

Office for Human Research Protections The Tower Building 1101 Wootton Parkway, Suite 200 Rockville, Maryland 20852

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April 3, 2002

Richard M. Cagen Administrator LDS Hospital Eighth Avenue and C Street Salt Lake City, UT 84143

RE: Human Research Subject Protections Under Multiple Project Assurance (MPA) M-1089

Ventilation with Lower Tidal Volumes as Compared with Research Project:

Traditional Tidal Volumes for Acute Respiratory Distress

Syndrome. (N Engl J Med 2000; 342:1301-8)

Project Title: Prospective, Randomized, Multicenter Trial of 12 ml/kg

> vs 6 ml/kg Tidal Volume Positive Pressure Ventilation and Ketoconazole vs Placebo fro the Treatment of Acute

Lung Injury and Acute Respiratory Distress Syndrome

Principal Investigator: Alan H. Morris, M.D. **IRB Number:** IRB# 617 **HHS Project Number:** N01-HR46063

Dear Mr. Cagen:

The Office for Human Research Protections (OHRP) has reviewed the LDS Hospital's March 1, 2002 report that was submitted in response to OHRP's February 4, 2002 letter regarding the abovereferenced research.

Based upon its review, OHRP makes the following determinations regarding the above-referenced

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research:

(1) In its February 4, 2002 letter, OHRP found that the informed consent documents reviewed by the LDS Hospital Institutional Review Board (IRB) failed to adequately describe the reasonably foreseeable risks and discomforts of the research, as required by Department of Health and Human Services (HHS) regulations at 45 CFR 46.116(a)(2).

<u>Corrective Action</u>: LDS Hospital has committed to continuing a strong relationship between its investigators and the IRB to ensure the ethical conduct of research. LDS Hospital has also revised its review form for new research to allow for greater detail in the review by the primary reviewer and the IRB. OHRP finds that these corrective actions adequately address the finding in OHRP's February 4, 2002 letter.

(2) HHS regulations at 45 CFR 46.111(b) stipulate that in order to approve research, the IRB shall determine that when some or all of the subjects are likely to be vulnerable to coercion or undue influence, additional safeguards have been included in the study to protect the rights and welfare of the subjects. In its February 4, 2002 letter, OHRP expressed concern that the LDS Hospital IRB may have failed to ensure that this requirement was satisfied for the above referenced research.

OHRP finds that LDS Hospital has adequately addressed this concern. Furthermore, OHRP acknowledges that LDS Hospital has implemented procedures to ensure that additional safeguards are included in research involving subjects who may be vulnerable to coercion or undue influence.

(3) OHRP finds that LDS Hospital ha adequately addressed the additional concerns raised in OHRP's February 4, 2002 letter.

As a result of the above determinations, there should be no need for further involvement of OHRP in this matter. Of course, OHRP must be notified should new information be identified which might alter this determination.

OHRP appreciates the continued commitment of your institution to the protection of human research subjects. Please do not hesitate to contact me should you have any questions.

Sincerely,

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Compliance Oversight Coordinator Division of Compliance Oversight

cc: Mr. David Grauer, LDS Hospital

Dr. A. Jennifer Fishbach, IRB Chair, LDS Hospital

Dr. Alan Morris, LDS Hospital

Commissioner, FDA

Dr. David Lepay, FDA

Dr. James F. McCormack, FDA

Dr. Greg Koski, OHRP

Dr. Melody Lin, OHRP

Dr. Michael A. Carome, OHRP

Dr. Jeffrey Cohen, OHRP

Mr. George Gasparis, OHRP

Ms. Jan Walden, OHRP

Mr. Barry Bowman, OHRP