

Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-02-05
2. Agency:	009
3. Bureau:	15
4. Investment Name:	HRSA National Practitioner Data Bank (NPDB)/Healthcare Integrity and Protection Data Bank (HIPDB) - BHPr
5. UPI:	009-15-01-06-01-1010-00
6. What kind of investment will this be in FY2008?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2004	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The NPDB was designed to protect public health by providing vital information that restricts the ability of incompetent health care practitioners from moving State to State without discovery of previous substandard performance or unprofessional conduct. The NPDB discloses to eligible entities information on medical malpractice payments, adverse clinical privileges and licensure and other adverse actions taken against physicians and dentists by State licensing authorities, hospitals and professional societies. The HIPDB was design to reduce healthcare fraud & abuse by collecting and disclosing to authorize entities information on health care related civil judgments and criminal convictions, adverse licensure and certification actions, exclusions from health care programs, and other adjudicated actions taken against health care providers, suppliers and practitioners. Both Data Banks must recover all costs through the collection of user fees. Under the current Firm-Fixed Price contract, the NPDB-HIPDB system is continuously enhanced to meet legislative and Government initiatives that reasonably fit the architecture; including expanding the database to include additional data elements and/or add new reports and client categories and functional enhancements. As a result of the small percentage of enhancements, and the vast amount of operations & maintenance that are integrated throughout the current NPDB-HIPDB, this program is considered a mixed life-cycle. Therefore, the NPDB-HIPDB IT investment consists of a combination of Development-Modernization-Enhancement (DME) and steady-state (SS) processes.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-08-29	
10. Did the Project Manager review this Exhibit?	
yes	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
no	
12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
12.b.1. If yes, is an ESPC or UESC being used to help fund this investment?	
no	
13. Does this investment support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	

Expanded E-Government

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

The NPDB-HIPDB is considered HRSA critical applications initiatives that meet statutory requirements, and are needed to provide for the health & safety of workers & the public. The primary objective of the information is to improve the quality of health care by promoting patient safety & improving patient protection and promote access to, & appropriate use of, health care information on individuals & organizations. This project directly supports the PMA through the expansion/improvement of E-Gov

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

no

15. Is this investment for information technology (See section 53 for definition)?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?

no

19. Is this a financial management system?

no

19.a.1. If yes, which compliance area:

N/A.

19.a.2. If no, what does it address?

N/A.

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

N/A.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	1
Software	1
Services	98

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

yes

22. Contact information of individual responsible for privacy related questions.

Name

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Title

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23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning Budgetary Resources	4.001	3.367	4.565	4.500
Acquisition Budgetary Resources	0.000	0.000	0.000	0.000
Maintenance Budgetary Resources	12.101	12.239	14.018	13.500
Government FTE Cost	2.623	3.113	3.880	3.880
# of FTEs	31	31	31	31

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

2.a. If "yes," how many and in what year?

N/A

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

No change.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2005	Improve healthcare quality & deter fraud & abuse by providing	Collect accurate and complete information in an effective and	1. Restrict the ability of practitioners to move without	# reports by type of report	As of 9/30/2005, the NPDB contained 380,524 reports on 223,789 practitioners.

		comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	efficient manner	disclosure of previous damaging, incompetent, or fraudulent behavior.		Approximately 74% concerned malpractice, 15% concerned licensure actions, 8 % were Medicare/Medicaid exclusions and the rest were clinical privileges actions.
2	2005	Improve healthcare quality & deter fraud & abuse by providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	Collect accurate and complete information in an effective and efficient manner	1. Restrict the ability of practitioners to move without disclosure of previous damaging, incompetent, or fraudulent behavior.	# of disputed reports and reports for which review by Secretary for accuracy is requested	As of 9/30/2005, 15,309 reports in the NPDB were disputed. Of these, 13,744 reports had not been raised to Secretarial Review by the practitioner. The Secretary had made a decision on 1,243 reports and decisions were pending in 52 cases.
3	2005	Improve healthcare quality & deter fraud & abuse by providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	Provide accurate and complete information to authorized queriers in an effective and efficient manner	1. Restrict the ability of practitioners to move without disclosure of previous damaging, incompetent, or fraudulent behavior.	# of number of queries processed	As of 9/30/2005, the NPDB had processed 38,141,975 entity queries and 544,484 self-queries by practitioners on their own record.
4	2005	Improve healthcare quality & deter fraud & abuse by providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	Provide accurate and complete information to authorized queriers in an effective and efficient manner	1. Restrict the ability of practitioners to move without disclosure of previous damaging, incompetent, or fraudulent behavior.	average response time	During FY 2005 the average response time was 120 minutes.
5	2005	Improve healthcare quality & deter fraud & abuse by	Provide accurate and complete	1. Restrict the ability of practitioners to	number of erroneous disclosures	During FY 2005 there were 42 reportable events of erroneous

		providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	information to authorized queriers in an effective and efficient manner	move without disclosure of previous damaging, incompetent, or fraudulent behavior.	(mis-matches)	disclosures.
6	2005	Improve healthcare quality & deter fraud & abuse by providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	Provide useful and reliable information to the public	1. Restrict the ability of practitioners to move without disclosure of previous damaging, incompetent, or fraudulent behavior.	# of Public Use File downloads	During FY 2005 there were approximately 300 downloads of the Public Use File.
7	2005	Improve healthcare quality & deter fraud & abuse by providing comprehensive and reliable information to support public and/or private sector licensing, credentialing, and privileging, decisions concerning practitioners, providers, and suppliers.	Provide useful and reliable information to the public	1. Restrict the ability of practitioners to move without disclosure of previous damaging, incompetent, or fraudulent behavior.	# of public sector and private sector inquiries for statistical information	During FY 2005 there were 39 major requests for statistical information. This excludes requests for statistics found in Annual Reports or other reports distributed by the Office of Communications.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2006	Mission and Business Results	Collections and Receivables	Dollar amount of unreconciled query fee deposits	\$31,728	Reduce unreconciled deposits to zero	TBD
2	2006	Customer Results	Customer Complaints	Ratio of number of complaints by queriers	0.5%	Reduce complaint ratio to	TBD

				and reporters to Customer Service Center to number of reports and queries processed.		0.25%	
3	2006	Processes and Activities	Cycle Time	Time between query and response	4 hours	Less than 2 hours	TBD
4	2006	Technology	Availability	Number of hours of non-planned down-time	52 for the year	0	TBD
5	2007	Mission and Business Results	Collections and Receivables	Dollar amount of unreconciled query fee deposits	\$16,000	Reduce unreconciled deposits to zero	TBD
6	2007	Customer Results	Customer Complaints	Ratio of number of complaints by quierers and reporters to Customer Service Center to number of reports and queries processed.	0.5%	TBD	TBD
7	2007	Processes and Activities	Cycle Time	Time between query and response	3 hours	Less than 1 hour	TBD
8	2007	Technology	Availability	Number of hours of non-planned down-time	52 for the year	0	TBD

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

N/A.

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The investment's name as it appears in the Department's Tactical Plan Volume 2 FY 2006 - 2009 is, "The National Practitioner Data Bank (NPDB)/Healthcare Integrity and Protection Data Bank (HIPDB)" or "HRSA NPDB/HIPDB"

2.b. If no, please explain why?

N/A.

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the

table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	NPDB-HIPDB Customer Relationship Management (CRM) Module	This component in the NPDB- HIPDB systems allows users to sign up for services provided by either system	Knowledge Management	Information Retrieval			No Reuse	2
2	NPDB-HIPDB Customer Relationship Management (CRM) Module	This component in the NPDB-HIPDB systems allows users to register for services and receive an electronic enrollment conformation.	Customer Initiated Assistance	Reservations / Registration			No Reuse	10
3	Historical Summary & Billing Modules	The NPDB-HIDPB systems components provide extensive reporting tools for both external and internal users to include at a minimum historical queries, financial information over time, call center statistics, etc.	Management of Processes	Program / Project Management			No Reuse	2
4	Archiving Module	The NPDB-HIPDB systems component supports the archiving of information to generate reports.	Document Management	Library / Storage			No Reuse	1
5	Query Module	The NPDB-HIPDB systems component supports information retrieval	Knowledge Management	Information Retrieval			No Reuse	15
6	Reporting Module	The NPDB-HIPDB systems component supports the knowledge capture of licensure actions on physicians and dentist, collection and disclosure of civil judgments and criminal convictions to authorized entities, etc.	Knowledge Management	Knowledge Capture			No Reuse	5
7	Response Module	The NPDB-HIPDB systems component	Knowledge Management	Knowledge Distribution			No Reuse	10

		supports the knowledge dissemination through reporting to hospitals and health care entities.		and Delivery				
8	Ad hoc Support Module	The NPDB-HIPDB systems component provide extensive reporting tools for both external and internal users to include at a minimum historical queries, financial information over time, call center statistics, etc	Reporting	Ad Hoc			No Reuse	5
9	NPDB-HIPDB	The NPDB-HIPDB systems component provide extensive reporting tools for both external and internal users to include at a minimum historical queries, financial information over time, call center statistics, etc.	Reporting	Standardized / Canned			No Reuse	5
10	Integrated Querying and Reporting Service (IORS)	The NPDB-HIPDB systems component provide extensive reporting tools for both external and internal users to include at a minimum historical queries, financial information over time, call center, statistics, etc.	Search	Query			No Reuse	35
11	Query Module	The NPDB-HIPDB support the collection of information organized so that specific information can be retrieved as needed	Data Management	Data Mart			No Reuse	10

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Self-Service	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
2	Reservations / Registration	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
3	Program / Project Management	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
4	Information Retrieval	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
5	Knowledge Capture	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
6	Knowledge Distribution and Delivery	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
7	Ad Hoc	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
8	Standardized / Canned	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
9	Query	Service Access and Delivery	Access Channels	Web Browser	Vendor: Netscape & Microsoft, Product: Netscape v 7.02, 7.1, or 7.2 and IE v6.0, 6.0 SP1, or 6.0 SP2
10	Self-Service	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
11	Reservations / Registration	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
12	Program / Project Management	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
13	Information Retrieval	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
14	Knowledge Capture	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
15	Knowledge Distribution and Delivery	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
16	Ad Hoc	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
17	Standardized / Canned	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
18	Query	Service Access and Delivery	Delivery Channels	Internet	Vendor: SRA, Product: NPDB/HIPDB
19	Self-Service	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
20	Reservations / Registration	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB

21	Program / Project Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
22	Information Retrieval	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
23	Knowledge Capture	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
24	Knowledge Distribution and Delivery	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
25	Ad Hoc	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
26	Standardized / Canned	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
27	Query	Service Access and Delivery	Service Requirements	Legislative / Compliance	Vendor: SRA, Product: NPDB/HIPDB
28	Data Mart	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Vendor: SRA, Product: Sun / Unix
29	Data Mart	Service Platform and Infrastructure	Delivery Servers	Web Servers	Vendor: SRA, Product: Apache / Unix based web server
30	Library / Storage	Service Platform and Infrastructure	Database / Storage	Database	Vendor: Oracle, Product: Oracle v10g

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

5.a. If yes, please describe.

The Data Banks believe that the investment in designing and developing a system should be leveraged when possible. The NPDB system is an excellent example of applying this leverage. When HHS OIG was tasked by U.S. Congress to develop and implement the HIPDB system, coordination efforts with the NPDB project team identified parallels between the planned HIPDB system and the existing NPDB system. As a result, the NPDB system was heavily leveraged in developing the HIPDB system, which eventually became an integrated system component to save operating costs. The result of leveraging the NPDB system was an estimated design and development savings that exceeded \$2 million, and an estimated operating cost savings of more than \$4 million since inception. Not only was money saved, but the system was brought on-line much sooner than would have been possible with new development. Also, customers with the authority to access both Data Banks could do so seamlessly without duplicating effort, thereby reducing customer burden. Integrating the two systems continues to eliminate duplicative costs since they now share the same architecture platforms, data center space, communications, customer service center, and operational team. The NPDB-HIPDB system is unique and contains highly confidential information. Therefore there are no critical interfaces with other systems. However, NPDB-HIPDB does use the Pay.gov system - managed by the Department of Treasury - for its credit card processing service. The NPDB-HIPDB system administrators monitor the system use with Pay.gov to ensure credit card transactions are being processed as expected. If there are issues with this interface, system administrators are paged and calls are immediately made to the Pay.gov help desk, which resolves any interface issues. Credit card transactions have processed successfully with Pay.gov since the cutover in August 2004. The NPDB-HIPDB seeks to leverage existing systems where ever possible.

6. Does this investment provide the public with access to a government automated information system?

yes

6.a. If yes, does customer access require specific software (e.g., a specific web browser version)?

yes

6.a.1. If yes, provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Vendor: Netscape Communications Corporation and Microsoft Corporation Product: Netscape Communicator version 7.02, 7.1, or 7.2 and Internet Explorer 6.0, 6.0 Service Pack (SP)1, or 6.0 SP2 Date: September 2005

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2005-03-01

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)

The NPDB-HIPDB investment receives no appropriations from Congress. The user fees collected are primarily used to operate, maintain, and enhance the NPDB-HIPDB. Provisions in the law allow the Data Banks to upgrade and incorporate new technologies as they occur, or as legislative mandates are imposed on the Data Banks. Therefore, risk, as a result of Life-cycle costs, can indeed increase due to external mandates outside of HHS/HRSA's control during scheduling/enhancement phase. However, the firm-fixed price contract vehicle that is currently in-place strictly controls the costs associated with the system. Contract modifications are reviewed in detail for budgetary purposes and will not be put into place unless the value of the contract modification meets a new system need. Unexpected costs that come from risks associated with the system are discussed and mitigated in the NPDB HIPDB Risk Assessment, NPDB-HIPDB system security plan, and NPDB-HIPDB COOP. During the scheduling phase, release contents are determined. New functionality and System Change Requests (SCRs) for a specific release are selected before release planning begins. At the Configuration Control Board (CCB), the Government and the Contractor assign specific SCRs to the planned release. This phase establishes the overall goals of the release. It is also during this phase that the release schedule is outlined. After this phase, changes may be introduced; however, the overall release goals will remain the same.

COST & SCHEDULE

Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

no

2.a. What is the Planned Value (PV)?

10.955

2.b. What is the Earned Value (EV)?

10.955

2.c. What is the actual cost of work performed (AC)?

10.954

What costs are included in the reported Cost/Schedule Performance information?

Contractor Only

2.e. As of date:

2006-12-31

3. What is the calculated Schedule Performance Index (SPI= EV/PV)?

1

4. What is the schedule variance (SV = EV-PV)?

0.000

5. What is the calculated Cost Performance Index (CPI = EV/AC)?

1

6. What is the cost variance (CV = EV-AC)?

0.001

7. Is the CV or SV greater than 10%?

no

<i>7.b. If yes, explain the variance.</i>
Not applicable
<i>7.c. If yes, what corrective actions are being taken?</i>
Not applicable
<i>7.d. What is most current Estimate at Completion?</i>
21.012
<i>8. Have any significant changes been made to the baseline during the past fiscal year?</i>
no