT in 1997 using pools of 512, in an approach similar to that used

by much of the plasma industry.

However, to achieve the needed turnaround times for the release of cellular components, we instead implemented the Gen-Probe test in March 1999, first in pools of 128, followed in 6 months by the transition to pools of 16.

We recognize that NAT implementation represents a step-wise progression towards an automated technology using individual units. We greatly supported the industry-wide effort to implement NAT under IND and were pleased to participate in the studies in support of NAT licensure.

Over the past three-plus years of NAT screening for HIV-1 and HCV, the Red Cross has detected 90 HCV NAT confirmed-positive, antibody-negative units in approximately 23 million donations screened for a yield of 1 in 240,000 and 5 HIV NAT confirmed-positive, antibody-negative units, of which only one was HIV p24 antigen positive for a yield of 1 in 4.6 million.

Viral loads at index for the HCV yield cases ranged from 100 copies/ml to 190 million copies/ml; viral loads for the HIV yield cases ranged from 390 to 750,000 copies/ml.

At an approximate sensitivity of 30 copies/ml, at 95 percent confidence for the Gen-Probe test, as indicated in the package insert, and using a pool of 16, the expected viral load reliably detected is estimated at 480 copies/ml.

Three HCV yield cases and one HIV yield case were each detected below this level. We recognize that we were lucky in these four cases, and as experience has now demonstrated, there will be breakthrough cases of HIV and HCV with viral loads around or below the assay cutoff that we are using today, which is set by our pool size.

We also recognize that when the same assays are used to test individual units, there will be cases where the viral levels will be below 30 copies/ml and perhaps below 1 copy/ml, so that the expectation that NAT will detect all infectious units even with single unit testing is likely to be in error.

Recent data also indicate that the residual risk for both HIV and HCV following the implementation of pooled NAT is approximately 1 in 2 million donations and that with the additional sensitivity of single unit NAT, the residual risk is estimated at 1 in 3 million.

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So, the question that is before us is:
what approaches, if any, can be implemented during
the time between our current use of pooled NAT for
HIV and HCV using the available technology and an
automated assay that has sufficient throughput and
process control such that single unit NAT is
feasible in high-volume laboratories.

The current testing technology, although labeled as semi-automated, is for the most part manual with numerous manual pipetting steps for both sample and reagent addition and removal, along with many manual vortexing and incubation steps.

Processes are segregated in separate laboratories for pooling, amplification and detection, all of which required significant laboratory renovation prior to the implementation of NAT.

Even with all that has gone into implementing and performing NAT, this assay has performed equal to, or better than, any other test used in our system as evidenced by donor losses due to contamination of less than 1 in 30,000.

Therefore, given the systems that are available today, pooled NAT has been optimized and is a success. I would also like to mention this

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was true even after the outpouring of donations after last year's 9/11 tragedy when our volume doubled by 2- and 3-fold, our error rate and contamination rate virtually was unchanged.

What can we learn from the four donors with early HIV infection that have been reported to have transmitted HIV to recipients of their donation? The first case from Singapore that was p24 antigen-negative has been discussed already; in dilutional studies using the frozen plasma unit it was shown that detection decreased as pool size increased.

HIV detection using the Gen-Probe assay in a pool of 24 occurred in 2 of 3 replicates tested; using pools of 16, 1 of 3 replicates were reactive and using pools of 8, all 3 replicates were reactive.

The second case from South Texas from which a pool of 24 failed to detect HIV RNA by an in-house assay was also discussed. In this case, dilutional studies showed that using the Gen-Probe assay on the frozen plasma unit, 1 of the 3 replicates was reactive at a 1 and 24 dilution, whereas all 3 replicates were reactive at a 1 to 8 and 1 to 16 dilution.

Therefore, the Gen-Probe assay using undiluted samples, or a dilution of 1 to 8, was able to detect HIV RNA in all replicates tested from these two cases. The last two cases of failure of pooled NAT to detect HIV RNA did not have residual sample from which to perform these types of studies, that is, the case reported from South Florida that was negative by the Gen-Probe assay in a pool of 16 and a case reported by the French in which the Roche assay in a pool of 24 was negative.

So, although the estimated window period reduction with each pool size reduction of one-half is one doubling time, or just under 1 day of an estimated total 4-day window period to individual unit NAT, one could argue that a decrease in pool size will increase the reliability of detection of samples having viral loads close to the current assay cutoff, and will likely detect additional cases where the viral loads are below the level that we are currently capable of detecting.

However, it should be recognized that neither a decrease in pool size, nor addition of single unit testing will completely close the infectious HIV window.

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The paradigm of step-wise improvements in assay sensitivity leading to an automated platform is not new to NAT. It has occurred for every virus for which we perform blood donor screening. Some changes were as simple as a reduction in assay cutoff to achieve increased sensitivity.

If we look to our antibody screening systems as a model, we have still not implemented an automated testing system with improved assay sensitivity and specificity and all the desired process control features to minimize documentation and other potential errors.

For example, the PRISM system has been in development for over 15 years and although used outside of the United States, we are still waiting for licensure and implementation in the U.S.

Therefore, a comparable automated NAT platform may be years away; consequently, we must examine all that can be done within our current systems to achieve whatever improvements in sensitivity are possible.

There are many variables that would have to be considered prior to a transition to a smaller pool size. Does the small increase in sensitivity justify the changes that would be required for this

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single modification? This would include the hiring and training of additional staff and additional costs for disposables not related to reagents.

Additional costs for upgrades to, and validation of, the automated pipetting system and upgrades to our NAT laboratory management software including 510(k) submissions and approvals would also be required. Regarding reagent costs, blood centers have invested an unparalleled amount for this technology.

There likely will be an increase in reagent price of an unknown amount at this time that could be mitigated by volume. Decreasing pool size could occur without the need for additional testing equipment or laboratory renovation, but the total costs are unknown at this time.

In summary, data show that single donor testing could enhance our ability to detect window period donations. In the interim, a reduction in pool size may have some impact on safety by increasing the reliability of detection of some samples.

At the present time, the Red Cross is looking into the option of decreasing pool size, but no decision will be made until all variables

related to this effort have been reviewed. 1 But if a reduction in pool size occurs, we 2 believe that it could occur without compromising 3 the quality or efficiency of testing. We will then 4 5 have considered all that may be done within the current systems and available technology until 6 automated single unit NAT is available. 7 Thank you. 8 DR. NELSON: Thank you. 9 Next is Dr. Paul Holland. 10 DR. HOLLAND: Thank you. I am Paul 11 Holland from Blood Source, a large regional blood 12 center in Sacramento, and is a regional NAT testing 13 lab for our own collections in two other centers. 1.4 I had sent in a few slides to make the 15 three points I wanted to make, but I believe you 16 17 have the handouts, and I will describe pretty 18 briefly because of the time. 19 I wanted to discuss the elements of the 20 testing, and as Dr. Stramer pointed out, they are 21 often described as semi-automated, they are very manual. 22 23 The second point I wanted to talk about is 24 the reliability. While these nucleic acid

technology tests are fantastic in terms of

sensitivity and specificity, they are no more reliable in terms of failure rates than our standard serologic EIA tests.

I want to end up briefly with my concerns regarding reducing the pool size or even going to individual NATs, because of the concerns, and I will point out that they are real, of the staffing, of the burnout of that staff, and of the errors which result.

As I said, a lot of the testing is already quite manual. This means a lot of meticulous, repetitive motion, and the single biggest problem we have is burnout of the staff. I recently visited Singapore where I was there for 10 days evaluating their system, and they are testing 60,000 units a year by single unit NAT, and that is their single biggest problem is constantly having to rotate technologists through to do this repetitive, highly meticulous type of work.

In California, we require licensed medical technologists, not techs, licensed medical technologists to do laboratory tests. We are already in a critical shortage. We would not be able to do the kind of testing that would be required with single unit testing.

We already have to constantly supplement the NAT lab staff where we run two runs a day, five days a week, and a run on each day on Sunday to have enough staff to complete the testing now with mini-pools.

I mentioned the failure rates. The impression I think some of you have gotten is that a false negative is purely due to low copy number, and that individual NAT may pick up at least some of these, but clearly not all.

In our evaluation from the Roche survey, in looking at failed runs, our false negative samples that should have been picked up in the pool, half of the time the level of virus was far above what should have been detected in the pool, but we believe due to technical error it was missed.

I have given you some data. Anywhere from 3 to 4 percent of runs fail because of the positive or negative external controls fail or the internal control fails. Included in this failure rate is also equipment failure and technical failure. People are human and they are doing a lot of us manually.

So, about 4 to 5 percent of the time, each

one of our tests fails, and when you are doing a dozen different tests, almost every run is held up because either a serologic test or a NAT has failed and has to be repeated.

This causes additional stress in a production environment and really would be I think magnified by single unit or even smaller pool testing. In essence, without further automation and without validation and licensure of that automation, I think it would be foolhardy, I think we would add to our problems, and potentially create more risk than the very minimal decrease in risk that might be bought without going to zero risk, with single unit or even smaller pool testing.

Thank you.

DR. NELSON: Thank you. Comments? Toby.

DR. SIMON: I just wanted to make just a couple of comments, mostly I guess at this point to get it on the record, but I think to put things in context, we need to remember that the pooled NAT method was originally developed for plasma fractionation and it was spurred on by a requirement in Europe for HCV testing because of that longer window, and the pooled NAT made a lot

of sense for plasma because of the inactivation procedure, the occasional unit that is missed doesn't cause a serious problem.

The blood banks I think originally got in because of selling of plasma into Europe, and then I think everybody recognized the possible benefits to the patients who received transfusion, and that increased the momentum.

I think from the beginning we realized here we were talking about a unit here or a unit there, and single unit NAT made a lot of sense, and was the ultimate goal, but couldn't be achieved immediately, so the pooled NAT was better than nothing.

I think from what we have seen here, my thought would be we will certainly save a case here or case there, but we are making another one of these small incremental steps, and as I said before, until we really get pathogen inactivation, we are still going to occasionally have these cases that get through the system and tragically cause disease.

I think people, Dr. Gilcher and others who want to lead the way in single unit NAT obviously can do so providing they file the appropriate INDs,

effect.

but I don't think it is a time necessarily for the 2 committee or FDA to try to mandate this path until 3 we see how technology moves and how things That would be kind of the sense that I 4 progress. would take away from what I have heard. 5 I think that plus the review 6 DR. NELSON: 7 of the fact that we have had some still rare 8 documented cases that pass through even with the 9 pooled NAT. 10 DR. SIMON: We knew that, though, as Dr. 11 Gilcher pointed out, we knew that was going to 12 happen. 13 DR. NELSON: Yes, we did, but our 14 suspicions were validated, but again we were able 15 to pick up these few cases. 16 DR. SIMON: We picked up some. 17 DR. NELSON: Some of them, yes. 18 DR. SIMON: We picked up some of them and 19 not others, and that will continue even with the 20 three- or four-day further closing of the window, 21 there will be that occasional case, but obviously, 22 if I were the recipient of that 1 in a million 23 cases, I would be appreciative of the single donor 24 NAT, but over the aggregate, it is a very small

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DR. SCHMIDT: Ron Gilcher, who is certainly dedicated to stamping out every vestige of disease, has pointed out to us in his statement something mentioned earlier. He had to sell it to his board of directors because again we are all committed to stamping out every element of disease, but when you get into the local community, it is a question of can we still exist.

Now, I know it is not the function of the FDA to talk about cost, however, cost relates to supply, and as I understand it, they were reminded recently by the Senate Appropriations Committee that maybe some of the idea of keeping prions out of New York might result in no blood for New York, so for whatever that is worth, I mean there is a sort of a kickback here, and although the individual patient, you know, it happens to a patient, it's 100 percent.

The community that provides blood has something to say about it, as well.

DR. NELSON: Celso Bianco from America's Blood Centers.

DR. BIANCO: I am Celso Bianco from America's Blood Centers.

As you know, this is an organization of 75

member centers that collect about half of the U.S. blood supply. You have copies of my statement, so I am going to skip about half of it because it would just reiterate the effect, all the difficulties with moving from mini-pool testing to individual donor testing using the current technology, semi-automated or semi-manual.

However, I would like to read the part from the middle where we start discussing intermediate changes in pool size. I also would like to note that Dr. Gilcher, that just spoke and presented his change, is a member of America's Blood Centers and decided to implement single donor NAT.

Besides the concerns about the movement to single donor NAT contamination, staff burnout, and all the issues that were raised here today, we are also very disturbed by the proposals to implement partial reductions of pool size as interim measures, simply to reassure the public. Those were comments that were made by the members.

We introduced minipool testing as an intermediate step in order to further reduce the window. We knew from the beginning that the window would not be totally closed and accepted, and this

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was the best we could do considering the limitations of semi-automated technology.

We also know that individual donor testing will reduce, but not close the window. What changed today that forces us to reconsider the approach we took when we introduced NAT in 1999?

We used the same tests, we have essentially the same knowledge that we had at that time, but however, because of these few cases of transmissions and concerns in the press, we are reconsidering our thinking.

A reduction of pool size by half, as some have proposed, to 8 samples instead of 16, or 12 samples instead of 24, according to what Dr. Busch just showed us, would reduce the window by less than a day.

The reduction of pool size might be feasible for certain testing laboratories, it would not achieve the goals of individual testing.

Furthermore, intermediate reductions of pool size are not clearly justifiable.

Why reduce by half? Why not go to a pool size of 2 or 1? Why not double the sample volume whether in pools or in single donation testing, and thereby double the amount of potentially detectable

1 nucleic acid.

There is no rational limit to this kind of thinking.

ABC members that any decrease in the window period that may result from the reduction in pool size or a move towards individual donor testing using current technologies could be neutralized by the potential increase in human error during the performance of manual steps. There is also an increased potential for delays in the release of blood that may threaten the patients' lives.

Furthermore, we are concerned that such intermediate and small safety improvements will divert assay manufacturers, and I think that this is the different message that I would like to emphasize from the pressure to develop automation and test enhancements in a timely fashion.

Without that pressure, the current semi-automated technologies may remain as state-of-the-art for many years to come. For instance, one of the test manufacturers has been advocating for migration to individual donor testing using current technology.

It will actually not support minipool

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testing for centers that screen less than 100,000 samples a year. This manufacturer,

Gen-Probe/Chiron, received an NHLBI contract in

1995 to develop NAT for HIV and HCV, and an automated instrument for the TIGRIS that we just heard about.

Seven years later, we heard today, and this is a change here, that the instrument will be in clinical trials by the end of next year. Why should they continue to work on this equipment if they could sell individual donor testing using the current semi-automated or semi-manual technology?

Roche is one of the biggest manufacturers of assay systems in the world. There are European centers performing NAT for donor screening with automated instruments provided by Roche. Why aren't these systems available in the U.S.?

The ABC members urge Gen-Probe/Chiron and Roche to continue their productive collaboration with the transfusion medicine community and apply the maximum possible efforts and resources to the final development and validation of automated systems for donor screening by NAT in the United States.

You are almost there. Please, get there.

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We also urge FDA to accelerate the review, and we heard Dr. Indira Hewlett commit herself to that, to accelerate the review of these instruments in order to allow fully automated NAT screening to start as soon as possible.

Thank you.

DR. NELSON: Thank you.

Finally, Kay Gregory from the American Association of Blood Banks.

MS. GREGORY: Again, in the interests of time, I will abbreviate my statement, but I would like to request that both of my statements be reflected in the transcript in their entirety.

The American Association of Blood Banks believes that the blood community, the Food and Drug Administration, and manufacturers should move with deliberate speed to bring single donor nucleic acid amplification testing to donor screening laboratories throughout the United States.

The community has made significant progress in improving blood safety through nucleic acid testing of minipools. Now, we should continue our efforts by moving toward our goal of single donor testing.

Although some laboratories may be in a

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position to implement additional NAT improvements now, it is important that these initiatives not divert resources from the ultimate goal of nationwide NAT performed on automated systems.

Prior to the implementation of single donor NAT, it is critical that the following issues be addressed:

Both the licensed and IND NAT assays are substantially manual procedures not suited to single donor testing in the majority of blood center labs performing NAT now.

At present, these is insufficient capacity in existing laboratories to perform single donor NAT nationwide. The increased number of laboratories will require a significant commitment of support from manufacturers for materials, equipment, training, and maintenance.

There is a known shortage of medical technologists within the health care industry, and hiring additional qualified staff to implement single donor testing will require time.

We appreciate the public discussion this meeting will provoke which should begin to solidify a timeline for the orderly implementation of single donor NAT. We also urge the committee and the FDA

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to expand this discussion to enumerate the noninfectious serious hazards of transfusion that are responsible for significantly more transfusion associated morbidity and begin prioritizing the safety initiatives to which the entire blood banking community should be committed.

Thank you.

DR. NELSON: Thank you. Questions or comments?

DR. SIMON: I think it was about three or four meetings ago when we discussed the same thing that is in the last paragraph of the AABB statement, which was the need for prioritization of infectious disease, hazards, and noninfectious disease, hazards of transfusion, and the need to prioritize that list and provide a recommendation to the FDA for what would provide the greatest impact to the blood supply in the country and patient safety.

I think in the fact of West Nile and what we heard about Chagas, and now the move to single donor NAT, that maybe we should reassert that recommendation and ask for that at a future meeting.

DR. NELSON: You are recommending we

1	review the global issue at a meeting. Okay. Any
2	other comments?
3	I think this was a good and important
4	afternoon. I think we have had substantial
5	successes and yet things still aren't perfect, but
6	I think this was useful.
7	Any other final comments?
8	[No response.]
9	Thank you.
10	[Whereupon, at 6:15 p.m., the meeting was
11	adjourned.]
12	

## CERTIFICATE

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