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DEPARTMENT OF HEALTH AND HUMAN SERVICES
FOOD AND DRUG ADMINISTRATION
CENTER FOR BIOLOGICS EVALUATION AND RESEARCH

BLOOD PRODUCTS ADVISORY COMMITTEE

73RD MEETING

OPEN

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Thursday, June 13, 2002

8:00 a.m.

Holiday Inn Gaithersburg
Two Montgomery Village Avenue
Gaithersburg, Maryland

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P R O C E E D I N G S**Welcome, Statement of Conflict of Interest**

DR. SMALLWOOD: Good morning. Welcome to the 73rd Meeting of the Blood Products Advisory Committee. I am Linda Smallwood, the Executive Secretary. At this time, for your hearing, I will read the Conflict of Interest Statement that applies to both days' session of this meeting.

The following announcement is made part of the public record to preclude the appearance of a conflict of interest at this meeting. Pursuant to the authority granted under the Committee Charter, the Director of FDA's Center for Biologics Evaluation and Research has appointed Drs. Liana Harvath and Blaine Hollinger as temporary voting members.

Based on the agenda, it has been determined that there are no products being approved at this meeting. To determine if any conflicts of interest existed, the agency reviewed the agenda and all relevant financial interests reported by the meeting participants.

In accordance with Title 18, United States Code 208, Dr. Harvey Klein has been granted a general matters waiver that permits him to

1 participate fully in the committee discussions. We
2 would like to note for the record that Dr. Toby
3 Simon is participating in this meeting as an
4 industry representative acting on behalf of
5 regulated industry.

6 With regard to FDA's invited guests, the
7 agency has determined that the services of these
8 guests are essential. There are interests which
9 are being made public to allow meeting participants
10 to objectively evaluate any presentation and/or
11 comments made by the participants.

12 For the discussions on the Uniform Donor
13 History Questionnaire, Dr. Joy Fridey is employed
14 by the Blood Bank of San Bernardino and Riverside
15 County, California as Senior Vice President of
16 Medical Affairs. For the discussions of a Warning
17 Label for Hetastarch, Dr. Gary Haynes has an
18 unrelated grant supported by the American Red Cross
19 Plasma Services.

20 In addition, listed on the agenda are
21 speakers making industry presentations on the
22 Standards for Recovered Plasma. These speakers are
23 employed by industry and, thus, have interest in
24 their employer and other regulated firms.

25 FDA participants are aware of the need to

1 exclude themselves from the discussions involving
2 specific products or firms for which they have not
3 been screened for conflict of interest. The
4 exclusion will be noted for the public record.
5 This is in reference to the committee members.

6 With respect to all other meeting
7 participants, we ask, in the interest of fairness,
8 that you state your name, affiliation and address
9 and any current or previous financial involvement
10 with any firm whose products you wish to comment
11 upon.

12 At this time, are there any declarations
13 to be made regarding this meeting? Hearing none, I
14 would like to, at this time, introduce to you the
15 members of the Blood Products Advisory Committee.

16 As I call the names of the members, would
17 you please raise your hand.

18 The Chairman, Dr. Kenrad Nelson. Dr.
19 James Allen. Dr. Mary Chamberland. Dr. Charlotte
20 Cunningham-Rundles. Dr. Donna DiMichele. Dr.
21 Michael Fitzpatrick. Dr. Harvey Klein. Dr.
22 Raymond Koff. Dr. Judy Lew. Dr. Daniel McGee.
23 Mr. Terry Rice. Dr. Paul Schmidt. Dr. Sherri
24 Stuver. Dr. Robert Fallat. Dr. Toby Simon. Dr.
25 Liana Harvath. Dr. Blaine Hollinger.

1 I just have a few announcements to make.
2 If you will note on your agenda, there have been
3 some changes made from previous versions of the
4 agenda and I would just like to inform you of
5 those, so you will not be confused.

6 If you had seen a previous version of the
7 agenda that identified End User Notification, that
8 has been deleted. Also, a presentation on Rapid
9 HIV Tests has been deleted, and we have added to
10 the agenda a presentation on IGIV Supply.

11 Also, I would like to announce that there
12 will be a workshop sponsored by the FDA on August
13 7th and 8th on Safety and Efficacy of Methods for
14 Reducing Pathogens in Cellular Blood Products used
15 in Transfusions.

16 Also, at this meeting, because of a change
17 in our charter, our consumer representative, Dr.
18 Fallat, who was formerly non-voting, will now be
19 voting with the committee.

20 As far as audiovisual aids, we have a
21 remote mouse, so that those that are using the
22 podium here, that is available for your use, and if
23 you have any problems, please see the audiovideo
24 technician over here to my left. Would you raise
25 your hand, please.

1 At this time, I will turn the proceedings
2 of the meeting over to the chairman, Dr. Kenrad
3 Nelson.

4 DR. NELSON: Thank you, Dr. Smallwood, and
5 welcome.

6 The first topic is a discussion of the
7 current IGIV Supply. Dr. Weinstein.

8 **Current IGIV Supply**

9 DR. WEINSTEIN: Good morning. My name is
10 Mark Weinstein. I am the Director of the Division
11 of Hematology in the Office of Blood at CBER.

12 [Slide.]

13 Today, I will give you an update on the
14 status of IGIV distribution in the United States.
15 I will briefly discuss the reasons for a shortage
16 of immune globulin products that started in 1997,
17 the evidence that we had for the shortage at that
18 time, and the actions that FDA took to alleviate
19 the shortage. I will then discuss the current
20 situation and some future directions.

21 [Slide.]

22 In November of 1997, FDA became aware of
23 an acute shortage of IGIV. At that time, we did
24 not monitor the distribution of plasma derivatives
25 as we do now. We learned of the shortage through

1 numerous persistent reports of shortage nationwide
2 from many sources including patient groups,
3 individual patients, hospitals, distributors, and
4 physicians.

5 FDA contacted manufacturers and
6 distributors and further verified these reports.

7 Another indication of the shortage was
8 seen by the increase in the cost of products. The
9 cost of products rose dramatically over the next
10 several years.

11 [Slide.]

12 This graph shows the shortfall in
13 production that occurred in 1997. It is about down
14 from about 15 percent of what would have been
15 expected had the rate of increase been the same as
16 it had been for the previous four years.

17 [Slide.]

18 The principal reason for the reduction in
19 IGIV distribution had to do with compliance issues
20 and problems with industry meeting good
21 manufacturing practices. An additional element was
22 our CJD policy at the time, which called for
23 withdrawal of products made from plasma pools that
24 contained units from individuals recognized post-
25 donation to have had classic CJD.

1 In addition, the use of product for
2 approved and unapproved uses also increased at a
3 higher than expected rate.

4 [Slide.]

5 FDA took a number of actions to alleviate
6 the shortage. These included facilitating
7 increased production and distribution without
8 compromising the safety or efficacy of these
9 products.

10 These actions included shortening the time
11 of reviewing lot release protocols, expediting the
12 review of license supplements related to IGIV, and
13 streamlining clinical trial design.

14 Streamlining trial design involved working
15 together with Immune Deficiency Foundation and
16 industry to develop protocols that required fewer
17 patients and shorter time frames while assuring the
18 safety and efficacy of the licensed products.

19 A Dear Doctor letter was sent out to
20 provide guidance for prioritizing the use of IGIV.
21 The letter indicated what the approved uses of IGIV
22 were and other uses for which there was some or
23 little clinical support.

24 We required manufacturers to report
25 monthly distribution of plasma derivatives to the

1 FDA. This helps us to monitor the amount of
2 product on the market and to get a sense of whether
3 a significant increase or decrease of product
4 distribution is occurring.

5 There was also a change in the CJD policy
6 in 1998, which reduced the number of withdrawals by
7 no longer requiring that product be withdrawn
8 because of a concern with classic CJD. We note,
9 however, that our current policy calls for
10 withdrawals if there is concern about the presence
11 of a variant CJD.

12 [Slide.]

13 This graphs shows the yearly increase in
14 IGIV distribution on a yearly basis. So, for
15 example, you have figures here from May of '98 to
16 April of '99 compared to May of 2001 to April of
17 2002. You can see that there is a steady increase.
18 In fact, there is approximately a 50 percent
19 increase in level of IGIV distribution compared to
20 the '98 figures.

21 [Slide.]

22 This graphs shows similar distribution
23 data, but reported on a monthly basis. You can see
24 that there is a general trend upward, but there is
25 considerable monthly heterogeneity variation over

1 the past year and a half.

2 Now, it is important to realize that these
3 figures represent only part of the total amount of
4 product that is available for use. There are other
5 reservoirs of product that are in the hands of
6 distributors, in hospital pharmacies, as well as
7 the inventory of manufacturers.

8 In fact, at the present time, we know of
9 at least 1,000 kilograms of IGIV that are in the
10 hands of distributors, and this additional amount
11 of material acts as a buffer to the monthly
12 variations or swings in distribution from
13 manufacturers.

14 [Slide.]

15 An additional indication of the
16 improvement in the supply of IGIV can be seen in
17 this graph of the average cost of IGIV. This
18 average is made up of summing the cost of seven
19 brands of IGIV and producing the average cost.

20 The cost of IGIV rose dramatically from
21 the level of 1998, which is at \$32.73 per gram, to
22 a high of \$48.49 per gram in 2001, but as you can
23 see, the price is now declining. The 2003 figure
24 is a fiscal year projection from FFF, a major
25 distributor of immune globulin products to

1 hospitals.

2 [Slide.]

3 To recap, IGIV distribution has increased
4 by 50 percent since 1998. The average price of
5 IGIV has declined from a high of \$48.49 in 2001 to
6 a level of \$45.74 per gram projected for 2003.

7 Although there have been variations in
8 monthly distribution levels over the past year and
9 a half, there are significant amounts of IGIV in
10 the distribution pipeline that act as a buffer to
11 variations in release from the manufacturers.

12 [Slide.]

13 In summary, the supply of IGIV has
14 improved significantly since 1997. However,
15 importantly, more improvements can be made. We
16 recognize that there is still a high demand for the
17 product, that more product would be desirable, and
18 that competition is good for the industry and for
19 the public. FDA encourages manufacturers to submit
20 applications for new products.

21 The classification of whether a submission
22 is to be reviewed as a Fast Track priority review
23 or a standard review is a function of assessing the
24 medical need at a particular point in time balanced
25 against other priorities and the limited resources

1 of the FDA.

2 We encourage manufacturers to come and
3 talk to us and bring data to help us decide what
4 designation classification a submission should
5 receive.

6 Thank you for your attention.

7 DR. NELSON: Thank you, Dr. Weinstein.

8 Questions? Yes, Harvey.

9 DR. KLEIN: Do we have any idea about why
10 things are better now, is it that there is more
11 plasma being collected, more fractionation
12 capability, or is it that there are fewer recalls?
13 Do we have any quantitative information, and is
14 there sufficient fractionation capability, so that
15 if the curve of distribution continues to rise,
16 there will still be available fractionation
17 products?

18 DR. WEINSTEIN: I think that the elements
19 that you mentioned, both further manufacturing
20 capacity, new products coming on-board, increased
21 production by certain manufacturers, importation of
22 materials, these are all reasons why this increase
23 has occurred.

24 There is always concern about situations
25 where a given company may not be able to produce a

1 product at a desired rate. We know that these
2 situations can occur at any time. This is still an
3 industry that has relatively few manufacturers
4 involved in it, and if one of those manufacturers
5 does have a problem, there can be a dramatic
6 significant effect on the total availability of
7 immune globulin.

8 So, these elements, the fragility of this
9 market is something that we are very aware of, but
10 I am reporting on what the data is at the present
11 time. Conditions can change, and our priorities
12 will change with the conditions.

13 DR. ALLEN: How does the supply track
14 versus the demand or the need, and is there much of
15 the product that gets outdated, or does the FDA not
16 receive information on that?

17 DR. WEINSTEIN: We don't have direct
18 information about that part of the equation. The
19 hard numbers that we have really are the numbers
20 that we get from our required data collection from
21 manufacturers. We get estimates of availability by
22 making direct inquiries to distributors, finding
23 out how much material is in the pipeline.

24 We also rely on our good communications
25 with the patient organizations to find out what

1 their situation is and whether they are reporting
2 that there is lack of material available.

3 But the idea of an acute shortage, how do
4 we know when an acute shortage occurs here, and
5 those were the items that I pointed out before,
6 this idea of a nationwide surge in reports about
7 product deficiencies, that is what would be called
8 an acute shortage situation.

9 DR. NELSON: Presumably, a fair amount of
10 the reason for the increase in recent years is off-
11 label use?

12 DR. WEINSTEIN: A lot of demand for the
13 product is through off-label use.

14 DR. NELSON: You can't estimate that?

15 DR. WEINSTEIN: Right, that is very
16 difficult to estimate.

17 DR. SCHMIDT: Mark, the expiration date
18 that is given, is that from lot release or lot
19 production, and how long is it? That is the first
20 question.

21 The second question is did you see, on
22 those monthly distribution, did you see any major
23 changes from that Dear Doctor letter that was sent
24 out in terms of prioritizing IGIV in terms of
25 reduction or anything of that nature?

1 DR. WEINSTEIN: I believe that is a two-
2 year time period for most of the immune globulin
3 products, lot release from the last sterile
4 filtration, I believe. As far as the
5 prioritization or how the product is used, the
6 approved uses in the Dear Doctor letter are still
7 the ones that are currently in effect.

8 As far as knowing what the off-label uses
9 are, whether there has been an upsurge in that, we
10 simply don't have figures on where the principal
11 uses are now for these other potential uses of
12 product that are not approved.

13 DR. SCHMIDT: Can the outdated material be
14 reprocessed?

15 DR. WEINSTEIN: No, the outdated material
16 would-- in fact, there is very little outdated
17 material, that the stuff is gobbled up very
18 quickly.

19 DR. NELSON: There are a couple of people
20 that wanted to also testify from the Immune
21 Deficiency Foundation.

22 DR. BARR: Good morning. My name is
23 Richard Barr and I am the chairman of the Board of
24 Trustees of the Immune Deficiency Foundation. I am
25 also an adult patient diagnosed with common

1 variable immune deficiency.

2 [Slide.]

3 This morning, I would like to update this
4 committee on the Foundation's continuing concern
5 regarding the status of the immune globulin supply.
6 Tom Moran, the IDF president, will be assisting me
7 with this task.

8 Our fundamental perspective is that
9 despite the increasing IGIV supplies over the past
10 several years, our community is still in jeopardy
11 because of the facts we will outline this morning.

12 IDF, by history, is the national
13 organization dedicated to improving the lives of
14 primary immune-deficient patients through research,
15 education, and advocacy. Primary immune deficiency
16 diseases are inherited disorders in which parts of
17 the body's immune system are missing or do not
18 function properly.

19 The World Health Organization has
20 identified more than 100 different primary immune
21 deficiency diseases. Approximately, 50,000
22 Americans suffer from a clinically significant
23 primary immune deficiency disease, 70 percent of
24 these patients use immune globulin intravenous,
25 IGIV, regularly to maintain their health.

1 For our members, IGIV is literally a life-
2 saving therapy. In 1997, an acute shortage of IGIV
3 in the United States began and resulted in product
4 unavailability and negative health consequences for
5 many patients who require this therapy to maintain
6 their health.

7 As documented in IDF's 1998 surveys of
8 physicians and patients, which were presented to
9 this committee and other government bodies, we
10 determined that 40 percent of primary immune-
11 deficient patients in the United States got sick,
12 some seriously, as a result of missing or
13 postponing their infusions.

14 Tom Moran is now going to bring this
15 discussion into the present.

16 DR. MORAN: Thank you, Richard, and thank
17 you, Mr. Chairman, for having us in. Mark, thank
18 you for your earlier comments.

19 I am Tom Moran. I am president of the
20 Immune Deficiency Foundation.

21 In response to the IGIV shortage, as Mark
22 alluded to in his presentation, individual patients
23 and physicians, the Immune Deficiency Foundation,
24 industry, and the FDA all implemented actions to
25 understand the causes of the shortage, reduce its

1 impact, and eventually increase the available
2 supply of IGIV.

3 Working with industry, IDF provided
4 emergency distribution of IGIV to patients and
5 their health care providers. Working with the FDA,
6 we encouraged the priority rationing of IGIV within
7 health systems, and based on discussions between
8 FDA scientists and clinical immunologists, the FDA
9 streamlined clinical trial and licensing protocols
10 to encourage new manufacturers to enter the U.S.
11 market and to assist existing manufacturers to
12 develop and license next generation products.

13 Dr. Barr alluded to the continuing
14 vulnerability in our community despite an increase
15 in IGIV supply over the past several years, which
16 was demonstrated in Dr. Weinstein's testimony.

17 Our concern, our vulnerability is based on
18 the following four observations or facts.

19 First, although distribution of IGIV has
20 increased from approximately 15,000 kilos during
21 1998, to a level approaching 23,000 kilos in 2002,
22 we cannot measure the adequacy of this level in
23 either relative terms or in terms of the health
24 status of our patients. We have no confidence in
25 IGIV distribution estimated prior to PPTA providing

1 consolidated industry data beginning in 1998, so we
2 do not know where we stand today in relation to
3 pre-shortage levels. Also, the market's appetite
4 for IGIV seems to be insatiable evidenced by the
5 fact that current inventory levels of IGIV equal a
6 three-week supply at the manufacturers' level,
7 despite this increased release of product.

8 Secondly, distribution of IGIV does not
9 track medical necessity, but rather is determine by
10 distribution channels and market forces, and as Dr.
11 Barr will discuss in a moment, we have good
12 evidence that a substantial percentage of our
13 community is still untreated or undertreated as an
14 artifact or a hangover of the shortage.

15 Third, the concentration of manufacturing
16 capacity within five companies leaves consumers in
17 a position that manufacturing or compliance issues
18 at a single company could impact 20 percent of the
19 market, which the market is not able to absorb. As
20 a reminder, two of these five companies remain
21 under consent decree for good manufacturing
22 practices, also consolidations among manufacturers,
23 such as the potential merger announced by two of
24 the five companies I have just noted continue to
25 reinforce this trend toward concentration of

1 resources.

2 Fourth and finally, other uses for immune
3 globulins, including as a response to specific
4 bioterrorism threats or actions, could have a
5 significant and immediate effect on IGIV supply.

6 In an effort to minimize the risks
7 associated with this set of circumstances, and
8 based upon our disastrous experience with the IGIV
9 shortage, IDF took the unusual step of recruiting
10 two European manufacturers, and through a related
11 organization, has assisted them in conducting U.S.
12 clinical trials, so as to help to diversify the
13 production capacity available to the U.S. market.

14 These trials are being conducted under the
15 revised trial guidelines recommended by the FDA in
16 March 2000, and we trust that their licensing
17 applications will be handled expeditiously as
18 promised by the agency in that statement.

19 We are also consultants to several
20 currently licensed manufacturers in developing next
21 generation products or new routes of administration
22 for immune globulin products.

23 We recognize that CBER, the Office of
24 Blood, Research and Review, the Division of
25 Hematology are currently operating under extreme

1 resource constraints, and must balance the
2 interests of primary immune-deficient patients with
3 alpha-1 antitrypsin deficiency, hemophilia, and
4 many other at-risk populations. We are all
5 dependent on FDA to wisely allocate its limited
6 staff resources.

7 At IDF, we have the responsibility to
8 inform this committee and the agency about the
9 circumstances facing our community.

10 To close this presentation, Dr. Barr will
11 provide you the results of a survey of IGIV users
12 in our community completed this past January.

13 DR. BARR: Thank you, Tom.

14 As Tom stated, our community feels
15 continued jeopardy based on the volatility of the
16 marketplace, concentration of production capacity
17 within the plasma derivative industry, and
18 unplanned contingencies.

19 Common sense and experience instruct us
20 that while specific problems in IGIV supply are not
21 predictable, they are inevitable under the present
22 circumstances. The root concern for our community
23 is not market economics or competitive diversity,
24 it is a concern for the health and well-being of
25 our constituents.

1 In this context, we have troubling
2 evidence that suggests significant undertreatment
3 is occurring in our community as a result of the
4 shortage, and that current distribution levels have
5 yet to remedy this problem.

6 If I could have Slide 1 and 2.

7 [Slide.]

8 The IDF recently conducted a follow-up
9 review of the primary immune-deficient patient
10 population. The results of this study point to a
11 significant amount of undertreatment for primary
12 immune-deficient patients, especially as it relates
13 to IGIV supply.

14 [Slide.]

15 The most disturbing finding of this study
16 was that 16 percent of the patients who were on
17 IGIV therapy in 1996 were no longer using it in
18 2001, but were still alive and still affected by a
19 primary immune deficiency disease.

20 [Slide.]

21 Another fact leading to a concern for
22 undertreatment is that 11 percent of the patients
23 reported that they receive IGIV infusions every
24 five weeks or less often. Because the recommended
25 dosing frequency is every three or four weeks at a

1 minimum, in view of the half-life of the product,
2 the IDF is concerned that a less frequent schedule
3 may place these patients at increased risk for
4 infection.

5 There are many possible explanations for
6 this finding including that providers stop trying
7 to find a stable IGIV supply and abandon this
8 treatment in frustration, or that managed care
9 settings, such as infusion sites and hospitals,
10 abandoned or severely restricted access to this
11 therapy, and have not moved back to appropriate
12 treatment regimens.

13 Regardless of the cause, the fact remains
14 that our patients are still not realizing the
15 benefits of a sufficient IGIV supply.

16 In closing, IDF remains concerned with
17 IGIV availability despite the positive direction
18 that the distribution data show.

19 The volatility in the market and the
20 concentration of manufacturing capacity, combined
21 with our data that a significant number of primary
22 immune-deficient patients are undertreated, leaves
23 our community with a supply that is inadequate to
24 have filtered down to all primary immune-deficient
25 patients and a situation that leaves us vulnerable

1 to an unpredictable, but inevitable supply
2 disruption.

3 Our intention is that by keeping this
4 issue in the forefront, we can take actions now
5 that will mitigate consequences of such an event.

6 We thank the committee for your attention
7 and we would be happy to answer any questions.

8 DR. NELSON: Thank you, Dr. Barr.

9 DR. SCHMIDT: Has anybody shown any
10 interest in studying the 16 percent who
11 discontinued therapy--that would be about 50
12 people--to see what difference it made in their
13 lives if they were no longer getting the material?

14 DR. MORAN: Fifty of the sample of 300,
15 yes. This survey was conducted in November,
16 December, and we just interpreted the data in
17 January. The next step is to follow up and to get
18 exactly what you are talking about.

19 Yes, Mary.

20 DR. CHAMBERLAND: Sort of a companion
21 question. Do you have plans to try to conduct a
22 survey of providers to get their perspective? This
23 is a survey of patients only as I understood it,
24 and I think in the past, you have also surveyed
25 providers.

1 DR. MORAN: Yes. We are, as an
2 organization, extremely concerned with what appears
3 to be patterns in treatment that on the surface
4 seem to be not at adequate levels, and the answer
5 to your question is yes, and the same follow-up
6 effort with respect to going to patients will also
7 be following up with providers.

8 I think one of the issues might be there
9 was, during the shortage, a tendency, particularly
10 among managed care settings, to move, say,
11 infusions from three to four weeks or four to six
12 weeks, and clearly, if there hasn't been a
13 disastrous health outcome, there may be a tendency
14 to stay at that level since for the people that are
15 footing the bill, they are going to be saving money
16 as a result of that decision.

17 This is speculation, but the answer to
18 your question is yes.

19 DR. FALLAT: What is the half-life of
20 IGIV, and by shifting to a longer time period, do
21 you not actually inefficiently use the supply?

22 DR. BARR: Inefficiently use it.

23 DR. FALLAT: Yes.

24 DR. BARR: The half-life is every three to
25 four weeks, and you are not efficiently utilizing

1 the supply. The patient are also really
2 undertreated. We are allowing essentially what we
3 would measure the trough level, the level of IgM in
4 the patient's bloodstream just pre-infusion to get
5 lower and lower, and essentially subject the
6 patients to, you know, potentially life-threatening
7 and serious infections.

8 Anecdotally, we have seen from a number of
9 our patients, they were left with a mind-set during
10 the shortage that, you know, we had a finite
11 supply and it was in their best interest to really
12 preserve that supply, because they needed it as a
13 life-saving therapy.

14 So, the tendency, I think, among some
15 patients was to try to use as little as possible to
16 sort of stretch it out, and even from the patient's
17 perspective, perhaps also the provider and the
18 physician, that we are trying to not exhaust this
19 finite supply of IGIV was sort of the mentality of
20 the population, and I think it is still pervasive
21 to some degree.

22 DR. CUNNINGHAM-RUNDLES: This is another
23 tiny bit of background information about what the
24 long-term effects might be, I think, of patients
25 who are not getting enough gamma globulin, and this

1 is a current theme in clinical immunology right at
2 the present time because there have been four
3 separate articles in peer review journals
4 discussing the lung function of patients who are
5 being treated with IVIG.

6 I think the first, most stunning ones that
7 said that 80 percent of patients who were getting
8 the previously accepted dose of IVIG, in fact, had
9 developed bronchiectasis. There was another
10 article in the Annals of Internal Medicine in the
11 fall in which 40 percent of all the patients in
12 Holland were surveyed, their pulmonary functions
13 and such, and coming to the conclusion that these
14 patients were also being undertreated given again
15 the standard dose. Those patients' dose actually
16 was doubled.

17 Now, that being in the Annals of Internal
18 Medicine, coupled with the articles from Finland,
19 Holland, and also from France, about X-link to
20 gammaglobulinemia patients having slow onset of
21 pulmonary dysfunction, I think the current tenor in
22 the United States of physicians who treat these
23 patients will be to increase the dose rather than
24 to decrease it.

25 From an academic point of view, this is

1 bound to trickle down, and I think that adds into
2 the issue of how good our supply is in this case if
3 everyone is going to perhaps add 25 percent more
4 gamma globulin or, the worst case scenario, 50
5 percent more for every patient, that will have an
6 impact again on the ultimate availability, I think.

7 That is certainly a tenor at current
8 meetings regarding these patients.

9 DR. NELSON: Thank you, Charlotte.

10 DR. DiMICHELE: I just wanted to ask just
11 for my own edification, what type of physicians
12 treat immune deficiencies? In other words,
13 certainly immunologists do, but what percent of
14 immunodeficiency patients are treated by
15 specialists who are well aware of supply, what they
16 can and can't do, and how many are actually treated
17 by maybe primary care physicians for whom
18 information dissemination might be a problem, and
19 thus, you know, the treatment inequity resulting
20 from that?

21 DR. MORAN: I don't recall the exact
22 statistic, I will say that as a caveat. We did a
23 survey in 1995-96, and if I recall, it is something
24 on the order of 50 percent or fewer were treated by
25 clinical immunologists, and the majority of

1 patients were treated in different settings.

2 We are planning an update to that survey
3 as part of the other surveys that were mentioned
4 earlier to begin sometime in the next month or two,
5 so we will get revised data, but there is a
6 tendency in medicine in general, I think, for
7 patients with these types of disorders or chronic
8 disease to be treated much more in the primary care
9 arena than specialists.

10 DR. DiMICHELE: Which kind of brings up
11 the issue of access to care versus access to
12 product.

13 DR. MORAN: Exactly right.

14 DR. DiMICHELE: And in terms of your
15 figures being interpreted.

16 DR. MORAN: That's correct.

17 DR. ALLEN: You made a statement at one
18 point about patients perhaps undertreating
19 themselves, which raises in my mind--and I honestly
20 don't know since I do public health, and not
21 clinical medicine--is this product used as a home
22 therapy, in other words, patients keeping a supply
23 at home and doing their own infusion?

24 DR. BARR: Some patient home infuse. I
25 home infuse. But they don't keep a supply at home.

1 Typically, the home health care agency would
2 deliver the product, you know, the day of the
3 infusion for the patient to self-infuse at home or
4 to have a nurse infuse at home. Many patients are
5 infused in hospital outpatient settings, also in
6 physicians' offices.

7 MR. RICE: Tom, you mentioned the same
8 thing that Dr. Weinstein had mentioned, that two of
9 the five companies are planning to merge, and I can
10 understand perhaps--I don't know you have responded
11 to that or what kinds of assurances you have been
12 looking for in light of that--I mean I can
13 understand that maybe there is an efficiency and
14 maybe a bolus amount of product that might occur
15 from a concentration of resources, but as we have
16 seen in the hemophilia community the catastrophic
17 shutdown of Cogenate from the Bayer Corporation,
18 when there is fewer people manufacturing a product
19 and one goes down, it is even worse no matter how
20 good the bolus amount might be for short term, what
21 about that long-term picture and how that might
22 affect you?

23 DR. MORAN: That is really the basis of my
24 comment, if you go down from five sources to four,
25 then, you are increasing your exposure, as you just

1 stated, as an arithmetic certainty.

2 With respect to potential benefits, and so
3 forth, the companies have come to us and described
4 what their objectives are, and we are in
5 discussions with them about that, but I think the
6 reason for mentioning that, and also referencing
7 the two companies under consent decree, is again
8 just a simple sense of vulnerability that fewer
9 sources of product would entail.

10 DR. HOLLINGER: I take it that most of
11 these are IgG deficiencies, not necessarily IgA or
12 IgM, and what is considered a critical level of IgG
13 in individuals? Like we have critical levels for
14 so many other things, what is considered a critical
15 level, understanding that there are variations?

16 DR. MORAN: I think we will refer that
17 question to Dr. Cunningham-Rundles, who is right at
18 the table.

19 DR. CUNNINGHAM-RUNDLES: I think most of
20 the patients who are infused these days have the
21 diagnosis common variable immune deficiency or
22 substantial antibody deficiency with IgG
23 deficiency. So, common variable is when two of the
24 three subclasses, or two of the three isotypes are
25 down, so IgG, usually A and very often M as well,

1 so it can be across the board.

2 People with just plain IgA deficiency
3 generally don't get gamma globulin, though.

4 DR. HOLLINGER: And a critical level,
5 what, 500, 300, 200, 100, 20?

6 DR. CUNNINGHAM-RUNDLES: That's impossible
7 to say because you can have a person who has a
8 level of 300, and have wonderful antibody function,
9 or a level of 1,000 and terrible antibody function,
10 so the level of gamma globulin isn't so important
11 as the real functional component. So that has to
12 be measured separately.

13 If you have to give a textbook answer, you
14 would say that normal people run, say, 500 to 1500,
15 that normal is normal. So under 500 is not normal
16 exactly, but you might become symptomatic at, say,
17 400 or 300, certainly much less than that

18 DR. NELSON: What proportion of the IVIG
19 is used for primary immunodeficiency as opposed to
20 other, like off-label uses? My impression was that
21 the latter has grown rather dramatically, but do
22 you have any data on that?

23 DR. MORAN: Well, primary
24 immunodeficiencies are one of I believe it is six
25 license indications, and I think estimates vary

1 between 20 and 30 percent, probably on the lower
2 end, 20 to 25 percent would be used by primary
3 immune-deficient patients.

4 The other license indications may account
5 for another 25 percent, and off-label indications
6 may be 50 percent or higher. This is speculative.
7 It is based on some research, but it is a guess up
8 to a point.

9 DR. DiMICHELE: I have another related
10 question. If I understand correctly, FFF was
11 involved in creating emergency depot systems for
12 distribution of product during shortage, and I was
13 wondering if you could comment on the role of
14 emergency depots in sort of alleviating the
15 shortage, getting product to patients, et cetera,
16 what role did it play in this shortage?

17 DR. MORAN: Well, in fact, FFF was the
18 distributor that the IDF selected to handle what we
19 call our Safety Net Program, and I think between
20 the fall of 1998, really through the present time,
21 there has been a substantial amount of gamma
22 globulin distributed through the mechanism.

23 It was literally a lifesaver in our
24 community, and it was done very efficiently and
25 very effectively. The typical kind of call that

1 would come in would be from a nurse or a pharmacist
2 or a physician saying I have got three patients
3 coming in on Friday--maybe this call came in on a
4 Wednesday--and we can't get any IGIV, and so it was
5 really day after day, month after month, and it
6 became year after year of filling those kind of
7 just-in-time requests.

8 We frankly think that that turned the tide
9 within our community because, as someone pointed
10 out, if there is 5-or 6 million grams consumed by
11 primary immuno-deficient patients, even during the
12 shortage when there were 15 million grams released,
13 it is a question of trying to allocate that
14 product, target it at the patients who arguably
15 will certainly need it with respect to life-
16 sustaining reasons.

17 So, as soon as it became known
18 pharmacists, hospitals, physicians, and patients
19 that there was this resource available, this just-
20 in-time resource, as a backup, I think that went a
21 long way to ameliorating the negative health
22 effects that Dr. Barr alluded to. At the IDF, we
23 are very proud of that, and FFF did an outstanding
24 job in supporting us in that.

25 DR. FITZGERALD: This is maybe more to

1 Mary than to you, but the discussions, one of the
2 things you mentioned in your presentation was
3 homeland defense. In discussions of responses to
4 bioterrorism, some of the protocols that have been
5 discussed include using IVIG versus IM.

6 Is there somebody looking at the possible
7 or potential for how much might be needed and what
8 protocols would be addressed for that?

9 DR. CHAMBERLAND: I, myself, can't speak
10 directly to that, but I do know that there are
11 people both at CDC and certainly at the FDA, that
12 are involved in a lot of the discussions in
13 consideration.

14 I don't know if anybody from FDA is
15 present to supplement.

16 DR. EPSTEIN: Part of the issue has to do
17 with vaccinia immune globulin, and the question
18 how much can be generated, how soon, to support any
19 kind of vaccination program, either of first
20 responders or in circumstances of an outbreak, and
21 research mainly by scientists at FDA has shown that
22 there are IGIV preparations that contain levels of
23 antibodies to vaccinia due to childhood
24 immunizations, which stopped in the very early
25 seventies, and that the titers are lower than in a

1 fresh vaccinee, but are potentially useful.

2 So, there is the question whether one
3 could utilize any existing stocks of IGIV to deal
4 with any large number of vaccinee or vaccinations,
5 smallpox vaccine, so we are aware of that, and we
6 are looking at it, and we are also aware of the
7 possibility that one might be able to conserve
8 product use by IM dosing versus IV dosing.

9 But I just think that all of those issues
10 are very much under discussion and that there is
11 not much that I could say definitively except that
12 it would potentially become yet another medical
13 demand for IGIV products.

14 DR. NELSON: Thank you.

15 The next item is a discussion of the
16 recent HIV Western Blot shortage.

17 Dr. Mied.

18 **Recent Western Blot Shortage**

19 DR. MIED: Thank you, Dr. Nelson.

20 [Slide.]

21 On April 17, 2002, Calypte Biomedical
22 Corporation of Alameda, California, issued a News
23 Release in which it "announced that it has begun to
24 wind down its operations." These operations include
25 the manufacture of the Cambridge Biotech HIV-1

1 Western Blot Kit.

2 The Cambridge kit is one of three
3 currently available HIV-1 supplemental tests
4 licensed by FDA for testing of blood and plasma
5 donor specimens or as an aid in medical diagnosis.

6 The other two licensed supplemental tests
7 are the Genetic Systems HIV-1 Western Blot
8 manufactured by Bio-Rad Laboratories, Inc., of
9 Hercules, California, and the Fluorognost HIV-1 IFA
10 kit manufactured by Sanochemia of Vienna, Austria.
11 Performance of a licensed supplemental test
12 following a reactive donor screen is required under
13 current regulations (See 21 CFR 610.40(e)).

14 On May 13, 2002, Calypte Biomedical
15 Corporation issued a Press Release announcing the
16 continuation of ongoing business and that "the
17 company does not intend to wind down its business
18 as previously announced."

19 In the interim, however, pending
20 replenishment of inventories, shortages of Western
21 Blot Kits may continue to occur, especially for use
22 in diagnostic testing, since that constitutes the
23 market segment with the greatest demand for Western
24 Blot Kits.

25 On May 10, 2002, in response to inquiries

1 from public health testing sites and clinical
2 testing laboratories, CDC issued a Morbidity and
3 Mortality Report, an MMWR, that outlined options
4 for clinical testing laboratories and public health
5 laboratories for supplemental testing to detect HIV
6 antibodies using test kits approved by FDA in the
7 event that the Cambridge Biotech HIV-1 Western Blot
8 Kit is unavailable. Some blood and plasma
9 establishments have also experienced delays in
10 obtaining HIV-1 Western blot kits.

11 [Slide.]

12 The following current options exist for
13 blood and plasma establishments for use of licensed
14 HIV-1 supplemental tests to validate the results of
15 donor screening for antibodies to HIV:

16 First, use a licensed Western Blot Kit.
17 Supplemental testing can be performed on serum and
18 plasma using the Genetic Systems HIV-1 Western Blot
19 (Bio-Rad Laboratories, Inc., Hercules, California).
20 Information about the availability of this test kit
21 can be obtained on-line at www.biorad.com or by
22 contacting the company directly at 1-800-2-BIORAD.

23 The Cambridge Biotech HIV-1 Western Blot
24 Kit may also be available for use. Information
25 about the availability of this test kit can be

1 obtained on-line at www.calypte.com or by
2 contacting the company directly at 1-877-CALYPTE.

3 The second option is to use the licensed
4 Sanochemia IFA test.

5 Supplemental testing can be performed on
6 serum or plasma using the Fluorognost HIV-1 IFA kit
7 manufactured by Sanochemia, Vienna, Austria.
8 Information about the availability of this product
9 can be obtained by accessing the product web site
10 at www.fluorognost.com or by calling Fluorognost at
11 (203)-227-6880. Sanochemia provides both a self-
12 taught course on performing the HIV-1 IFA and a
13 proficiency panel free of charge.

14 [Slide.]

15 Future Options for Consideration. In the
16 event that approved supplemental tests become
17 unavailable, FDA would consider whether it is
18 appropriate for establishments to use under the IND
19 mechanism foreign supplemental tests that are
20 brought forward in pursuit of licensure.

21 FDA is also interested in facilitating the
22 development and approval of alternative testing
23 strategies that could provide additional options
24 for supplemental testing of blood samples from
25 donors with reactive screening tests for HIV.

1 It is our current thinking that additional
2 options for supplemental testing might be developed
3 based on suitable scientific data contained in
4 application submissions, for example, the
5 validation of nucleic acid testing, or NAT, for use
6 in supplemental testing algorithms.

7 Scientific considerations suggest that a
8 reactive NAT on an individual unit could be taken
9 to confirm a repeatedly reactive screening test for
10 antibodies to HIV. Conversely, a negative NAT might
11 be taken as conclusive in some circumstances. FDA
12 would review data from studies performed by
13 industry should they wish to pursue such options.

14 Secondly, a role for reference
15 laboratories. FDA is interested in hearing
16 comments on the issues related to the creation of a
17 role for reference laboratories in providing
18 supplemental testing for antibodies to HIV.

19 Thank you.

20 DR. NELSON: Thank you, Dr. Mied.

21 Questions? Yes, Paul.

22 DR. SCHMIDT: Of the, is it 13 million
23 units of blood collected in the country a year,
24 what number are going to require this supplemental
25 testing, and how does that face up to other uses of

1 the supplemental test in diagnostic clinics, and
2 things like that? How much of the problem is a
3 blood supply problem?

4 DR. MIED: In the donor setting, the
5 repeatedly reactive rate for HIV antibody is
6 approximately 0.1 percent or 1 in 1,000. So, out
7 of 13 million donations of blood only, we are
8 talking about 13,000 HIV Western blots would need
9 to be run.

10 We have found that the total market for
11 HIV Western blot testing is approximately 35,000
12 tests per month, so if you look at it on a
13 percentage basis, the blood and plasma market plus
14 military testing, on the whole, totals no more than
15 10 percent. From what we can see, the diagnostic
16 testing market is about 90 percent of total Western
17 blot demand.

18 DR. SIMON: In terms of your possible
19 future options, I wasn't clear what you meant by a
20 role for reference laboratories. How would that
21 help if there is a shortage of test material?

22 DR. MIED: There may be existing
23 laboratories that could qualify as reference
24 laboratories for the purpose of providing
25 supplemental testing, or perhaps a whole new

1 laboratory structure could be created.

2 We would welcome comments on possible
3 options as to how this could be done, how it could
4 be provided perhaps as a service.

5 DR. SIMON: But wouldn't the reference
6 laboratories use commercial reagents, or are you
7 saying that they would use some other source?

8 DR. MIED: They would use commercial
9 reagents, but we are certainly interested in
10 listening to alternatives, and those alternatives,
11 as I said, could include new alternative testing
12 algorithms that could be approved, that could
13 obviate the need for Western blot.

14 DR. NELSON: Actually, since blood donors
15 are screened with NAT, those that are NAT-positive
16 wouldn't need a supplemental test. Would that
17 solve a lot of the problem as far as the blood
18 donors are concerned?

19 DR. MIED: Dr. Nelson, currently, they are
20 screened with pooled sample NAT, and you may have a
21 repeatedly reactive test from an EIA, a sample that
22 is repeatedly reactive with on EIA, but is negative
23 on pooled sample NAT, which on subsequent testing
24 could be reactive on an individual sample NATION.
25 This is the type of data FDA welcomes, how such an

1 algorithm could work.

2 DR. NELSON: I guess if the person was on
3 therapy, that might happen, I agree.

4 DR. ALLEN: Are there currently
5 manufacturers, particularly the NAT testing, who
6 are considering submitting the data that you are
7 asking for, or is this really perhaps way down the
8 line before that might become a possibility?

9 DR. MIED: No, we don't think it's way
10 down the line. We know there are some studies in
11 progress right now. We will have to see what the
12 result in, in terms of product submissions from the
13 manufacturer.

14 DR. HOLLINGER: Paul, at 35,000 tests a
15 month, that does not seem to be a large number.
16 Why is it that the manufacturers are not producing
17 the Western blot kits? What is the reason that
18 there is a reduction in these kits?

19 DR. MIED: Well, at time we had four
20 licensed Western blot manufacturers. One has
21 ceased producing Western blots, but another one was
22 the Genetics Systems' Western Blot, which has
23 continued on the market even though Bio-Rad, which
24 had another of the licensed Western blots, now
25 Genetics Systems is part of Bio-Rad, so we have

1 lost one Western blot being a manufacturer has
2 discontinued production of it, and the other one
3 was a company with essentially two products that
4 decided to go with one.

5 So, we current have two licensed Western
6 blots, and we are interested in doing what we can
7 to encourage more manufacturers to get into
8 supplemental test production.

9 DR. NELSON: Jay.

10 DR. EPSTEIN: Thanks, Kenrad.

11 I just wanted to follow up because I think
12 that what you are hearing, similar to the
13 presentation on IGIV, the FDA does not control the
14 market forces. We do what we can to work with
15 manufacturers either to get them into compliance or
16 to help them streamline their product submissions,
17 come to license more quickly, and so forth.

18 But I think what you are hearing is that
19 there are these forces that make the market
20 irrational, but we don't control them, and what we
21 are looking for are ways to perhaps better engineer
22 the public health system, so that we are able to
23 have safeguards, either providing products for
24 patients with critical need or making critical
25 diagnostics available, still under the general

1 umbrella of licensed mechanisms and quality
2 oversight, but to do something about the fact that
3 we have these irrational market forces.

4 DR. HOLLINGER: I understood that, Jay,
5 but I guess my question was a more generic question
6 of why, I mean if the market is out there, is it
7 liability, are there other issues that they just
8 don't have the manufacturing to produce these kits
9 or something, is it not profitable? I am just
10 trying to figure out what is the reason why there
11 is this issue here.

12 DR. EPSTEIN: I don't know that I am in a
13 better position to answer that question than
14 anybody else. I can tell you what we heard. The
15 things that we hear are that these are not
16 profitable products.

17 We hear that producing them under GMP
18 creates a barrier. We also hear that companies
19 basically want to make home run products, there is
20 not a lot of incentive for the companies with the
21 capability to make these products, to make products
22 that have small volume sales.

23 These are just the kind of things that we
24 hear.

25 You know, every company wants to sell

1 millions of screens, and few companies are willing
2 to make products that are only a few tens of
3 thousands of supplemental tests.

4 DR. HOLLINGER: Does this mean that
5 perhaps in the future, for products like this, that
6 are going to be required, and so on, that they are
7 going to have to be manufactured by the government
8 then or something else if they are not going to be
9 picked up by industry?

10 DR. EPSTEIN: I think that that would be
11 one sort of option that could be considered. This
12 is why we are saying we need to call for options.
13 There are other ways one could look at this.

14 For example, the blood industry itself
15 could establish a reference laboratory using
16 licensed reagents. If they are not available from
17 other sources, that entity could itself become a
18 manufacturer, but it could be linked to a stable
19 revenue, because it is sponsored by the
20 organizations that need to use the product. The
21 blood product testing would be done by laboratories
22 supported by and then serving the collection
23 industry.

24 So, there are ways that the thing could be
25 stabilized. The government I think has reasonable

1 reluctance to just step in and become a
2 manufacturer, it doesn't want to do that.

3 DR. NELSON: Yes. The microphone.

4 MR. STEVENS: Chip Stevens from Sanochemia
5 Fluorognost, makers of the Fluorognost HIV-1 IFA.
6 I am not here to talk about our product, this is
7 not the place. It is an FDA-approved product with
8 very high quality sensitivity and specificity.

9 On the request of the CDC and certain
10 members of the FDA, when the Cambridge issue came
11 up, we increased our production 3-fold to meet the
12 crisis. Since the crisis started, half of the
13 state health departments have been qualified on our
14 test, and have either established it as their test
15 or as a Plan B.

16 In that time, but one blood center has
17 called us. We, at great financial risk, we are a
18 company that produces one diagnostic product. The
19 HIV-1 Western Blot costs about 13 to \$14 to
20 manufacture and produce, get out on the market.
21 Most people are willing to pay about 13 or \$14 for
22 that, and that is a reason you have a shortage,
23 that is why you have companies merging, going out
24 of business.

25 We produce an IFA product, a very quality

1 at great financial risk, we built our inventories
2 and have not gotten much of any response from the
3 blood bank. I would request before you look at
4 lowering the standards, holding a safety net for
5 NAT, using that as your confirmatory, that you look
6 at the market itself.

7 We work real hard. We don't want to be
8 another manufacturer that goes down trying to help
9 the market, meet the marketplace. We have product
10 available, and we are ready to meet the marketplace
11 need.

12 DR. NELSON: Thank you. Mike.

13 DR. BUSCH: Mike Busch. I personally
14 think, well, one, is that we pay about \$50 per
15 strip for Western blots. That is the discount
16 rate, large volume purchases, but in a sense, you
17 know, the problem is the rest of the world is long
18 past Western blot and IFA's. They are using
19 recombinant antigen-based supplement assays that
20 perform very well in a sensitivity/specificity
21 context.

22 The problem is the market is so small, and
23 the barrier, the cost barrier, to produce and
24 license these products through FDA, has led to the
25 failure of most of these products to come formally

1 to FDA.

2 The few examples where I have been
3 involved where recombinant-based assays have come
4 to FDA, the criticism historically was that, you
5 know, you look at lots of seroconversion panels and
6 you will find that they can't always pick up when
7 the most sensitive EIAs can. They are better than
8 blots, but they are not as sensitive as the EIAs in
9 certain panels.

10 Now, that is a dead issue since we screen
11 the blood supply with NAT, so we have RNA to cover
12 that early window period, but still the fundamental
13 problem is I just don't think any of these
14 companies are going to bring state-of-the-art
15 assays to this country for full FDA licensure, and
16 I think the option of trying to figure out some way
17 where large blood center or other reference labs
18 can gain access to these products and perform them
19 in a context that FDA accepts is the best solution.

20 DR. STRAMER: Susan Stramer, American Red
21 Cross.

22 I just want to make the committee aware of
23 two points. One, the accumulated NAT data we have,
24 and NAT has been referenced as one possible
25 alternative to the Western blot, where that is an

1 option for NAT positives whether they are detected
2 in pools and then resolved to an individual
3 donation, that is all well and good, but that
4 represents only 5 to 7 percent of the total usage
5 of Western blot. So, that will eliminate only a
6 small portion of the need.

7 Then, if you look at the Western blot
8 positive, the other side of the coin, are the
9 Western blot positive samples that aren't NAT
10 negative, as Dr. Nelson mentioned, if an individual
11 is on treatment long term, non-progressors who may
12 have very low viral load.

13 In our screening program at the American
14 Red Cross, we found 41 such samples that are
15 Western blot positive and NAT negative, so to look
16 at options to decrease the burden on the
17 supplemental tests, which even when they are FDA
18 licensed lack the same quality as our primary
19 screening tests, what we are doing, that is, Red
20 Cross, in collaboration with Blood Systems
21 Laboratories, is we are validating a dual EIA
22 strategy, the same as we use for HTLV today because
23 of the issue of no supplemental test that is
24 licensed by FDA.

25 So, what that would entail are the two

1 tests that are FDA licensed for screening that have
2 been through the most robust validation, the
3 largest amount of data accumulated from users on
4 the test, and probably the most confidence that we
5 have on any test kits available.

6 So, we would do the dual EIA the same way
7 as we apply the HTLV algorithm, and only concordant
8 EIA repeat reactives would go on to Western blot
9 for further resolution, and discordance would not
10 require any testing.

11 So, if testing about 500 Western blot
12 positives that are NAT positive, we found that to
13 be reactive on both EIAs--this is data to date, we
14 are not completed with the study yet--and of
15 Western blot indeterminates and negatives, we are
16 able to eliminate over 90 percent of repeat
17 reactive samples on either of the two screening
18 tests, so this will greatly diminish the burden of
19 volume that is required for the supplemental tests.

20 So, we believe that this will be a viable
21 option. Our plan is to collate the data provided to
22 FDA for review, and hopefully, have further action
23 at that time.

24 Thank you.

25 DR. NELSON: Thank you. There is also a

1 lot of data in the international setting using that
2 algorithm of dual EIA, and the data so far is
3 pretty good. Of course, they are often in higher
4 prevalence populations than the donor population,
5 so I think it needs to be looked at in the blood
6 donor population, as well.

7 Toby.

8 DR. SIMON: I think we have had two very
9 interesting presentations on significant shortage
10 issues. I think Dr. Epstein's comments are very
11 good in terms of focusing us on the fact that the
12 market forces can be irrational, can cause
13 problems.

14 I do think, as this committee discusses
15 this and other items, one of the things we need to
16 keep in mind is that the cost of regulation has a
17 heavy impact on these markets. We are looking at,
18 I think, a number of instances in which the cost of
19 regulation may have impacted supply, and that is
20 something I think that needs to be considered as we
21 look at the economics and its impact on patient
22 care.

23 DR. NELSON: Somebody mentioned the--maybe
24 that was the IVIG--the consent decree, a few
25 companies, was the consent decree related to this

1 product production or something else, do you know?
2 Were they related to GMP problems with
3 manufacturing this product or some other product?

4 DR. MIED: Not for the Western blots that
5 I am aware, no.

6 DR. NELSON: Wendy Chen from Calypte.

7 DR. CHEN: Thank you, Dr. Nelson. My name
8 is Wendy Chen. I am the director of Product
9 Technology at Calypte Biomedical Corporation. I
10 would like to thank the committee for giving this
11 opportunity to read the following statement.

12 Calypte Biomedical Corporation,
13 headquartered in Alameda, California, is a public
14 healthcare company dedicated to the development and
15 commercialization of urine-based diagnostic
16 products and services for HIV-1, sexually
17 transmitted diseases, and other infectious
18 diseases.

19 Calypte's existing products include the
20 Cambridge Biotech HIV-1 serum Western Blot
21 supplemental test, as well as the only two FDA-
22 approved HIV-1 antibody tests that can be used on
23 urine samples - a screening EIA and a supplemental
24 Western Blot.

25 On April 17, 2002, Calypte announced that

1 the company had begun to wind down manufacturing
2 operations and might file for bankruptcy. Faced
3 with limited operating cash, Calypte began laying
4 off its workforce and closing its facilities while
5 at the same time, continuing to aggressively seek
6 partnerships and additional funding.

7 Calypte announced that we would not longer
8 be able to sustain operations from existing
9 revenues and current financing lines.

10 On May 13th, Calypte received a commitment
11 for investment in new equity from a group of
12 private investors to be used to fund the Company's
13 operations and to move forward with the
14 implementation of its business plan.

15 In light of this new funding, operations
16 in our Alameda, California and Rockville, Maryland
17 production facilities were not completely shut
18 down, key staff were brought back and production
19 was resumed.

20 On May 24th, Calypte announced the
21 completion of \$2.7 million in financing.

22 To date, Calypte has been able to bring
23 back 42 key employees and because steps were taken
24 to allow operations to restart smoothly, we have
25 been able to resume production of all products with

1 minimal interruption. Additionally, we have
2 retained an inventory of its HIV-1 Urine EIA
3 screening test and will be submitting additional
4 product to the FDA for lot release in early July.

5 We are now happy to report that we have
6 received FDA lot releases for new inventory of both
7 the Cambridge Biotech HIV-1 serum Western Blot
8 supplemental test, as well as the Cambridge Biotech
9 HIV-1 Urine Western Blot supplemental test. All of
10 our HIV testing products are again available and
11 are being distributed.

12 Calypte looks forward to continuing to
13 work closely with the FDA to continue to supply our
14 HIV testing products to the market as quickly as
15 possible.

16 Thank you.

17 DR. NELSON: Thank you. Questions or
18 comments?

19 The next speaker is Chip Stevens from
20 Fluorognost. You made a comment at the microphone.
21 I don't know if you want to say anything else. No?
22 Okay.

23 Celso Bianco from America's Blood Centers.

24 DR. BIANCO: We had prepared a statement
25 that is quite long, so I will try to summarize it,

1 but I respectfully request that the whole statement
2 become part of the record.

3 American's Blood Centers, as you all know,
4 is a national network of locally-controlled, not-
5 for-profit community blood centers that collect
6 about half of the U.S. blood supply.

7 While we are hopeful that the anticipated
8 shortage of HIV-1 Western blot kits has been staved
9 off for now, the incident highlights the need for
10 multiple suppliers of the tests for which we rely
11 for donor counseling and donor re-entry.

12 More importantly, it also suggests the
13 need for alternative approaches to the use of
14 supplemental tests and the management of those
15 tests.

16 I think this is an opportunity--and I will
17 try to summarize this--to address the major issues
18 that we have with supplemental tests.

19 First of all, the current screening tests,
20 since they are being applied to an essentially
21 negative population with very few positives,
22 produce a lot of false positive test results, and
23 we know that.

24 Donors with repeatedly reactive screening
25 test results, they must undergo confirmation

1 according to the current regulatory process. The
2 number of HIV tests for confirmation is limited.
3 There are no confirmatory tests or additional more
4 specific supplemental tests for the other
5 retrovirus that we test for, this HTLV I/II.

6 The current supplemental tests, like HIV
7 Western blots are less than optimal, and I must
8 mention that the Cambridge Western Blot has in its
9 package insert that when it is supplied to a normal
10 population that is negative on the ELISA screen,
11 that Western blot will produce 15 percent of the
12 time indeterminate test results simply because of
13 the definition of negative as stated in the
14 approval of the test.

15 I mentioned the HTLV, and even in the case
16 of HTLV, there was a question from Dr. Paul Mied
17 about laboratories. There are laboratories,
18 including one laboratory that is the State of
19 California laboratory, that will provide
20 confirmation, for instance, for HTLV using home
21 brew tests that they have developed, but those are
22 not tests licensed by FDA.

23 If we look strictly at the Final Rules on
24 Donor Notification that were issued on June 11th,
25 it says that we must attempt to obtain results of

1 supplemental tests obtained under 610.40, and all
2 the rules require that we make the confirmatory
3 tests as part of the donor screening process even
4 if subsequently we do not re-enter the donor.

5 We believe that there are potential
6 solutions. Dr. Stramer just mentioned one approach
7 that I think very interesting and useful of the
8 dual EIA that she is doing in collaboration with
9 Sally Caglioti from Blood Systems.

10 There are other potential ways by which we
11 could approach it. I am not choosing one, I am
12 just raising them. I would like to see all of us
13 think outside the box and get out of this trap of
14 the screening and the supplemental tests.

15 One thing that is very important, FDA has
16 contributed a lot in the presentations before,
17 about a year ago, to this committee on the approach
18 to donor management after NAT licensure. We would
19 like to see that guidance out. We like the
20 schemes, we like the fact that it resolves, for
21 instance, indeterminate Western blots in a way that
22 we could not resolve before.

23 We would like, at the same time, to
24 revisit the Western blot criteria and get rid of
25 the issue of non-viral bands as indeterminate,

1 because today we have a much better armamentarium,
2 much better tools to resolve those issues.

3 We would like, even if it is a small
4 number, as Dr. Stramer mentioned, not to have to do
5 a Western blot or a RIBA after we have a screening
6 test that is positive for HCV and a NAT that is
7 positive.

8 This donor is positive. The performance
9 of RIBA in this situation just delays the
10 notification of the donor, delays the initiation of
11 lookback, delays the implementation of treatment,
12 let's say, for HIV, even with the risk, and
13 counseling, even with the risk of secondary
14 transmissions.

15 We would like to think maybe that when we
16 have no chance of resolving the Western blot or the
17 confirmatory tests or the supplemental tests for
18 HTLV, and it is unlikely that we are going to see
19 one, maybe to classify these individuals as
20 patients, and allow the current medical system that
21 can use research tests, that can use other
22 approaches, to attempt to resolve the future of the
23 those donors. The only thing that we have to do
24 for the safety of the blood supply is not to re-
25 enter these donors.

1 We can consider revising an evolving
2 system as new tests, new technologies come up, to
3 consider changing those confirmatory algorithms
4 instead of just being part of strict regulatory
5 mechanisms.

6 Finally, as a suggestion, another
7 suggestion, we would like FDA to, as in the case of
8 what happens now with the licensure of the NAT for
9 HIV, and allowing the elimination of the HIV p24
10 antigen screening test, that the donors that have
11 been in the past deferred because they had
12 nonspecific test results of p24, to allow
13 essentially an automatic re-entry of those donors,
14 because at that time, it was the lack of power of
15 the resolution systems that did not allow these
16 individuals to be entered. Today, with NAT, they
17 could be eligible to donate.

18 ABC hopes that FDA will use this
19 opportunity to revise its regulations, promote new
20 technologies for blood donor screening and for
21 supplemental tests, and apply current scientific
22 information on the epidemiology of transfusion-
23 transmitted diseases to rationalize donor
24 deferrals, notification, counseling, and re-entry,
25 and we are ready to help in any way we can.

1 We accept the challenge from Dr. Mied that
2 we have to produce the data.

3 Thank you.

4 DR. NELSON: Thanks, Celso.

5 Questions or comments?

6 Thank you.

7 The next person that will present is
8 Christopher Bentsen from Bio-Rad.

9 DR. BENTSEN: Good morning. I would like
10 to read a brief statement into the record.

11 Bio-Rad Laboratories, Genetic Systems
12 Brand, HIV-1 Western Blot was licensed by the FDA
13 with the use of serum plasma and dried blood spots
14 on November 13th, 1998. This was the fourth and
15 most recent Western blot license by the FDA and
16 appears to be only one of two remaining Western
17 blots on the market.

18 Bio-Rad Laboratories acquired the Genetic
19 Systems Western Blot when it purchased Genetic
20 Systems Corporation and Sanofi Diagnostics Pasteur
21 on October 1st, 1999. Over the past year, Bio-Rad
22 Laboratories in Redmond, Washington, has increased
23 production of the Western Blot Kit in order to meet
24 the increased market demand, and based on Company
25 estimates, Bio-Rad believes it can meet current

1 customer demands for its Western Blot Kits by the
2 end of this summer.

3 Thank you.

4 DR. NELSON: Any questions?

5 All right. Thank you very much.

6 Finally, Bruce Phelps from Chiron

7 Corporation.

8 DR. PHELPS: Thank you, Dr. Nelson, for
9 this opportunity to speak with you this morning.

10 [Slide.]

11 I would like to bring to the attention of
12 the committee an alternative to the Western Blot
13 which Chiron Corporation has manufactured since
14 1996, and that is the RIBA HIV-1/HIV-2 strip
15 immunoassay. This particular test was submitted to
16 the FDA for review in 1996.

17 [Slide.]

18 There were several concerns raised by the
19 FDA after this review of the submission in 1997, in
20 a list of questions that came back to Chiron
21 Corporation. Among those concerns listed on this
22 slide there were some performance issues relative
23 to equivalence to the Western blot, interpretation
24 of the plus/minus band densities on the antigen
25 bands that were developed during the assay, and

1 also the lack of HIV Type O specific sequences in
2 this particular assay formulation.

3 I would like to provide some information
4 and data on the performance of the assay relative
5 to these aspects in later slides.

6 Similarly, there were a couple of
7 manufacturing issues that were of a concern, lot-
8 to-lot reproducibility in particular, variability
9 of the IgG control band densities, and process and
10 methods validation issues, and I would like to just
11 touch base momentarily on those issues also.

12 [Slide.]

13 This is a summary of data from a
14 publication that was published in the Journal of
15 Clinical Microbiology by Kline, et al., in 1996.
16 It was a population of HIV-1 and HIV-2 positive
17 specimens, as well as a fairly significant number
18 of negative specimens that were tested, and this
19 shows the performance of the kit relative to the
20 Western Blot.

21 As you can see, in terms of sensitivity,
22 its sensitivity was significantly increased
23 specifically with respect to HIV-2. In a
24 population of 215 specimens, the RIBA test
25 correctly categorized all 215 as HIV-2 positive,

1 whereas, the Western Blot--and this was the
2 Cambridge Western Blot, by the way, that was tested
3 in this study-- only 158 of those specimens were
4 actually correctly called as positive, and 57 fell
5 into the indeterminate category. So, there is an
6 improvement in sensitivity with the RIBA.

7 In this particular population, we did
8 miscall one as a confirmed positive on the HIV-1.
9 It fell into an indeterminate category. With RIBA,
10 an indeterminate is any kind of a band pattern that
11 does not meet the criteria for an HIV-1 or HIV-2
12 positive. It's a 1 plus, but there may be other
13 plus/minus bands that are present, that are just
14 above the level of detection, but aren't dark
15 enough to be considered a positive 1-plus band, so
16 they would fall into the indeterminate category.

17 With respect to the specificity, as you
18 can see, there were three that were falsely
19 positive on the RIBA test, two, HIV-1 and one, HIV-
20 2, however with the Western Blot, five of these
21 individuals were designated as falsely positive, so
22 there is an improvement also in specificity with
23 respect to false positivity.

24 There were 22 of these individuals that
25 fell into the indeterminate category on RIBA, but

1 152 in terms of the Western Blot, and only 391 were
2 actually correctly called negative by the Western
3 Blot, whereas, 523 were called correctly by the
4 RIBA. So, there is a significant improvement in
5 terms of specificity with the RIBA assay also.

6 [Slide.]

7 With respect to the plus/minus band
8 density, this was a concern of the FDA relative to
9 the interpretation of the plus/minus bands.
10 Ordinarily, they are basically interpreted as
11 negative, which leads to these sensitivity and
12 specificity data. This is using the data from the
13 last slide just as an example.

14 Under those conditions, you remember there
15 was one of the HIV-1's that were missed, so that
16 gives a sensitivity of 99.8 percent on HIV-1, but
17 100 percent on the dual infectives and on the HIV-
18 2's. With the three false positives, that is a
19 specificity basically of 0.6 percent with RIBA.

20 If we were to call the plus/minus bands
21 positive, I think as was suggested by FDA, we would
22 obviously throw this HIV-1 into a positive
23 category, giving 100 percent sensitivity across the
24 entire population, however, this estimates that all
25 of the indeterminates would probably fall into the

1 positive range also, and this is probably a best
2 case, because there would be some of the negative
3 populations in this particular instance that would
4 have had multiple plus/minus bands, and a
5 plus/minus is considered positive. Some of those
6 would be thrown into the positive category also.

7 So, you can see the specificity of the
8 test is significantly impacted and becomes much
9 reduced, and any of the advantages over Western
10 Blot would be lost.

11 So, that is an issue that would need to be
12 resolved with this assay, we understand that.

13 [Slide.]

14 On this slide is shown the HIV-1 subtype
15 sensitivity. We did look at multiple HIV-1
16 subtypes all the way A through F, and particular O.
17 There were 45 specimens, Type O specimens that were
18 tested, and you will see in this particular
19 instance, all of these, all subtypes were detected
20 as 100 percent as HIV-1 positive, so even though
21 there is no specific antigen sequence for HIV-O, we
22 do detect it in the RIBA and would correctly
23 confirm those samples.

24 [Slide.]

25 In terms of manufacturability, since 1996,

1 when we started manufacturing this material for ex-
2 U.S. markets, we have produced 15 lots. That
3 amounts to over 10,000 kits and over 315,000
4 strips.

5 In 2001, last year, we sold 942 of these
6 test kits in multiple markets around the world.
7 You can see the 10 countries listed here where we
8 have entered in the market and are continuing to
9 supply adequately to support supplemental testing
10 in these markets.

11 Also, just this year, we are entering the
12 market in Brazil and Venezuela and in Mexico. So,
13 you can see that in ex-U.S. markets, this is a
14 viable alternative to Western Blot and has been
15 accepted by many users as a reasonable means of
16 confirming HIV positive results.

17 [Slide.]

18 Also, in that time period, we have
19 produced multiple lots of the antigens used on the
20 strips. In this instance, you can see five to six
21 lots since 1996 of each of the antigens that have
22 been used and that are coded on the strip, which
23 leads us to some of the validation issues.

24 [Slide.]

25 With that number of lots that have been

1 produced since 1996, we would anticipate that it
2 should be acceptable and enough to allow us to
3 utilize retrospective validations as a means of
4 confirming the manufacturability of this particular
5 kit and these reagents.

6 This is somewhat related to the issue that
7 was brought up recently about the cost
8 effectiveness and the profitability of these kinds
9 of assays. If we were to do prospective validation
10 as required by the current regulations, that would
11 require at least 24 antigen lots at an estimated
12 cost of almost \$5 million for us to produce.

13 In addition, we would have to produce
14 three, full-scale RIBA validation lots at almost
15 another million dollars plus the verification
16 testing, to a total of about almost \$6 million just
17 to do complete prospective validation under the
18 current regs.

19 Our estimate is for the total HIV
20 supplemental testing market in the U.S., for the
21 Western Blot, is currently only \$0.8 million. So,
22 you can see there is really no cost effective way
23 that we could continue to satisfy the regulatory
24 requirements and, at the same time, provide what
25 the market needs for supplemental testing.

1 But I did want to bring this to the
2 attention of the committee. This is an alternative
3 that we have produced. We are producing it for ex-
4 U.S. markets very effectively, and if the FDA saw
5 fit to provide some additional focus on this, we
6 would be willing to consider bringing this forward
7 as an alternative to Western Blot.

8 Thank you.

9 DR. NELSON: Thank you, Dr. Phelps.

10 Questions?

11 When you did the validation testing, you
12 tested a number of samples that were negative on
13 the EIA, but that isn't the way the test would be
14 used, right, it would be a confirmatory test where
15 only EIA positives would be tested?

16 DR. PHELPS: That is correct, yes.

17 DR. NELSON: So that the nonspecificity
18 shown in your data shouldn't be a problem if the
19 test is used the way it would be planned to be
20 used. The real issue is the sensitivity of the
21 true positives picked up in this.

22 DR. PHELPS: That is correct. In the
23 clinical validation, however, it is required to
24 show specificity with negative populations, and
25 that is why that data was generated, but you are

1 correct, it would only be EIA repeat reactives that
2 this test ordinarily would be used with.

3 DR. NELSON: I am surprised that the
4 Western Blot didn't have a higher problem with
5 nonspecificity than shown in your panel, because
6 when there have been vaccine trials where people
7 prior to receiving vaccine, HIV vaccine, have been
8 required to have Western Blot even if the EIA is
9 negative up to 10 to 15 percent of the population
10 has been excluded based on bands on the Western
11 Blot.

12 DR. PHELPS: Right. Again, that
13 particular study was with confirmed HIV-1, HIV-2
14 specimens, so it has already gone through a number
15 of screens prior to that, so that is why the data
16 looked fairly clean.

17 DR. NELSON: Thank you.

18 DR. BUSCH: Your comment about vaccine
19 trials is I think very important because we are
20 beginning, in blood donor settings, we have picked
21 up a small number, but a number of donors who are
22 false positive on the IM Western Blot, but who were
23 so because of vaccine trial participation. You
24 know, these vaccine trials are beginning to expand
25 here in the U.S. and globally, and there is a clear

1 need to develop screening and more important
2 supplemental assays that can accurately
3 discriminate vaccine responses for infection on top
4 of a vaccination.

5 I think one of the problems that we have
6 is that the companies that have the capacity to
7 develop appropriate recombinant antigen-based
8 supplementals are simply not participating in the
9 market in bringing these assays forward
10 particularly in the U.S., so just another issue
11 that makes us, to me, need to get companies like
12 Chiron and Intogenetics, et cetera, somehow
13 bringing assays into the U.S. market.

14 DR. FITZPATRICK: Since the blood donor
15 screening market is only about 10 percent of the
16 Western blot market, have you looked at what the
17 clinical market for RIBA is as a diagnostic?

18 DR. PHELPS: In terms of the total--again,
19 I am not trying to make a business case of that
20 last slide--but in terms of the total Western blot
21 that we sell through Ortho Clinical Diagnostic, in
22 our joint business with Ortho, that 8/10ths of a
23 million dollars represents the entire market for
24 both diagnostics and blood screening.

25 So, if you take the total testing that is

1 done, Cambridge and other Western blots, is
2 probably around 1 or 1.2 million I would expect
3 maximum in terms of a dollar market.

4 DR. CHAMBERLAND: I just want to clarify
5 also on that slide, you had these various costs
6 that totaled 5.8 as prospective validation, that is
7 ongoing validation, not a one-time thing, but
8 something that would be done on an ongoing basis?
9 Could you clarify?

10 DR. PHELPS: Right. That would be the
11 cost whether we decided prior to approval to
12 provide all 24 lots and 3 lots of RIBA or if we did
13 it as a concurrent validation. That would be one
14 alternative, is to move forward producing the
15 material, but making these lots concurrently and
16 then providing the final data package to FDA for
17 approval, but that would be the total cost of what
18 we estimate to be required by the current
19 regulations.

20 That means 3 lots of each of the antigens
21 and 3 lots of the final kit at full production
22 capacity.

23 DR. LEW: Since you did bring up the
24 business aspect, though, just calculating the
25 numbers from what other people have said, if there

1 is 35--that is what I heard, I think, 35,000 kits,
2 or how many kits--

3 DR. PHELPS: 35,000 tests is what I
4 understand.

5 DR. LEW: --tests that are needed per
6 month, that is, by year, 420,000, I mean to me it
7 seems like we are guessing that there is only \$2
8 million profit total for all companies? That
9 doesn't quite make sense because those kits are
10 kind of expensive.

11 DR. PHELPS: I would have to defer to
12 others with respect to the total diagnostic market.
13 I know for blood screening--

14 DR. LEW: Blood screening is only 10
15 percent, I know you mentioned that.

16 DR. PHELPS: Correct.

17 DR. LEW: But when they were talking about
18 all clinical diagnostic use, it was 35,000, so that
19 is almost half a million, I mean close to. It
20 seems like there is more profit out there than is
21 being stated.

22 DR. PHELPS: I understand that that number
23 was raised. I can't confirm that it is 35,000 a
24 month. I only know the blood screening
25 particularly.

1 MR. STEVENS: Just from our figures,
2 marketing, and to back up the FDA's figures we were
3 given, it is approximately 35,000 tests a month.
4 The IFA and Western blot, average selling is above
5 \$20, which brings you about \$8 million a year.

6 DR. NELSON: You have also shown that
7 there is an international market, although probably
8 less robust, but maybe the European market is
9 pretty good. It looks like this is not a huge
10 profit item, but it still is critically important
11 to medicine and public health.

12 DR. PHELPS: Just one added point, we are
13 also in the process of producing a RIBA HTLV kit
14 also, as you are aware of, Dr. Nelson.

15 DR. FITZGERALD: If we combined the ABC
16 statement and looked at two different aspects,
17 because we are actually looking at two different
18 things here, one is deferring a donor and releasing
19 or not releasing a unit, and the other is following
20 up the donor and determining whether the donor
21 should be a patient, so if we look at the ABC
22 statement, which suggested that the supplemental
23 tests not be donor screening tests and fall under
24 that validation criteria, but be a diagnostic and
25 fall under that criteria, do you know or would

1 anybody have an estimate of the difference of
2 bringing a test to market in that respect versus as
3 a donor screening test?

4 DR. PHELPS: My understanding, at least as
5 a manufacturer, is that even in the context of a
6 diagnostic test, the validation criteria still
7 remain the same. The only difference, at least
8 with HIV, that I am aware of is that the FDA, I
9 believe has indicated that for diagnostic tests,
10 the Type O specific sensitivity is not a
11 requirement as it is for screening tests.

12 DR. NELSON: Any other comments?

13 Thank you.

14 DR. PHELPS: Thank you.

15 DR. NELSON: The next item is an
16 informational item on Electronic Submission of
17 Applications. Michael Fauntleroy.

18 **Electronic Submission of Applications**

19 MR. FAUNTLEROY: How are you? I am not
20 the scientist, I am not the IT guy either. I am
21 the policy and guidance person within CBER, who is
22 responsible for bringing us in to the 21st century.

23 If we could take a moment right now and
24 just think for a second. When most of us were in
25 high school, we didn't have computers, we didn't

1 have condensed mikes in front of us as we do,
2 everybody on the panel.

3 Most of us are carrying cell phones,
4 pages, or PDAs, and so it is logical for us to move
5 forward as a review, agency review center for this
6 regulated industry to move into the 21st century,
7 and my task today is to bring you some information
8 about how CBER is moving forward into the 21st
9 century.

10 But before I move forward, I would just
11 like to thank you for the opportunity to do this,
12 because I enjoy this challenge.

13 Now, if I can remember technology, okay,
14 here we go. I am not used to this aspect of the
15 technology, and generally have the computer right
16 here or I have a gentleman in the room presently
17 handle my slides for me.

18 [Slide.]

19 What I would like to talk to you about
20 today, as you see before you, is a series of items.
21 Don't worry, I am not going to go through all 132
22 slides, I don't have the time. I would love to
23 because it's important good information, but I am
24 going to talk to you today about our philosophy and
25 maturation within CBER, our electronic document

1 room, and hopefully, within the 30 minutes I have
2 been allotted, the electronic IND and secure e-
3 mail.

4 Secure e-mail is the newest, hottest thing
5 within the Center, and I am sure that you will be
6 very interested as we have been hearing all these
7 business case discussions, well, this was a program
8 brought forward as a response to business' desire
9 for more rapid communication with us.

10 [Slide.]

11 Our basic philosophy is one of
12 partnership. In this world of regulated industry,
13 we are looking for feedback from you and with you
14 in the development of the electronic document
15 paradigm.

16 Now, we encourage within this
17 communication. Why do we encourage communication?
18 Because it is very hard from a guidance document or
19 from any other manner of communication except
20 person to person, to understand individual
21 thoughts.

22 Now, if you think about it here for a
23 second, the majority of the crowd in here has
24 either been married, is married, or divorced, and
25 one of the biggest problems in there is always

1 communications. You can never get it from a piece
2 of paper, you have to sit down and wrestle with it
3 person to person. This is why I have my e-mail
4 address and my phone number on the covering of
5 every slide. We need to talk.

6 But what do we talk about, because in this
7 day and age of electronic documents, you could have
8 a plethora of things going on? Well, we talk about
9 standards. The guidance brings forward manners in
10 which standards for information dissemination
11 should be utilized, and at the end of the day, we
12 hope to be in the same place where the reviewers
13 who have been trained on utilization of different
14 documents will be able to use and leverage their
15 experience from document to document because they
16 are being brought forward in a consistent manner.

17 Now, these documents are fully modular.
18 What is the advantage of fully modular
19 presentations? Well, for industry who are putting
20 together these various submissions, this means that
21 your regulatory affairs group, your clinical
22 writers, and various other groups that are involved
23 in this process don't have to wait until the last
24 minute to try and get a document put together.

25 You can start when you finish your

1 development of the product, put your CMC section
2 together. You can then, as you have your clinical
3 reports put together, paginate those individuals in
4 the electronic submission paradigm, put those
5 together. It is a plug and play. As you finish
6 information, you can put it into context. You do
7 not have to wait for everything to be done to go to
8 the next step.

9 Now, how do our reviewers access this?
10 Well, information access is done through a series
11 of items, either through the roadmap to get to the
12 table of contents paradigm that we have, through
13 submission indexes which allow word searches on
14 various items that are put into the document, so if
15 you want to find a Western blot, information on
16 that within the submission, you would type that
17 into your Adobe interface and it would give you the
18 series of hits of the use of Western blot within
19 the document rendition.

20 Folder structures. Well, if you don't
21 like individual tables of contents, you can go to
22 the submission within our electronic document room--
23 -which I will be talking to you about--which will
24 allow a reviewer to go to the item that they are
25 responsible for, get to that item's table of

1 contents, and move forward.

2 In essence, we are allowing for faster
3 information access through the use of the
4 electronic submissions paradigm, which brings us to
5 this point, that we are also in guidance
6 development for PMAs, 510(k)'s, IDE's. We have
7 presently already accomplished the paradigm for the
8 IND and the BLA, and all the multiple iterations of
9 the BLA, such as the rolling BLA, the ECTD BLA
10 iteration, because of the advent of the CTD's
11 adoption, and other items. Still, the straight BLA
12 can be submitted. These are things that are all
13 being done within CBER.

14 [Slide.]

15 Now, the maturation process. By virtue of
16 being able to rattle off all the items that I just
17 have--I missed a slide and since I am not in
18 control of my computer, I am just going to jump
19 over it--when in doubt, ask.

20 That is the take-home message here. It
21 may sound like a simple one, but when in doubt,
22 ask. Don't wait until the last minute, don't be
23 afraid to talk to the FDA as a regulated industry,
24 because this is truly a paradigm that is going to
25 flourish through information dissemination and

1 communication. That is what is the bottom line
2 here. You must talk with us, there must be an
3 information exchange either through guidance which
4 gives you part of the picture, but not all the fine
5 points because of the nature of the evolutionary
6 process of electronic documents.

7 And please don't wait until a month before
8 the submission to call us. Talk to us early, talk
9 to us often. I do return all phone calls and e-
10 mails.

11 Now, to the present slide, submission
12 maturation.

13 [Slide.]

14 By virtue of me just being able to stand
15 here and talk to you about these documents, is a
16 sign of growth. We are receiving submissions
17 within CBER, it is commonplace now. It does not
18 require a great degree of panic from fear,
19 uncertainty, and doubt by reviewers because they
20 have never seen these documents. An electronic IND
21 is fairly commonplace, and the submission of a BLA
22 electronically, totally electronically, no paper
23 copies, is also commonplace with CBER.

24 So, these are items and functions that you
25 can use to expedite the review process because you

1 facilitate information exchange and discussions
2 through the delivery mechanism of an electronic
3 document.

4 Now, we are no longer having to discuss
5 pagination, submission software standards.

6 Everybody understands we have them and understand
7 them. People seem to know what a roadmap file is
8 and understand why hyperlinks need to be blue.

9 For those who don't know, we have blue
10 hypertext links within CBER, so that whenever you
11 see blue, a reviewer knows that there is an
12 opportunity to access additional information. They
13 have been seeing it this way for the four years
14 that I have been in this position, so they
15 understand now like any child you have trained,
16 like Pavlov's dog, you see blue text, there is a
17 link there. There is no ifs, ands, or buts, it's
18 without question.

19 [Slide.]

20 Now, for an especially rewarding and
21 challenging discussion from a development impact is
22 the Electronic Document Room.

23 [Slide.]

24 At present, the EDR in CBER is the
25 archival and functional repository for electronic

1 submissions and related regulatory communications.
2 That is for the electronic submissions.

3 My future vision, and one that we are
4 moving forward with is that we will be using the
5 Electronic Document Room for all regulatory
6 communications generated with CBER and for security
7 mail repositories for the archiving unit, and
8 hopefully be able to scan in documents into this
9 particular venue, so that reviewers will have
10 access to the information 24/7, as you would say.

11 This way, there is no down time waiting
12 for information to come to their office for review
13 by our Document Control Center. We are not waiting
14 a day, two days, in some cases longer, to receive
15 the information for us to be able to give you a
16 response in your product development.

17 Now, this particular effort has been
18 brought forward in accordance with the
19 Configuration Maturity Model standard IT software
20 development model, so that it is repeatable.

21 Oversight. This is where things get
22 interesting. This is not an IT initiative. This is
23 a CBER initiative driven by, and in service to, the
24 reviewers.

25 Why do I say that? Because we have a

1 Joint Application Design Group. That group
2 consists of reviewers, RPM's, and various and
3 sundry people in different roles from every office
4 in the Center, who give us feedback on how things
5 should be moved forward.

6 From there, it moves to a Project Advisory
7 Group. This group says yea or nay to moving a
8 development item forward and what the importance is
9 of it, and then from there, if there are any
10 changes because something wasn't looked at in its
11 fullness initially, we have a Configuration Control
12 Board, once again managed with, full of people who
13 are reviewers.

14 So, the end user, the stakeholder is
15 responsible for the development effort. Yes, I get
16 to bring forward the vision, but we work for this
17 together in developing the vision.

18 [Slide.]

19 Now, what does the vision consist of?
20 Well, the EDR is an HTML interface. For those who
21 are uninitiated, it's a web design. This is why
22 you can reach it from high-speed access at home, as
23 some of our reviewers have been able to do and are
24 presently doing, so they can review electronically
25 without moving volumes to their residence, because

1 many of us are flexi-place reviewers, and they can
2 either download the information, which they do have
3 the option, if they don't have that kind of access,
4 carry it home and review the information, so it is
5 now portable.

6 I challenge you to move a 20-volume
7 submission with any rapidity in a review effort.
8 It gets very hard on the back quickly.

9 Now, in the background of this HTML
10 interface, we have documentum running. We also
11 have our operating system servers, which utilize
12 Windows NT 4.0. Also running in the background is
13 the Internet Information Server and Oracle. This is
14 what sets up the system that the reviewers have the
15 ability now to access the documents that you
16 delivered to us for review.

17 So, what are the features and functions?
18 Well, I am glad I asked me that question since you
19 weren't going to.

20 [Slide.]

21 Well, docbases. What is the one thing
22 that most people who are at work want to deal with?
23 Well, they really don't want to deal with work, but
24 they want to go ahead and do their job quickly and
25 efficiently without interference.

1 To do this, we have upfront set up a quick
2 queue, where if you know your document number, type
3 it into the Quick Find option, readily find the
4 document. It pulls it up for you, gives you the
5 interface to the roadmap file or to the file
6 structure, and you can go into it immediately.

7 Well, there is also a cabinet structure,
8 so after you have gone through the secure HTML
9 interface and logged on with your CBER password,
10 which is the same as your network logon password.
11 You can either go to your Reviewer Cabinet, the EDR
12 user documents, which are SOPs and training manuals
13 that are listed on-line.

14 You can go to your IND or BLA cabinet,
15 which is at present organized by year. You can
16 access the submission by picking a cabinet. The
17 submissions are delineated by a tracking numbers,
18 which are your STNs or your IND numbers.

19 You can then find the actual amendment you
20 are looking for either by utilization of the
21 roadmap file or by the CBER receipt date, which is
22 listed with your file.

23 Well, we have also enhanced the
24 functionality of this by including the product
25 name, sponsor name, and indication with every file

1 that is listed by leveraging information in our BIM
2 system, Biologics Information Management System,
3 and RMS-BLA. So, we have a tie-in with our
4 databases to the document repository, and the
5 information is the same. This allows people not to
6 be confused by disparate information in places, and
7 from the high level, you can also download the
8 information as you so desire.

9 [Slide.]

10 Within the IND and BLA cabinets by year,
11 you have your STN and IND listings, you have
12 various functions. You have your roadmap file,
13 which is your correspondence history. Every
14 submission that a sponsor brings forward, we
15 replace the roadmap file, because it has no
16 regulatory information, and it then builds us a
17 cumulative history of every submission to the file.

18 At this point, it is a good time to
19 mention the sidebar of we strongly discourage mixed
20 media submissions. The purpose of the EDR and
21 electronic submissions can only be enhanced further
22 for the reviewer by once you submit an electronic
23 document, to continue to submit all amending
24 information as an electronic submission, so that
25 the entirety of the submission is located on the

1 server through the HTML interface for the reviewer
2 to access.

3 This allows us to do the job efficiently
4 and to have all the information for your file
5 centrally located.

6 In addition to that information, we have
7 CBER Letters Folders, Meeting Summary Folder, a
8 Review Memo Folder, Secure E-mail, and
9 Teleconferences. In other words, we have a folder
10 for all the major products that are available or
11 being made by the CBER reviewers in the review
12 process.

13 The intent is to put it all on-line, so
14 that there is ready and available access to
15 facilitate the review process and discussions with
16 the regulated industry, because you have an
17 available document versus, well, excuse me, I don't
18 have the document in my office, I will have to wait
19 two days for it, I will call you back, or let me
20 work from my notes. This is a little bit more
21 efficient than that.

22 [Slide.]

23 Now, to make this really work, I imagine
24 that you are probably wondering, well, if you don't
25 know the STN number or the IND number, how can you

1 find your document.

2 Well, we have High-Level Search categories
3 within this repository, so you can search for
4 groups of activities, such as all BLA's, all IND's,
5 all IND documents as far as generated
6 correspondence, or all BLA-generated
7 correspondence. This will be expanded as we bring
8 more guidance to the forefront.

9 So, for example, if you are looking at
10 your BLA as a reviewer, and you don't quite
11 remember the STN number or you haven't made a copy
12 of the link in My Cabinets, so that you can readily
13 access that roadmap quickly and easily, you can
14 search for the document by office, product name,
15 applicant name, STN, trade name, reviewer name,
16 submission type, submission status, cabinet year,
17 submission date, CBER receipt date, and/or
18 keywords. In other words, it is a pretty
19 exhaustive set of possibilities to find the
20 documentation.

21 [Slide.]

22 Now, the EDR Inbox. We all have a system
23 within our offices of filing information, locating
24 information, understanding where information is.
25 Well, the EDR Inbox, unlike My Cabinets, my

1 document cabinet, which will allow the reviewer to
2 copy a link to the item, the EDR Inbox will allow
3 us to route electronically review documents to
4 individual reviewers for them to review.

5 In other words, that large cabinet-type
6 feature in every office, which is called a Mailbox,
7 is emulated by the EDR Inbox, so the reviewers will
8 know in the future rollout that this information is
9 located in your Inbox.

10 If you have received an electronic
11 document for review, this is where you look for it.
12 This carries a link to the file or some other bit
13 of information that tells you where you need to go
14 to execute your functions, review functions. Now,
15 those items were routing correspondence and
16 notifications now.

17 Review Notification. I just had to
18 digress for a second. Reviewers presently receive
19 an e-mail every time an electronic submission is
20 loaded. That e-mail includes, if it is not an
21 original submission, because the BIMS database in
22 RMS-BLA would not have the original submission
23 information in it yet, that notification will
24 include your sponsor name, your STN or IND number,
25 your proposed use, your product, anything a

1 reviewer needs to know basically at a high level as
2 to what this information pertains to.

3 In the future, when we work out our
4 routing system, which we are presently discussing
5 at a high level and a small group of individuals
6 working out the paradigm, they will receive their
7 routing forms, their administrative data entry
8 forms, everything else they need, so that instead
9 of filling out paper, they will fill out the
10 electronic version of the paper form that they have
11 been using and upon signature, it will dump that
12 information directly into the databases. This is
13 in development as we speak.

14 [Slide.]

15 Now, this is a picture that some of you
16 may find interesting if you know what it is. This
17 is or these are actual pictures of our Document
18 Control Center, in our area that we receive
19 submissions in on a daily basis.

20 This is why we are going electronic,
21 because we have a ton of paper to manage on a daily
22 basis. We do not receive a small amount of
23 submissions a year, we receive well over 9,000 IND
24 amending submissions a year to manage. It is not
25 small amount. It breaks down to quite a large

1 number.

2 So, as a response to the electronic IND,
3 when many of the industry sponsors that I was
4 working with said, okay, well, we can do an
5 electronic IND, but how do we manage the individual
6 amending submissions. Many of them will just be a
7 page, two pages, very difficult to burn a CD-ROM or
8 to go through that exercise.

9 So, we came up with this particular
10 delivery system.

11 [Slide.]

12 First and foremost, for the Phase II Pilot
13 Program, that accrual is closed. For the Phase I,
14 we are still allowing for sponsors to come forward
15 and to set up their VPN access, so that we can
16 receive electronic documents directly in exchange
17 with them, so that they can be reviewed quickly.

18 Where does this basically pay off? At the
19 end of the BLA discussion, for labeling
20 discussions.

21 For the Phase II pilot, the scope is the
22 delivery/receipt and archiving of regulatory
23 documents and submissions. What we are building in
24 an individual mechanism whereby you can e-mail us
25 through a secure connection featuring an electronic

1 signature, your IND or BLA amendment.

2 We have already completed the alpha
3 testing with seven industry sponsors, and they have
4 all come through the alpha testing quite quickly
5 and easily. It has been a smashing success. We
6 are now pausing before we go to beta where we are
7 now going to, in the beta test, actually receive
8 regulatory submissions electronically, and not have
9 a backup paper copy come forward.

10 This is where the electronic routing and
11 review paradigm that we are building will also be
12 tested. This is here and now. This is not 2004,
13 this is what we are doing now and will be delivered
14 by October. That is our timeline for that. Any
15 more interest?

16 [Slide.]

17 So, how do we plan to do this? Well, it
18 will be an e-mail, very much like a cover page, and
19 I will get into some more of the particulars. We
20 are going to utilize standards, the General
21 Considerations Guidance document for electronic
22 submissions, we are utilizing those standards.

23 We have presently enacted a file size
24 limit of 4 megabytes. That is not the limit for
25 the software, but we want to strongly discourage

1 anybody from thinking about delivering an
2 application utilizing secure e-mail.

3 Now, you may ask why. Well, the reason
4 why is we want you to bring forward the CD-ROM
5 version of this or the DLT tape if it's a BLA, so
6 that we can set up a target. So, when you bring
7 forward the original submission, we set up the file
8 structure within the Electronic Document Room, give
9 you an IND or STN number, and you utilize that
10 number in the secure e-mail delivery system, so
11 that it is readily identifiable. You will
12 understand why in a minute.

13 [Slide.]

14 I am going to go past that, because that
15 is kind of uninteresting at this point.

16 [Slide.]

17 Once the message is received by CBER
18 secure e-mail, it will be decrypted by the
19 Messaging Management System, MMS, and routed into
20 an exchange public folder on an exchange server, so
21 you sent the message. In three to 10 minutes
22 typically, that message will hit a public folder.
23 Once that message hits a public folder, because it
24 is associated with an IND number or a BLA number,
25 quote, unquote "STN number," we can pull up through

1 RMS, BLA or BIMS, the review team names, and we
2 will send an e-mail to the team letting them know
3 that they have the possibility, the potential of
4 looking at this message before it has gone through
5 the rest of the process, which should take
6 milliseconds if there is not a problem.

7 But any way you look at it, once it has
8 been decrypted, moved forward, it is now available
9 for the review team in a public folder. After it
10 moves through the rest of the process, it will be
11 archived and made available in the Electronic
12 Document Room, in the actual folder utilizing a
13 distinct CBER tracking number which, through a
14 return receipt message, we will send back to you,
15 so that when you want to discuss your submission,
16 and you want to discuss a particular amendment to
17 that submission, you have the tracking number that
18 has been generated through the secure e-mail
19 paradigm that will allow you to talk to the
20 reviewer about the specific set of documents or
21 responses that you have brought forward to the
22 Center.

23 There is not a discussion about, well,
24 which one is it, which amendment is it, our numbers
25 are different than your numbers. That is all going

1 to be eliminated.

2 Now, to accomplish this, submission
3 identifier must be in the cover page header,
4 submissions identifier, your STN/IND number,
5 similar to fax cover page, as you see, application
6 I.D., subject. Those two lines must be filled out.

7 [Slide.]

8 Process. As you know, we are at the FDA,
9 it's a regulated industry, a regulating group of
10 industry, we are going to have a process. Every
11 bureaucracy has one. Now, when the exchange script
12 runs, notifications will be sent to the RPM and the
13 review team. The RPM is central to the system.
14 Why? Because any messages going out from the
15 Center will be coordinated through the RPM for the
16 reviewers. This way, you only receive official
17 Center opinion.

18 [Slide.]

19 This is a slide that basically talks about
20 what is going to happen in the background in terms
21 of validating the electronic signature, moving to
22 the EDR, et cetera.

23 [Slide.]

24 What are the hardware functions for the
25 Secure E-mail Messaging Pilot, we are utilizing an

1 Exchange Server 5.5, Tumbleweed MMS 4.7. We are
2 using the MMS for encryption and decryption. We
3 can send and receive messages, exchange public
4 folder architecture, and pilot participants should
5 be compliant with industry standards, x.509
6 certificates, SMIME.

7 We are also going to be using PDF for the
8 actual signature, and CBER is going to be the
9 signature authority. Every version of Adobe Acrobat
10 5 or 4 has an electronic digital signature in it,
11 has the capability.

12 I am not going to discuss right now how
13 that is going to be managed. If you do have
14 questions in that area, I do have the lead IT
15 person here. His name is Joseph Montgomery. If
16 you would stand, Joe? He is in the back. Please
17 feel free to talk to him about it. We have worked
18 in partnership for a long time now, a very good
19 man.

20 [Slide.]

21 Recap on the Secure E-mail Pilot Program.
22 Reviewers will be able to access Secure E-mail
23 through their public folder structure. Submissions
24 will be archived in the Electronic Document Room.
25 They will be associated with marketing applications