

Office for Human Research Protections The Tower Building 1101 Wootton Parkway, Suite 200 Rockville, Maryland 20852

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October 28, 2002

Zerababel M. Nyiira, Ph.D. Secretary
Uganda National Council of Science and Technology
Plot 10, Kampala Road
Uganda House, 11<sup>th</sup> Floor
P.O. Box 6884
Kampala, Uganda

Nelson K. Sewankambo, M.D. Uganda National Council of Science and Technology Makerere Medical School P.O. Box 7072 Kampala, Uganda

RE: Human Research Subject Protections Under Federalwide Assurance (FWA) 00001293, Single Project Assurances (SPA) S-6233 and S-6234, and Cooperative Project Assurances (CPA) T-5124 and T-5125

Research Project: A Phase III Efficacy Trial of Oral AZT vs. Oral

Nevirapine in HIV-1 Infected Pregnant Ugandan Women

(HIVNET 012)

Principal Investigator: Professor Francis Mmiro

Dear Dr. Nyiira and Dr. Sewankambo:

The Office for Human Research Protections (OHRP) has reviewed your reports dated August 20, 2002 and August 29, 2002 submitted in response to OHRP's July 16, 2002 letter regarding the above-referenced research and your institution's system for the protection of human subjects.

OHRP acknowledges the efforts of the AIDS Research Committee (ARC) to address the issues raised in its July 16, 2002 letter. OHRP finds that the ARC has developed a satisfactory corrective action plan to address the issues raised in OHRP's July 16, 2002 letter. Among the corrective action noted in your reports are the following:

- (a) The ARC has conducted an audit of all ongoing Department of Health and Human Services (HHS) supported research involving human subjects to ensure that all studies had undergone Institutional Review Board (IRB) review and approval and have appropriately suspended all research studies which had not had proper IRB approval.
- (b) By December 2002, the ARC will establish administrative procedures to ensure its proper functioning. This action will include the hiring of a regulatory affairs officer.
- (c) By December 2002, the ARC will develop detailed operational guidelines for its functions.
- (d) The ARC has developed an adequate plan to educate all research investigators, IRB members, and all IRB staff on an ongoing basis about the ethical principles and regulatory requirements for the protection of human subjects.
- (e) The ARC has committed to ensure that investigators provide details of research studies in language understandable to all study participants.
- (f) By October 2002, the ARC will ensure that it will have a non-scientist as a member of the committee.

**Required Action:** By December 31, 2002, the ARC must provide OHRP with a report on the status of its implementation of its corrective action plan. This report should include the following:

- (a) A status report on the implementation of each proposed corrective action in your August 29, 2002 letter.
- (b) A summary of the IRB's progress in reviewing all suspended research projects.
- (c) Copies of minutes for three meetings between September and December 2002.
- (d) A copy of any revised written IRB policies and procedures.

Uganda National Council of Science and Technology - Dr. Zerababel Nyiira and Dr. Nelson Sewankambo October 28, 2002

OHRP appreciates the continued commitment of your institution to the protection of human research subjects. Please do not hesitate to contact me should you have any questions.

Sincerely,

Patrick J. McNeilly, Ph.D. Compliance Oversight Coordinator Division of Compliance Oversight

cc: Dr. Edward K. Mbiode, IRB Chair

Professor Francis Mmiro, MU

Dr. Michael Klag, Johns Hopkins University

Dr. Brooks Jackson, Johns Hopkins University

Dr. Willard Cates, Family Health International

Commissioner, FDA

Dr. David Lepay, FDA

Dr. Mary Anne Luzar, NIH/NIAID

Dr. Edmond Tramont, NIH/NIAID

Mr. John Tierney, NIH/NIAID

Dr. Greg Koski, OHRP

Dr. Melody H. Lin, OHRP

Dr. Michael Carome, OHRP

Mr. George Gasparis, OHRP

Dr. Jeffrey Cohen, OHRP

Ms. Yvonne Higgins, OHRP

Mr. Barry Bowman, OHRP