

June 2, 2000

FINAL REPORT #000302

EVALUATION OF THREE TEST PRODUCTS FOR THEIR ANTIMICROBIAL PROPERTIES WHEN CHALLENGED WITH VARIOUS MICROORGANISM STRAINS USING AN IN-VITRO TIME-KILL METHOD

Prepared for:

BECTON DICKINSON (SPONSOR)

9450 South State Street Sandy, Utah 84070-3213

Prepared by:

BIOSCIENCE LABORATORIES, INC. (COMPANY)

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June 2, 2000

FINAL REPORT #000302

1.0 TITLE: Evaluation of Three Test Products For Their Antimicrobial Properties When Challenged

With Various Microorganism Strains Using an In-Vitro Time-Kill Method

2.0 SPONSOR: BECTON DICKINSON

9450 South State Street Sandy, Utah 84070-3213

3.0 <u>COMPANY</u>: BIOSCIENCE LABORATORIES, INC.

P.O. Box 190

Bozeman, Montana 59771-0190

4.0 STUDY DIRECTORS:

Terri Eastman - Principal Study Director James McDowell - Associate Study Director

5.0 **PURPOSE**:

This study evaluated the antimicrobial efficacy of three (3) products when challenged with ten (10) different microorganism strains using an In-Vitro Time-Kill method. All testing was performed in accordance with Good Laboratory Practices as specified in 21 CFR, Part 58.

6.0 **SCOPE**:

This study determined by means of an In-Vitro Time-Kill method the antimicrobial efficacy of three (3) products when challenged with ten (10) different microorganism strains utilizing fifteen (15) second, thirty (30) second, one (1) minute, and five (5) minute exposure times. The Percent and Log₁₀ reductions from the initial populations were determined for each organism versus each product. The antibacterial properties of each test product were evaluated at a concentration of 99% (v/v). Responsibility for the identity, strength, purity, composition, and stability of the test products remained with Sponsor.

7.0 TEST PRODUCTS:

Product #1: 4% CHG Solution

Lot Number: 000323 Manufacture Date: 3/20/00

Product #2: 3% PCMX Solution

Lot Number: 000181 Expiration Date: 2002-01

Product #3 - Ultradex Solution (3% PCMX)

Lot Number: 000247 Expiration Date: 03/02

8.0 EQUIPMENT:

8.1	Steam Autoclaves:	BSLI 91113	and BSLI 91127
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- 8.2 Laminar Biological Flowhood (certified): BSLI 91119
- 8.3 Water Bath, $47^{\circ} \pm 2^{\circ}$ C: BSLI 91123
- 8.4 Water Bath Thermometer: BSLI TI-971012
- 8.5 Continuously Adjustable Pipetters, 100µL 1000µL Capacity: BSLI 961001 and BSLI 991001
- 8.6 Continuously Adjustable Pipetter, 20μL 200μL Capacity: BSLI 981201
- 8.7 Microman® Positive Displacement Pipetter, 100μL 1000μL Capacity: BSLI 971104
- 8.8 Environmental Chamber, 30° ± 2°C: BSLI 930214
- 8.9 Environmental Chamber Thermometers: BSLI TI-960111 and BSLI TI-960611
- 8.10 Incubators, $30^{\circ} \pm 2^{\circ}$ C: BSLI 930712 and BSLI 930905
- 8.11 Incubator Thermometers: BSLI TI-930712A and BSLI TI-971003
- 8.12 Incubator, $35^{\circ} \pm 2^{\circ}$ C: BSLI 91101
- 8.13 Incubator Thermometers: BSLI TI-960109 and BSLI TI-971006
- 8.14 Incubator, 55° 60°C; BSLI 91059
- 8.15 Incubator Thermometer: BSLI TI-2064
- 8.16 Vortex Mixer: BSLI 980103
- 8.17 Calibrated Minute/Second Timer: BSLI 961010
- 8.18 Orion pH Meter Model 720: BSLI 931104
- 8.19 Mettler BB240 Balance: BSLI 930409
- 8.20 A & D Balance Model EK-2000G: BSLI 960801
- 8.21 Troemner Weights: BSLI 930408
- 8.22 Ohaus Weights: BSLI 961011
- 8.23 Hewlett-Packard HP-15C Hand Calculator
- 8.24 Texas Instruments TI-35X Hand Calculator
- 8.25 Texas Instruments TI-36X Hand Calculator
- 8.26 MiniTab® Statistical Software (PC Version, Release 8.2 and 10xtra)

9.0 SUPPLIES:

- 9.1 Sterile Disposable Pipettes
- 9.2 Inoculating Loops
- 9.3 Sterile Disposable Petri Dishes, 100 mm x 15 mm.
- 9.4 Test Tubes, Sterilized
- 9.5 Universal 1.0 and 0.2 mL Pipette Tips, Sterilized
- 9.6 Sterile 1.0 mL Positive Displacement Tips: Gilson Batch Number B0025922S
- 9.7 Sterile 20 cc Syringes: Becton-Dickinson Lot Number 9281282
- 9.8 Hand-Tally Counters

10.0 MEDIA:

- 10.1 Tryptic Soy Broth (TSB): TSB000628E
- 10.2 Tryptic Soy Agar (TSA): TSA000711B
- 10.3 Sabouraud Dextrose Agar (SDA): SDA000727B
- Tryptic Soy Agar with product neutralizers (TSA+): TSA+000524B, TSA+000718A, TSA+000719A, and TSA+000719B
- 10.5 Sabouraud Dextrose Agar with product neutralizers (SDA+): SDA+000517E
- 10.6 Phosphate Buffered Saline (PBS): PBS000608E and PBS000727C
- Butterfield's Phosphate Buffer solution with product neutralizers (BBP++): BBP++000711D and BBP++000718D

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BIOSCIENCE LABORATORIES, INC.

11.0 <u>NEUTRALIZATION STUDY</u>:

A neutralization study (SOP L-2007) was performed using Staphylococcus aureus (ATCC #25923) to ensure that the neutralizing solution employed (BBP++) was effective in neutralizing the antimicrobial properties of each test product. This neutralization procedure followed guidelines set forth in ASTM E-1054-91, "Standard Practices for Evaluating Inactivators of Antimicrobial Agents Used in Disinfectant, Sanitizer, Antiseptic, or Preserved Products."

12.0 METHODOLOGY:

Inoculum Preparation

- 12.1 Approximately forty-eight (48) to seventy-two (72) hours prior to initiating the study, sterile tubes of Tryptic Soy Broth were inoculated from stock cultures, cryogenic cultures, or lyophilized vials containing the challenge microorganisms. The broth cultures were incubated for the times and at the temperatures appropriate for each species (reference Table I) for approximately twenty-four (24) hours, or until sufficient growth was observed.
- 12.2 Approximately twenty-four (24) to forty-eight (48) hours prior to initiating the study, the broth cultures prepared as described in Section 12.1 were inoculated onto the surface of the solid medium appropriate for the microorganisms and incubated at the temperature appropriate for each species (reference Table I) until sufficient growth was observed. This produced a lawn of microorganisms on the surface of the agar which was used to prepare the challenge suspensions.

Challenge Suspensions

12.3 Immediately prior to initiating the test procedure, a challenge suspension of each microorganism was prepared in Phosphate Buffered Saline solution by suspending the challenge microorganisms from the solid media prepared as described in Section 12.2. Suspension concentrations of approximately 1.0 x 10° CFU/mL were prepared.

Initial Population Determinations

An initial population was determined for each challenge suspension by making ten-fold dilutions (10⁻¹, 10⁻², 10⁻³, 10⁻⁴, 10⁻⁵, 10⁻⁶, and 10⁻⁷) in Butterfield's Phosphate Buffer solution with product neutralizers, mixing thoroughly using a vortex mixer between dilutions. 0.1 mL aliquots of the 10⁻⁵, 10⁻⁶, and 10⁻⁷ dilutions were pour-plated, in duplicate, producing final plated dilutions of 10⁻⁶, 10⁻⁷, and 10⁻⁸ in the appropriate agar with product neutralizers (reference Table I). These plates were incubated for the times and at the temperatures appropriate for each species (reference Table I).

Testing Procedure

12.5 A 0.1 mL aliquot of a challenge suspension containing approximately 1.0 x 10° CFU/mL of challenge suspension was inoculated into a sterile test tube containing 9.9 mL of test product to achieve the 99% (v/v) concentration of each product and mixed thoroughly using a vortex mixer and/or positive displacement pipetter. The microorganisms were exposed to each test product for fifteen (15) seconds, thirty (30) seconds, one (1) minute, and five (5) minutes, timed using a calibrated minute/second timer.

- 12.6 After each designated exposure time had elapsed, 1.0 mL was removed from each tube containing product and inoculum, placed into a sterile test tube containing 9.0 mL of Butterfield's Phosphate Buffer solution with product neutralizers (10⁻³), and mixed thoroughly using a vortex mixer. Appropriate ten-fold dilutions (10⁻⁴, 10⁻⁵, and 10⁻⁶) were made in Butterfield's Phosphate Buffer solution with product neutralizers, mixing thoroughly using a vortex mixer between dilutions.
- 12.7 1.0 mL aliquots of the 10⁻³ dilution and 0.1 mL aliquots of the 10⁻³, 10⁻⁴, 10⁻⁵, and 10⁻⁶ dilutions of the product/neutralizer/inoculum suspension were pour-plated, in duplicate, using the appropriate solid medium with product neutralizers (reference Table I). These plates were incubated for the times and at the temperatures appropriate for each species (reference Table I).

Data Collection

12.8 After incubation, the colonies on the plates were counted manually using a hand-tally counter. Counts in the thirty (30) to three-hundred (300) CFU range were preferentially used in the data calculations.

TABLE I

Microorganism Species	ATCC#	Incubation Time (Test Plates)	Incubation Temperature	Media
Enterobacter cloacae	23355	40 Hours	35° ± 2°C	TSB/TSA/TSA+
Enterococcus faecalis	29212	40 Hours	35° ± 2°C	TSB/TSA/TSA+
Escherichia coli	25922	40 Hours	35° ± 2°C	TSB/TSA/TSA+
Klebsiella pneumoniae	13883	40 Hours	35° ± 2°C	TSB/TSA/TSA+
Proteus vulgaris	13315	40 Hours	35° ± 2°C	TSB/TSA/TSA+
Pseudomonas aeruginosa	27853	42.25 - 42.75 Hours	35° ± 2°C	TSB/TSA/TSA+
Serratia marcescens	8100	42.25 Hours	30° ± 2°C	TSB/TSA/TSA+
Staphylococcus aureus	25923	42.25 - 42.75 Hours	35° ± 2°C	TSB/TSA/TSA+
Staphylococcus epidermidis	12228	42.25 - 42.75 Hours	35° ± 2°C	TSB/TSA/TSA+
Candida albicans	10231	42.25 Hours	30° ± 2°C	TSB/SDA/SDA+

13.0 <u>CALCULATIONS</u>:

13.1 The Log₁₀ Average and the CFU/mL of the average of the duplicate plate counts for the initial population and the population after exposure to each product were calculated as follows:

$$Log_{10}$$
 Average = Log_{10} (C_i x 10^{-D})

$$CFU/mL = (C_1 \times 10^{-D})$$

Where:

C₁ = Average of the Two (2) Plates Counted D = Dilution Factor of the Plates Counted

13.2 The Log₁₀ Reduction was calculated for each product and each time exposure as follows:

$$Log_{10}$$
 Reduction = IP - P_{EX}

Where:

 P_{EX} = Log_{10} of the Initial Population of Challenge Microorganism Log_{10} of the Average Population after Exposure to each Product

13.3 The Percent Reduction was calculated for each product and each time exposure as follows:

Percent Reduction =
$$\underline{IP - P_{EX}}$$
 x 100

Where:

IP = Initial Population of Challenge Microorganism (CFU/mL)
P_{EX} = Average Population after Exposure to each-Product (CFU/mL)

14.0 RESULTS - TABLES II to IV:

Table II lists the Log₁₀ Reductions and Percent Reductions for Test Product #1 (4% CHG Solution - Lot Number: 000323) at a 99% (v/v) concentration versus each of the ten (10) microorganisms tested. Table III lists the Log₁₀ Reductions and Percent Reductions for Test Product #2 (3% PCMX Solution - Lot Number: 000181) at a 99% (v/v) concentration versus each of the ten (10) microorganisms tested. Table IV lists the Log₁₀ Reductions and Percent Reductions for Test Product #3 (Ultradex Solution [3% PCMX] - Lot Number: 000247) at a 99% (v/v) concentration versus each of the ten (10) microorganisms tested.

TABLE II
Product #1 - 99% (ν/ν) concentration
4% CHG Solution, Lot #000323

Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction
		15 seconds	> 6.8893	> 99.9999%
Enterobacter cloacae	23355	30 seconds	> 6.8893	> 99.9999%
Enterobacter ctoacae	23355	1 minute	> 6.8893	> 99.9999%
		5 minutes	> 6.8893	> 99.9999%
		15 seconds	> 6.8228	> 99.9999%
Enterna Consulta	29212	30 seconds	> 6.8228	> 99.9999%
Enterococcus faecalis	29212	l minute	> 6.8228	> 99.9999%
		5 minutes	> 6.8228	> 99,.9999%
		15 seconds	> 6.6767	> 99.9999%
Escherichia coli	25922	30 seconds	> 6.6767	> 99.9999%
Escherichia coli		1 minute	> 6.6767	> 99.9999%
**	10.00	5 minutes	> 6,6767	> 99,9999%
		15 seconds	> 6.5441	> 99.9999%
Klebsiella pneumoniae	13883	30 seconds	> 6.5441	> 99.9999%
Kieosiena preumoniae	13663	1 minute	> 6.5441	> 99.9999%
		5 minutes	> 6.5441	> 99.9999%
		15 seconds	> 6.9191	> 99.9999%
Duotous lo in	13315	30 seconds	> 6.9191	> 99.9999%
Proteus vulgaris	13313	1 minute	> 6.9191	> 99.9999%
		5 minutes	> 6.9191	> 99.9999%

TABLE II - continued Product #1 - 99% (v/v) concentration 4% CHG Solution, Lot #000323

Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction
		15 seconds	> 6.7202	> 99.9999%
	25052	30 seconds	> 6.7202	> 99.9999%
Pseudomonas aeruginosa	27853	1 minute	> 6.7202	> 99.9999%
		5 minutes	> 6.7202	> 99.9999%
		15 seconds	> 6.7404	> 99.9999%
	8100	30 seconds	> 6.7404	> 99.9999%
Serratia marcescens	8100	1 minute	> 6.7404	> 99.9999%
		5 minutes	> 6.7404	> 99.9999%
	25923	15 seconds	2.7853	99.8361%
G. III		30 seconds	6.7853	> 99.9999%
Staphylococcus aureus		1 minute	> 6.9614	> 99.9999%
		5 minutes	> 6.9614	> 99.9999%
		15 seconds	> 6.2418	> 99.9999%
~	12220	30 seconds	> 6.2418	> 99.9999%
Staphylococcus epidermidis	12228	l minute	> 6.2418	> 99.9999%
		5 minutes	> 6.2418	> 99.9999%
		15 seconds	5.1680	99.9993%
	10221	30 seconds	> 6.1222	> 99.9999%
Candida albicans	10231	1 minute	> 6.1222	> 99.9999%
		5 minutes	> 6.1222	> 99.9999%

TABLE III
Product #2 - 99% (v/v) concentration
3% PCMX Solution, Lot #000181

Missassiss Cassis	T	Solution, Lot #00		
Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction
		15 seconds	> 6.8893	> 99.9999%
Enterobacter cloacae	23355	30 seconds	> 6.8893	> 99.9999%
Emerobacier cioacae	23333	1 minute	> 6.8893	> 99.9999%
		5 minutes	> 6.8893	> 99.9999%
		15 seconds	0.3843	58.7218%
Enterococcus faecalis	29212	30 seconds	1.0099	90.2256%
Emerococcus faecurs	29212	1 minute	3.2100	99.9383%
		5 minutes	> 6.8228	> 99.9999%
	25922	15 seconds	> 6.6767	> 99.9999%
Escherichia coli		30 seconds	> 6.6767	> 99.9999%
Escherichia cott	23922	1 minute	> 6.6767	> 99.9999%
		5 minutes	> 6.6767	> 99.9999%
		15 seconds	4.5507	99.9972%
Klebsiella pneumoniae	13883	30 seconds	> 6.5441	> 99.9999%
Kievsieна pneumomae	13663	1 minute	> 6.5441	> 99.9999%
		5 minutes	> 6.5441	> 99.9999%
		15 seconds	> 6.9191	> 99.9999%
Duo tour sudo anio	13315	30 seconds	> 6.9191	> 99.9999%
Proteus vulgaris	13313	1 minute	> 6.9191	> 99.9999%
		5 minutes	> 6.9191	> 99.9999%

TABLE III - continued Product #2 - 99% (v/v) concentration 3% PCMX Solution, Lot #000181

Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction
		15 seconds	> 6.7202	> 99.9999%
	27052	30 seconds	> 6.7202	> 99,9999%
Pseudomonas aeruginosa	27853	l minute	> 6.7202	> 99.9999%
		5 minutes	> 6.7202	> 99.9999%
		15 seconds	> 6.7404	> 99.9999%
G	8100	30 seconds	> 6.7404	> 99.9999%
Serratia marcescens	8100	1 minute	> 6.7404	> 99.9999%
		5 minutes	> 6.7404	> 99.9999%
	25923	15 seconds	0.4422	63.8798%
Stanbula a agua gurgua		30 seconds	0.5557	72.1858%
Staphylococcus aureus		l minute	0.7956	83.9891%
		5 minutes	1.9381	98.8470%
		15 seconds	0.4898	67.6218%
Staphylococcus epidermidis	12228	30 seconds	0.5472	71.6332%
Staphylococcus epiaermiais	12228	l minute	0.8661	86.3897%
		5 minutes	1.4157	96.1605%
		15 seconds	1.0540	91.1698%
Candida albicans	10231	30 seconds	1.1179	92.3774%
Canaiaa aibicans	10231	l minute	1.4365	96.3396%
		5 minutes	2.4787	99.6679%

TABLE IV
Product #3 - 99% (v/v) concentration
Ultradex Solution (3% PCMX), Lot #000247

Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction
		15 seconds	> 6.8893	> 99.9999%
	22266	30 seconds	> 6.8893	> 99.9999%
Enterobacter cloacae	23355	1 minute	> 6.8893	> 99.9999%
		5 minutes	> 6.8893	> 99.9999%
		15 seconds	3.3109	99.9511%
Fundament Constitution	20212	30 seconds	5.2605	99.9995%
Enterococcus faecalis	29212	1 minute	> 6.8228	> 99.9999%
		5 minutes	> 6.8228	> 99.9999%
	25922	15 seconds	> 6.6767	> 99.9999%
Escherichia coli		30 seconds	> 6.6767	> 99.9999%
Escherichia coli	23922	l minute	> 6.6767	> 99.9999%
		5 minutes	> 6.6767	> 99.9999%
,		15 seconds `	2.5641	99.7271%
Klebsiella pneumoniae	13883	30 seconds	> 6.5441	> 99.9999%
Kleosiella pheumoniae	13663	l minute	> 6.5441	> 99.9999%
		5 minutes	> 6.5441	> 99.9999%
		15 seconds	> 6.9191	> 99.9999%
Protosse sullague	13315	30 seconds	> 6.9191	> 99.9999%
Proteus vulgaris	13313	l minute	> 6.9191	> 99.9999%
		5 minutes	> 6.9191	> 99.9999%

TABLE IV - continued

Product #3 - 99% (v/v) concentration
Ultradex Solution (3% PCMX), Lot #000247

Ultradex Solution (3% PCMX), Lot #000247					
Microorganism Species	ATCC#	Exposure Time	Log ₁₀ Reduction	Percent Reduction	
		15 seconds	> 6.7202	> 99.9999%	
Pseudomonas aeruginosa	27853	30 seconds	> 6.7202	> 99.9999%	
r seudomonas der aginosa	2/855	1 minute	> 6.7202	> 99.9999%	
		5 minutes	> 6.7202	> 99.9999%	
		15 seconds	4.9275	99.9988%	
Serratia marcescens	8100	30 seconds	> 6.7404	> 99.9999%	
Berrana marcescens	8100	l minute	> 6.7404	> 99.9999%	
		5 minutes	> 6.7404	> 99.9999%	
	25923	15 seconds	0.9702	89.2896%	
Staphylococcus aureus		30 seconds	1.0749	91.5847%	
Staphylococous during		1 minute	1.4631	96.5574%	
		5 minutes	• 3.1761	99.9333%	
		15 seconds	0.6186	75.9312%	
Staphylococcus epidermidis	12228	30 seconds	0.8202	84.8711%	
Stephnysecount spine initials	1220	1 minute	1.2375	94.2120%	
		5 minutes .	2.8439	99.8567%	
		15 seconds	2.4410	99.6377%	
Candida albicans	10231	30 seconds	2.2273	99.4075%	
Curmina arrivaria	.023.	1 minute	2.4020	99.6038%	
		5 minutes	2.6531	99.7777%	

15.0 **ACCEPTANCE:**

BIOSCIENCE LABORATORIES, INC. (COMPANY)

P.O. Box 190

Bozeman, Montana 59771-0190

President

and CEO:

6-2-6D

Manager of

In-Vitro Laboratory/

Principal Study Director:

Terfi Eastman

Associate

Study Director:

Jayme Ballantyne*

* No longer in the employ of BioScience Laboratories, Inc.

Date

Associate

Study Director:

James McDowell

QUALITY ASSURANCE STATEMENT:

This study was inspected by the Quality Assurance Unit, and reports were submitted to the Study Director and Management in accordance with Standard Operating Procedures, as follows:

Phase

Date

Product Testing

05/04/00

Data Audit

06/01/00

Final Report Review

06/01/00 & 06/02/00

Reports to Study Director

and Management

05/04/00

This study was conducted in compliance with Good Laboratory Practices standards, as described by the FDA (21 CFR Part 58), with the following exception: test article preparations were not analyzed at BioScience Laboratories, Inq., to confirm concentration, stability, or homogeneity.

Director of Quality Assurance:

John A. Mitchell, Ph.D.

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BIOSCIENCE LABORATORIES INC

September 27, 2000

FINAL REPORT #000608

DETERMINATION OF THE MINIMUM INHIBITORY CONCENTRATIONS (MIC)
OF TWO PRODUCTS WHEN CHALLENGED WITH FIFTY MICROORGANISM STRAINS
USING THE MACRODILUTION BROTH METHOD

Prepared for:

BECTON DICKINSON (SPONSOR)

9450 South State Street Sandy, Utah 84070-3213

Prepared by:

BIOSCIENCE LABORATORIES, INC. (COMPANY)

P.O. Box 190 Bozeman, Montana 59771 (406) 587-5735

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September 27, 2000

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FINAL REPORT #000608

1.0 <u>TITLE</u>: Determination of the Minimum Inhibitory Concentrations (MIC) of Two Products When

Challenged with Fifty Microorganism Strains Using the Macrodilution Broth Method

2.0 SPONSOR: BECTON DICKINSON

9450 South State Street Sandy, Utah 84070-3213

3.0 <u>COMPANY</u>: BIOSCIENCE LABORATORIES, INC.

P.O. Box 190

Bozeman, Montana 59771

4.0 <u>STUDY DIRECTORS</u>:

Terri Eastman - Principal Study Director James McDowell - Associate Study Director

5.0 PURPOSE:

This study evaluated the Minimum Inhibitory Concentrations (MIC) of two (2) test products when challenged with fifty (50) different microorganism strains. All testing was performed in accordance with Good Laboratory Practices as specified in 21 CFR, Part 58.

6.0 SCOPE:

This study was a Minimum Inhibitory Concentration (MIC) evaluation for two (2) test products, performed following the methods outlined in NCCLS Document M7-A5, "Methods for Dilution Antimicrobial Susceptibility Tests for Bacteria that Grow Aerobically," 5th Edition. Each product was evaluated, in duplicate, against fifty (50) different microorganism strains – twenty-five (25) ATCC strains and twenty-five (25) Clinical Isolates of those same species – as specified in the Tentative Final Monograph, Federal Register, 17 June 1994, vol. 59:116, p. 31444.

7.0 TEST PRODUCTS:

The test products evaluated were provided to Company by Sponsor. Responsibility for the identity, strength, purity, composition, and stability of the test products remained with Sponsor.

Product 1 - 3% PCMX Solution, Formula 351-J9DF

Lot Number: 000181 Expiration Date: 01/02

Product 2 - 3% PCMX Solution (Ultradex)

Lot Number: 000247 Manufacture Date: 02/22/00

Expiration Date: 03/02

8.0 EQUIPMENT:

- 8.1 Steam Autoclaves: BSLI 91113 and BSLI 91127
- 8.2 Laminar Biological Flowhood (certified): BSLI 91119
- 8.3 Water Bath, $47^{\circ} \pm 2^{\circ}$ C: BSLI 930611
- 8.4 Water Bath Thermometer: BSLI TI-971001
- 8.5 Continuously Adjustable Pipetters, 100μL 1000μL Capacity: BSLI 970204, BSLI 991204, and BSLI 000504
- 8.6 Continuously Adjustable Pipetter, 20µL 200µL Capacity: BSLI 991205
- 8.7 Microman[®] Positive Displacement Pipetters, 100μL 1000μL Capacity: BSLI 970203, BSLI 971104, and BSLI 000503
- 8.8 Portable Pipetters: BSLI 971206 and BSLI 980902
- 8.9 Beckman Model TJ-6 Centrifuge, Serial Number 7408
- 8.10 Environmental Chamber, 30° ± 2°C: BSLI 930214
- 8.11 Environmental Chamber Thermometers: BSLI TI-960111 and BSLI TI-960611
- 8.12 Incubator, $30^{\circ} \pm 2^{\circ}$ C: BSLI 930712
- 8.13 Incubator Thermometer: BSLI TI-930712A
- 8.14 Incubator, $35^{\circ} \pm 2^{\circ}$ C: BSLI 91101
- 8.15 Incubator Thermometers: BSLI TI-960109 and BSLI TI-971006
- 8.16 Anaerobic Incubator, 35° ± 2°C: BSLI 960802
- 8.17 Anaerobic Incubator Thermometer: BSLI TI-960602
- 8.18 Incubator, 55° 60°C: BSLI 91059
- 8.19 Incubator Thermometer: BSLI TI-2064
- 8.20 Refrigerators, 2° 8°C: BSLI 91109 and BSLI 991201
- 8.21 Refrigerator Thermometers: BSLI TI-960303 and BSLI TI-971004
- 8.22 Vortex Mixers: BSLI 980103 and BSLI 991002
- 8.23 Orion pH Meter Model 720: BSLI 931104
- 8.24 Mettler BB240 Balance: BSLI 930409
- 8.25 A & D Balance Model EK-2000G: BSLI 960801
- 8.26 Troemner Weights: BSLI 930408
- 8.27 Ohaus Weights: BSLI 961011
- 8.28 Hewlett-Packard HP-15C Hand Calculator
- 8.29 Texas Instruments TI-35X Hand Calculator
- 8.30 Texas Instruments TI-36X Hand Calculator

9.0 **SUPPLIES**:

- 9.1 Sterile 5 mL Disposable Pipettes: Kimble Lot Number N00080C
- 9.2 Sterile 25 mL Disposable Pipettes: VWR Lot Number W00103C and Kimble Lot Number 23099021
- 9.3 Sterile 20 cc syringes: Becton-Dickinson Lot Number 9281282
- 9.4 Sterile Disposable Petri Plates, 100 mm x 15 mm: American Precision Plastics Lot Number 00268906
- 9.5 Test Tubes, Sterilized
- 9.6 Universal 1.0 and 0.2 mL Pipette Tips, Sterilized
- Sterile 1.0 mL Positive Displacement Tips: Gilson Batch Number B0030922S
- 9.8 Hand-Tally Counters
- 9.9 125 mL Polypropylene Bottles, Sterilized
- 9.10 Inoculating Loops
- 9.11 GasPakTM Anaerobic System
- 9.12 GasPak PlusTM Hydrogen plus Carbon Dioxide Gas Generator Envelopes

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10.0 MEDIA:

- 10.1 Tryptic Soy Broth (TSB): TSB001012A
- 10.2 Brain-Heart Infusion Broth (BHIB): BHIB001107A
- 10.3 Schaedler's Broth (SB): SB000914A and SB001020E
- 10.4 Mueller-Hinton Broth (MHB): MHB001109B
- 10.5 Mueller-Hinton Broth with Bacto Supplement B (MHB-VX): MHB001109B Supplement VX: Bacto Control Number 140556KA, Expires 04/30/02
- 10.6 Cation-Adjusted Mueller-Hinton Broth with Lysed Horse Blood (CAMHB-B): CAMHB001020D and CAMHB001201C
 SP Blood Supplement: Difco Lot Numbers 143115KA, Expires 09/30/00 and 143812KA, Expires 10/31/00
- 10.7 Anaerobic MIC Broth (AMIC): AMIC001104E
- 10.8 Tryptic Soy Agar (TSA): TSA001013A, TSA001014A, TSA001017A, and TSA001122A
- 10.9 Brain-Heart Infusion Agar (BHIA): BHIA001020G and BHIA001104C
- 10.10 Sabouraud Dextrose Agar (SDA): SDA001020B
- 10.11 Schaedler's Agar with Lysed Horse Blood (SA-B): SA001020C SP Blood Supplement: Difco Lot Number 143812KA, Expires 10/31/00
- 10.12 Tryptic Soy Agar with 5% Sheep Blood (SBA): PML Lot Numbers 66723-1, Expires 10/03/00 and 68375-1, Expires 10/25/00
- 10.13 Chocolate Agar with Enrichment (CAE): PML Lot Numbers 65383-1, Expires 08/29/00 and 68175-1, Expires 10/03/00
- 10.14 Mueller-Hinton Agar with Dextrose and Bacto Supplement B (MHAD-VX): MHAD000907B Supplement VX: Bacto Control Number 140556KA, Expires 04/30/02
- 10.15 Phosphate Buffered Saline Solution (PBS): PBS001013D and PBS001116E

11.0 METHODOLOGY:

Inoculum Preparation - Approximately 48 - 96 hours prior to testing

- 11.1 Separate sterile tubes of the broth medium appropriate for each of the challenge microorganisms (except Haemophilus influenzae [ATCC #19418 and Clinical Isolate], Streptococcus pneumoniae [ATCC #6303 and Clinical Isolate], and Streptococcus pyogenes [ATCC #19615]) were inoculated from lyophilized vials or cryogenic cultures containing the microorganisms (reference Table I). The microorganism cultures were incubated at the temperatures and under the conditions appropriate for each species (reference Table I) for approximately twenty-four (24) hours, or until sufficient growth was observed.
- For Haemophilus influenzae (ATCC #19418 and Clinical Isolate), Streptococcus pneumoniae (ATCC #6303 and Clinical Isolate), and Streptococcus pyogenes (ATCC #19615), plates of the appropriate solid media (reference Table I) were inoculated from lyophilized vials, cryogenic cultures, or stock cultures containing these microorganisms. These plates were incubated at the temperatures and under the conditions appropriate for these species (reference Table I) for twenty-four (24) to forty-eight (48) hours, or until sufficient growth was observed.

Inoculum Preparation - Approximately 24 - 48 hours prior to testing

- 11.3 The broth cultures prepared as described in Section 11.1 (except those for *Bacteroides fragilis* [ATCC #25285 and Clinical Isolate]) were inoculated onto the surface of the solid medium appropriate for each microorganism and incubated at the temperatures and under the conditions appropriate for each species (reference Table I) for twenty-four (24) hours, or until sufficient growth was observed. This produced lawns of the microorganisms on the surface of the agar plates which were used to prepare the challenge suspensions.
- 11.4 For Bacteroides fragilis (ATCC #25285 and Clinical Isolate), the broth cultures prepared as described in Section 11.1 were subcultured in additional tubes of Schaedler's Broth and incubated anaerobically at 35° ± 2°C for twenty-four (24) to forty-eight (48) hours, or until sufficient growth was observed. Following incubation, the challenge suspensions were prepared by centrifuging the broth culture tubes, combining the resulting pellets, and resuspending them in Schaedler's Broth.
- 11.5 For Haemophilus influenzae (ATCC #19418 and Clinical Isolate), Streptococcus pneumoniae (ATCC #6303 and Clinical Isolate), and Streptococcus pyogenes (ATCC #19615), a suspension was prepared for each microorganism from the plates prepared as described in Section 11.2 by rinsing the plates with sterile Phosphate Buffered Saline. Aliquots of each suspension were then spread-plated onto the surface of additional plates of the solid medium appropriate for each microorganism (reference Table I). These plates were incubated at the temperature and under the conditions appropriate for these species (reference Table I) for twenty-four (24) to forty-eight (48) hours, or until sufficient growth was observed. This produced lawns of the microorganisms on the surface of the agar plates which were used to prepare the challenge suspensions.

Challenge Suspensions

- Immediately prior to initiating the test procedure, an initial suspension of each microorganism (except *Bacteroides fragilis* [ATCC #25285 and Clinical Isolate]) was prepared by inoculating a test tube of Phosphate Buffered Saline with microorganisms taken from the plates of solid media prepared as described in Sections 11.3 and 11.5. Suspension concentrations of approximately 1.0 x 10° CFU/mL were prepared. The challenge suspensions of *Bacteroides fragilis* (ATCC #25285 and Clinical Isolate) were prepared as described in Section 11.4.
- 11.7 Final challenge suspensions containing approximately 1.0 x 10⁶ CFU/mL were achieved for each microorganism by placing a 0.1 mL aliquot of the approximately 1.0 x 10⁹ CFU/mL suspension into a sterile 125 mL polypropylene bottle containing 100 mL of the broth appropriate for each microorganism (reference Table I). The challenge suspensions were mixed thoroughly prior to use in testing.

Initial Population Determination

11.8 An initial population was determined for each challenge suspension by making ten-fold dilutions (10⁻¹, 10⁻², 10⁻³, and 10⁻⁴) from the inoculum bottle into Phosphate Buffered Saline and pour- or spread-plating, in duplicate, 0.1 mL aliquots of the 10⁻², 10⁻³, and 10⁻⁴ dilutions using the solid medium appropriate for each microorganism (reference Table I). Hence, the final plated dilutions were 10⁻³, 10⁻⁴, and 10⁻⁵. These plates were incubated at the temperatures and under the conditions appropriate for each challenge microorganism (reference Table I) until sufficient growth was observed.

Testing Procedure

- 11.9 A series of 1:2 (v/v) dilutions of each test product were prepared using the broth appropriate for each challenge microorganism (reference Table I), resulting in product dilutions of 1:2, 1:4, 1:8, 1:16, 1:32, 1:64, 1:128, 1:256, 1:512, 1:1,024, 1:2,048, 1:4,096, 1:8,192, 1:16,384, and 1:32,768.
- 11.10 1.0 mL aliquots of each product dilution prepared were transferred to separate sterile test tubes. A series of fifteen (15) tubes, each containing 1.0 mL of the appropriate product dilution (1:2, 1:4, 1:8, 1:16, 1:32, 1:64, 1:128, 1:256, 1:512, 1:1,024, 1:2,048, 1:4,096, 1:8,192, 1:16,384, and 1:32,768), were prepared for each microorganism evaluated (reference Table I).
- 11.11 A 1.0 mL aliquot of challenge suspension containing approximately 1.0 x 10⁶ CFU/mL was introduced into each dilution tube in the series, thereby resulting in a final product dilution series of 1:4, 1:8, 1:16, 1:32, 1:64, 1:128, 1:256, 1:512, 1:1,024, 1:2,048, 1:4,096, 1:8,192, 1:16,384, 1:32,768, and 1:65,536, with each dilution containing approximately 5.0 x 10⁵ CFU/mL of the challenge microorganism.
- 11.12 The test procedure outlined in Sections 11.10 and 11.11 was performed, in duplicate, for each of the microorganism species tested (reference Table I).

Controls

- 11.13 A positive control tube (growth control) containing a 1.0 mL aliquot of the broth medium appropriate for the microorganism (reference Table 1) and a 1.0 mL aliquot of the challenge suspension was prepared for each microorganism.
- 11.14 Negative (media) control tubes (no microbial inoculation) of the broth medium appropriate for each microorganism (reference Table I) were also prepared.

Incubation

11.15 The challenge suspension/product dilution tubes and the controls were incubated at 35° ± 2°C for sixteen (16) to twenty-four (24) hours, or until good growth was apparent in the positive control tubes.

Determination of Results

- 11.16 Following incubation, the tubes were examined for growth of the microorganism, as indicated by turbidity.
- 11.17 The Minimum Inhibitory Concentration (MIC) for each product versus each challenge microorganism was recorded as the highest dilution of test product that completely inhibited growth of the microorganism, as detected by the unaided eye. The MIC was also calculated in parts per million (ppm) of the active ingredient of the test product present at this product dilution. The results of the duplicate runs for each test product versus each microorganism were averaged together to provide the final reported values.

TABLE I

No.	Microorganism Species	ATCC or BSLI #*	Incubation Time (MIC Tubes)	Incubation Temperature (Inoc. Prep. & IP Plates Only)	Media
1	Acinetobacter baumannii	19606	20 Hours	35° ± 2°C	BHIB/BHIA/MHI
2	Acinetobacter baumannii	061700Ab6*	20 Hours	35° ± 2°C	BHIB/BHIA/MHI
3	Bacteroides fragilis	25285	42.50 Hours	35° ± 2°C (Anaerobic)	SB/SA-B/AMIC
4	Bacteroides fragilis	060700Bf2*	42.50 Hours	35° ± 2°C (Anaerobic)	SB/SA-B/AMIC
5	Enterobacter cloacae	13047	20 Hours	35° ± 2°C	TSB/TSA/MHB
6	Enterobacter cloacae	121799Ecl1*	20 Hours	35° ± 2°C	TSB/TSA/MHB
7	Enterococcus faecalis	29212	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
8	Enterococcus faecalis	121699Efs1*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
9	Enterococcus faecium	19434	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
10	Enterococcus faecium	061700Efml*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
11	Escherichia coli	11229	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
12	Escherichia coli	010500Ec8*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
13	Escherichia coli	25922	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
14	Escherichia coli	010500Ec6*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
15	Haemophilus influenzae	19418	20 Hours	35° ± 2°C	CAE/MHB-VX
16	Haemophilus influenzae	062900Hi9*	20 Hours	35° ± 2°C	CAE/MHB-VX
17	Klebsiella oxytoca	43165	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
18	Klebsiella oxytoca	060700Ko6*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
19	Klebsiella pneumoniae	11296	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
20	Klebsiella pneumoniae	040400Kpn12*	20.25 Hours	35° ± 2°C	TSB/TSA/MHB
21	Micrococcus luteus	7468	20 Hours	30° ± 2°C	TSB/TSA/MHB
22	Micrococcus spp.	060700Ms8*	20 Hours	30° ± 2°C	TSB/TSA/MHB
23	Proteus mirabilis	7002	20.75 Hours	35° ± 2°C	TSB/TSA/MHB
24	Proteus mirabilis	062900Pm1*	20 Hours	35° ± 2°C	TSB/TSA/MHB
25	Pseudomonas aeruginosa	15442	17.75 Hours	35° ± 2°C	TSB/TSA/MHB

* = Clinical Isolate

Inoc. Prep. = Inoculum Preparation

IP = Initial Population

TABLE I (continued)

	7	7			
No.	Microorganism Species	ATCC or BSLI #*	Incubation Time (MIC Tubes)	Incubation Temperature (Inoc. Prep. & IP Plates Only)	Media
26	Pseudomonas aeruginosa	040400Pa8*	20 Hours	35° ± 2°C	TSB/TSA/MHB
27	Pseudomonas aeruginosa	27853	20 Hours	35° ± 2°C	TSB/TSA/MHB
28	Pseudomonas aeruginosa	040400Pa9*	20 Hours	35° ± 2°C	TSB/TSA/MHB
29	Serratia marcescens	14756	20 Hours	30° ± 2°C	TSB/TSA/MHB
30	Serratia marcescens	060700Sm3*	20 Hours	30° ± 2°C	TSB/TSA/MHB
31	Staphylococcus aureus	6538	17.75 Hours	35° ± 2°C	TSB/TSA/MHB
32	Staphylococcus aureus	040400Sa4*	39.75 Hours	35° ± 2°C	TSB/TSA/MHB
33	Staphylococcus aureus	29213	20 Hours	35° ± 2°C	TSB/TSA/MHB
34	Staphylococcus aureus	040400Sa5*	20 Hours	35° ± 2°C	TSB/TSA/MHB
35	Staphylococcus epidermidis	12228	17.75 Hours	35° ± 2°C	TSB/TSA/MHB
36	Staphylococcus epidermidis	061700Se13*	20 Hours	35° ± 2°C	TSB/TSA/MHB
37	Staphylococcus haemolyticus	29970	20 Hours	35° ± 2°C	TSB/TSA/MHB
38	Staphylococcus haemolyticus	061700Sha5*	20 Hours	35° ± 2°C	TSB/TSA/MHB
39	Staphylococcus hominis	27844	39.75 Hours	35° ± 2°C	TSB/TSA/MHB
40	Staphylococcus hominis	060700Sho4*	20 Hours	35° ± 2°C	TSB/TSA/MHB
41	Staphylococcus saprophyticus	15305	39.75 Hours	35° ± 2°C	TSB/TSA/MHB
42	Staphylococcus saprophyticus	060700Ss3*	20 Hours	35° ± 2°C	TSB/TSA/MHB
43	Streptococcus pneumoniae	6303	20.25 Hours	35° ± 2°C	SBA/CAMHB-B
44	Streptococcus pneumoniae	062900Spn6*	20 Hours	35° ± 2°C	SBA/CAMHB-B
45	Streptococcus pyogenes	19615	20.25 Hours	35° ± 2°C	SBA/BHIA/CAMHB-B
46	Streptococcus pyogenes	040400Spy10*	20 Hours	35° ± 2°C	внів/вніа/самнв-в
47	Candida albicans	10231	20 Hours	30° ± 2°C	TSB/SDA/MHB
48	Candida albicans	040400Ca1*	20 Hours	30° ± 2°C	TSB/SDA/MHB
49	Candida tropicalis	750	20 Hours	30° ± 2°C	TSB/SDA/MHB
50	Candida tropicalis	121799Ct*	20 Hours	30° ± 2°C	TSB/SDA/MHB

^{* =} Clinical Isolate

Inoc. Prep. = Inoculum Preparation

IP = Initial Population

TABLE II

igin of Clinical Isolates Supplied by Company

Origin of Clinical Isolates Supplied by Company						
Organism	Date Isolated	Specimen	Patient Age/Sex	Source	BSLI ID No.	
Acinetobacter baumannii	Unknown	Sputum	Unknown	MRL	061700Ab6	
Bacteroides fragilis	Unknown	Unknown	Unknown	MRL	060700Bf2	
Enterobacter cloacae	12/03/99	Wound	47/M	UW/HMC	121799Ecl1	
Enterococcus faecalis	12/06/99	Blood	45/M	UW/HMC	121699Efs1	
Enterococcus faecium	Unknown	Rectal Swab	Unknown	MRL	061700Efm1	
Escherichia coli	12/23/99	Unknown	Unknown	WMC	010500Ec8	
Escherichia coli	12/22/99	Unknown	Unknown	WMC	010500Ec6	
Haemophilus influenzae	Unknown	Еуе	Unknown	MRL	062900Hi9	
Klebsiella oxytoca	Unknown	Nares	Unknown	MRL	060700Ko6	
Klebsiella pneumoniae	01/28/00	Sputum	60/M	U of U	040400Kpn12	
Micrococcus spp.	Unknown	Skin	Unknown	MRL	060700Ms8	
Proteus mirabilis	Unknown	Nares	Unknown	MRL	062900Pm1	
Pseudomonas aeruginosa	01/23/00	Sputum	35/M	U of U	040400Pa8	
Pseudomonas aeruginosa	01/22/00	Urine	33/M	U of U	040400Pa9	
Serratia marcescens	Unknown	Nares	Unknown	MRL	060700Sm3	
Staphylococcus aureus	01/16/00	Blood	50/ M	U of U	040400Sa4	
Staphylococcus aureus	01/15/00	Blood	71/M	U of U	040400Sa5	
Staphylococcus epidermidis	Unknown	Eye	Unknown	MRL	061700Se13	
Staphylococcus haemolyticus	Unknown	Eye	Unknown	MRL	061700Sha5	
Staphylococcus hominis	Unknown	Unknown	Unknown	MRL	060700Sho4	
Staphylococcus saprophyticus	Unknown	Unknown	Unknown	MRL	060700Ss3	
Streptococcus pneumoniae	Unknown	Sputum	Unknown	MRL	062900Spn6	
Streptococcus pyogenes	Unknown	Throat	Unknown	U of U	040400Spy10	
Candida albicans	02/19/00	Sputum	33/M	U of U	040400Ca1	
Candida tropicalis	10/21/99	Subhepatic Fluid	47/M	UW/HMC	121799Ct	

MRL = MRL Research Laboratory in Cypress, CA
U of U = University of Utah Hospital and Clinics in Salt Lake City, UT
UW/HMC = University of Washington, WA / Harborview Medical Center

WMC = Western Montana Clinic in Missoula, MT

12.0 RESULTS - TABLES III & IV:

Table III presents the Minimum Inhibitory Concentration, in dilution and parts per million (ppm), of the active ingredient for Product 1 (3% PCMX Solution, Formula 351-J9DF - Lot Number: 000181) versus each of the fifty (50) microorganisms tested. Table IV presents the Minimum Inhibitory Concentration, in dilution and parts per million (ppm), of the active ingredient for Product 2 (3% PCMX Solution, Ultradex - Lot Number: 000247) versus each of the fifty (50) microorganisms tested.

TABLE III
Formula 351-J9DF - Lot Number: 000181
(3% [30,000 ppm] PCMX)

	(370 [30,000 p	F,		
Microorganism Species	ATCC or BSLI #*	Minimum Inhibitory Concentration		
	111000.2521	Product Dilution	Parts per Million (ppm)	
Acinetobacter baumannii	19606	1:256	117.1875	
Acinetobacter baumannii	061700Ab6*	1:512	58.5938	
Bacteroides fragilis	25285	1:2,048	14.6484	
Bacteroides fragilis	060700Bf2*	1:8,192	3.6621	
Enterobacter cloacae	13047	1:128	234.3750	
Enterobacter cloacae	121799Ecl1*	1:128	234.3750	
Enterococcus faecalis	29212	1:128	234.3750	
Enterococcus faecalis	121699Efs1*	1:128	234.3750	
Enterococcus faecium	19434	1:4	7,500.0000	
Enterococcus faecium	061700Efm1*	1:128	234.3750	
Escherichia coli	11229	1:128	234.3750	
Escherichia coli	010500Ec8*	1 : 256	117.1875	
Escherichia coli	25922	1:256	117.1875	
Escherichia coli	010500Ec6*	1:192	156.2500	
Haemophilus influenzae	19418	1:768	39.0625	
Haemophilus influenzae	062900Hi9*	1:1,536	19.5313	
Klebsiella oxytoca	43165	1:128	234.3750	
Klebsiella oxytoca	060700Ko6*	1:128	234.3750	
Klebsiella pneumoniae	11296	1:256	117.1875	
Klebsiella pneumoniae	040400Kpn12*	1 : 64	468.7500	
Micrococcus luteus	7468	1:128	234.3750	
Micrococcus spp.	060700Ms 8 *	≤ 1:4	≥ 7,500.0000	
Proteus mirabilis	7002	1:128	234.3750	
Proteus mirabilis	062900Pm1*	1:128	234.3750	
Pseudomonas aeruginosa	15442	1:12	2,500.0000	
Pseudomonas aeruginosa	040400Pa8*	1:4	7,500.0000	

^{* =} Clinical Isolate

TABLE III (continued) Formula 351-J9DF - Lot Number: 000181 (3% [30,000 ppm] PCMX)

Missouries Sancier	ATCC DCL I ##	Minimum Inhibitory Concentration		
Microorganism Species	ATCC or BSLI #*	Product Dilution	Parts per Million	
Pseudomonas aeruginosa	27853	1:6	5,000.0000	
Pseudomonas aeruginosa	040400Pa9*	1:4	7,500.0000	
Serratia marcescens	14756	1 : 96	312.5000	
Serratia marcescens	060700Sm3*	1:128	234.3750	
Staphylococcus aureus	6538	1:384	78.1250	
Staphylococcus aureus	040400Sa4*	1 : 6,144	4.8828	
Staphylococcus aureus	29213	1:512	58.5938	
Staphylococcus aureus	040400Sa5*	1:256	117.1875	
Staphylococcus epidermidis	12228	1:256	117.1875	
Staphylococcus epidermidis	061700Se13*	1:256	117.1875	
Staphylococcus haemolyticus	29970	1:512	58.5938	
Staphylococcus haemolyticus	061700Sha5*	1:128	234.3750	
Staphylococcus hominis	27844	1:512	58.5938	
Staphylococcus hominis	060700Sho4*	1:512	58.5938	
Staphylococcus saprophyticus	15305	1:512	58.5938	
Staphylococcus saprophyticus	060700Ss3*	1:1,024	29.2969	
Streptococcus pneumoniae	6303	1:8,192	3.6621	
Streptococcus pneumoniae	062900Spn6*	1:512	58.5938	
Streptococcus pyogenes	19615	1:2,048	14.6484	
Streptococcus pyogenes	040400Spy10*	I : 256	117.1875	
Candida albicans	10231	<1:4	> 7,500.0000	
Candida albicans	040400Ca1*	< 1 : 4	> 7,500.0000	
Candida tropicalis	750	<1:4	> 7,500.0000	
Candida tropicalis	121799Ct*	<1:4	> 7,500.0000	

^{* =} Clinical Isolate

TABLE IV
Ultradex - Lot Number: 000247 (3% [30,000 ppm] PCMX)

		Minimum Inhibitory Concentration		
Microorganism Species	ATCC or BSLI #*	Product Dilution	Parts per Million (ppm)	
Acinetobacter baumannii	19606	1:256	117.1875	
Acinetobacter baumannii	061700Ab6*	1 : 512	58.5938	
Bacteroides fragilis	25285	1:8,192	3.6621	
Bacteroides fragilis	060700Bf2*	1:12,288	2.4414	
Enterobacter cloacae	13047	1:192	156.2500	
Enterobacter cloacae	121799Ecl1*	1:128	234.3750	
Enterococcus faecalis	29212	1:192	156.2500	
Enterococcus faecalis	121699Efs1*	≤ 1:128	≥ 234.3750	
Enterococcus faecium	19434	< 1 : 128	> 234.3750	
Enterococcus faecium	061700Efm1*	< 1:128	> 234.3750	
Escherichia coli	11229	1:256	117.1875	
Escherichia coli	010500Ec8*	1:128	234.3750	
Escherichia coli	25922	1:384	78.1250	
Escherichia coli	010500Ec6*	1:128	234.3750	
Haemophilus influenzae	19418	1:2,048	14.6484	
Haemophilus influenzae	062900Hi9*	1 : 4,096	7.3242	
Klebsiella oxytoca	43165	≤ 1:128	≥ 234.3750	
Klebsiella oxytoca	060700Ko6*	≤ 1:128	≥ 234.3750	
Klebsiella pneumoniae	11296	1:512	58.5938	
Klebsiella pneumoniae	040400Kpn12*	< 1:128	> 234.3750	
Micrococcus luteus	7468	1:192	156.2500	
Micrococcus spp.	060700Ms8*	1:512	58.5938	
Proteus mirabilis	7002	1 : 256	117.1875	
Proteus mirabilis	062900Pm1*	1:128	234.3750	
Pseudomonas aeruginosa	15442	< 1:128	> 234.3750	
Pseudomonas aeruginosa	040400Pa8*	< 1:128	> 234.3750	

^{* -} Clinical Isolate

TABLE IV (continued) Ultradex - Lot Number: 000247

(3% [30,000 ppm] PCMX)

		,		
Microorganism Species	ATCC or BSLI #*	Minimum Inhibitory Concentration		
8		Product Dilution	Parts per Million	
Pseudomonas aeruginosa	27853	< 1:128	> 234.3750	
Pseudomonas aeruginosa	040400Pa9*	< 1 : 128	> 234.3750	
Serratia marcescens	14756	< 1 : 64	> 468.7500	
Serratia marcescens	060700Sm3*	1:64	468.7500	
Staphylococcus aureus	6538	1:1,024	29.2969	
Staphylococcus aureus	040400Sa4*	1 : 256	117.1875	
Staphylococcus aureus	29213	1:512	58.5938	
Staphylococcus aureus	040400Sa5*	1:256	117.1875	
Staphylococcus epidermidis	12228	1 : 512	58.5938	
Staphylococcus epidermidis	061700Se13*	1 : 256	117.1875	
Staphylococcus haemolyticus	29970	1:512	58.5938	
Staphylococcus haemolyticus	061700Sha5*	1:512	58.5938	
Staphylococcus hominis	27844	1:384	78.1250	
Staphylococcus hominis	060700Sho4*	1:4,096	7.3242	
Staphylococcus saprophyticus	15305	1:512	58.5938	
Staphylococcus saprophyticus	060700Ss3*	1:1,024	29.2969	
Streptococcus pneumoniae	6303	1 : 6,144	4.8828	
Streptococcus pneumoniae	062900Spn6*	1:256	117.1875	
Streptococcus pyogenes	19615	1:2,048	14.6484	
Streptococcus pyogenes	040400Spy10*	1:256	117.1875	
Candida albicans	10231	< 1 : 64	> 468.7500	
Candida albicans	040400Cal*	< 1 : 64	> 468.7500	
Candida tropicalis	750	< 1 : 128	> 234.3750	
Candida tropicalis	121799Ct*	< 1:64	> 468.7500	

^{* -} Clinical Isolate

13.0 <u>REFERENCE</u>:

NCCLS Document M7-A5, "Methods for Dilution Antimicrobial Susceptibility Tests for Bacteria that Grow Aerobically," 5th Edition.

14.0 ACCEPTANCE:

BIOSCIENCE LABORATORIES, INC.

P.O. Box 190

Bozeman, Montana 59771-0190

President

and CEO:

Manager of In-Vitro Laboratory/ Principal Study Director:

<u>09/27/00</u> Study Completion Date

Associate Study Director:

James McDowell

Date

QUALITY ASSURANCE STATEMENT:

This study was inspected by the Quality Assurance Unit, and reports were submitted to the Study Director and Management in accordance with Standard Operating Procedures, as follows:

Phase

Date

Product Testing

08/15/00 & 08/31/00

Data Audit

09/26/00

Final Report Review

09/26/00

Reports to Study Director

and Management

08/15/00, 08/31/00 & 09/26/00

This study was conducted in compliance with Good Laboratory Practices standards, as described by the FDA (21 CFR Part 58), with the following exception: test article preparations were not analyzed at BioScience Laboratories, Inc., to confirm concentration, stability, or homogeneity.

Director of Quality Assurance:

MicroBioTest, Inc.

Study Title

MINIMUM INHIBITORY CONCENTRATIONS FOR

ULTRADEX® 3% PCMX

AS SURGICAL HAND SCRUB SOLUTION

Data Requirements

This report is designed to be used internally by the sponsor of the study

Author

Sherry C. Conlin

Final Report Written

1/23/95

Performing Laboratory

MicroBioTest, Inc. (MBT) 14280 Sullyfield Circle, #200 Chantilly, Virginia 22021

Laboratory Project Identification

361-101

Submitted to: BECTON-DICKINSON 9450 South State Street Sandy, Utah 80470

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MICROBIOTEST, INC. COMPLIANCE STATEMENT

This study meets the requirements for 40 CFR Part 160 with the exception that information on the synthesis, purity analysis, composition and other characteristics of the test product remain with the sponsor.

Study Director:

MICROBIOTEST, INC.

ANGELA M. STAPLES

MICROBIOTEST QUALITY ASSURANCE UNIT STATEMENT

The Quality Assurance Unit of MicroBioTest, Inc. has inspected the final report of Project Number 361-101 entitled "MINIMUM INHIBITORY CONCENTRATIONS FOR ULTRADEX® 3% PCMX AS SURGICAL HAND SCRUB SOLUTION", in compliance with current Good Laboratory Practice regulations, (40 CFR Part 160).

DATE OF INSPECTION	DATE REPORTED TO STUDY DIRECTOR	DATE REPORTED TO MANAGEMENT
10/05/94	10/06/94	10/06/94
01/18/95	01/18/95	01/25/95
01/30/95	01/30/95	01/30/95

JUDITHANN R. HARVEY Date

QAU Auditor

OBJECTIVE:

The test procedure was designed to supply basic antimicrobial data before specific clinical testing is undertaken. The testing procedure conforms to the requirements of Federal Register, Vol. 59, No. 116 §333.470, June 17, 1994.

MATERIALS:

- A. supplied by the sponsor
 - 1. PCMX, lot M53509, received 09/20/94, assigned DS No. 1990.
 - 2. ULTRADEX Solution without PCMX, lot M061240A, received 09/20/94, assigned DS NO. 1991, and lot M063350A, received 10/19/94, assigned DS No. 1997.
 - 3. ULTRADEX Solution with 3% PCMX, lot M061240, received 09/20/94, See assigned DS NO. 1992, and lot M063350, received 10/19/94, assigned DS NO. 1998.

The sponsor assures MicroBioTest, Inc. testing facility management that the test substance has been appropriately tested for identity, strength, purity, stability, and uniformity as applicable. All unused test materials will be retained by MBT for a period of three months after completion of the test then discarded in a manner which meets the approval of the safety officer.

- B. Materials supplied by MicroBioTest, Inc., including, but not limited to:
 - 1. Media and reagents:
 - a. Trypticase Soya Agar.
 - b. Trypticase Soya Broth.
 - c. Sheep Blood Agar.
 - d. Sabouraud Dextrose Agar
 - e. Yeast Maltose Agar.
 - f. Sterile Saline solution blanks (SS).
 - g. Dimethyl Sulfoxide (DMSO).
 - 2. Challenge organisms

All ATCC strains were acquired directly from the ATCC. All fresh clinical isolates (CI) were acquired from the Howard University Hospital, or MicroBioTest, Inc.

3. Laboratory equipment and supplies.

EXPERIMENTAL DESIGN:

A. Inocula preparation:

Bacteria and yeast were subcultured from stock cultures on agar, incubated at $37\pm2C$ in ambient air. Anaerobic bacteria were subcultured on pre-reduced media, incubating at $37\pm2C$ under anaerobic conditions. A 20-24 hr culture was used for the test procedure for all organisms except, *Propionibacterium* was five days old, the *Candida* and *Bacteroides* were 48 ± 1 hr old. On the day of the test, bacteria were harvested by swabbing the surface of the agar with a cotton-tipped swab previously dipped in sterile SS and dispersed in approximately 10 ml of SS. The suspension of the challenge organisms were adjusted with SS to contain approximately $1x10^8$ cfu/ml by using spectrophotometric methods extant in the laboratory.

B. Test material preparation:

The ULTRADEX with and without PCMX were tested as sent by the sponsor. The PCMX was prepared every test date by adding 1.5 g of the PCMX to 50 ml of filter sterilized DMSO or 0.75 g of the PCMX to 25 ml of DMSO.

C. Test:

- 1. Nine tubes containing two ml of broth medium each were prepared in duplicate for each challenge microorganism.
- 2. The first tube contained four-ml test material.
- 3. Doubling dilutions were performed for each set of ten tubes by transferring two ml from the first tube to the second tube, mixing thoroughly, then transferring two ml to the next tube up to the tenth tube. From the tenth tube two ml of mixture were discarded.
- 4. Each tube was inoculated with 0.05 ml of one of the challenge organisms. Tubes were incubated at $37 \pm 2C$ for the time appropriate for each organism, then scored for growth (+) or no growth (-).
- 5. After the incubation period, one loopful from each tube was streaked onto an agar plate. Plates were incubated and observed for growth (+) or no growth (-).

D. Controls:

1. Negative controls:

The plates and aliquots of broth media used in the test were incubated with the test.

2. Positive controls:

For each organism, duplicate tubes containing two ml of appropriate broth medium were inoculated with 0.05 ml of the appropriate challenge organism and incubated with the test tubes.

TEST ACCEPTANCE CRITERIA:

The test is acceptable for evaluation of the test results if the criteria listed below are satisfied.

- The positive control must exhibit growth of the challenge organisms.
- The negative control must exhibit no growth of any microorganism.

TESTING FACILITIES AND STUDY DATES:

The study was conducted at MicroBioTest, Inc, 14280 Sullyfield Circle, Suite 200, Chantilly, Virginia 22021. The Laboratory phase was conducted in the Applied Microbiology Laboratory at MicroBioTest, Inc. between the dates 10/12/94 and 1/27/95. The study director signed the protocol on 10/03/94.

RECORDS:

All raw data, protocol, protocol modifications, test material records, final report, and correspondence relevant to this study, between MBT and the sponsor will be stored in the archives at MicroBioTest, Inc., 14280 Sullyfield Circle, Suite 200, Chantilly, VA 22021.

All changes or revisions of the approved protocol were documented, signed by the study director, dated and maintained with the protocol. The sponsor was notified of the change, resolution, and impact on the study as soon as practical.

FR: MIC Test

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INTERPRETATION OF RESULTS:

The endpoint or minimum inhibitory concentration (MIC) is defined as the concentration of test compound that completely inhibits growth of the challenge organism. In order to arrive at an MIC for the test compound, the growth control tubes must exhibit growth and the solvent used must show little or no effect upon the growth of the organisms. The titer for each test compound was determined.

CALCULATION:

The test compound concentrations (ppm) or dilution for each tube resulting from a two-fold dilution are shown below:

Tube	3% PCMX	ULTRADEX	ULTRADEX + 3% PCMX
1	30,000	neat	30,000
2	15,000	1:2	15,000
3	7,500	1:4	7,500
4	3,750	1:8	3,750
5	1,875	1:16	1,875
6	937.5	1:32	937.5
7	468.75	1:64	468.75
8	234.38	1:128	234.38
9	117.19	1:256	· 117.19
10	58.59	1:512	58.59

RESULTS:

The results for all sterility controls were negative, and all organisms demonstrated growth for the positive control. The results are on pages seven and eight which describe the minimum inhibitory concentration, the 3% PCMX, and the ULTRADEX + 3% PCMX are described as the concentration of the active ingredient in parts per million (ppm). The ULTRADEX without PCMX is described as a dilution of the product.

RESULTS MIC ATCC STRAINS

Organism	3% PCMX DS No. 1990	ULTRADEX DS No. 1991,1997	ULTRADEX + 3% PCMX DS No. 1992, 1998
Acinetobacter sp. ATCC 15308	234.38	1:2	234.38
Bacteroides fragilis, ATCC 25285	468.75	1:2	937.5
Candida albicans, ATCC 10231	234.38	neat	234.38
Candida tropicalis, ATCC 750	175.78	neat	117.19
Enterobacter aerogenes, ATCC 13048	468.75	1.2	468.75
Enterococcus faecalis, ATCC 29212	937.5	neat	468.75
Enterococcus faecium, ATCC 6569	234.38	1:2	117.19
Escherichia coli, ATCC 11229	175.58	neat	468.75
Escherichia coli, ATCC 25922	468.75	1:2	234.38
hilus influenzae, ATCC 19418در	234.38	1:4	468.75
Klebsiella pneumonia, ATCC 29995	468.75	neat	234.38
Micrococcus luteus, ATCC 7468	234.38	UTR	117.19
Micrococcus roseus, ATCC 186	117.19	1:2	117.19
Proteus mirabilis, ATCC 7002	468.75	UTR	468.75
Pseudomonas aeruginosa, ATCC 15442	468.75	1:2	468.75
Pseudomonas aeruginosa, ATCC 27853	937.5	neat	937.5
Serratia marcescens, ATCC 14756	234.38	1:2	234.38
Staphylococcus aureus, ATCC 6538	175.78	1:2	58.59
Staphylococcus aureus, ATCC 29213	234.38	UTR	117.19
Staphylococcus epidermidis, ATCC 12228	58.59	neat	58.59
Staphylococcus hominis, ATCC 29885	468.75	UTR	234.38
Staphylococcus haemolyticus, ATCC 29970	468.75	neat	234.38
Staphylococcus saprophyticus, ATCC 15305	468.75	neat	468.75
Strepticoccus pyogenes, ATCC 19615	468.75	0.75	468.75
eptococcus. pneumoniae, ATCC 6303	468.75	1:2	937.5

UTR - unable to read the first tube

RESULTS MIC CLINICAL ISOLATES

FR: MIC Test

Organism	3% PCMX DS No. 1990	ULTRADEX DS No. 1991,1997	ULTRADEX + 3% PCMX DS No. 1992, 1998
Bacillus sp. Cl 232	468.75	neat	937.5
Candida albicans CI 224	3,750	1:2	1,875
Enterobacter cloacae Cl 207	58.59	1:2	937.5
Enterobacter cloacae CI 208	468.75	1:2	937.5
Enterococcus faecalis CI 216	468.75	1:2	468.75
Enterococcus faecalis Cl 217	468.75	1:2	937.5
Escherichia coli Cl 215	468.75	1:2	15,000
Klebsiella pneumoniae Cl 210	117.19	1:2	1,875
Micrococcus citreus Cl 230	234.38	1:2	468.75
nibacterium acnes CI 221	234.38	1:2	468.75
Propionibacterium acnes CI 222	234.38	1:2	468.75
Pseudomonas aeruginosa Cl 204	468.75	1:2	30,000
Pseudomonas aeruginosa CI 205	351.56	1:2	1,406.25
Pseudomonas aeruginosa CI 206	234.38	1:2	3,750
Salmonella group D CI 209	58:59	1:2	234.38
Staphylococcus sp (coagulase negative) Cl 211	468.75	1:2	15,000
Staphylococcus aureus Cl 214	234.38	1:2	468.75
Staphylococcus aureus CI 225	468.75	1:2	937.5
Staphylococcus epidermidis Cl 212	468.75	1:2	15,000
Staphylococcus epidermidis Cl 213	468.75	1:2	15,000
Staphylococcus epidermidis CI 226	468.75	1:2	703.12
itaphylococcus epidermidis CI 227	468.75	1:2	2,812.5
staphylococcus epidermidis CI 228	1,406.25	1:2	937.5
treptococcus pneumoniae Cl 220	468.75	1:2	937.5
ptococcus pyogenes CI 218	117.19	1:2	58.59