

1 going to take advantage of -- I think we -- and so I
2 think the mindset -- given that that's sometimes not
3 going to be the case, the mindset that we have to have
4 the perfect test and a test that's going to last for a
5 decade before we do that pivotal trial or we're going
6 to have to then do another prospective pivotal trial
7 with that test that we evolve and not be able to do
8 bridging studies on archived tissue, I think would just
9 bring a halt to moving to predictive oncology. And so
10 I think we need sort of more realistic standards than
11 that.

12 DR. DUTCHER: So what is an imperfect
13 biomarker and are there biomarkers that are too
14 sensitive? Dr. Zhou brought up an imperfect biomarker.

15 DR. PRZYGODZKI: I don't think anybody really
16 knows what is the cutoff and anything to make something
17 positive or negative. That could be misconstrued as an
18 imperfect test.

19 If you have 95 percent of the tumor cells
20 that show a particular one type of mutation, you're
21 pretty confident that that is really what it is. On
22 the other hand, if you're getting down to the single

1 cell level, you may actually find that there may be
2 two, three, four different mutations going on. And
3 does that actually mean that there is -- this is truly
4 a mutated tumor? I don't know. I really don't know.
5 I don't think anybody knows.

6 DR. NETTO: I think that's why it's crucial
7 that whenever you're trying to use the test as a
8 predictive, to use exactly the same methodology, the
9 same cutoff as the pivotal trial or the dredging trial,
10 whatever it is.

11 So you can't -- that's the problem that
12 you're trying to move into. You cannot use that, yes,
13 mutation should exclude you from treatment and then
14 start just adopting the fancier test that is very
15 sensitive. And you're probably not doing your patient
16 a service because you're not using the same cutoffs and
17 the same standards, the same test.

18 That's why I think any labeling should come
19 with delineation, what test for that specific label was
20 used? And, of course, that doesn't mean you cannot use
21 others, you cannot study others, but this is what we
22 found, the difference in this setting and in this test.

1 DR. DUTCHER: Dr. Raghavan?

2 DR. RAGHAVAN: So I think the problem is
3 we're thinking about this the wrong way, a little bit.
4 And it goes back to the question that I asked Rick
5 Pazdur earlier in the piece. And at the risk of
6 annoying all the FDA people in one sentence, I think
7 you need to go back and reconsider the question that I
8 asked.

9 What I mean is we're not, around this table
10 today, going to define the ideal test, because it
11 doesn't exist. And while George can talk about the
12 importance of reproducibility of doing a technique the
13 same way in different labs, all of us, including
14 George, who work in labs know that it doesn't work that
15 way. Different labs read the -- the cook reads the
16 cookbook and does things differently, temperatures
17 vary, stuff varies.

18 So, therefore, the only way to protect the
19 populous at large is to have some mechanism to go back
20 and check. And Rick made the point that the FDA
21 doesn't require storage at the FDA of CT scans, and
22 that's true and appropriate. However, CT scans can be

1 stored and the better investigator pharma companies
2 keep their CT scans and can always go back and
3 reanalyze or produce them upon demand.

4 So given that these are your rules and you're
5 setting them, I think if we're going to get into this
6 area, which I think is tremendously important, then we
7 need to have a different mindset about what is it we
8 have.

9 The FDA has never had difficulty in saying
10 "We want to look at the data and analyze it," and
11 that's appropriate, because FDA statisticians sometimes
12 think differently about numbers from other people and
13 the reasons for that are self-evident.

14 I'm not suggesting that there should be a
15 gigantic bio repository housed in the Capitol Building,
16 but what I do think is that it would be very reasonable
17 that, as we define new rules, when a biomarker is
18 influencing an outcome as broad as who gets a drug or
19 who doesn't and, particularly, as the test of 2008 will
20 be supplanted probably by the test of 2010, and it may
21 make a difference, I think we need to have some framing
22 reference for doing that.

1 The other thing that I would say is that the
2 definition of the perfect test will, of course, need to
3 be functional.

4 So we heard some really elegant data today
5 where the applicants showed us that when a particular
6 test was done, there was a zero response rate in one
7 arm and, I don't know, 30, 50, whatever percent
8 response rate in the other. So the cutoff, when you
9 have zero in one arm and X-plus in another arm, tells
10 you there's a functional difference. It doesn't matter
11 whether the assay is perfect or not.

12 So one of the constructs that I think we're
13 going to have to have is a functional definition of
14 difference and then we'll have to define what is a
15 difference that's big enough.

16 Today, we heard -- and everybody in the room
17 understands the difference between progression-free,
18 total survival, but we saw some relatively modest
19 differences in progression-free. Nobody would
20 challenge that they were statistically significant.
21 They probably aren't clinically terribly relevant in
22 this set of studies, but they may be tremendously

1 relevant by extrapolation to future designs.

2 So I think we're going to have to think,
3 rather than solving a problem today, we'll need to
4 think much more functionally in terms of the downstream
5 impact of the decisions today.

6 DR. DUTCHER: Dr. Keegan?

7 DR. KEEGAN: So I think perhaps we answered
8 your original question a little bit narrowly in saying
9 that FDA doesn't store the samples. But FDA could
10 reach agreement with a sponsor to store the samples
11 themselves under a post-marketing commitment so that
12 samples would always be available for future testing of
13 technology, which is something that we did not as well
14 as we hoped we could have for Herceptin, because I
15 think we didn't know as much about all the important
16 factors for future bridging studies as we found out as
17 we went down to test.

18 So I think that's sort of the heart of this
19 question is what kinds of things should we think about
20 when we set up these -- if we were to do this, if there
21 was a sense of the committee recommending that there be
22 a post-marketing commitment, that if these two things

1 are married to each other, that there be a plan for
2 assessment of future technology; what kinds of things
3 should we have in hand looking at the time of approval
4 or, shortly thereafter, approval about a test so that
5 we can do this -- set these commitments up more
6 intelligently?

7 DR. DUTCHER: Dr. Lyman?

8 DR. LYMAN: Just to take off on that a
9 moment. And perhaps the agency has thought this
10 through a fair amount, but I think since we cannot see
11 the future and recognizing that virtually any novel or
12 targeted therapy could end up being evaluated based
13 retrospectively on an assay that was not anticipated or
14 not known at the time, that perhaps all -- at least
15 Phase III pivotal licensing type trials of novel
16 targeted agencies should have a mandated prospective
17 tissue acquisition with provision made for storage, not
18 at the FDA, but by the sponsor of the study. And this
19 would just be a recognized required component of any
20 therapy based on some target that could become a
21 functional assay in the future.

22 DR. DUTCHER: Dr. Wilson?

1 DR. WILSON: I think that's theoretically a
2 very reasonable idea. But to get back to what has been
3 discussed before, and that is that some of these
4 changes are not stable.

5 So, for example, if you're looking for a 17p
6 hit in CLL and you're doing it in the relapse setting,
7 but your samples are from the upfront of initial
8 diagnosis, they're not going to reflect what the
9 patients have.

10 So I think that it's going to really be based
11 on the type of test you're actually going to be doing.
12 I think RAS looks like it's a relatively early event.
13 So it should, in most patients, be present upfront.
14 But other things, such as p53 mutations is very stable
15 on large cell, very unstable in CLL. So it's very much
16 of a moving target depending on what you're looking at.

17 DR. DUTCHER: One last comment, Dr. Link.

18 DR. LINK: One comment about the mandate for
19 tissue. I think it's a great idea scientifically, but
20 I'm wondering, especially, because the mandate would
21 have to be for future testing not now specified. I
22 don't think our IRB would approve that, because you

1 have to have an opt-out and they would view that
2 mandating tissue in order to get on a study is
3 coercive. We've already faced this. And, of course,
4 I'm a pediatrician, where it's even more coercive. But
5 I'm just wondering how we're going to reconcile that
6 with other regulatory agencies.

7 DR. CURT: I also think that the requirement
8 of having testable tissue in 90 to 95 percent of
9 patients may be a bridge too far. Even in trials where
10 we've required tissue as a prerequisite for coming on
11 study, the actual attrition that occurs in the
12 percentage of patients in whom you can interrogate
13 tissue is actually far less than that.

14 DR. DUTCHER: So it sounds like a laudable
15 goal, but something that needs a lot of work and we
16 have to deal with the practical aspects of IRBs and
17 pathology departments and dollars and freezers and a
18 lot of stuff.

19 Yes?

20 DR. LINK: On the flipside, though, from a
21 pathology standpoint, one needs to hold on to all
22 diagnostic material, at least in blocks, that is, for

1 ten years and, for pediatrics, 20.

2 So that tissue is there.

3 DR. HARRINGTON: Yes, but it may not be
4 consented.

5 DR. LINK: Oh, that's true. It may not be
6 consented, but the tissue is still there. So the
7 accrual, in and of itself, is potentially possible.

8 DR. NETTO: I don't think it has to be an all
9 or none phenomenon. I think at least what has been
10 consented and kept be made available for future
11 retesting or looking at subsets of other markers.
12 That's, I think, what we should be talking about rather
13 than forcing no more trials unless you give your
14 tissue. I think that's not going to fly. But if you
15 consented that you gave your tissue, then the company
16 who was introducing the Phase III trial will need to
17 promise that it will make it available and will try to
18 give more information about how the test was run, what
19 were the cutoffs and all that. I think that's
20 important to do it up front.

21 DR. DUTCHER: Thank you all. I think we're
22 going to move on to topic number one, and this is when

1 would it be appropriate to limit use of a drug to a
2 subgroup based on retrospective analysis of one or more
3 studies that were not designed to examine this
4 subgroup?

5 And we're going to ask Dr. D'Agostino and
6 Dr. Lyman to start the discussion and I think we'll
7 probably have a lot of discussion here.

8 There are a number of points made. I think
9 you can all read the points. Please start.

10 DR. D'AGOSTINO: The FDA and the sponsors
11 have given us some number of sort of general rules in
12 terms of what should be met and what is essential for
13 doing these types of studies. I think it would be
14 useful for us as a panel to have on record some
15 comments. And what I'd like to do is give a listing of
16 what I think are important issues and turn it over to
17 Dr. Lyman and then maybe a general discussion.

18 I think that there are probably four -- and
19 you can break these down in different ways. But there
20 are probably four general categories.

21 Category one, I think, is that these analyses
22 should be hypothesis-driven. Even though they're

1 retrospective, they should be hypothesis-driven.
2 Exploratory mode is a different thing all together.
3 These are hypothesis-driven with an analysis plan and
4 there should be a validation built in.

5 So a presentation, say, we are looking at
6 these hypotheses, here's the mechanism for it, here's
7 the way we're going to go about testing it and here's
8 the way we're going to go about validating our results,
9 because we realize this retrospective analysis may
10 still have some exploratory aspects to it.

11 As far as the efficacy and the safety, what
12 we're looking at, I mean, there is the main trial that
13 we have before us that we're taking subjects from and
14 further analysis and there were efficacy variables.
15 There's the discussion about progression-free versus
16 overall survival and so forth, which I found rather
17 striking in some of these here, but the efficacy is, I
18 think, very much driven by the original study.

19 The second general point is where do the
20 samples come from, something that we were just talking
21 about. I'm really concerned that no matter how well
22 you plan the study, no matter how well you do your

1 analysis, no matter what kind of validation you're
2 going to say, if all you have is convenience samples,
3 then you're in real trouble, and some of the
4 presentation of Dr. O'Neill was showing that.

5 In the studies I'm involved in, quite often,
6 it isn't rigorous, across sites, incentives on how
7 samples are being taken and how they're going to be
8 kept and so forth. And so the idea of having a solid
9 set of samples available, I think, is really going to
10 be driving this. Whether it's 90 percent or what have
11 you, I don't know, but it has to really not be just a
12 simple convenience sample. We have to make sure that
13 the randomization is preserved. There has to be some
14 way of saying that what we have is validated for
15 statistical analysis. We have to make sure that the
16 number is adequate for doing statistical tests and for
17 interpretation.

18 The third general issue, I think, is the
19 notion of statistical power and multiplicity control.
20 The study should have and should be demonstrated before
21 one starts the analysis that we have enough subjects,
22 we have enough data, enough samples where we can

1 actually have a good chance of having solid statistical
2 results with multiplicity control built in there.
3 We're going to be looking again at data
4 retrospectively. How are we going to handle the
5 possibility of looking too deeply into it? Again, we
6 have the validation.

7 I'm co-principal investigator of the
8 Framingham study, and all genetic analysis is basically
9 in terms of we try to control and allow for false
10 positive rates and what have you, but validation on
11 another dataset is the only way that I think the
12 epidemiologic field feels comfortable in. I think we
13 have some of this going on here. But within the study,
14 within the proposed plan, statistical power and
15 multiplicity control.

16 Then we need consistency, for my fourth sort
17 of general thing, consistency in sensitivity analysis.
18 Do we see consistency as we would anticipate, males,
19 females, young, old, different grades of the disease
20 and what have you? It's not just an overall analysis,
21 but consistency.

22 Do we find consistency with the interaction

1 type tests? Those type of tests should be built in.
2 Are the data we have sensitive enough to, in fact, show
3 us that being positive or negative on the biomarker is
4 going to make a difference, that we have an effect
5 modifier, basically, with these biomarkers, and do we
6 have, as I say, consistency across subgroups we would
7 have looked at?

8 In the sample we're looking at, with the
9 sample we're looking at, regardless of the biomarker,
10 be able to reproduce the original sample results; this
11 idea that we aren't dealing with a unique sample, we're
12 able to show that what we get from this smaller sample,
13 or the sample that we're doing this retrospective
14 analysis, can reproduce anything that was in the
15 original study.

16 I think if we have these -- as I say, these
17 are four general things and I think they just reflect
18 what was mentioned earlier. But I think if we have
19 these things met, these categories met, and we say that
20 these are the sort of general categories one has to
21 look for in a study, you could, in fact, put an
22 analysis together that could, in fact, be believable

1 and not left just to sort of our feeling good about it.

2 DR. DUTCHER: Thank you.

3 Dr. Lyman?

4 DR. LYMAN: I certainly agree with all of
5 that. I'd just take one step back.

6 I personally feel that if the original
7 endpoint was not reached in the prospective trial, the
8 desire to go back and look at subgroups retrospectively
9 just doesn't really hold and I think we should require,
10 ideally, two prospective studies for patients who are
11 stratified a priori based on subgroups of the assay or
12 the treatment was limited to a specific subgroup of the
13 assay.

14 In the situation here, where there may have
15 been the -- the outcomes were reached, the primary
16 outcome was reached, then I think we have
17 discussed -- and Dr. D'Agostino has listed many of the
18 issues that need to be addressed.

19 It's extremely important with these type of
20 analyses that they be adequately powered within the
21 subgroups. Particularly, if the marker may be both
22 prognostic and predictive, there has to be sufficient

1 power in the better prognosis group with fewer events;
2 that if there is no demonstrated treatment effect, we
3 can rule that out with some level of confidence that's
4 high, and that's often hard to do.

5 There has to be formal testing for
6 drug-biomarker interaction. That's been discussed
7 previously. There needs to be appropriate adjustment
8 for all other prognostic and predictive factors that
9 are known. Obviously, we don't necessarily know all of
10 them.

11 While, again, I recognize it's a high mark,
12 we've seen that achieving samples, retrieving samples,
13 in 90 percent or greater, the acquisition rate can be
14 reached.

15 I think we have to set the bar high if we're
16 going to do this type of retrospective look at
17 prospective data. I think to look at data where only
18 half the samples, or in some cases, less than half the
19 samples were obtained, leaves us open to all sorts of
20 potential confounding that cannot be fully resolved
21 retrospectively.

22 As Ralph mentioned, obviously, the analysis

1 should be blinded for the biomarker and the analysis
2 should be pre-specified before those markers are on.

3 Again, we all pretty much agree what the
4 major quality issues here are. I think they just need
5 to be transparent, known to the sponsors and trialists
6 in advance, and we should insist on those types of
7 criteria.

8 DR. DUTCHER: Thank you.

9 Dr. Harrington?

10 DR. HARRINGTON: Thank you. I just wanted to
11 make a distinction here that I think is well
12 understood, but certainly I see it on both sides.

13 There's a difference, obviously, between
14 regulatory approval and the march of science here. And
15 so I just want to be sure that we don't send a message
16 as a committee that you can't learn anything from a
17 trial that didn't meet its primary endpoint. It's
18 important to try to learn from those trials. It may
19 need future prospective trials for regulatory approval,
20 but we certainly don't want to imply that these
21 exploratory analyses aren't useful, as risky as they
22 are.

1 The other thing I want to say -- and I might
2 come back to this when I talk about the next question.
3 I benefitted from a very useful and mildly illegal
4 discussion with my colleague, Professor Simon,
5 Dr. Simon, over lunch. We weren't supposed to talk,
6 but he set me straight on this issue about the
7 ascertainment.

8 I think we also need to be a little bit
9 careful about that, because, in fact, if you had full
10 ascertainment and then you did a study on ten percent,
11 a random sample of the ten percent of the blocks, you
12 would have a perfectly unbiased sample to look at the
13 effect of a marker within groups. So the issue in this
14 ascertainment isn't so much how many you have, it's how
15 you got them. And I think that acknowledging the fact
16 that you can't get them all, it's really important to
17 understand how you got the ones that you did.

18 There are certainly situations where these
19 convenience samples can lead to bias. So maybe the
20 easiest one to understand is let's suppose that in the
21 mutated group, there's no treatment effect, and let's
22 suppose that in the wild-type group, there is a

1 treatment effect, but it varies across treating
2 institutions for various reasons that are correlated to
3 patients, and then you only get the blocks in the
4 institutions where they saw the large treatment
5 effects. So you'll get a biased estimate then of the
6 effect.

7 So I'll say more when we go on to the next
8 question about the value of full ascertainment, which I
9 think is important, but I think throwing out a number,
10 90 percent, and then treating that as the reason, as
11 the only way to measure whether ascertainment is
12 perfect or not, is a bit shortsighted.

13 DR. D'AGOSTINO: Can I respond?

14 When I was making my little presentation, I
15 said I don't know what the percent should be, but it's
16 the convenience.

17 But I do agree that if we leave it loose,
18 that it's not a convenient sample, you can compensate
19 by saying we're going to have enough power. So I think
20 you can get at it by saying your sample is going to be
21 adequately powered.

22 The other thing in terms of the negative

1 study, I was bothered this morning, and I raised a
2 question, I think it was probably the first question,
3 but it is possible, if these genetic factors or
4 biomarkers are so important, that you have a positive
5 effect if you're positive on the genetic marker and you
6 have a flat effect if you're negative.

7 In the overall sample, it could be that
8 you're sort of washing things away. So I don't know
9 how I could do the mathematics out and say that it's
10 possible to have a negative study where there's a
11 really powerful subset, but it's conceivable, and I
12 don't know how to handle that in terms of what we're
13 talking about here. Would that negative produce so
14 much noise or would the overall be able to show it?
15 And I don't know. I don't know what the answer is to
16 that.

17 DR. DUTCHER: Dr. Simon?

18 DR. SIMON: Well, the couple of papers that
19 were alluded to this morning that I published sort of
20 got into those calculations, and that's actually the
21 typical situation.

22 Other people with Herceptin have shown that

1 had those trials taken all comers and not been limited
2 to patients whose tumors over-expressed HER2, they
3 would have needed -- because the incidence of
4 positivity was only 25 percent, those trials would
5 almost certainly have been non-significant. And to get
6 them significant, to make up for the dilution effect,
7 they would have had to have included thousands and
8 thousands of patients.

9 DR. HARRINGTON: You were always on my mind
10 when I was making my comment.

11 DR. SIMON: But I wanted to touch on -- and
12 so I also believe -- we've had this sort of
13 conventional wisdom, never trust subset analysis unless
14 the overall results are positive, and that has sort of
15 protected us against data dredging.

16 But what we're talking here, we don't
17 need -- that is actually sort of an irrational rule of
18 thumb now in terms of what we're really talking about
19 and we don't need that to protect us against data
20 dredging.

21 So we need to distinguish data dredging from
22 the kind of KRAS situation, as an example, we were

1 seeing today. But if we continue to use this rule of
2 thumb, never look at a trial unless it's met its
3 over -- it's significant for its overall, that leads to
4 clearly erroneous conclusions. And so that rule of
5 thumb really needs to be sort of given up and we need
6 to independently make sure we're not talking about a
7 data dredging situation.

8 The other thing is -- I guess the big thing
9 is we need to distinguish -- for example, the kind of
10 prospective/retrospective design and the conditions for
11 doing it that were presented this morning by the FDA I
12 think are very useful, and we need to not lump those
13 kinds of analyses together with the sort of typical
14 data dredging analyses.

15 In other words, the key things are the kinds
16 of things that Dr. D'Agostino was talking about. It
17 needs to be a focused analysis. It needs to have
18 enough patients, both in the test positive and the test
19 negative subsets, to be interpretable, and you have to
20 have a test that is analytically validated on archived
21 tissue.

22 But I can conceive of situations where you

1 could do an analysis -- even though the trial was big
2 enough and the proportion positivities were appropriate
3 and you had arranged for archived tissue, and you could
4 actually do, to me, just as believable analysis if
5 information arose during the course of the trial from
6 external sources as if you had set it up from the start
7 that way.

8 That may not be the typical situation, but I
9 don't think because it wasn't done completely
10 prospectively that that precludes being able to -- if
11 other things are right -- being able to reach reliable
12 conclusions.

13 It was alluded to this morning that this term
14 kept popping up, stratified, randomized stratified by
15 the prospective -- by the predictive biomarker, meaning
16 that the -- if, by stratified, we mean that the
17 randomization is balanced by the predictive biomarker,
18 that is not, to me, a viable objection.

19 That is not, to me, an essential. You can do
20 a perfectly valid randomization test without
21 prospective stratification and all of the prospective
22 stratification -- if you know the predictive biomarker

1 in advance, then prospective stratification is valuable
2 because it assures that you will have tissue and assays
3 for all of the patients who go into the trial. But it
4 doesn't really do anything to improve the validity of
5 the analysis, and it doesn't actually improve
6 the -- all it improves is the balance between the
7 number allocated to treatment versus the number
8 allocated to control for, say, the test positive
9 patients. It doesn't improve the balance of those with
10 regard to unknown covariates.

11 So there's, I think, a lot of confusion about
12 the supposed benefits of prospective stratification, at
13 least as it applies to sort of providing a basis for
14 inference. I think key issues are sample size,
15 multiplicity control, having a focused analysis, and
16 those types of things.

17 DR. DUTCHER: Thank you.

18 Dr. Link?

19 DR. LINK: First of all, I'm glad to hear
20 that Dr. Simon is supporting the rationality, because
21 now I can justify why I bought a lotto ticket.

22 But actually, there's a good example of

1 retrospective. Look at this lung cancer trial with
2 EGFR inhibitors, where a very small subset of patients
3 benefitted hugely in an otherwise negative trial. And
4 I think that maybe Dr. Harrington's comment that the
5 difference between discovering a potential marker
6 versus regulatory approval of that may be relevant. In
7 other words, there's good examples of being able to
8 find markers retrospectively. So I can't imagine that
9 we would want to eliminate that possibility, but maybe
10 the FDA wouldn't accept that kind of approach to put it
11 on the label.

12 DR. DUTCHER: Dr. Raghavan?

13 DR. RAGHAVAN: I think we also want to
14 remember the comments from the first of the patient
15 advocates, which was essentially a plea for common
16 sense.

17 And while I agree totally with what Richard
18 Simon said, as I have over the years, the reality is
19 that we want to be careful that we don't box Dr. Pazdur
20 and Dr. Keegan into a little corner where, with our
21 information, we set a bar that's so high from our
22 advice that they can't make sensible decisions.

1 One of the attractive features about ODAC is
2 it doesn't have lawyers on it and so we can actually
3 think about patient welfare. Sadly, worldwide, our
4 treatments aren't that great in many domains, and as
5 the patient advocate said, if you have a technology
6 that might restrict usage inappropriately, that's
7 probably a good thing.

8 I think one of the things I've felt has been
9 lost a little bit today, because it's probably one of
10 the very first times I've seen it at the FDA, is two
11 companies have come here to try to create a situation
12 where they sell less product. That seems like kind of
13 an important thing. And so, therefore, perhaps the way
14 we need to think about this is in terms of, yes, we
15 need to set rigor, we need to have good assays, we need
16 to have well powered studies. But we might create a
17 fudge factor that would let Dr. Pazdur, et al, look at
18 the numbers of sets of data, the overall numbers.

19 If you think about our clinical trial domain,
20 we've come up with a crooked trick of meta analysis
21 that allows us sometimes to glean information from
22 rather poorly executed studies, where the numbers are

1 small. That's not a replacement for a very well
2 designed randomized trial.

3 But the point I'm making is I think if we set
4 rules that have common sense in them and allow the FDA
5 some discretion to look at what was the intent of the
6 study -- as Mike Link said, I think, were you able to
7 glean a useful quantum of reproducible information,
8 even though the study wasn't designed to do it. And as
9 I've been hearing the discussion, I've been a little
10 uneasy that we're starting to raise the bar with a lot
11 of clever terms that will actually stop common sense
12 from being implemented, and that would be a shame.

13 DR. DUTCHER: Dr. Zhou?

14 DR. ZHOU: I have two comments. One is
15 actually related to the ascertainment, because that
16 just makes me think that if we think about missing
17 data, the importance about missing data is not how many
18 people we're missing, actually, it's about missing
19 information rate.

20 So we could actually borrow that kind of
21 thinking into the ascertainment areas, instead of a
22 proportion of people don't have a biomarker and maybe

1 to say what is the missing information due to the
2 missing biomarker. Maybe that's more important than to
3 say what are the number of people missing.

4 The second comment I wanted to make is
5 related to the subgroup analysis and overall group
6 analysis.

7 So actually, sometimes the subgroup analysis
8 can help us to better analyze the primary analysis.
9 Let's suppose the treatment actually does depend on
10 which biomarker value you have. Then you cannot ignore
11 that when you do an overall analysis, just do
12 two-sample comparisons. So you have to take the
13 effect -- the treatment effect is actually different
14 depending on which biomarker you are using.

15 So in other words, I think performing the
16 subgroup analysis sometimes actually can help us to
17 inform us how to analyze primary data analysis, also.
18 So that's an advantage of performing subgroup analysis.

19 DR. WILSON: I wanted to say that I was very
20 happy to hear Richard's comments on it not necessarily
21 being needed to have prospective biomarker
22 stratification for a practical, as well as a scientific

1 reason.

2 Number one, it is often impractical to do
3 these tests before people come on study. Number one.

4 Number two, you think, going into a
5 prospective trial, particularly in an early drug, that
6 you may know what the proper biomarker is. If, in
7 fact, you do do stratification based on that biomarker
8 and then later on find out that it's really something
9 else, you have severely biased your groupings; whereas
10 if you start from the very start blinded to the
11 biomarker, I think it makes the trial much more
12 amenable to future looks with other markers.

13 DR. DUTCHER: Ms. DeLuca?

14 MS. DELUCA: Thank you. When the emerging
15 science meets the road, it's usually on my body and
16 bodies of probably people that are in the room, and
17 it's our lives and our bodies that come to you from the
18 bedside. We don't always stay in the bed. We also
19 walk around. We breathe. We'd like to go to holiday
20 parties, see grandchildren's birthdays and that sort of
21 thing.

22 We're talking about a lot of numbers. I'd

1 like you to think, one, on the numbers of people who
2 have already made their gift to you of the tissue
3 samples. Don't waste them. Please keep them. And
4 then take a look at how many do we need for the future.
5 Let's take a look at that number. How many people?
6 We're talking about metastatic colorectal cancer, we're
7 talking about more people in stage three and stage four
8 than we are in stage one and two and zero. Also, no
9 matter which progression you're talking about, they
10 die.

11 So that's our bottom line. So, please, use
12 the gift that we have, take it. The statistics are
13 very important, vitally important to us. KRAS is a
14 subject that's vitally important to us.

15 You can Google, go to Yahoo, any of the
16 sites, put in colon cancer, put in metastatic colon
17 cancer. Out of your top ten picks, you're going to
18 find eight of them are going to contain KRAS. So this
19 is a subject that's very important.

20 So my question is more, how many are we going
21 to need? We have thousands, looking at the trials that
22 have been presented today, between the trials, the four

1 trials, the six trials, if we bring in European trials,
2 thousands of people who are already represented here.
3 How many more do we want? Do we want thousands more or
4 are we talking one or 2,000? I think that's something
5 that should be sort of determined upfront. Then the
6 other questions that have been brought to fore would
7 really make much more sense and file in.

8 Thank you.

9 Oh, I'd like to say one more thing. I was
10 really pleased when Dr. Little got up, because I didn't
11 know. I thought DxS was a type of assay, but I didn't
12 know that it was a U.K. biomedical company. I was
13 thrilled to know that.

14 Thank you.

15 DR. LITTLE: Glad to be of assistance.

16 DR. DUTCHER: Are there other comments on
17 topic one? We should move on.

18 Topic two is going to be discussed by Drs.
19 Harrington and Richardson.

20 And this is when would a prospective
21 study -- well, do we need to -- wait a minute.

22 Should we summarize topic one? Did we get to

1 a summary? Let's go on.

2 When would a prospective study design for the
3 purpose of examining treatment effects on a
4 pre-specified subgroup be needed to establish treatment
5 effects in this group?

6 So I guess, and otherwise, when is the
7 retrospective data not strong enough?

8 Dr. Harrington?

9 DR. HARRINGTON: Thank you. Let me touch on
10 a few points.

11 First of all, I'm going to agree with Rick
12 Simon, in principle, that if there are two very good
13 retrospective studies that meet the criteria that
14 Dr. D'Agostino and others have pointed out, then I'm
15 not sure that we do need a prospective study.

16 So I'm going to talk about the situations I
17 think that weaken that evidence in the two very good
18 prospective/retrospective studies that would point to
19 the need for another trial.

20 So the first is this ascertainment issue, but
21 it's not the ascertainment issue of the specimens.
22 I'll talk about that in a second. It's the FDA's

1 ability to ascertain all the studies. So I think that
2 if there are two very good ones, that doesn't mean that
3 there aren't ten out there that showed that the marker
4 wasn't informative. And so I think we would need to
5 know that the FDA was able to capture most of the
6 available data that was done in well controlled trials
7 and that it was consistent.

8 The ascertainment process of the tissues
9 we've talked about a lot. So I'll just say it's
10 important to understand that process and if there's any
11 loss there, to understand where the loss is coming
12 from. And if we can't understand that, then I think
13 that would point to the need for another trial.

14 If the important endpoints of the
15 prospective/retrospective trials were contradictory,
16 progression-free survival, overall survival response,
17 if they didn't seem to all run in the same direction,
18 not necessarily all significant, but all running in the
19 same direction, then I think a prospective trial could
20 be much more important.

21 The lack of a pre-specified analytic plan,
22 that's been discussed. I won't say anymore about that.

1 That's, I think, a sine qua non. You have to have
2 that.

3 I think that we need to be very careful, I
4 think, here about the patients who appear not to
5 benefit because of their biomarker status and to make
6 sure that we're not experiencing a Type II error there,
7 an error of false negative. And so there, I think, it
8 may well be that there are instances where the
9 subsequent prospective randomized trial may need to be
10 in the biomarker negative, let's call them negative
11 group, if there were intriguing trends in that group,
12 but non-significant. So we should just be careful, I
13 think, not to leave them behind.

14 I think there are other situations that have
15 been mentioned, as well, situations primarily where the
16 science has changed substantially since the original
17 trials were done, either in the way that the marker is
18 being done, in the way that the treatments are being
19 given, and our, perhaps, change of heart about an
20 intermediate endpoint, like progression-free survival,
21 that was used in the earlier trial and now we begin to
22 wonder if that really is the best way to measure

1 things.

2 So I think that in summary, my default would
3 be if we have two really good of these
4 retrospective/prospective trials that meet all the
5 conditions that have been specified, and they are the
6 universe or nearly so, then I don't think we need a
7 prospective trial. But absent any of those conditions,
8 that's when we need it.

9 DR. DUTCHER: Thank you.

10 Dr. Richardson?

11 DR. RICHARDSON: I have just a couple of
12 comments. One is these obviously are so complex, when
13 we're looking at these various biological mechanisms,
14 that I think we need to spend more time in trying to
15 make sure that we have the appropriate markers that
16 we're studying.

17 Obviously, you could argue that in the data
18 that were presented earlier, if fewer than 20 percent
19 of the patients actually have an objective response in
20 the more favorable group, the situation is very complex
21 and requires further studies with trials looking at the
22 proper markers.

1 Without spending a lot of time trying to
2 define the word "was," I'd like to look at the word
3 "when," because with regard to conduct of these
4 studies, looking from the perspective of a clinician, I
5 was struck by the repeated assertion this morning that
6 a randomized study of some of these drugs in wild-type
7 KRAS colon cancer patients can't be done. We listened
8 to Dr. O'Neill's very, I think, elegant analysis of
9 these data and one would wonder whether that conclusion
10 that these studies can't be done is true.

11 I think this gets back to a couple of issues.
12 One certainly is one of balancing risks between two
13 groups of patients. How do we go about doing that when
14 the information that is out there, as Jo-Ellen
15 mentioned, on Google and out on the Internet is so
16 directed in a particular orientation?

17 How do we deal with the prejudices and biases
18 of physicians? Because once these issues make it to
19 the speakers' bureaus, those also make it difficult to
20 design these studies and execute them, in particular,
21 if accrual falls off because of various changes in
22 judgment on this.

1 So I think it becomes imperative to pursue
2 these kinds of studies in a very timely fashion and I
3 think everybody, whether we're dealing with cooperative
4 groups, whether we're dealing with the sponsors, but
5 everybody needs to show some judgment and restraint on
6 this.

7 Finally, there's one little issue that's
8 always bothered me about these kinds of biomarker
9 studies and that is that there really isn't anything
10 out there indicating that one actually achieves, at a
11 cellular level, what you think you're hoping to
12 achieve. I don't know of any data that have been
13 presented indicating that, yes, the drug is there and
14 it's doing what it's supposed to do. I think as
15 technology evolves over time, that would be something
16 that should be a real goal for this.

17 DR. DUTCHER: Thank you.

18 Comments? Dr. Curt?

19 DR. CURT: I think this issue of
20 ascertainment of all clinical trials is an important
21 issue, as well. There's been recent publications by
22 Scott Ramsey and others on publication bias, where

1 negative clinical trials just don't make it to the peer
2 reviewed literature. And I think it would be good to
3 have a mechanism that such trials that are well done,
4 but don't meet their endpoints, are published, are
5 searchable in Medline and Medlar and just don't get
6 posted on a Website somewhere.

7 DR. DUTCHER: Dr. Simon?

8 DR. SIMON: Just to agree with
9 Dr. Harrington's statements about the two, well-done
10 retrospective/prospective studies and that those
11 be -- or that the ones that are done sort of are
12 consistent and that there be at least two good
13 retrospective/prospective studies.

14 In terms of the definition of what makes for
15 the good retrospective/prospective study, I think the
16 only thing I would differ with what the FDA presented
17 this morning was their very high definition of required
18 ascertainment, that 90 percent or so of the specimens
19 be ascertained.

20 I think there actually is a bit of a
21 confusion there. I think the ascertainment percentage
22 influences generalizability of results, but it doesn't

1 actually introduce bias, as long as the ascertainment
2 is not differential by the treatment groups.

3 So of the cases for which you know the
4 biomarker result, if those are properly randomized
5 cases and if treatment has not determined
6 ascertainment, then you wind up with an unbiased
7 estimate and a perfectly valid test of treatment effect
8 in, say, the test positive patients for whom you have
9 tumors assayed.

10 The only issue is are they representative of
11 the entire group of patients in that trial, which is
12 similar to the situation you have even in a fully
13 prospective trial, where you sort of never really know
14 whether the patients in your trial are representative
15 of the populations of patients outside of your trial.

16 So it's a little bit more difficult when
17 you're not even sure whether they're representative
18 within your trial, and that's why Dr. Harrington, I
19 think properly, emphasized you really need to get into
20 detail of who you have tissue on and who you don't.
21 And if your ascertainment percentage is very high, then
22 you don't have that worry. But qualitatively, it's not

1 really any different than the issue of generalizability
2 in a fully prospective trial.

3 DR. DUTCHER: Dr. O'Neill?

4 DR. O'NEILL: Yes. I'd just like to follow
5 up with Rich on that in terms of caveats he would put
6 on the diagnostics that you would assure yourself that
7 you are in the sort of comparing likes with likes.

8 The whole issue is that that's unknown,
9 usually, and, empirically, all you can do is say,
10 "Well, I don't have differential ascertainment treated
11 and control group." Well, how do you know that? You
12 have to have some access maybe to perhaps the universe
13 source to know that the sample that you do have is
14 relatively representative.

15 So do you have any advice on sort of the
16 diagnostics that you would look at to assure yourself
17 that you don't have a biased sample?

18 DR. SIMON: I think the only advice would be
19 you want to know all the details about the cases,
20 whether there's institution variability in treatment
21 assignments and all of the covariates and as much as
22 possible about the issues of who you have the

1 ascertainment on and who you don't, so that you can try
2 to assure that there's not a treatment difference on
3 ascertainment and, also, to try to understand what
4 potential issues might be there in terms of
5 generalizability.

6 DR. ZHOU: Actually, I think that in the
7 literature, they do have some -- this is actually a
8 related issue similar to the meta analysis issue about
9 whether the study you select actually represents the
10 whole population.

11 The Cochrane, in the clinical trial, also, in
12 diagnostic medicine, they do have a guideline that has
13 published to say what are you looking for; for example,
14 sample size and study population and how to deal with
15 missing data. And then they give the score for each
16 study as published and then they come up with the
17 quality of the study score and then try to judge
18 whether -- suppose that you have six studies, for
19 example, you show us in the morning, and then they tell
20 us to say what's the quality of each study, based on
21 the information available.

22 So they are looking at factors, not just

1 ascertainment rate. They also look at other factors
2 they think are important. So we should apply that kind
3 of criteria to the biomarker study, also.

4 DR. D'AGOSTINO: We all have different
5 experiences and so forth. But quite often, the studies
6 I'm involved in, there's a hard and fast protocol that
7 one follows, but then there's sort of secondary things
8 you're doing and there are rules, but not necessarily
9 adhered to. And I think that's what -- might be
10 getting to, that there isn't uniform ascertainment, and
11 sometimes it's from one center to another.

12 So I'm not so sure the Cochrane type of
13 rules, but I think more the Richard Simon type of field
14 might be what you have to apply. There's not going to
15 be a hard and fast that you can actually pull an
16 answer.

17 DR. SIMON: Ascertainment issues can be much
18 more problematic in situations where you're talking
19 about follow-up data and lack of --

20 DR. D'AGOSTINO: Some of these things are
21 part of the follow-up in terms of samples and things
22 that you're getting, that you aren't necessarily

1 getting them all at baseline; that you decide later on
2 to get certain variables and so forth on the subjects
3 and you only get them when they come. And then other
4 centers are doing sub-studies where you get certain
5 information and others aren't getting it, that type of
6 mix.

7 DR. SIMON: I'm saying those are very
8 complicated situations. Here, what I think we're
9 talking about is just a baseline sample. And so I'm
10 saying the issues and the opportunities for bias, I
11 think, are much less.

12 DR. D'AGOSTINO: Well, prospectively, but I
13 think we're talking here about there may be loads of
14 studies that you might have the ability to do some
15 biomarkers on whatever data is available from those
16 studies, and it's not that you're now looking at
17 prospective collection, but it's whatever you got in
18 the previous running of the study.

19 DR. SIMON: But these are not surrogate
20 endpoint kind of biomarkers. These are predictive
21 biomarkers that have to be based on samples taken
22 essentially before the patient was randomized.

1 DR. D'AGOSTINO: Then why don't we have 100
2 percent?

3 DR. SIMON: Because -- well, there's lots of
4 reasons why. It's difficult to get the tissues
5 sometimes from the pathology departments, things like
6 that.

7 DR. ZHOU: Assuming all these studies are
8 actually is published. Right? So you should be able
9 to find all that information for the Cochrane.

10 DR. DUTCHER: Dr. Funkhouser?

11 DR. FUNKHOUSER: My perspective from the
12 pathology side is that patients who consent to
13 participate in these trials frequently have extra
14 tissue sampled at the time of resection.

15 So for example, on a colectomy done for
16 primary colorectal carcinoma, they'll sample three of
17 the primary for staging, but then separate tissue will
18 be passed off either to the tissue bank or to the nurse
19 coordinating this particular trial, and then sent to
20 central pathology for bio banking and DNA extraction
21 and so forth. So I don't see why 100 percent
22 ascertainment isn't possible in a trial that

1 anticipates doing these sort of
2 prospective/retrospective analyses.

3 DR. DUTCHER: Well, I think that's true in
4 academic centers, where there's somebody that will put
5 the tissue in the tissue bank. But if you're doing a
6 Phase III trial where you're including community
7 oncologists who are treating the patients, they may
8 have their tissue in the pathology department in a
9 community hospital.

10 DR. FUNKHOUSER: My perspective is that if
11 the hospital commits to participate in the trial, then
12 the pathology department should cooperate with that,
13 and you should --

14 DR. DUTCHER: I agree, in the best of all
15 possible worlds.

16 DR. FUNKHOUSER: She cut me off. I can't
17 believe that.

18 You should asymptotically approach
19 100 percent ascertainment for these trials.

20 DR. DUTCHER: A laudable goal.

21 Any other comments on topic two? I just have
22 one question that would come through here.

1 Is there a fraction of missing biomarker data
2 in a retrospective study that would make you say you
3 must do a prospective trial?

4 Dr. Harrington?

5 DR. HARRINGTON: No. I mean, if it gets down
6 to near zero, obviously, there's not enough information
7 to analyze. If it's at near 100 percent, it's perfect.
8 But if it is 50 percent, but it was a 50 percent true
9 random sampling or through some process that's
10 essentially random, then that 50 percent may be useful
11 and, as Rich Simon said, would produce an unbiased
12 estimate.

13 So it isn't only about the size of that
14 group, it's how they got there and whether there is
15 something that might be correlated with treatment or
16 outcome that's hidden.

17 DR. DUTCHER: So I think that's important for
18 the FDA to hear, and how you would set those
19 guidelines, because they're looking for guidelines in
20 terms of how you look at these data.

21 DR. HARRINGTON: Statisticians love to
22 qualify. So I'll just qualify that by saying the more

1 tissue you have, the more ability you have to
2 cross-validate your analysis or to validate it. And so
3 more tissue always results in a stronger analysis.

4 DR. DUTCHER: Dr. Funkhouser?

5 DR. FUNKHOUSER: Thank you. Just to address
6 topic two, it's my understanding that a prospective
7 study would be sold to a potential patient to be
8 accrued on that study if and only if you didn't know
9 which therapy was best. And it seems to me that the
10 data that we've looked at this morning shows no
11 evidence of even a partial response in patients that
12 are RAS mutant.

13 Is that correct?

14 So I don't know how you would accrue patients
15 on a trial if you already have evidence that there is
16 no potential benefit and yet a 25 percent probability
17 of side effects for treatment, given RAS mutant status.

18 DR. DUTCHER: Dr. Raghavan?

19 DR. RAGHAVAN: I think that, not as a
20 statistician, but as someone who spends a lot of time
21 with clinical trial statistics, the further away from
22 100 percent you get in terms of ascertainment, the more

1 red flags go up. And while I accept Dave Harrington's
2 point totally, because, again, I was the one who said
3 use common sense, I do think the FDA needs to have some
4 rule that says the further you away you are from
5 100 percent, more red flags go up.

6 What was cool about the data today -- they
7 were pretty good data. There was high ascertainment,
8 with the exception of one trial. And what troubles me
9 is that when this sort of a presentation comes up, it's
10 always the A team that's presenting its data.

11 Downstream, Rick and the gang are going to be
12 looking at retrospective/prospective studies with
13 25 percent sampling and outstanding data for a quarter
14 of the patients involved and some nonsense about why
15 the other 75 percent didn't get ascertained; they were
16 being investigated in Benghazi or the plane crashed or
17 whatever.

18 So again, I was the one that said let's not
19 put in too many rules, but I would also say let's keep
20 common sense there. The more people that aren't
21 ascertained, the more risk there is that there's
22 something crooked going on.

1 DR. DUTCHER: Dr. Simon?

2 DR. SIMON: The only thing I would also say
3 is that, to me, what was compelling about the data
4 presented this morning was the replication of it in
5 different studies rather than the particular percent
6 ascertainment in any one of them.

7 DR. DUTCHER: Dr. Pazdur?

8 DR. PAZDUR: Concerning this whole area of
9 ascertainment, there were a lot of caveats put on the
10 comments; if you believe that randomization is
11 preserved, if you believe that there's no bias here.

12 How do you really determine that in the real
13 world, that there's no bias that exists in the
14 attainment of these samples?

15 We all know that there are many things that
16 go into obtaining informed consent in acquisition of
17 samples. Good performance status patients may be
18 having more readily acceptable samples than poor
19 performance. Various countries having more acceptable
20 behavior in obtaining these samples.

21 So how do you really deal with those ifs?
22 And I think that's the major issue here when one really

1 puts all these caveats in their answers.

2 In the real world, how do you deal with that?

3 DR. DUTCHER: Dr. Harrington?

4 DR. HARRINGTON: So I think what you're
5 hearing from at least some members on the panel is that
6 the simplest rule to set is get all the tissues and
7 that eliminates the possibility that something is
8 selectively missing. But we're saying that that may be
9 practically impossible and may eliminate the
10 possibility of lots of good science and lots of good
11 results when the loss of that tissue did not disturb
12 the randomization.

13 Now, the question you're asking, I can't
14 answer. Rich tried to answer it before and I think he
15 struggled with it a little bit; how do you look at the
16 available ascertained tissue and decide that you
17 haven't introduced a lack of generalizability, as Rich
18 likes to say?

19 So the way I do that in the clinical trial is
20 very labor intensive. I look at every case that's been
21 eliminated -- hopefully, there are only a relatively
22 few number of them -- and try to understand, in the

1 hidden meaning there, whether there might be some
2 selective effect there that is hidden even from the
3 investigators.

4 DR. DUTCHER: I would just like to say one
5 thing that Ms. DeLuca mentioned that we sort of said
6 "thank you" is the patients. This needs to get on the
7 Website along with KRAS, that the tissue is very
8 important, because we spend a lot of time with informed
9 consent and explaining why we need tissue and what it's
10 going to mean and it might not help you, but it might
11 help somebody else, and some people buy it and some
12 people don't buy it.

13 But I really think if the patient advocacy
14 community understands, which many of them do, the
15 importance of this prospective effort in community
16 hospitals and in private practices, in addition to
17 academic centers, that that may be a way to meet
18 Dr. Funkhouser's goal, because he says it should
19 happen. He says that there's no way it shouldn't
20 happen.

21 DR. FUNKHOUSER: There's one exception and
22 that is if the primary is very small. Think

1 sub-clinical breast carcinoma. Because radiology is so
2 good now, we're typically seeing breast cancers that
3 have to be triangulated with needles to even know where
4 they are.

5 So that's an exception. We're not going to
6 give away any tissue to a tissue bank or to a trial
7 that could conceivably interfere with our ability to
8 accurately diagnose and stage the patient. But big
9 primaries, colorectal, lung, there's no reason on earth
10 that we shouldn't be able to collect fresh tissue for
11 frozen specimens and paraffin blocks for trials.

12 DR. DUTCHER: Dr. Wilson?

13 DR. WILSON: I just wanted to make two
14 comments just in terms of how do you handle the
15 problems with ascertainment and the fact that you may
16 have a biased sample. I think the way you do it is
17 what Rich said and what was demonstrated here, and that
18 is that you have multiple studies showing the same
19 thing. I think that addresses that very well. How
20 many you need, I think Dr. Harrington said two well
21 performed studies would be adequate. I think the devil
22 is in the details, but I think you need multiple

1 studies showing the same thing.

2 The second point I wanted to make is in terms
3 of tissues, and I think it is very important to put the
4 need for the tissues on Websites so that patient
5 advocates realize that.

6 But as somebody who does a lot of biomarker
7 work and who is now doing a prospective study, I'll
8 tell you, the biggest block isn't the patients. It is
9 the treating doctors. That is what we really have to
10 deal with. And again and again, I keep hearing it's
11 the patients. But the enemy is us, and that's where
12 the roadblock is, I believe, besides the obvious issues
13 with funding and storage, et cetera.

14 DR. DUTCHER: Ms. DeLuca?

15 MS. DELUCA: Thank you. Before we get off of
16 this topic, the patients, my heart goes out to the
17 patients who have been told, or even not told, that
18 they have the KRAS mutation. Some people are just sort
19 of silently let off the study or a nurse will kindly
20 say, "I'm sorry, but you didn't make it."

21 It would be really good to keep them for
22 another trial. It would be really good to keep that

1 tissue by just paying a little attention to telling
2 them, because in many cases, they have had to give up
3 their insurance to be on these trials. Almost every
4 protocol that I've been looking at in my center is now
5 saying yes, but if you've been tested for KRAS, now
6 your Blue Cross isn't paying. So that's something we
7 should remember for them.

8 Thank you.

9 MS. MASON: Well, I'll belabor this tissue
10 issue a little bit longer. My organization has a bio
11 repository of tissue and it's patient-driven. And one
12 of the problems we've had with patients being able to
13 access their tissues is that embedded in their consent,
14 when they were treated in a hospital maybe, that they
15 give up rights to any of that tissue or to be able to
16 direct it someplace.

17 So there are lots of legalities around that
18 and it can vary from state to state. But I think
19 patients are becoming much more savvy about it, with
20 the help of organizations. There's one group that's
21 done some great work with two brochures and they talk
22 about tissue is the issue.

1 DR. DUTCHER: Dr. Zhou?

2 DR. ZHOU: I think a study to check the
3 ascertainment bias is a good idea. However, I think we
4 also have some guidelines about what to do when you
5 have only one study. And there are several suggestions
6 I can think about, which the sponsors this morning
7 actually already have done that.

8 So first, you can check whether, at the
9 baseline, the covariates are different between the
10 people who have the biomarker results and those
11 regional data. And also, you can also look at are
12 there any major confounders which would maybe different
13 between those two groups.

14 The second is you can analyze data two ways.
15 One is so-called completed analysis, which most people
16 have done here, which is you only use the data who has
17 the biomarker information. So that's called complete
18 data analysis. And then compare with the other
19 analysis which uses all the data, which one of the
20 sponsors, I think, talked about in the morning. But if
21 they do multiple comparison, that's more the better.

22 So if you have a different way to analyze, if

1 all the results are consistent, then you have some
2 assurance that you may not have bias in your data.

3 So think the FDA should maybe think about
4 providing some guidance about how to do that for one
5 dataset, not multiple datasets, because sometimes you
6 may not have multiple datasets.

7 DR. DUTCHER: Dr. Keegan?

8 DR. KEEGAN: With regards to the consistency
9 issue, since the effect appears to be consistent across
10 PFS, but not across OS, to what extent is that a
11 consideration? Because I know that, at one point,
12 there was a statement that the endpoints in the trial
13 should be consistent with each other.

14 DR. DUTCHER: Dr. Raghavan?

15 DR. RAGHAVAN: I'm a big believer in OS for
16 many things, but in this particular context, there are
17 so many confounding variables downstream.

18 So I wasn't too bothered by the fact that
19 there was a discordance between progression-free
20 survival and overall, because the companies didn't
21 attempt to tell us what happened after the first round,
22 and so they could easily have been non-random spread.

1 SSo I wouldn't be too disturbed.

2 I think the key is to have comparison of
3 apples with apples. So the agency can say, "We want to
4 look at PFS and OS," and then look at data downstream
5 from that. But I wasn't compelled that there was a
6 problem with this morning's data at all.

7 DR. HARRINGTON: The other issue is that the
8 replication might trump that.

9 DR. RAGHAVAN: Correct.

10 DR. DUTCHER: Dr. Grem?

11 DR. GREM: I think to answer Dr. Keegan, part
12 of the difference in outcomes was how the studies were
13 designed. So for the panitumumab, they specifically
14 planned to allow crossover to the patients. So a lot
15 of people would say, "Well, that's nice," because if
16 you get randomized at best supportive care, you still
17 have a chance to get the study drug later. But by
18 doing that, you have to throw out -- you figure that
19 progression-free survival has got to be the primary
20 endpoint, whereas the other study that was done in
21 Canada specifically was targeted to demonstrate a
22 survival advantage. So, therefore, those patients did

1 not have access to cetuximab, except for in the study,
2 if they happened to get randomized to get the
3 cetuximab; if they were on best supportive care and
4 progressed, that was it, they did not have access to
5 cetuximab.

6 So I think that it's one thing to say, "Well,
7 gee, we're not seeing consistency," but I think you
8 have to look at the trial design and what the endpoints
9 were. And you can make arguments in favor of both
10 designs, both drugs were approved, but the intent of
11 the studies was quite different. And so I think it's
12 okay that one just focused on progression-free and one
13 focused on overall survival.

14 DR. DUTCHER: Dr. D'Agostino?

15 DR. D'AGOSTINO: When I was responding to,
16 one, I threw out that in terms of the efficacy
17 variable, we have to look at the what the efficacy
18 variable is in the original study and how it looks, and
19 I was actually concerned about the progression-free
20 survival and the overall survival. And it may be the
21 explanations that we're hearing now, but I walked away
22 from those studies and some of the analysis feeling

1 very uncomfortable that there wasn't consistency or
2 didn't appear to be consistency. And we were sort of
3 focusing on a different set of questions. But I don't
4 think you can walk away from that question easily.

5 DR. DUTCHER: Dr. Wilson?

6 DR. WILSON: I think this brings up the
7 bigger question of whether or not response rate and
8 PFS, which are, indeed, surrogate for benefit, really
9 have a place in disease settings like this, and I think
10 that's really the bottom line.

11 I would agree with Jean that if you are, in
12 fact, targeting different endpoints, there are design
13 issues that could preclude your seeing other endpoints,
14 such as Jean pointed out.

15 But I think in the absence of a quality of
16 life benefit with PFS, I, myself, for non-curative
17 diseases, think we need to be moving more and more away
18 from these surrogates and more toward the bottom line,
19 which is are you living longer and are you living
20 longer with better quality of life.

21 DR. DUTCHER: Dr. Simon?

22 DR. SIMON: Well, I'm not going to try to

1 talk about what should be the correct endpoint for
2 approval for a given setting of colorectal cancer, but
3 I would say based on the data given this morning, the
4 consistency of the PFS data and the response rate data,
5 to me, was just sort of overwhelming. I don't know
6 what else you could ask for. It was sort of a
7 slam-dunk, as far as I was concerned.

8 You can always find something to say, "Well,
9 gee, I can worry about this or I can worry about that,"
10 but I just found the data -- and I think you use PFS,
11 because, presumably, I guess that's the most sensitive
12 sort of endpoint and there was not even a claim of
13 a -- when you're talking about third line treatment of
14 colorectal cancer with a single agent, with a
15 crossover, weeks after the patient progresses, do you
16 really expect to see an effect on survival?

17 DR. DUTCHER: Okay. Well, we're doing very
18 well. Let's move on to topic number three. This is
19 going to be discussed by Drs. Harrington and Raghavan,
20 and it is discuss the properties of clinical trials
21 originally designed for non-selected populations that
22 would make such studies unsuitable for demonstrating

1 efficacy in a biomarker subgroup.

2 Discuss in your answer potential problems
3 associated with the failure to perform stratified
4 randomization based on biomarker status, failure to
5 pre-specify statistical adjustments for multiplicity
6 and incomplete ascertainment of biomarker convenience
7 sampling.

8 We hit on some of this already.

9 Dr. Harrington?

10 DR. HARRINGTON: We did. Thanks. I'm
11 wondering if, actually, Rick has given us the same
12 question sort of disguised to see if when replicated,
13 we're consistent with our answers from question to
14 question.

15 DR. DUTCHER: You've got it.

16 DR. HARRINGTON: I do think, actually, that
17 we have answered this one, most of it. So I think,
18 just to get started on this -- well, the only thing I
19 will add is that the critical pathway initiative is
20 terrific and it was well laid out. And I think what
21 I'm hearing in the committee is that -- and perhaps
22 this is why we're having the meeting -- is that the

1 agency will need to develop a set of useful working
2 guidelines for situations where the critical pathway
3 just didn't apply, because either science got ahead of
4 the marker development or the marker development got
5 ahead of the clinical trials or something.

6 All these topics, I think, have been
7 discussed. The one that jumps out to me is the failure
8 to pre-specify statistical adjustments for
9 multiplicity, which is always important.

10 I think what Rich Simon was emphasizing
11 before is that we shouldn't treat these as data
12 dredging exercises, that you simply count up the number
13 of tests you're going to do and then divide your
14 P value by that number, but you should really force
15 people to say there's a pretty good biological story
16 here that's behind the scenes that generates a
17 hypothesis or a question or an analysis, and that's the
18 primary one that we're going to get at. And I think
19 the sponsors made the point this morning that when they
20 went back retrospectively, they looked at KRAS. They
21 didn't look at a panel of markers.

22 So I think that's really important and it

1 isn't necessarily done through some sort of statistical
2 trick like a Bonferroni approximation; it's done
3 through limiting to a focus question, what you want to
4 look at in these studies.

5 We've talked about the ascertainment of
6 biomarker and I think Dr. Simon made a perfectly
7 compelling case about the stratification. It's just
8 very hard to do that. It may not even be useful in
9 these settings.

10 DR. DUTCHER: Dr. Raghavan?

11 DR. RAGHAVAN: This is an opportunity to be
12 self-repetitive and I apologize. But I think what
13 perhaps what Rick was looking for was to expand the
14 guidelines for his staff and to give you guys some
15 examples of things that you can quote to companies
16 coming to you.

17 So I've tried to think of it structurally and
18 it seemed to me the first thing was whether there was
19 controversial biology underpinning the observation. So
20 today we didn't hear controversy; there are different
21 companies, they are competing on the same turf, and
22 they have the same conclusion about the underpinning

1 biology.

2 But it occurred to me that a couple of good
3 examples where the biology is less clearly defined goes
4 back in time a little bit, but, for example, when we
5 were in the era where MDR drove everything. And you
6 might think in terms of the fact that multi-drug
7 resistance, in its expression, could be responsible for
8 exporting a drug from a cancer cell, at the same time,
9 was being induced by prior treatment.

10 So the understanding of how to interpret that
11 quantum of information, MDR, plus or minus, whatever
12 that meant, would be controversial. An analogous
13 situation, much more relevant today, is trying to
14 understand the implications of p53 mutation, which
15 clearly has both prognostic and predictive
16 significance, depending on how you frame it, what
17 particular tumor type you're talking about and so on.

18 The second thing that we have covered today
19 is controversy in the analytic technique. And I think
20 if we go back to the breast cancer literature, if we
21 think about the facts between tumor pathologists
22 arguing about the benefits of fish versus

1 immunohistochemistry, and different people saying,
2 "Well, my immunohistochemistry lab does a better job
3 than his fish lab" and so on, that's one of the reasons
4 for having the tissue available so you can interrogate
5 it.

6 But where there is extant controversy in the
7 literature, I would say that is a good red flag for the
8 agency to be much more cautious in terms of
9 interpreting submissions to them, and there, again, I
10 think need for federal standards of what you guys think
11 would be acceptable, because you can say to applicants,
12 "Well, whether we're right or wrong, this is the hoop
13 you have to jump through."

14 The third thing, I think, again, remembering
15 I'm answering the question of what would be
16 unacceptable, small population size, and, particularly,
17 remembering that in small population size, you can get
18 false negatives just as easily as false positives in
19 big sample sizes. And if you do multiple
20 interrogations or multiple analyses, particularly in
21 small population size, there's no rule that says you
22 only get one false result in a trial. You can get a

1 couple in a row just at random chance.

2 I think a thing that hasn't been talked about
3 very much today is the concept of too many variables,
4 the extent of population heterogeneity. Increasingly,
5 we're going to run from one targeted therapeutic to
6 multiple, and we saw an example today of bevacizumab
7 having an unexpected some sort of interaction with an
8 antibody. Who knows what that was. But right there,
9 there as a potential red flag of having two novel
10 agents with multiple mechanisms of action. And so I
11 would see that as a red flag. Using the KISS
12 principle, at least until we understand what we're
13 doing, I think it is something the agency should be
14 looking at. Keep it at one marker, keep it at one
15 agent, looking for interactions where you might hope to
16 see them.

17 Something that wasn't mentioned today which I
18 thought was actually kind of interesting -- it was put
19 up there, but the applicants -- the sponsors didn't
20 touch on it -- was the implication of adjuvant therapy.
21 And it goes to the point that Rich Simon was making
22 about the stratified randomization doesn't solve all

1 problems.

2 So there was stratification. There was even
3 balance generally in terms of the number of patients in
4 these studies who had had adjuvant treatment. And,
5 yet, if you take a look at the results in those
6 populations, in two of the studies, CRYSTAL and
7 20020408, there were actually differences identified
8 among the adjuvant patients. Now, who knows whether
9 they were significant, because the numbers were too
10 small. But once again, potentially saying let's keep
11 the populations very clean will be important.

12 The other thing will be heterogeneity in
13 laboratories. Oftentimes, people will say we did a
14 p53, without defining that it was done in one reference
15 lab. It doesn't have to be at the FDA, but at least
16 having one laboratory. So the opposite of that is if
17 you have multiple labs or lack of definition, that
18 would make this unacceptable to me.

19 Uncertain ascertainment and selection bias.
20 So, again, the issue of what is the downstream impact
21 of having adjuvant therapy. You can turn tumors into
22 expressing p53 mutation with certain types of drugs.

1 If you have small tumors, this was mentioned, in breast
2 cancer, they can be hard to biopsy and right there
3 you've got a bias coming in. If you have high cellular
4 turnover, you'll get potential necrosis that will
5 confound the biopsy needle, depending on the technology
6 you're using. So a needle biopsy versus full sample
7 biopsy, and even things like prior treatment that isn't
8 in the adjuvant setting. So the patient with prostate
9 cancer who has had castration versus one who hasn't may
10 well have an impact on some of the biological markers
11 we've been talking about.

12 Then, finally, I think one of the red flags
13 to advise your staff about will be the difference
14 between biological and clinical relevance and
15 significance versus statistical significance. And so
16 you'll often get a P value to the 0.0003, but it may
17 still be talking about a biological effect that's not
18 important. And so I think it comes back to what I said
19 before; using common sense as a goal may be very
20 important.

21 DR. DUTCHER: Dr. Mortimer?

22 DR. MORTIMER: This is a different

1 ascertainment, I suppose, but I would, again, argue
2 that when doing a trial in advanced disease, that a
3 goal should be set to do the biomarker on both the
4 primary and the recurrence to keep from the same
5 problems that happened with Herceptin, which happened
6 with many agents that alter the initial nature and
7 biomarker, the primary, as time goes on.

8 No matter how much biology we understand,
9 when you treat people, things happen.

10 DR. DUTCHER: Dr. Simon?

11 DR. SIMON: Maybe it goes without saying, but
12 to me, one of the most important things is that the
13 analysis be focused on a single scientifically
14 supported biomarker, as others have sort of emphasized.

15 I don't want to beat that dead horse, but one
16 thing the FDA could potentially do, and maybe it would
17 be done anyway, would be that if a sponsor plans such
18 an analysis, that they would have to clear and have an
19 analysis plan and let the FDA know about that before
20 they actually do the assay. That way, the FDA would
21 know, presumably, how many such markers they
22 potentially looked at.

1 DR. O'NEILL: Yes, I think that's probably a
2 good idea, but I think it's impractical, because I
3 think what's going on is folks are searching,
4 searching, searching outside the trial.

5 One way of thinking about this is, even in
6 your work, is if you said, "I haven't decided what my
7 marker is yet, but I want to reserve a part of my
8 uncertainty in my overall hypothesis for that marker,"
9 so essentially split your overall alpha into the
10 overall treatment group and then the subgroup, yet to
11 be defined, because all that searching is going on.

12 That, I think, mimics what's going on, at
13 least today. Now, whether we would move to a different
14 space where someone would commit to us much earlier in
15 the spirit of what you're saying, I think that might be
16 worth thinking about. I don't know how we would be
17 able to pull that off, practically speaking.

18 DR. DUTCHER: Dr. Funkhouser?

19 DR. FUNKHOUSER: It seems like studies that
20 have too small a sample set in the subgroup in the
21 particular arm of interest don't lend themselves to
22 demonstrating a difference, even though one may exist.

1 And so there must be a statistical term for that and
2 I'm just wondering, from the statisticians in the
3 group, how you describe that lower limit which would
4 make the study unsuitable.

5 DR. HARRINGTON: The term is power and if it
6 were a prospective trial, typically, one would like to
7 see 80 percent or 90 percent power in advance. But
8 there, in a prospective trial, you can set enrollment
9 goals.

10 In a trial that's been done, the power is not
11 mutable. So I think what I would urge is that people
12 have an understanding, if it's too small, that they
13 probably are going to reach the sort of foregone
14 conclusion of no effect because of lack of power or
15 because of no effect, and you can't distinguish between
16 the two.

17 If you had a very large collection of samples
18 on multiple trials, you could say we can look at a
19 subset of these samples, because we would have
20 sufficient power in that subset and still be able to do
21 a cross-validation.

22 One can do those calculations. They vary

1 from trial to trial. They vary from effect size to
2 effect size. So there's no single number, but
3 typically, one would like to be 80 percent or
4 90 percent likely to see an important effect, if it
5 exists.

6 DR. D'AGOSTINO: Again, in our response to
7 topic one, we didn't emphasize that the study that is
8 being put forth, the retrospective study, does have
9 reasonable power before one sort of proceeds with it,
10 not only for the overall, but also the consistency
11 among the different secondary events and subgroups. I
12 think that's very important in putting these studies
13 out.

14 DR. DUTCHER: Dr. Wilson?

15 DR. WILSON: I just wanted to make the point
16 that when you're thinking about power, it's a power to
17 distinguish a certain percent difference. And so just
18 to bring up the fact that you can have a two percent
19 difference with a very high power, if you have enough
20 patients, but that may not be clinically relevant. So
21 I think when you're thinking about power and the
22 ability to rule something out, you also have to -- what

1 you really want to do is you want know the power to
2 rule out something that is clinically significant.

3 DR. DUTCHER: Dr. Zhou?

4 DR. ZHOU: That actually has something to do
5 with the definition of the predictive biomarker. So
6 when you say this biomarker is predictive, I'm not
7 clear what you mean. If you only look at the P value,
8 that doesn't give me any information. This is the P
9 value, 0.0001, but it doesn't mean this marker has
10 predictive value.

11 So the P value is only related to the known
12 hypothesis to say no power. I think maybe we should
13 have some discussion and talk about what the effect
14 size should be in order to say this marker has
15 predictive value, because that's also related to the
16 power, because you can choose any number you want. You
17 can calculate power. But you have to calculate power
18 related to the alternative hypothesis, which has
19 clinical meanings.

20 DR. D'AGOSTINO: Isn't that what you were
21 saying, though?

22 DR. DUTCHER: Other comments? Yes?

1 DR. ZHOU: I want to raise the issue, which I
2 haven't seen that, is to say what's the impact of the
3 subgroup defined by the biomarker, which it has error
4 associated with that.

5 So those kind of subgroup analyses are
6 different from the typical subgroup analyses you have
7 done before. So before, let's say, we have race and
8 the race variable is well defined. So it doesn't
9 matter if you do it today or tomorrow, you have the
10 same race variable. But for biomarker subgroups, it's
11 totally different. If you define a biomarker subgroup
12 today, compare it with one year later, you may have
13 different subgroups. So that should have some impact
14 on all the analyses we are doing. I don't know the
15 answer, but I think we probably should consider that
16 issue.

17 DR. DUTCHER: So we're at a crossroads. We
18 have one more question. Shall we just proceed rather
19 than taking a break? Yes? Okay.

20 Topic four, Dr. Simon and Dr. Grem. When it
21 is acceptable to limit future enrollment to a biomarker
22 selected subset of an actively accruing clinical trial

1 based on external information?

2 What would be the primary analysis
3 population? Would the answer depend on the proportion
4 of unselected patients, i.e., those enrolled prior to
5 the study modification?

6 Dr. Simon?

7 DR. SIMON: Well, when was it acceptable? I
8 mean, there's no simple algorithmic answer to that.
9 Usually, it's acceptable when the data monitoring
10 committee decides that, ethically, that's important to
11 do.

12 For example, in the KRAS, usually, it's a big
13 deal when something like that happens. And if there is
14 external information that bears on patient safety and
15 the issues of whether an ongoing trial should be
16 continued in the way it is, it's the responsibility of
17 the data monitoring committee to weigh that
18 information. And they are the right people to do it
19 because their responsibility is to the patients, not to
20 the sponsors, not to the investigators, but to the
21 patients. And anybody else might have some conflicts
22 in terms of what their responsibilities are. So I

1 think it's appropriate that those kinds of decisions be
2 essentially at the level of a data monitoring
3 committee.

4 But anyway, when that kind of thing happens,
5 I guess I can envision two kinds of trials that would
6 be ongoing. One would be a trial in which the
7 biomarker-defined subset was sort of something that was
8 known at the outset of the trial and was actually
9 incorporated in the design of the trial; maybe because
10 people originally didn't think that the test negative
11 patients would benefit from this drug. But that kind
12 of information is never for sure, and so never has
13 complete confidence in it, so it was decided to go
14 ahead and include the test negative patients.

15 Then if some other trial or trials provide
16 relevant information, it may be -- in that kind of a
17 situation, restricting entry probably is not too
18 disruptive in terms of the analysis of the trial,
19 because presumably, in that situation, the trial should
20 have been designed and had a primary analysis plan that
21 included that predictive biomarker. Either it was
22 targeting adequate numbers of test positive and test

1 negative patients through separate analysis and
2 handling multiplicity and that sort of thing. So I
3 think that's not so disruptive and one doesn't have to
4 rethink, well, what should the analysis plan be now.

5 I think the more difficult situation is like
6 the situation maybe with KRAS, where the information
7 comes up and it's information that was not available at
8 the start of the trial, and so the trial was not
9 designed with that as sort of the predictive biomarker.

10 I'm afraid I don't have any sort of great
11 rules of how you deal with it. I think some of these
12 things, there are no rules. They have to be dealt with
13 on an individual basis using sort of the best judgment
14 available.

15 I think if something as important as sort of
16 external information leading you to discontinue sort of
17 a biomarker negative subset came about, it would be
18 sort of somewhat ridiculous to sort of ignore that in
19 the analysis of the trial. So I would think that
20 probably for the trial, you're going to have to look at
21 the effects overall and also for the positives and for
22 the test negatives.

1 DR. DUTCHER: Thank you. Dr. Grem?

2 DR. GREM: So I thought that the situations
3 where it makes the most sense to stop a trial and
4 modify it to exclude patient population that previously
5 would have been eligible would be in those settings
6 where you have information that a biomarker would
7 predict either a patient is at extremely high risk to
8 have harm. So maybe, for whatever reason, it's found
9 that they're not able to metabolize or deactivate the
10 agent that they're being given. So if those patients
11 are treated, they have a very risk of toxicity, or in
12 the situation where if you have that biomarker, then
13 you have no chance of benefit. Those are pretty clear
14 cut.

15 I think the things that would be much more
16 difficult would be where you're trying to say, "Well,
17 we think that patients may be more likely to benefit."
18 I think that would be very difficult because in all of
19 these things, it's always easier if you can say "You
20 have no chance of benefit" versus "you may benefit,"
21 and when you may, you don't know how much that actual
22 benefit is. There's always going to be a lot of other

1 reasons why a patient may or may not respond to a
2 combination regimen or even to a monotherapy.

3 So I think it's sort of what Rich was saying.
4 It's kind of a safety issue that if you have no chance
5 of benefit, then the risk becomes unacceptable, or if
6 the risk is so great, then you're unlikely to benefit
7 because you're not going to be able to tolerate the
8 drug and you don't want something bad or a fatal
9 reaction to happen to the patient.

10 But I think all the other areas, like, "Well,
11 we think that you might be more likely to benefit, so
12 let's not randomize those patients," I don't know that
13 that would really be good.

14 DR. PAZDUR: Let me just give you some idea
15 of what some of the concepts were thinking about this
16 question. And again, we agree that the data monitoring
17 committee has the primary responsibility of this, but
18 we wanted to get down to some level of granularity here
19 rather than just saying it's the data committee's
20 responsibility.

21 That is, for example, what was the endpoint
22 that one used to make this decision from the external

1 information? Was it from only one trial? Was it from
2 six trials? That's going to have a major difference.

3 What was the effect of the endpoint? Was it
4 a 50 percent doubling in progression-free survival?
5 Was it a six-week improvement? Was it a five percent
6 difference in response rate?

7 Then, also, if you have this external
8 information that was done in a different disease
9 setting, for example, a very refractory disease
10 population, what implications might that have for
11 another disease setting, such as in an adjuvant
12 setting; should those trials be curtailed and changed,
13 or a first line setting?

14 So there's a lot of complexities here that
15 one could take a look at in making these decisions and
16 we thought it would be an interesting kind of
17 conversation to have, because as you can see, there's a
18 high degree of subjectivity that could come into play
19 here. There are many factors here that could be looked
20 at and different people taking a look at this external
21 information.

22 But here, again, some of the issues: effect

1 size, endpoint used, this constant issue that we've
2 brought up, and I'm happy that the committee also
3 caught onto it, the concept of replication, how many
4 trials this was done; what implications does this have
5 in other diseases potentially or other disease settings
6 in the same disease.

7 DR. GREM: So at least after going to ASCO
8 and hearing the -- the trials that really struck me as
9 far as the KRAS were the third line studies of
10 monotherapy versus best supportive care because,
11 basically, those studies, I think, pretty convincingly
12 and almost were identical in the sense that patients
13 who had mutant KRAS had no benefit in terms of
14 progression-free survival compared to best supportive
15 care. I mean, they just completely overlapped, whereas
16 there was a pretty big separation for the patients with
17 wild-type KRAS, saying that they would benefit.

18 When we're talking about a mutation in the
19 gene, I think that if the patient's original tumor had
20 a mutant KRAS, it's unlikely that they're going to
21 regain a normal KRAS. So I think that if they have a
22 mutant KRAS in the primary tumor tissue, they're always

1 going to be KRAS mutant and we can argue about what
2 percentage or how many cells. That I don't think we
3 have any information to base that on. But I think that
4 was pretty striking data.

5 So in light of that, I thought that for the
6 CALGB study that's being done through the clinical
7 trials support unit, it made sense to go ahead and stop
8 accrual, modify that, so that only KRAS wild type would
9 be randomized to receive cetuximab.

10 But the issue about, well, what do you do
11 with the rest of the trial, then, I think that -- and I
12 don't have any control over this, but I would still
13 think that when the trial -- so they increased the
14 sample size so they could now look at the effect of the
15 benefit of cetuximab in patients who are wild-type
16 KRAS, with or without.

17 But I think that when they finally come to
18 analyze the data, they should look at the original
19 hypothesis, and that was to look at the overall effect
20 in all patients and then to do the secondary analysis
21 in this expanded trial, where they're restricting the
22 analysis.

1 The things that I don't know about are like
2 for the adjuvant study, then. That study was modified
3 so that if you're wild-type KRAS, then you would be
4 eligible to be randomized to full FOLFOX alone or
5 FOLFOX with cetuximab. And if you're KRAS on mutant,
6 then you're just sort of kicked off the study kind of
7 thing. And I wonder if maybe those patients should
8 have been registered to FOLFOX and followed, because
9 they might be able to provide some balanced
10 information. But I don't think that was done and I
11 don't know why we can't undo that because those
12 decisions were made between discussions with CTEP and,
13 presumably, the sponsors and the investigators who were
14 involved, the primary investigators for the study.

15 But the questions, in my mind, though, are
16 about, well, is the wisdom throwing the patients off
17 the study and not having information or just they don't
18 get cetuximab, but they can still participate in the
19 study. And I'd appreciate some comments from the
20 statisticians. That's just from a clinician
21 standpoint.

22 DR. DUTCHER: Dr. Link?

1 DR. LINK: I think there's a practicality
2 issue, too. I think that one of the things we saw from
3 one of the sponsor presentations was how rapidly this
4 information was disseminated.

5 Patients aren't stupid. So they may not read
6 it in peer review, but they read it in the *Wall Street*
7 *Journal*. And if you have a study that shows that
8 you're not going to benefit patients, why would they
9 participate in the trial?

10 This is back to Rich Simon's original thing
11 that the clinician has to go to a patient to convince
12 them to participate in a trial where they're very
13 unlikely to benefit, and it's difficult enough for the
14 clinician thinking that their data monitoring committee
15 sort of said to go ahead and continue randomizing
16 patients, but the patients may not want to get
17 randomized. So I think there's a practical
18 consideration. The trial may die of its own accord, no
19 matter what the data monitoring committee wants to do.

20 DR. DUTCHER: Dr. Wilson?

21 DR. WILSON: So I want to get back to what
22 Rick said, and that is that do you have the same

1 threshold for applying these kinds of results that were
2 found in the relapse setting to the adjuvant setting.

3 I think you have to be very, very cautious,
4 because I think that whereas the effect of there being
5 less benefit almost certainly would track into the
6 adjuvant setting, as well, it may not be zero. And if
7 you're actually trying to cure people, the amount of
8 benefit you're willing to accept toxicity for goes
9 higher. So I guess I would have been very cautious to
10 have thrown people off on the adjuvant trial who had
11 KRAS mutations.

12 We also know, with other drugs, that as you
13 use them in more and more refractory patients, they
14 work less and less well. So again, I have no doubt
15 that they wouldn't benefit much, but it may not be
16 zero. And I think that if there's a difference between
17 cure and not, you have to be very cautious.

18 DR. DUTCHER: Yes?

19 DR. D'AGOSTINO: Again, in terms of some of
20 the implications of this question, we've been involved
21 in studies where the results have come out, and I'm
22 thinking more in the cardiovascular, and it changes the

1 study that you're dealing with not only in terms of a
2 particular group, but also in terms of the endpoint
3 starts changing, and you start recruiting new centers.

4 So what happens if your original endpoint was
5 something like -- again, in the experience I'm talking
6 about -- an MI, but now you enlarge it to MI and stroke
7 and you end up with new centers and you add on new
8 centers? In the previous centers you were dealing
9 with, you weren't looking for particular endpoints and
10 you weren't following up.

11 So there are some tremendous potential
12 implications in terms of what has your analysis said
13 that you can deal with at the end of the study and how
14 do you actually make adjustments for that. I don't
15 have any answers, but we've lived through things of
16 this nature, and it's very uncomfortable and disquieting
17 to try to figure out what is it that you're going to
18 actually be analyzing and on whom you're going to
19 analyze it.

20 I think the more we sort of think about this
21 type of question in this setting -- and I'm not so sure
22 these other fields actually bring you much

1 enlightenment, because they recognize the problem, but
2 don't necessarily have good answers.

3 DR. ZHOU: I'd also just follow what Ralph
4 said. I wonder whether we can consider reliability of
5 the endpoints. Take the example of the progression
6 disease free versus survival. Survival is harder to
7 measure, but for the disease free, how actually can you
8 really know exactly the time where you have disease
9 free? The measurement is less reliable than the total
10 survival time.

11 DR. D'AGOSTINO: Well, the things that I'm
12 talking about, you're shifting from an MI and now you
13 start looking -- well, you're shifting -- one of them
14 was cardiovascular deaths and then we shifted to MIs.
15 We weren't collecting all the data to actually diagnose
16 MIs. It was there, but it would have to be done
17 retrospectively, trying to put it all together. The
18 endpoint became a different endpoint and, certainly,
19 overall survival, now shifting it to progression-free
20 survival is going to have serious implications.

21 DR. DUTCHER: Dr. Netto?

22 DR. NETTO: I want to pick up on what

1 Dr. Wilson was saying in terms of excluding,
2 terminating accrual based on data from other settings,
3 like adjuvant.

4 I think that shouldn't be done for another
5 reason, too. Don't forget, like in this example that
6 we're studying, you have all the other pathways, the
7 mTOR pathway, other markers that, in two different
8 settings, could be totally different. And that
9 probably is playing a role, given the fact that it's
10 only 20 percent of even the non-mutated are responding,
11 so what's happening to those 80 percent, which also
12 brings the issue of -- I know you want to focus on one
13 marker at a time, but probably, in this setting, the
14 other markers should not be ignored.

15 DR. DUTCHER: Dr. Simon?

16 DR. SIMON: Well, I think, actually,
17 Dr. Pazdur is right that it's a very complicated issue.
18 It's actually more general, too, because the issue
19 could be generalized to not just stopping enrollment of
20 a subset, but changing an analysis plan without
21 stopping enrollment.

22 In other words, you may have some information

1 from some other trial which may not want to make you
2 necessarily stop enrollment, but the trial you were
3 originally doing may not be -- that analysis plan may
4 no longer be the most relevant analysis plan. And so
5 then you get the issues of is it okay to change an
6 analysis plan. And changing that analysis plan may
7 involve increasing target accrual rates for subsets and
8 things like that.

9 So it gets complicated. I think my
10 only -- and again, on a lot of these things, I don't
11 think it -- I know that guidelines are useful, but I
12 think, in many of these complicated situations,
13 guidelines only carry you so far, because there's too
14 many different situations.

15 But I think there probably needs to be
16 somewhat more recognition of the actual relevance of
17 potentially changing analysis plans prior to analysis
18 of the data, when the data is still blinded, but at a
19 time after the study has started, because of the
20 complexity of developing biomarkers.

21 DR. DUTCHER: Dr. O'Neill?

22 DR. O'NEILL: I wanted to follow-up on this

1 and sort of revisit why I showed that slide this
2 morning by Professor Moyé, which were the three
3 examples in the cardiovascular area, where they were
4 fooled.

5 And Ralph is correct, because Ralph has sat
6 on cardiorenal advisory committees and see this in
7 action, where a lot of smart people changed the
8 endpoint midstream and, at the end of the study, it
9 lost. And if they hadn't changed it, it would have
10 been okay. They would have won.

11 So there are many examples of this and I
12 guess my concern is, even at best, that's just one
13 study anyway. So you're fooling around with one study
14 and you're making some midcourse changes, where you
15 really don't even have a good analysis plan, because
16 the question originally came about as how do you count
17 the individuals that you've already accrued in that are
18 now marker negative and you're not going to accrue
19 anybody else in there. And everyone was saying, "Well,
20 you actually have to keep them in the analysis." Sure,
21 that makes sense, but there are other issues that are
22 going on here which have opened the door for possibly

1 changing other aspects of the trial.

2 There's another interesting wrinkle to this
3 and we're seeing this in multinational, multiregional
4 studies. So think of the modern clinical trial being
5 done in three regions, the United States, North
6 America, South America and eastern or western Europe.
7 And you're sitting on a data monitoring committee and
8 you're starting to see patterns and where there is
9 possibly no effect going on in one area.

10 The question is do you -- the analogy here is
11 there's no effect. Do you stop accruing in that area,
12 saying it's unethical to continue, or do you
13 essentially say something is going on and I don't know
14 whether this region is going to be able to share in the
15 overall effect that I'm seeing maybe outside the United
16 States.

17 We have a version of this in results in other
18 areas, where the results either looked better or worse
19 in the United States versus outside the United States.
20 So this is a version of subgroup analysis and not
21 knowing what's true and what's not true. And you
22 certainly complicate it if you change the endpoints,

1 but I'm not even at the point of changing the endpoint.
2 Mid-trial changes have a history of fooling a lot of
3 people in many areas, and that's just sort of the
4 general message.

5 DR. D'AGOSTINO: I've seen studies where you
6 actually throw out the centers that aren't producing
7 any events, and what do you do with them at the end of
8 the study? You have the data. What do you do with it?
9 Do you throw it in or you ignore it?

10 DR. DUTCHER: Dr. Simon?

11 DR. SIMON: I would agree that these issues
12 are complicated and they have to be dealt with
13 carefully and not willy-nilly. But I think we do have
14 to bear in mind that the kinds of predictive biomarkers
15 we're talking about are not retrospective data dredging
16 kind of biomarkers. And I think, to me, oncology is
17 actually leading the march to personalized medicine and
18 predictive medicine.

19 I think we are already here in oncology and
20 the question is to try to make sure that studies are
21 done well and the regulatory environment is conducive
22 and encouraging to those studies and for sponsors to

1 develop these biomarkers. And I think what we will
2 find, actually, is that these other fields of medicine
3 will wind up following oncology. And so I think they
4 have, actually, a lot to learn from us, more so than
5 the reverse.

6 DR. DUTCHER: Dr. Pazdur?

7 DR. PAZDUR: I think one of the areas that we
8 were very interested in, in talking about this external
9 information, is how robust this information is, because
10 here, again, we do have this tendency of a slide toward
11 the least common denominator here. Here, again, it has
12 to do with effect size of the external data. It has to
13 do with the reproducibility and what endpoint is being
14 looked at.

15 For example, we have a lot of experience now
16 with interim analyses of PFS data and there could be a
17 high degree of fragility with this endpoint based on
18 interim analyses and looking at what the expert review
19 committee has to say about it and measures it versus
20 the investigator when you're talking a look at an
21 interim analysis.

22 So one of the major issues here and one of

1 the reasons why we asked this question, we really
2 wanted to have a discussion, and I think we have had
3 that, looking at this should be an effect that people
4 feel comfortable with here. And as Dr. Simon pointed
5 out, there needs to be confidence that we have this
6 effect before we stop trials, et cetera.

7 DR. DUTCHER: Dr. Netto?

8 DR. NETTO: So the question is, again, just
9 to pose it, so how many trials you need.

10 Would the two trials,
11 prospective/retrospective trial, well conducted, be
12 enough to point in one direction to stop accrual?

13 DR. PAZDUR: Can I give you the FDA answer?

14 DR. NETTO: No. I want your answer.

15 Dr. Simon?

16 DR. DUTCHER: What did you say?

17 DR. PAZDUR: I was going to give him the FDA
18 answer. It's a review issue. And it really depends on
19 the number of trials that you have, the magnitude of
20 effect, the persuasiveness of that effect
21 statistically, consistency within trials.

22 So to say I'm going to give you a number is

1 reminiscent of sponsors that come to us for accelerated
2 approval and ask, "What is the lowest response rate
3 that you will take?"

4 DR. NETTO: That's why it has to be two well
5 conducted studies.

6 DR. DUTCHER: Dr. Raghavan? Dr. Wilson?

7 DR. WILSON: So we've been talking about
8 stopping trials, and I don't know if this is the place
9 to ask this. But now that we are circulating around
10 this idea that having wild-type RAS is what counts and
11 knowing that immunohistochemistry for EGFR is a very
12 slippery slope, are the companies planning on looking
13 at wild-type RAS rather than EGFR in colon cancer and
14 looking to see whether or not there is a benefit in
15 EGFR negative by IHC than wild-type RAS positive?

16 DR. REESE: Davis Reese from Amgen.

17 In our ongoing Phase III trials that I
18 presented to you earlier, the 181 and 203 trials, those
19 trials do not actually require EGFR as an eligibility
20 criterion. We will be doing EGFR staining on all of
21 the specimens, hopefully, nearly 2,400, and we'll be
22 performing a variety of analyses to correlate outcome

1 with EGFR expression. We're working with the agency on
2 those analyses.

3 DR. NETTO: No fish, for amplification?

4 DR. REESE: Gene amplification by fish is
5 extremely rare in colorectal cancer as opposed to lung
6 cancer or other diseases.

7 DR. DUTCHER: Dr. Funkhouser?

8 DR. FUNKHOUSER: Two comments. It seems that
9 if you have a big trial and you stop accrual because
10 you're convinced that there is no potential benefit for
11 patients with some particular genotypic variable, then
12 you send a message to the academic and the commercial
13 communities that no further research in this area is
14 necessary, I think it's unlikely that you're going to
15 get large trials that replicate what you have ongoing.
16 So the cautionary tale there is that it seems that you
17 need to be statistically right, as well as emotionally
18 confident.

19 The second point is that just because RAS is
20 wild type doesn't mean that other proteins in the same
21 signaling pathway, think BRAF, aren't mutated, and
22 those may be some of the non-responders. Remember,

1 86 percent of your patients are non-responders that are
2 wild-type RAS. So some subset of those may be other
3 mutant signaling proteins within the same signaling
4 pathway, MAP kinase and BRAF. And we've seen this in
5 thyroid carcinoma, where RAS and RAF are separate
6 complementary subsets, either of which can be mutated.

7 DR. DUTCHER: Dr. Youssoufian, did you want
8 to comment?

9 DR. YOUSOUFIAN: Thank you. So part of our
10 post-marketing commitment for the initial approval of
11 Erbitux was to perform an EGFR negative study in
12 refractory colon cancer, and we've actually completed
13 that study and presented initial results at last year's
14 ASCO. There appear to be a handful of responders.
15 It's a relatively small study, about 80 patients, so
16 it's hard to be somewhat more quantitative about it.

17 But for all intents and purposes, at this
18 point, we're -- and not just we, but I think the
19 general community is regarding EGFR negative and EGFR
20 positive, at least by immunohistochemistry, as
21 essentially the same group.

22 So to do a KRAS study in those two different

1 groups will have to have another biological hypothesis.

2 DR. DUTCHER: Dr. Richardson?

3 DR. RICHARDSON: It seems to me that a lot of
4 this discussion is predicated on the assumption that
5 the biomarker and the biology of the underlying disease
6 are independent, and we certainly saw some data earlier
7 suggesting that, for example, the folks with the
8 wild-type tumors had the same type of survival
9 as -- given best supportive care, had similar survivals
10 to the mutant KRAS group. At the same time, I guess we
11 also saw some data that suggested that in the Pmab
12 studies, the patients with the wild-type tumors
13 actually had better survivals than the mutant KRAS
14 population.

15 I'm just wondering whether we can account for
16 some things that may be even more subtle, though,
17 whether this enters into this.

18 What if you have a biomarker that is more
19 associated with, say, oligometastatic disease? So that
20 at the end of the trial, where the patients have all
21 progressed at a certain point, suddenly, in this group
22 of oligometastatic patients, the surgeons say, "Well,

1 you know what? We can take out those nodules" or
2 "we've now got RFA for these hepatic mets. We can cook
3 them or we can chill them with cryoablation," and
4 suddenly, in that group, your overall survival figures
5 are going to change subtly, but maybe enough to shift
6 the curves.

7 I think we've got to figure out some way of
8 dealing with these kinds of changes in medicine, as
9 well. This is something that is happening around the
10 country. Surgeons are becoming more aggressive than
11 they were five years ago, ten years ago.

12 Interventional radiologists certainly have the ability
13 to deal with some of these lesions in a way that is
14 much more effective in terms of de-balking these
15 patients than we ever were able to do previously.

16 Radiotherapists are now saying, "Well, you
17 know what? We can treat those lung mets" or "we can
18 treat these other lesions elsewhere in the body using
19 the CyberKnife," for example. All of these things are
20 going to impact these overall survival numbers just by
21 de-balking some of these people, and it will be enough
22 to shift these curves.