

Centers for Medicare and Medicaid Services  
 Enforcement Actions Against Medicare Advantage Organizations and Prescription Drug Sponsors  
 January 2006-January 2009

Organization Name	Contract Number (H=MA-PD; S=PDP)	Date Action Taken	Basis for Action	Action Taken	Status
Wellpoint, Inc.	(MA-PD) H0540, H0564, H1511, H1517, H1607, H1689, H1849, H2613, H2997, H3342, H3370, H3655, H4036, H4909, H5304, H5419, H5422, H5529, H5530, H5679, H5854, H6184, H9452, H9466, (RPPO) R5941, R9943, (PDP) S5596, S5960	1/12/2009	Several Contract Violations	Immediate suspension of enrollment and marketing	Sanction effective January 12, 2009
Citrus Health Care	MA-PD (H5407), PDP (S8465)	12/16/08	Several Contract Violations	Suspension of enrollment and marketing	Sanction Effective January 1, 2009
MD Medicare Choice	MA-PD (H5729)	09/30/08	Insolvency	Expedited Termination	Termination
MD Medicare Choice	MA-PD (H5729)	09/15/08	Several Contract Violations	Suspension of enrollment and marketing	Termination
Health Net	PDP (S5678)	01/08/08	Several Contract Violations	Suspension of enrollment and marketing	Sanction Lifted March 2008
SDM HealthCare	MA-PD (H4009)	12/07/07	Several Contract Violations	Suspension of enrollment and marketing	Sanction lifted September 2008
Chesapeake	PFFS (H7845)	10/07/07	Marketing Violations	Suspension of enrollment and marketing	Sanction Lifted Nov 2007
Coventry	PFFS (H0846)	09/07/07	Marketing Violations	\$264,000 CMP	Resolved - Settlement \$190,000
Humana	MA-PD (H1804), RPPO (R5826), PDP ( S5884)	09/07/07	Marketing Violations	\$75,000 CMP	Resolved Paid in full

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SunCoast	MA-PD (H5942)	08/07/07	Insolvency	Immediate Termination	Termination
AHC	MA PD (H1034)	07/07/07	Multiple Contract Violations	Immediate Termination	Termination
AHC	MA PD (H1034)	04/01/07	Multiple Contract Violations	Proposed Non-renewal	Termination
Torchmark-First United American	PDP (S5580)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$15,000 CMP	Resolved Paid in full
Florida Health	MA-PD (H1035)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$10,000 CMP	Resolved Paid in full
Freedom Health	MA-PD (H5427)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$5,000 CMP	Resolved Paid in full
Vista Health	MA-PD (H1076 H5850)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$11,050 CMP	Resolved Paid in full
HealthNet	PDP (S5678)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$10,000 CMP	Resolved Paid in full
HealthNet	MA-PD (H0755)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$15,000 CMP	Resolved Paid in full
SunCoast	MA-PD (H5942)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$2,100 CMP	Resolved Paid in full
Wellpoint, Inc.	PDP (S5596 S5726)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$20,000 CMP	Resolved Paid in full
United HealthCare	PDP (S5805 S5820 S5921)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$75,000 CMP	Resolved Paid in full

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United HealthCare	MA-PD (H0151, H0316, H0319, H0401, H0620, H0624, H0710, H1080, H1108, H1111, H1303, H1509, H1717, H2001, H2003, H2111, H2228, H2406, H2408, H2624, H2802, H2803, H3209, H3379, H3456, H3659, H3812, H3912, H3921, H4102, H4406, H4456, H4522, H4604, H5008, H5253, H5417, H5440, H5500, H5507, H5516, H5527, H5532, H9011) RPPO (R3175, R5287)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$130,000 CMP	Resolved Paid in full
Humana	MA-PD (H0307, H1036, H1406, H1407, H1804, H2649, H4510), RPPO R5826	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$120,000 CMP	Resolved Paid in full
Elder Health	PDP (S1566 S5822)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$4,000 CMP	Resolved Paid in full
Elder Health	MA-PD (H2108 H3949 H4528)	03/07/07	Failure to Issue ANOCs in a Timely Manner	\$15,000 CMP	Resolved Paid in full
Universal	MA-PD (H5820)	02/07/07	Financial Solvency Concerns	Suspension of enrollment and marketing	Sanction Lifted Feb 2008
Doctor Care, Inc.	MA-PD (H5411)	12/06/06	Financial Solvency Concerns	Immediate Termination	Termination
Doctor Care, Inc.	MA-PD (H5411)	10/06/06	Financial Solvency Concerns	Suspension of enrollment and marketing	Termination