

Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-02-05
2. Agency:	009
3. Bureau:	38
4. Investment Name:	CMS IT Infrastructure
5. UPI:	009-38-02-00-01-1150-00
6. What kind of investment will this be in FY2008?	
Operations and Maintenance	
7. What was the first budget year this investment was submitted to OMB?	
FY2001 or earlier	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The CMS IT Infrastructure investment is in the control phase of the CPIC process and includes a steady state investment that broadly supports CMS business process. The steady state component is discussed below, followed by a description of the new infrastructure investment. Implementation of the Information Technology is one of the primary tools used to meet CMS's business needs. The role of technology has a strong link throughout CMS's Strategic Plan. CMS is an information-dependent organization; its primary business role is the collection, distribution, and analysis of information and making informed decisions based on those analyses. The infrastructure, software systems, hardware platforms, and communication links, must not only handle current business needs, but must also provide the capability to quickly expand to address future volume needs, to adopt new and more efficient technologies, and to support new programs. CMS' IT Infrastructure provides a vital service to the CMS user community by maintaining the computing infrastructure that assures the availability of CMS data to the Agency's staff, researchers and contractors. In addition to supporting the CMS user community, this investment provides the operational data communication for CMS to support Medicare FFS for over 365 million Medicare claims per year. This investment also provides network support to Quality Improvement Organizations, as well as, State survey agencies. Overall, the CMS IT Infrastructure supports all facets of CMS business operations. There are several component projects that comprise CMS IT Infrastructure, they are: Consolidated Information Technology Infrastructure Contract (CITIC), MDCD1, and MCDC2, Medicare Data Communications Network (MDCN), Voice/Data Services, CMS Secure Systems, IT Support for Continuity of Operations Plan (COOP) Initiatives, Administrative Systems & Applications, and Data Center Facilities Support. This investment was reviewed and approved by the Information Technology Review Board and is in the control phase. The CMS IT Infrastructure investment is in the operational (steady state) phase of the CPIC process.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-05-10	
10. Did the Project Manager review this Exhibit?	
yes	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
no	
12.a. Will this investment include electronic assets (including computers)?	
yes	
13. Does this investment support one of the PMA initiatives?	
yes	
<i>If yes, select the initiatives that apply:</i>	
Budget Performance Integration	

Competitive Sourcing	
Expanded E-Government	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	
CITIC accomplished market-based competitive sourcing through its two-phase acquisition approach, designed to promote innovation. The competitive process provided an imperative for the public sector to focus on continuous improvement and removing roadblocks to better performance and greater efficiency. The objective of the open competition with a performance base incentive was to assist the contractor in focusing on accomplishing the agency's mission.	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
no	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
no	
19. Is this a financial management system?	
no	
19.a. If yes, does this investment address a FFIA compliance area?	
no	
19.a.2. If no, what does it address?	
IT Infrastructure	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	20
Software	30
Services	50
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	
Name	
Maribel Franey	
Phone Number	
410-786-0757	
Title	
Director, Privacy Compliance	
Email	
Maribel.Franey@cms.hhs.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000	0.000
Maintenance Budgetary Resources	126.920	148.185	137.695	122.279
Government FTE Cost	3.200	3.300	3.400	3.600
# of FTEs	11	11	11	11

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

N/A

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2005	Modernize and effectively manage CMS information systems and technology	Strengthen CMS IT Infrastructure to ensure that employees have available the necessary IT to work efficiently and effectively	85%	99%	98%
2	2005	Stengthen CMS'	Protect and improve	90%	92%	92%

		communications with beneficiaries, partners and other stakeholders to enhance the information provided to beneficiaries	beneficiary health and satisfaction. Purchase the best value health care for beneficiaries			
3	2005	Improve quality of care and health outcomes for the beneficiaries of CMS programs	Support quality improvement initiatives by collaborating with HHS agencies and other purchaser's creative customer service strategies for CMS' programs. Collaborate with others in the public sector on standards and technologies to improve the accessibility and usability of health care information.	95%	98%	98%
4	2005	Strengthen program safeguards	Minimize waste, fraud, abuse, and error in the administration of CMS programs	97%	99%	99%
5	2006	Modernize and effectively manage CMS's information systems and technology	Increase percentage of time data communications equipment and services are fully operational	98%	99%	99%
6	2006	Modernize and effectively manage CMS' information systems and technology	Increase percentage of production jobs completed on schedule	85%	90%	90%
7	2007	Modernize and effectively manage CMS's information systems and technology	Increase percentage of time data communications equipment and services are fully operational	99%	99%	TBD
8	2007	Modernize and effectively manage CMS' information systems and technology	Increase percentage of production jobs completed on schedule	90%	95%	TBD

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2006	Mission and Business Results	Health Care Administration	Increase percentage of first call resolution to	75%	Improve performance by	86%

				the Service Desk		25%	
2	2006	Customer Results	Customer Satisfaction	Decrease percentage of time when a call reaches the service desk queue and the time an agent begins service by improving efficiency	92%	Improve performance time by 3%	94%
3	2006	Processes and Activities	Efficiency	Increase percentage of production jobs completed on schedule	85%	Improve performance by 5%	90%
4	2006	Technology	Availability	Increase percentage of time data communications equipment and services are fully operational	98%	Improve performance by 1%	99%
5	2007	Technology	Reliability	Increase percentage of time data communications equipment and services are fully operational	99%	Improve performance by 1%	TBD
6	2007	Customer Results	Customer Satisfaction	Increase percentage of production jobs completed on schedule	90%	Improve performance by 1%	TBD

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

Not applicable

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

CMS IT Infrastructure

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component	Agency	Service Type	Component	Reused	Reused	Internal	Funding
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	Name	Component Description			Component Name	UPI	or External Reuse?	%
1	Process Tracking	Ensures activities within the business cycle are documented	Tracking and Workflow	Process Tracking			No Reuse	2
2	Change Management	Control of the processes for updates or modifications to the existing documents, software, or business processes of the enterprise	Management of Processes	Change Management			No Reuse	1
3	Configuration Management	Recording and updating of information that describes the enterprises's computer systems and networks, including all hardware and software components	Management of Processes	Configuration Management			No Reuse	1
4	Requirements Management	Capturing, linking, tracing, analyzing, and managing infrastructure changes	Management of Processes	Requirements Management			No Reuse	1
5	Program/Project Management	Manage and control a particular effort of an organization	Management of Processes	Program / Project Management			No Reuse	1
6	Governance/Policy Management	Influence and determine decisions, actions, business rules, and other matters within an organization	Management of Processes	Governance / Policy Management			No Reuse	1
7	Quality Management	Determines that a product or service satisfies certain requirements	Management of Processes	Quality Management			No Reuse	1
8	Business Rule Management	Management of the enterprise's processes that support it and its policies	Management of Processes	Business Rule Management			No Reuse	1
9	Network Management	Monitoring and maintaining a communications network to diagnose problems, gather	Organizational Management	Network Management			No Reuse	10

		statistics, and provide general usage						
10	Procurement	The ordering and purchasing of products and services	Supply Chain Management	Procurement			No Reuse	1
11	Inventory Management	The balancing of customer service levels with inventory investment	Supply Chain Management	Inventory management			No Reuse	1
12	Catalog Management	The listing of available products or services an organization offers	Supply Chain Management	Catalog Management			No Reuse	1
13	Invoice/Requisition Tracking and Approval	The identification of where a shipment or delivery is within the business cycle	Supply Chain Management	Invoice / Requisition Tracking and Approval			No Reuse	1
14	Warehouse Management	The storage and movement of materials within a warehouse, including these processes: material receipt, order picking, packaging, labeling, and shipping	Supply Chain Management	Warehouse management			No Reuse	5
15	Content Review and Approval	The approval of interactive programs	Content Management	Content Review and Approval			No Reuse	2
16	Document Imaging and OCR	The scanning of documents	Document Management	Document Imaging and OCR			No Reuse	1
17	Library/Storage	Document and data warehousing and archiving	Document Management	Library / Storage			No Reuse	1
18	Document Review and Approval	The editing and commendation of documents before releasing them	Document Management	Document Review and Approval			No Reuse	1
19	Information Sharing	The use of documents and data in a multi-user environment for use by an organization and its stakeholders	Knowledge Management	Information Sharing			No Reuse	5
20	Ad Hoc	The use of dynamic reports on an as needed basis	Reporting	Ad Hoc			No Reuse	1
21	Standardized/Canned	The use of	Reporting	Standardized /			No Reuse	1

		preconceived or prewritten reports		Canned				
22	OLAP	The analysis of information that has been summarized into multidimensional views and hierarchies	Reporting	OLAP			No Reuse	1
23	Data Exchange	The interchange of information between multiple systems or applications; includes verification that transmitted data was received unaltered	Data Management	Data Exchange			No Reuse	2
24	Data Warehouse	The archiving and storage of large volumes of data	Data Management	Data Warehouse			No Reuse	3
25	Data Cleansing	The removal of incorrect or unnecessary characters and data from a data source	Data Management	Data Cleansing			No Reuse	1
26	Data Recovery	The restoration and stabilization of data sets to a consistent, desired state	Data Management	Data Recovery			No Reuse	1
27	Property/Asset Management	Identification, planning and allocation of an organizations physical capital and resources	Asset / Materials Management	Property / Asset Management			No Reuse	1
28	Asset Cataloging/Identification	The listing and specification of available assets	Asset / Materials Management	Asset Cataloging / Identification			No Reuse	1
29	Asset Transfer, Allocation, and Maintenance	Movement, assignment and replacement of assets	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance			No Reuse	1
30	Facilities Management	Supports the construction, management, and maintenance of the enterprise facilities	Asset / Materials Management	Facilities Management			No Reuse	1
31	Computers/Automation Management	Identification, upgrade, allocation, and replacement of physical devices, including servers and desktops used	Asset / Materials Management	Computers / Automation Management			No Reuse	25

		to facilitate production and process-driven activities						
32	Legacy Integration	The communication between newer generation hardware/software apps and the previous, major generation of hardware/software apps	Development and Integration	Legacy Integration			No Reuse	1
33	Enterprise Application Integration	The redesign of disparate information systems into one system that uses a common set of data structures and rules	Development and Integration	Enterprise Application Integration			No Reuse	1
34	Instrumentation and Testing	The validation of application or system capabilities and requirements	Development and Integration	Instrumentation and Testing			No Reuse	1
35	Resource Planning and Allocation	The determination of strategic direction, the identification and establishment of programs and processes, and the allocation of resources (capital and labor) among those programs and processes	Human Capital / Workforce Management	Resource Planning and Allocation			No Reuse	1
36	Identification and Authentication	Obtaining information about those parties attempting to log onto a system or application for security purposes and the validation of those users	Security Management	Identification and Authentication			No Reuse	3
37	Access Control	The management of permissions for logging onto a computer or network	Security Management	Access Control			No Reuse	2
38	Incident Response	Process followed when an illegal entrance into a computer system is detected	Security Management	Incident Response			No Reuse	1
39	Audit Trail and Capture Analysis	Identification and monitoring of activities within an	Security Management	Audit Trail Capture and Analysis			No Reuse	1

		application or system						
40	Virus Protection	Products used to detect and quarantine computer viruses	Security Management	Virus Protection			No Reuse	2
41	Email	The transmission of memos and messages over a network	Collaboration	Email			No Reuse	5
42	Document Library	Grouping and archiving of records and files on a server	Collaboration	Document Library			No Reuse	1
43	Voice Communications	Telephony or other voice communications	Communication	Voice Communications			No Reuse	2
44	License Management	Purchase, upgrade, and tracking of legal usage contracts for system software and applications	Systems Management	License Management			No Reuse	1
45	Software Distribution	Propagation, installation, and upgrade of written computer programs, applications, and components	Systems Management	Software Distribution			No Reuse	1

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Process Tracking	Service Access and Delivery	Access Channels	Collaboration / Communications	Remedy, MS Office Suite
2	Process Tracking	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Dell Notebook, Blackberry
3	Change Management	Service Access and Delivery	Service Transport	Supporting Network Services	Remedy
4	Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	Remedy
5	Program / Project Management	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Project 2003
6	Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	OpNet, OmegaMon, NetView, Tivoli, VMware Virtual Center, SUN Mgmt Console, Enterprise Storage

					Manager, CiscoWorks, RMF monitors, Strobe VMS, TMON CICS & TCP/IP, BMC Mainview, Predict, Visualizer, DB2, ActivityMon, Apptune, CA-NueMICS & MVS, eHealth,ITM
7	Warehouse management	Service Access and Delivery	Service Transport	Supporting Network Services	Tivoli, Remedy, COGNOS, Informatica, Microstrategy, Oracle Discoverer, Q Replication
8	Warehouse management	Service Platform and Infrastructure	Database / Storage	Storage	Hitachi SAN, Veritas
9	Warehouse management	Service Platform and Infrastructure	Database / Storage	Database	UDB RDBMS, SQL Server RDBMS, Oracle RDBMS
10	Warehouse management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Magstar, STK, and VTS tape drives
11	Library / Storage	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Magstar, STK, and VTS tape drives
12	Library / Storage	Service Platform and Infrastructure	Database / Storage	Storage	Hitachi SAN, Veritas
13	Information Sharing	Service Access and Delivery	Delivery Channels	Internet	Sterling Commerce Connect Direct SNA
14	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Outlook, MS Office Suite
15	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	AT&T Network
16	Ad Hoc	Service Access and Delivery	Service Transport	Supporting Network Services	SQL Server
17	Standardized / Canned	Service Access and Delivery	Service Transport	Supporting Network Services	Remedy
18	Data Exchange	Service Access and Delivery	Delivery Channels	Internet	IBM TCP/IP
19	Data Exchange	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	Blackberry
20	Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	ATT MDCN VPN
21	Data Exchange	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Outlook
22	Data Warehouse	Service Access and Delivery	Service Transport	Supporting Network Services	Veritas
23	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Hitachi SAN
24	Data Cleansing	Service Interface and Integration	Interoperability	Data Transformation	Sterling Commerce Gentran
25	Data Recovery	Service Access and Delivery	Service Transport	Supporting Network Services	Veritas
26	Property / Asset	Service Access	Service	Supporting	Tivoli, Remedy

	Management	and Delivery	Transport	Network Services	
27	Asset Cataloging / Identification	Service Access and Delivery	Service Transport	Supporting Network Services	Remedy, Tivoli
28	Asset Transfer, Allocation, and Maintenance	Service Access and Delivery	Service Transport	Supporting Network Services	Connect:Direct/NDM, Gentran, Remedy
29	Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Dell desktop and laptop computers, SUN E15K and 25K Mid-Tier platform, IBM servers, HP servers
30	Enterprise Application Integration	Service Access and Delivery	Service Transport	Supporting Network Services	Tivoli
31	Instrumentation and Testing	Service Access and Delivery	Service Transport	Supporting Network Services	Winrunner/Loadrunner
32	Identification and Authentication	Component Framework	Security	Supporting Security Services	IBM RACF, MS Windows 2003 Server Active Directory
33	Access Control	Component Framework	Security	Supporting Security Services	IBM RACF, MS Windows 2003 Server Active Directory
34	Virus Protection	Component Framework	Security	Supporting Security Services	McAfee Security/VirusScan Enterprise
35	Email	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Outlook
36	Voice Communications	Service Access and Delivery	Service Transport	Supporting Network Services	Avaya Telephone System
37	License Management	Service Access and Delivery	Service Transport	Supporting Network Services	Remedy, Tivoli
38	Software Distribution	Service Access and Delivery	Service Transport	Supporting Network Services	Tivoli
39	Requirements Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
40	Governance / Policy Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
41	Quality Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
42	Business Rule Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
43	Procurement	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
44	Inventory management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
45	Catalog Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
46	Invoice / Requisition Tracking and	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin

	Approval				
47	Content Review and Approval	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	N/A
48	Document Imaging and OCR	Service Access and Delivery	Access Channels	Collaboration / Communications	N/A
49	Document Review and Approval	Service Platform and Infrastructure	Database / Storage	Database	N/A
50	OLAP	Component Framework	Data Management	Reporting and Analysis	N/A
51	Facilities Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
52	Legacy Integration	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	CITIC / Lockheed Martin
53	Resource Planning and Allocation	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	N/A
54	Incident Response	Component Framework	Security	Supporting Security Services	CITIC / Lockheed Martin
55	Audit Trail Capture and Analysis	Component Framework	Security	Supporting Security Services	CITIC / Lockheed Martin
56	Document Library	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CITIC / Lockheed Martin

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

6. Does this investment provide the public with access to a government automated information system?

no

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2004-08-04

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

COST & SCHEDULE

1. Was operational analysis conducted?

yes

1.a. If yes, provide the date the analysis was completed.

2006-11-30

What were the results of your operational analysis?

The analysis results confirmed that the investment has been achieving expected cost, schedule, and performance goals.