

**Exhibit 300 (BY2008)**

<b>PART ONE</b>	
<b>OVERVIEW</b>	
<b>1. Date of Submission:</b>	2006-09-11
<b>2. Agency:</b>	009
<b>3. Bureau:</b>	10
<b>4. Investment Name:</b>	FDA Financial Enterprise Solutions (FY08)
<b>5. UPI:</b>	009-10-01-01-01-4140-00
6. <i>What kind of investment will this be in FY2008?</i>	
Operations and Maintenance	
7. <i>What was the first budget year this investment was submitted to OMB?</i>	
FY2005	
8. <i>Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</i>	
FES improves the financial performance of FDA Centers through IT that provides financial management controls, oversight and reporting compliance. FES satisfactorily meets investment performance goals and is on plan for the current and previous budget years. FES was included in the 2005: FDA-Overall OMB Program Assessment Rating Tool (PART) review and supports all financial management remediation areas. FES is a Mission Critical IT investment that encompasses multiple systems.	
9. <i>Did the Agency's Executive/Investment Committee approve this request?</i>	
yes	
9.a. <i>If "yes," what was the date of this approval?</i>	
2006-06-23	
10. <i>Did the Project Manager review this Exhibit?</i>	
yes	
12. <i>Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.</i>	
yes	
12.a. <i>Will this investment include electronic assets (including computers)?</i>	
yes	
12.b. <i>Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)</i>	
no	
13. <i>Does this investment support one of the PMA initiatives?</i>	
yes	
<i>If yes, select the initiatives that apply:</i>	
Financial Performance	
13.a. <i>Briefly describe how this asset directly supports the identified initiative(s)?</i>	
FES provides FDA organizations with financial controls, oversight, management and reporting. FES aligns with the Financial Performance initiative by integrating financial data with performance information, improving the quality and timeliness of Federal financial data and providing summary financial data that is auditable at the transaction level for FDA. Through FES, FDA has received clean audit opinions for seven years and zero reportable material weaknesses in financial reporting to HHS.	
14. <i>Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?</i>	
yes	
14.a. <i>If yes, does this investment address a weakness found during the PART review?</i>	

no	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
2005: FDA - Overall FDA	
14.c. If yes, what PART rating did it receive?	
Moderately Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
no	
19. Is this a financial management system?	
yes	
19.a. If yes, does this investment address a FFMIA compliance area?	
yes	
19.a.1. If yes, which compliance area:	
Financial Systems and Processes	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Financial Enterprise Solutions (FES)	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
<b>Hardware</b>	15
<b>Software</b>	48
<b>Services</b>	12
<b>Other</b>	25
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
yes	
22. Contact information of individual responsible for privacy related questions.	
<i>Name</i>	
Betty Dorsey	
<i>Phone Number</i>	
301 827 6567	
<i>Title</i>	
FDA Privacy Act Officer	
<i>Email</i>	
betty.dorsey@fda.hhs.gov	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	
yes	
<b>SUMMARY OF SPEND</b>	
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in	

millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
<b>Planning Budgetary Resources</b>	2.712	0.286	0.000	0.000
<b>Acquisition Budgetary Resources</b>	9.800	0.862	0.000	0.000
<b>Maintenance Budgetary Resources</b>	13.432	11.145	11.117	10.035
<b>Government FTE Cost</b>				
<b># of FTEs</b>				

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

## PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2003	HHS - Improve Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	Identify a solution for improving Financial Performance	Multiple disconnected Financial Management processes and systems	Acquisition Plan and High Level Requirements completed	Acquisition Plan and High Level Requirements completed
2	2004	HHS - Improve	Identify Project lead and members for	Multiple disconnected Financial Management	Design Documentation	Design documentation

		Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	UFMS and FES projects and begin Detailed Requirements Improvements	processes and systems	Completed	completed.
3	2004	HHS - Improve Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	Percent change in FDA general ledger process costs from FY 03 to FY 04.	Pre-UFMS FDA general ledger process costs are for the operation and maintenance of the FDA Central Accounting System (CAS) thirty-year old legacy technology system (FY 04 costs budgeted at \$1.342M).	Hold FDA general ledger legacy system operation and maintenance costs flat.	There was zero percent change in process costs from FY2003 to FY2004.
4	2005	HHS - Improve Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	Percent change in FDA general ledger process costs from FY 04 to FY 05.	Pre-UFMS FDA general ledger process costs - After close of FY 05, determine actual costs of FDA Central Accounting System (CAS) legacy system maintenance (FY 05 budgeted at \$1.382M).	Hold FDA general ledger legacy system operation and maintenance costs flat.	As an interim measure, there has been a zero percent change in FDA general ledger project costs from FY 04 to FY 05.
5	2006	HHS - Improve Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	Percent change in FDA general ledger process costs from FY 05 (pre-UFMS) to FY 06(post-UFMS).	Pre-UFMS FDA general ledger process costs.	5% reduction in FDA general ledger operation and maintenance costs.	Reduction of 6-7% experienced.
6	2007	HHS - Improve Financial Performance. FDA - Have Timely, Accurate, and Useful Program and Financial Information.	Percent change in FDA general ledger IT costs from FY 06 (pre-UFMS) to FY 07 (post-UFMS).	Pre-UFMS FDA general ledger process costs.	5% reduction in FDA general ledger operation and maintenance costs.	To be determined at the end of the fiscal period.
8	2004	HHS Strategic Goal 8: Achieve excellence in management practices.	Hold flat number of instances of FDA non-compliance with laws and regulations for financial management system/applications at the HHS component-level.	One instance of FDA non-compliance with laws and regulations for financial management systems/applications at the HHS component level for FY 2001, FY 2002 and FY 2003 (reported as related to	Number of instances of FDA non-compliance with laws and regulations for financial management at HHS component-level.	One instance of FDA non-compliance still exists.

				lack of a single integrated financial management system).		
9	2005	HHS Strategic Goal 8: Achieve excellence in management practices.	As a result of UFMS and FES deployments, zero instances of FDA non-compliance with laws and regulations for financial management system/applications at the HHS component-level.	One instance of FDA non-compliance with laws and regulations for financial management systems/applications at the HHS component level for FY 2004 (reported as related to lack of a single integrated financial management system).	Number of instances of FDA non-compliance with laws and regulations for financial management at HHS component-level.	As an interim measure, there are zero instances of FDA non compliance with laws and regulations for financial management at the HHS component level.
10	2006	HHS Strategic Goal 8: Achieve excellence in management practices.	Zero instances of FDA non-compliance with laws and regulations for financial management system/applications at the HHS component-level.	One instance of FDA non-compliance with laws and regulations for financial management systems/applications at the HHS component level for FY 2004 (reported as related to lack of a single integrated financial management system).	Number of instances of FDA non-compliance with laws and regulations for financial management at HHS component-level.	Zero instances of non-compliance
11	2007	HHS Strategic Goal 8: Achieve excellence in management practices.	Zero instances of FDA non-compliance with laws and regulations for financial management system/applications at the HHS component-level.	One instance of FDA non-compliance with laws and regulations for financial management systems/applications at the HHS component level for FY 2004 (reported as related to lack of a single integrated financial management system).	Number of instances of FDA non-compliance with laws and regulations for financial management at HHS component-level.	To be determined at the end of the fiscal period.
13	2004	HHS Strategic Goal 8: Achieve excellence in management practices.	Number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	Zero instances of FDA internal control weaknesses related to financial reporting since FY 1998 in the Auditor's Report on Internal Controls.	Hold flat number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	There were zero instances of FDA internal weaknesses reported on the Auditor's Report on internal controls.
14	2005	HHS Strategic Goal 8: Achieve excellence in management practices.	Number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	Zero instances of FDA internal control weaknesses related to financial reporting since FY 1998 in the Auditor's Report on Internal Controls.	Hold flat number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	As an interim measure, there were zero instances of FDA internal weaknesses reported on the Auditor's Report on Internal Controls..
15	2006	HHS Strategic	Number of instances	Zero instances of FDA	Hold flat number	Zero instances

		Goal 8: Achieve excellence in management practices.	of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	internal control weaknesses related to financial reporting since FY 1998 in the Auditor's Report on Internal Controls.	of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	reported.
16	2007	HHS Strategic Goal 8: Achieve excellence in management practices.	Number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	Zero instances of FDA internal control weaknesses related to financial reporting since FY 1998 in the Auditor's Report on Internal Controls.	Hold flat number of instances of FDA internal control weaknesses related to financial reporting in the Auditor's Report on Internal Controls.	To be determined at the end of the fiscal period.
18	2004	HHS Strategic Goal 8: Achieve excellence in management practices.	Capital equipment (value over \$25K) lost or unaccounted for rate.	FDA Asset Management System (AMS) - FDA's capital equipment (value over \$25K) loss rate for FY 03 was 1%.	Reduction in FDA capital equipment loss rate.	For FY2004, FDA's capital equipment loss rate was zero percent for items valued over \$25K
19	2005	HHS Strategic Goal 8: Achieve excellence in management practices.	Capital equipment (value over \$25K) lost or unaccounted for rate.	AMS - FDA capital equipment loss rate for FY 04.	Reduction in FDA capital equipment loss rate.	FDA's capital equipment loss rate was zero percent for items valued over \$25K.
20	2006	HHS Strategic Goal 8: Achieve excellence in management practices.	Capital equipment (value over \$25K) lost or unaccounted for rate.	AMS - FDA capital equipment loss rate for FY 05.	Reduction in FDA capital equipment loss rate.	Loss rate reduced by 2% over FY2005.
21	2007	HHS Strategic Goal 8: Achieve excellence in management practices.	Capital equipment (value over \$25K) lost or unaccounted for rate.	AMS - FDA capital equipment loss rate for FY 06.	Reduction in FDA capital equipment loss rate.	To be determined at the end of the fiscal period.
22	2004	HHS Strategic Goal 8: Achieve excellence in management practices.	Reduction in human resource costs required to support FDA personal property management.	AMS - Baseline number of full time equivalent (FTE) staff required for execution of property management processes and functions in FDA Headquarters, Regions and Centers will be determined after the close of FY 04.	Identification of overall FDA personal property management business process costs.	Number of FTE required for execution of property management processes and functions in FDA Headquarters, Regions and Centers was estimated at approximately 24+.
23	2005	HHS Strategic Goal 8:	Costs for maintaining FDA personal	AMS- FY 04 number of FTEs required for	1-2% reduction in human	Estimated cost reduction of 2.5

		Achieve excellence in management practices.	property inventories in compliance with FDA Staff Manual Guide 2620.2	execution of property management processes and functions in FDA Headquarters, Regions and Centers.	resource costs required to support FDA personal property management.	FTEs.
24	2006	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for maintaining FDA personal property inventories in compliance with FDA Staff Manual Guide 2620.2	AMS- FY 05 number of FTEs required for execution of property management processes and functions in FDA Headquarters, Regions and Centers.	1-2% reduction in human resource costs required to support FDA personal property management.	2% reduction in human resource support hours needed.
25	2007	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for maintaining FDA personal property inventories in compliance with FDA Staff Manual Guide 2620.2	AMS- FY 06 number of FTEs required for execution of property management processes and functions in FDA Headquarters, Regions and Centers.	1-2% reduction in human resource costs required to support FDA personal property management.	To be determined at the end of the fiscal period.
27	2004	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for preparation and processing of FDA acquisition delivery orders, task orders and contracts.	FDA Purchase Request Information System (PRISM) - Baseline number of full time equivalent (FTE) staff required for acquisition document preparation and approvals including contracts after the close of FY 04.	Identification of overall FDA acquisition business process costs.	FDA's prototype system is scheduled for completion in FY2005.
28	2005	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for preparation and processing of FDA acquisition delivery orders, task orders and contracts.	PRISM - FY 04 number of FTEs required for acquisition document processing in FDA, Headquarters, Regions and Centers.	Reduction in human resource costs required to support FDA acquisition document preparation.	Reduced by 22 full-time-equivalent staff.
29	2006	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for preparation and processing of FDA acquisition delivery orders, task orders and contracts.	PRISM - FY 05 number of FTEs requires for acquisition document processing in FDA, Headquarters, Regions and Centers.	1-2% reduction in human resource costs required to support FDA acquisition document preparation.	2% reduction reported.
30	2007	HHS Strategic Goal 8: Achieve excellence in management practices.	Costs for preparation and processing of FDA acquisition delivery orders, task orders and contracts.	PRISM - FY 06 number of FTEs requires for acquisition document processing in FDA, Headquarters, Regions and Centers.	1-2% reduction in human resource costs required to support FDA acquisition document preparation.	To be determined at the end of the fiscal period.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov).

Table 2

	<b>Fiscal Year</b>	<b>Measurement Area</b>	<b>Measurement Grouping</b>	<b>Measurement Indicator</b>	<b>Baseline</b>	<b>Planned Improvement to the Baseline</b>	<b>Actual Results</b>
<b>1</b>	2006	Mission and Business Results	Population Health Management and Consumer Safety	Number of lost property items valued >25K.	Zero instances for FY2005.	Zero or decreased instances.	Zero instances reported.
<b>2</b>	2006	Mission and Business Results	Business and Industry Development	Number of instances of non-compliance with financial laws and regulations.	Zero instances for FY2005.	Zero or decreased instances.	To be determined at the end of the fiscal period.
<b>3</b>	2006	Mission and Business Results	Intellectual Property Protection	Number of financial management FTEs.	Zero instances reported.	Zero or decreased instances.	Zero instances reported.
<b>4</b>	2006	Mission and Business Results	Property Protection	Number of instances of internal financial control weaknesses.	Zero instances for FY2005.	Zero or decreased instances.	Zero instances reported.
<b>5</b>	2007	Mission and Business Results	Population Health Management and Consumer Safety	Number of lost property items valued >25K.	Results for the prior fiscal period.	Zero or decreased instances.	To be determined at the end of the fiscal period.
<b>6</b>	2007	Mission and Business Results	Health Care Research and Practitioner Education	Number of financial management FTEs.	Results for the prior fiscal period.	Zero or decreased instances.	To be determined at the end of the fiscal period.
<b>8</b>	2006	Mission and Business Results	Corrective Action	Number of Audit Report internal control weaknesses.	Zero for FY2005	Zero or decreased instances	Zero instances reported.
<b>12</b>	2007	Mission and Business Results	Budget Formulation	Number of Audit Report internal control weaknesses.	Results for the prior fiscal period.	Zero or decreased instances.	To be determined at the end of the fiscal period.
<b>17</b>	2006	Mission and Business Results	Debt Collection	Number of accurate financial reports.	100% for FY 2005	100% or improved accuracy	100% for FY 2006.
<b>18</b>	2007	Mission and Business Results	User Fee Collection	Number of accurate financial reports.	Results for the prior fiscal period.	100% or improved accuracy	To be determined at the end of the fiscal period.
<b>39</b>	2007	Customer Results	Customer Complaints	Number of customer complaints escalated to OFM from the FDA UFMS Call Center.	Results for the prior fiscal period.	Maintain 95% or more of customer inquiries without resulting in customer complaints.	To be determined at the end of the fiscal period.



44	2007	Processes and Activities	Financial Management	Percent of goods acquired through more cost efficient on line catalogs.	Results for the prior fiscal period.	5% per year increase in number of goods acquired cost effectively through online catalogs.	To be determined at the end of the fiscal period.
47	2007	Technology	Overall Costs	Percent of budget utilized for non-adaptive maintenance tasks.	Results for the prior fiscal period.	2% reduction each year in non-adaptive system maintenance costs.	To be determined at the end of the fiscal period.
50	2007	Technology	Data Reliability and Quality	Maintain 90% system availability during hours of business operation.	Results for the prior fiscal period.	5% reduction in the number of records failing data quality edits.	To be determined at the end of the fiscal period.

### EA

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.*

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Financial Enterprise Solutions (FES)

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

*Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.*

*Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.*

*Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.*

*Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.*

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	AMS	Personal Property Inventory Management	Management of Processes	Program / Project Management	Inventory management	009-00-01-99-01-0160-24	Internal	2
2	AMS	Personal Property	Management of Processes	Governance / Policy	Inventory management	009-00-01-99-	Internal	2

		Inventory Management		Management		01-0160-24		
3	CAS	Legacy Core Financial System	Investment Management	Performance Management	Performance Management		No Reuse	1
4	PRISM	Contracts and requisition processing	Supply Chain Management	Procurement	Procurement	009-00-01-01-01-0001-00	Internal	5
5	iProcurement	Acquisitions Processing	Supply Chain Management	Sourcing Management	Ordering / Purchasing	009-00-01-01-01-0001-00	Internal	1
6	iProcurement	Procurements	Supply Chain Management	Ordering / Purchasing	Ordering / Purchasing	009-00-01-01-01-0001-00	Internal	1
7	User Fee System	Billing and Collections for User Fee Applications	Supply Chain Management	Invoice / Requisition Tracking and Approval	Invoice / Requisition Tracking and Approval	009-00-01-01-01-0001-00	Internal	3
8	UFMS	Department-wide centralized core financial system	Financial Management	Billing and Accounting	Billing and Accounting	009-00-01-01-01-0001-00	Internal	2
9	TM	Travel authorizations and approvals	Financial Management	Expense Management	Travel Management	009-00-01-01-01-0001-00	Internal	3
10	CAS	Legacy Core Financial System	Financial Management	Internal Controls	Internal Controls		No Reuse	1
11	PRISM	Requisition and contract document automation	Document Management	Document Review and Approval	Document Review and Approval	009-00-01-01-01-0001-00	Internal	5
12	AMS	Personal Property Inventory Management	Customer Preferences	Alerts and Notifications	Alerts and Notifications	009-00-01-99-01-0160-24	Internal	1
13	AMS	Personal Property Inventory Management	Customer Initiated Assistance	Online Help	Online Help	009-00-01-99-01-0160-24	Internal	1
14	AMS	Personal Property Inventory Management	Customer Initiated Assistance	Online Tutorials	Online Tutorials	009-00-01-99-01-0160-24	Internal	1
15	AMS	Personal Property Inventory Management	Customer Initiated Assistance	Self-Service	Process Tracking	009-00-01-99-01-0160-24	Internal	1
16	AMS	Personal Property Inventory	Customer Initiated Assistance	Reservations / Registration	Case Management	009-00-01-99-01-0160-	Internal	1

		Management				24		
17	PRISM	Contracts and requisition processing	Customer Initiated Assistance	Scheduling	Customer Feedback	009-00-01-01-01-0001-00	Internal	1
18	PRISM	Contracts and requisition processing	Tracking and Workflow	NEW	Alerts and Notifications	009-00-01-01-01-0001-00	Internal	1
19	PRISM	Contracts and requisition processing	Tracking and Workflow	Process Tracking	Process Tracking	009-00-01-01-01-0001-00	Internal	1
20	PRISM	Contracts and requisition processing	Tracking and Workflow	Case Management	Program / Project Management	009-00-01-01-01-0001-00	Internal	1
21	PRISM	Contracts and requisition processing	Management of Processes	Requirements Management	Sourcing Management	009-00-01-01-01-0001-00	Internal	1
22	iProcurement	Acquisitions Processing	Management of Processes	Program / Project Management	Self-Service	009-00-01-01-01-0001-00	Internal	1
23	iProcurement	Acquisitions Processing	Management of Processes	Governance / Policy Management	Process Tracking	009-00-01-01-01-0001-00	Internal	1
24	iProcurement	Acquisitions Processing	Management of Processes	Quality Management	Program / Project Management	009-00-01-01-01-0001-00	Internal	2
25	iProcurement	Procurements	Management of Processes	Business Rule Management	Governance / Policy Management	009-00-01-01-01-0001-00	Internal	1
26	iProcurement	Procurements	Human Resources	Travel Management	Expense Management	009-00-01-01-01-0001-00	Internal	1
27	iProcurement	Procurements	Asset / Materials Management	Property / Asset Management	Document Review and Approval	009-00-01-01-01-0001-00	Internal	1
28	User Fee System	Billing and Collections for User Fee Applications	Asset / Materials Management	Asset Cataloging / Identification	Resource Planning and Allocation	009-00-01-01-01-0001-00	Internal	2
29	User Fee System	Billing and Collections for User Fee Applications	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance	Customer / Account Management	009-00-01-01-01-0001-00	Internal	2
30	User Fee System	Billing and Collections for User Fee	Financial Management	Auditing	Alerts and Notifications	009-00-01-01-01-0001-00	Internal	2

		Applications				00		
31	User Fee System	Billing and Collections for User Fee Applications	Management of Processes	Change Management	Self-Service	009-00-01-01-01-0001-00	Internal	2
32	User Fee System	Billing and Collections for User Fee Applications	Management of Processes	Configuration Management	Assistance Request	009-00-01-01-01-0001-00	Internal	2
33	User Fee System	Billing and Collections for User Fee Applications	Management of Processes	Requirements Management	Scheduling	009-00-01-01-01-0001-00	Internal	2
34	UFMS Requirements	Department-wide centralized core financial system	Management of Processes	Program / Project Management	Process Tracking	009-00-01-01-01-0001-00	Internal	6
35	UFMS Requirements	Department-wide centralized core financial system	Management of Processes	Governance / Policy Management	Procurement	009-00-01-01-01-0001-00	Internal	6
36	UFMS Requirements	Department-wide centralized core financial system	Management of Processes	Quality Management	Online Help	009-00-01-01-01-0001-00	Internal	6
37	UFMS Requirements	Department-wide centralized core financial system	Management of Processes	Business Rule Management	Requirements Management	009-00-01-01-01-0001-00	Internal	6
38	UFMS Requirements	Department-wide centralized core financial system	Management of Processes	Risk Management	Program / Project Management	009-00-01-01-01-0001-00	Internal	6
39	UFMS Requirements	Department-wide centralized core financial system	Forms Management	Forms Creation	Governance / Policy Management	009-00-01-01-01-0001-00	Internal	6
40	UFMS Requirements	Department-wide centralized core financial system	Forms Management	Forms Modification	Quality Management	009-00-01-01-01-0001-00	Internal	6
41	GovTrip Requirements	Travel authorizations and approvals	Systems Management	License Management	Alerts and Notifications	009-00-01-01-01-0001-00	Internal	1
42	GovTrip Requirements	Travel authorizations and approvals	Systems Management	System Resource Monitoring	Reservations / Registration	009-00-01-01-01-0001-00	Internal	1

43	GovTrip Requirements	Travel authorizations and approvals	Systems Management	Issue Tracking	Process Tracking	009-00-01-01-01-0001-00	Internal	1
44	GovTrip Requirements	Travel authorizations and approvals	Search	Query	Self-Service	009-00-01-01-01-0001-00	Internal	1
45	GovTrip Requirements	Travel authorizations and approvals	Search	Pattern Matching	Program / Project Management	009-00-01-01-01-0001-00	Internal	1
46	Sunflower Requirments	Personal Property Inventory Management	Security Management	Identification and Authentication	Program / Project Management	009-00-01-99-01-0160-24	Internal	1
47	Sunflower Requirments	Personal Property Inventory Management	Security Management	FISMA Management and Reporting	Process Tracking	009-00-01-99-01-0160-24	Internal	1
48	Sunflower Requirments	Personal Property Inventory Management	Security Management	Certification and Accreditation	Requirements Management	009-00-01-99-01-0160-24	Internal	1
49	Sunflower Requirments	Personal Property Inventory Management	Security Management	Access Control	Business Rule Management	009-00-01-99-01-0160-24	Internal	1
50	Sunflower Requirments	Personal Property Inventory Management	Development and Integration	Enterprise Application Integration	Sourcing Management	009-00-01-99-01-0160-24	Internal	1

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Program / Project Management	Service Access and Delivery	Access Channels	Web Browser	MS Internet Explorer
2	Document Review and Approval	Service Access and Delivery	Access Channels	Collaboration / Communications	Compusearch PRISM
3	Procurement	Service Access and Delivery	Access Channels	Other Electronic Channels	Oracle iProcurement
4	Program / Project Management	Service Access and Delivery	Delivery Channels	Intranet	Ethernet LAN
5	Program / Project Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Business Objects

6	Sourcing Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Business Objects
7	Governance / Policy Management	Service Access and Delivery	Service Requirements	Hosting	Sun Servers
8	Governance / Policy Management	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
9	Procurement	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
10	Sourcing Management	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
11	Ordering / Purchasing	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
12	Invoice / Requisition Tracking and Approval	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
13	Billing and Accounting	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
14	Internal Controls	Service Access and Delivery	Service Transport	Supporting Network Services	Ethernet LAN
15	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Oracle Financials
16	Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	Oracle data base
17	Invoice / Requisition Tracking and Approval	Service Platform and Infrastructure	Database / Storage	Database	PeopleSoft relational data base
18	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Web Servers	Sun Microsystems
19	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Application Servers	Sun Mircosystems
20	Billing and Accounting	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	IBM Tivoli
21	Billing and Accounting	Service Platform and Infrastructure	Software Engineering	Test Management	Serena Tracker
22	Billing and Accounting	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Dell PC worktations
23	Procurement	Component Framework	Presentation / Interface	Static Display	Dell Monitors
24	Billing and Accounting	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Dell Monitors
25	Internal Controls	Component Framework	Business Logic	Platform Independent	Oracle Financials
26	Billing and Accounting	Component Framework	Data Management	Database Connectivity	Oracle Financials
27	Billing and Accounting	Component Framework	Data Management	Reporting and Analysis	Oracle Financials
28	Billing and Accounting	Service Interface and Integration	Integration	Middleware	Oracle Financials
29	Performance	Component	Data	Reporting and	Oracle Financials

	Management	Framework	Management	Analysis	
30	Expense Management	Service Interface and Integration	Integration	Middleware	Oracle Relational Database
31	Requirements Management	Service Access and Delivery	Delivery Channels	Internet	Wireless Cards
32	Inventory management	Service Access and Delivery	Access Channels	Wireless / PDA	Blackberry
33	Program / Project Management	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	PointSec
34	Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Access
35	Identification and Authentication	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	IBM Fingerprint Reader
36	Property / Asset Management	Service Platform and Infrastructure	Support Platforms	Wireless / Mobile	X.22
37	Call Center Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	X.25 devices
38	Program / Project Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco Routers
39	Alerts and Notifications	Component Framework	Data Management	Database Connectivity	Oracle Business Rules
40	Certification and Accreditation	Component Framework	Security	Supporting Security Services	Prevent intrusion detection software
41	FISMA Management and Reporting	Component Framework	Data Management	Reporting and Analysis	MS Office Suite & Business Objects
42	Data Integration	Service Interface and Integration	Interface	Service Description / Interface	LAN Networking
43	Process Tracking	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Blackberry devices
44	System Resource Monitoring	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Sun, IBM
45	Email	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	HP, Dell & other vendor printers and supplemental storage devices

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

5.a. If yes, please describe.

The Financial Enterprise Solutions (FES) leverages existing FDA component applications of the FDA Asset Management System (AMS), FDA Purchase Request Information System (PRISM) and the FDA Travel Manager System (TM). For each project, existing hardware, software, infrastructure and web technology components of the systems have been leveraged into FES.

6. Does this investment provide the public with access to a government automated information system?

yes

6.a. If yes, does customer access require specific software (e.g., a specific web browser version)?

yes

6.a.1. If yes, provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

The FES User Fees component automates, centralizes and standardizes billing and collections of industry paid user fees.

Previously authorized Industry users access the User Fee system using Microsoft Internet Explorer (IE) 4.1 or later. IE is standard general access software providing equitable and timely access to all industry.

### PART THREE

#### RISK

*You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.*

*Answer the following questions to describe how you are managing investment risks.*

*1. Does the investment have a Risk Management Plan?*

yes

*1.a. If yes, what is the date of the plan?*

2006-12-01

*1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?*

no

#### COST & SCHEDULE

*1. Was operational analysis conducted?*

yes

*1.a. If yes, provide the date the analysis was completed.*

2006-12-31

*What were the results of your operational analysis?*

FES and its component systems continue to meet or exceed all critical performance goals.