DEPARTMENT OF HEALTH & HUMAN SERVICES
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CMS/
CENTERS for MEDICARE & MEDICAID SERVICES

OFFICE OF INFORMATION SERVICES

DATE: April 10, 2009

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration
            Organizations

FROM: Thomas Hutchinson /s/
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SUBJECT: **Announcement of July 2009 Software Release**

The Centers for Medicare and Medicaid Services (CMS) will be implementing software
improvements to the enrollment and payment systems this summer to support the Medicare
Modernization Act. As part of this effort, system changes have been scheduled for
implementation as of July 13, 2009. These changes affect Plan exchanges with CMS for the
August 2009 payment month, unless otherwise noted.

This memo provides detailed information regarding these changes so Plans may assess the
impact on their organization and accommodate the changes described below. In general, most
changes are limited to internal CMS operations however; Plans may see resultant changes in the
enrollment and payment reports and data files.

The changes for the summer release are categorized as follows:

1. Loss of Subsidy Data File Update
2. Updates to Low Income Subsidy Status

Please note that all new/updated tables, screens and file layouts presented in this memo will be
reflected in the next release of the Plan Communications User Guide (PCUG) scheduled for
publication in early July 2009.

1. Loss of Subsidy Data File Update

The Loss of Subsidy data files, generated twice annually and sent to Part D sponsors in September and December, will be modified to indicate the source of the beneficiary's subsidy. Part D sponsors will now be able to determine if a member's Low Income Subsidy (LIS) is the result of automatic eligibility (i.e. "deeming") or the result of an application to Social Security (i.e. LIS applicant award).

Effective with the 2009 September Loss of Subsidy Report, Plans can expect to see one of two values in newly revised **field 28, position 363 - Low Income Period Subsidy Source Code:**

Data in this field will indicate the beneficiary type. Valid values are as follows:

- '**D**' = Deemed by CMS
- '**A**' = Approved by SSA

Note: Both the September and December 2009 versions of this file will now be transmitted using the expanded 500 byte file length. In past years, the September file was sent in a 278 byte file length.

The September and December files will follow the standard naming conventions as outlined below:

> **Gentran Mailbox**
> P.Rxxxxx.EOYLOSD.Dyymmdd.Thhmmsst.pn
>
> **Connect:Direct [Mainframe]**
> zzzzzzzz.Rxxxxx.EOYLOSD.Dyymmdd.Thhmmsst
>
> **Connect:Direct [Non-mainframe]**
> [directory]Rxxxxx.EOYLOSD.Dyymmdd.Thhmmsst

A copy of the renamed and updated file layout is provided as *Attachment A: Loss of Subsidy Data File*. Changes to this data file layout are indicated in blue.

2. Updates to Low Income Subsidy Status

Updates to Low Income Subsidy (LIS) Status were originally scheduled for release in April 2009 and were described in detail in the memo entitled *"Announcement of Spring 2009 Software Release"* dated January 9, 2009. The implementation of these system enhancements has been moved to the July 2009 release in order to allow Plans additional time to prepare for processing of the new Transaction Reply Codes (TRCs). The LIS Status information from the *"Announcement of Spring 2009 Software Release"* memo is provided again below for ease of Plan reference.

Effective with the July release, the Weekly and Monthly Transaction Reply Reports (TRRs) will now provide full replacement LIS profiles to Plans in response to Part D enrollments and PBP changes as well as any LIS change that impacts a Part D enrollment period.

CMS will no longer return LIS data in association with the TRCs generated in response to enrollment and Plan Benefit Package (PBP) change transactions. Specifically, LIS data will no longer accompany enrollment and PBP change TRCs 011, 100, 117, 118, 210, and 212. Instead, LIS TRCs will independently accompany enrollment and PBP change transaction responses.

CMS will also no longer identify specific LIS changes. Instead, Plans will be provided full replacement LIS profiles in response to low income changes that accumulate over the weekly and monthly TRR reporting cycles. Replacement profiles will be established using data known to CMS at the end of each reporting cycle. Reported data will span a PBP enrollment.

Since specific LIS changes will not be identified, CMS will eliminate TRCs 167 and 168. Two existing TRCs (121 and 194) have been retained but the definition of each has been slightly modified. One new TRC (223) has been added and will identify LIS periods that have been removed from and are no longer affecting an enrollment. For more detailed information and a complete list of changes regarding these TRCs, please refer to *Attachment B: New/Updated Transaction Reply Codes (TRCs)*.

Full replacement LIS profiles will be represented by an ensemble composed of one or more of the TRCs 121, 194, and 223. Each profile will return LIS period start and end dates, premium subsidy percentage, co-payment level, enrollee type flag, and low income subsidy source code. Low income premium subsidy percentage and co-payment level values retain their current definitions. The new enrollee type flag will identify a beneficiary as being a prior, current, or prospective enrollee. The new low income subsidy source code will identify whether the LIS period is the result of CMS deeming or Social Security Administration (SSA) approval.

Common UI Changes

The M232 - Beneficiary Eligibility screen will display the low income subsidy source under the low income status information.

Low-Income Subsidy (LIS) Transaction Reply Codes

On enrollment in a Part D plan, one or more TRC-121s will describe a low income beneficiary's LIS profile. This profile will be returned regardless of whether the enrollment is retroactive, current, or prospective.

When a LIS change occurs for a beneficiary, one or more LIS TRCs will be returned to all Plans involved to reestablish the beneficiary's LIS profile. This will be accomplished irrespective of whether the affected beneficiaries are seen as prior, current, or prospective enrollees.

LIS reporting TRCs Summary:

TRC-121
This TRC will no longer be used to identify begin and/or end date changes. The identified LIS period will now have a start date that is either the beginning of the PBP enrollment or the start date of the low income period, whichever is later. The end date will now be either the last day of the PBP enrollment or the last day of the low income period, whichever is earlier. One or more TRC-121s may be needed to represent the entire LIS profile and they may be in combination with TRCs 194 and 223.

TRC-121 is returned in response to any of the following:
- Enrollment or PBP enrollment change, whether retroactive, current, or prospective, for a low income beneficiary
- Assignment of a new SSA applicant or deemed LIS period to an enrolled beneficiary
- Termination of a LIS period (assigned an end date) where the termination date remains within the PBP enrollment period, otherwise, TRC-223 is returned
- Change in a LIS premium subsidy percentage or co-payment level
- Change in the start or end date of any LIS period, but only if the newly defined LIS period continues to overlay the PBP enrollment period, otherwise, TRC-223 is returned

TRCs 167 and 168
These TRCs have been eliminated.

TRC-194 (current role continues)
This TRC reports manual corrections to LIS deemed records. Manual changes are made by CMS staff or an authorized contractor. The low income period identified by each TRC-194 will have a start date that is either the beginning of the PBP enrollment period or the start date of the modified low income period, whichever is later. The end date will be either the last day of the PBP enrollment period or the last day of the modified low income period, whichever is earlier. One or more TRC-194s may be present. TRCs 121 and 223 may be present as well.

TRC-223 (new code)
This TRC reports LIS data removed from the enrollment period. Like TRCs 121 and 194, TRC-223 identifies the LIS period removed and the associated data. The start date will be either the beginning of the PBP enrollment period or the start date of the modified low income period, whichever is later. The end date will be either the last day of the PBP enrollment period or the last day of the modified low income period, whichever is earlier. One or more TRC-223s may be present. TRCs 121 and 194 may be present as well.

LIS TRCs will convey only LIS related data in addition to the necessary beneficiary, contract, and transaction source information. Other fields will be blank. Conversely, LIS data will not be returned in TRCs unrelated to low income reporting. LIS fields in these non-LIS TRCs will be

blank. In summary, Plans will no longer receive LIS eligibility related information on any TRCs other than the three codes: 121, 194, and 223.

Low-Income Subsidy (LIS) Fields on the Transaction Reply Report

In order to provide complete and informative TRR data regarding LIS eligibility, several new fields have been added to the TRR. New fields include the following:

Field 64, positions 355 through 362, Low Income Period End Date – Data in this field represents a specific end date for the LIS data returned. The end date is either the last day of the PBP enrollment or the last day of the low income period itself, whichever is earlier. This field will be blank for LIS applicants with an open ended award or when the TRC is not one of the LIS TRCs 121, 194, and 223.

Field 65, position 363, Low Income Period Subsidy Source Code – Data in this field indicates the beneficiary type. Valid values are as follows:
- 'D' = Deemed by CMS
- 'A' = Approved by SSA
- Blank when TRC is not one of the LIS TRCs 121, 194, and 223

Field 66, position 364, Enrollee Type Flag, PBP Level – Data in this field informs the Plan whether the beneficiary referred to is current, previous, or prospective member. Valid values are as follows:
- 'C' = current enrollee – Part D beneficiaries enrolled in the PBP
- 'P' = prospective enrollee – Part D beneficiaries whose enrollment effective start date is a future date
- 'Y' = previous enrollee – Part D beneficiaries who are no longer enrolled in a particular PBP, but whose prior Part D enrollment with that PBP was touched in some part by the LIS activity
- Blank when TRC is not one of the LIS TRCs 121, 194, and 223

In addition to the new fields added above, the data represented in the following existing fields will have specific definitions when received as part of an LIS notification. These include:

Field 18, positions 63 through 70, Effective Date – Start date of the contract/PBP enrollment period.

Field 20, positions 72 through 74, Plan Benefit Package ID – beneficiary's PBP for the LIS period reported.

Field 49, positions 235 through 237, Part D Low Income Premium Subsidy Level – Part D low-income premium subsidy level:
- '000' = No subsidy
- '025' = 25% subsidy level
- '050' = 50% subsidy level
- '075' = 75% subsidy level

- '100' = 100% subsidy level
- Blank when TRC is not one of the LIS TRCs 121, 194, and 223

Field 50, position 238, Part D Low Income Co-Pay Category – Definitions of the co-payment categories:
- '0' = none, not low-income (not returned with LIS TRCs)
- '1' = (High)
- '2' = (Low)
- '3' = (0)
- '4' = 15%
- '5' = Unknown
- Blank when TRC in not one of the LIS TRCs 121, 194, and 223

Field 51, positions 239 through 246, Low Income Period Effective Date (previously Low Income Co-Pay Effective Date) – Start date of the reported low income subsidy period. The date is the later of either the PBP enrollment effective date or the beneficiary's LIS period start date. Blank when TRC is not one of the LIS TRCs 121, 194, and 223.

Note: Information returned in TRR fields 18 and 51 may or may not be the same. The dates will be identical when the reported LIS period's start date is the same as or earlier than the PBP enrollment effective date. The dates will be different when the reported LIS period's start date is later than the PBP enrollment effective date.

The detailed file layout for the TRR (Weekly/Monthly) is located in *Attachment C: Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields.*

Reminder: Once these changes have been implemented as part of the July release, CMS will issue additional guidance regarding the LIS data hierarchy as previously discussed in the February 5, 2008 HPMS memo from Cynthia Tudor and Anthony Culotta entitled "*Methodology for Using Various CMS Low Income Subsidy (LIS) Data Sources.*"

Plans are encouraged to contact the MMA Help Desk for any issues encountered during the systems update process. Please direct any questions or concerns to the MMA Help Desk at 1-800-927-8069 or email at mmahelp@cms.hhs.gov.

Loss of Subsidy Data File

Field	Size	Position	Description
1. HICN	12	1 – 12	Health Insurance Claim Number
2. Surname	12	13 – 24	Beneficiary Surname
3. First Name	7	25 – 31	Beneficiary Given Name
4. Middle Initial	1	32	Beneficiary Middle Initial
5. Gender Code	1	33	Beneficiary Gender Identification Code 0 = Unknown 1 = Male 2 = Female
6. Date of Birth	8	34 – 41	YYYYMMDD Format
7. Filler	1	42	Spaces
8. Contract Number	5	43 – 47	Plan Contract Number
9. State Code	2	48 – 49	Beneficiary State Code
10. County Code	3	50 – 52	Beneficiary County Code
11. Filler	4	53 – 56	Spaces
12. Transaction Reply Code	3	57 – 59	Transaction Reply Code '996'
13. Transaction Type Code	2	60 – 61	Transaction Type Code '01'
14. Filler	1	62	Spaces
15. Effective Date	8	63 – 70	YYYYMMDD Format will be 01/01 of the next year. Start of Beneficiary's Loss of Low-Income subsidy status.
16. Filler	1	71	Spaces
17. Plan Benefit Package ID	3	72 – 74	PBP number
18. Filler	1	75	Spaces
19. Transaction Date	8	76 – 83	Set to Current Date (YYYYMMDD), will be the run date.
20. Filler	1	84	Spaces
21. Low-Income Subsidy End Date	8	85 – 92	End Date of Beneficiary's Low-Income Subsidy Period (YYYYMMDD), will be 12/31 of the current year.
22. Filler	42	93 – 134	Spaces
23. Segment Number	3	135 – 137	'000' if no segment in PBP
24. Filler	97	138 – 234	Spaces
25. Part D Low-Income Premium Subsidy Level	3	235 – 237	Part D low-income premium subsidy category: '000' = No subsidy
26. Low-Income Co-Pay Category	1	238	Co-payment category: '0' = none, not low-income
27. Filler	124	239 – 362	Spaces
28. Low Income Subsidy Source Code	1	363	'A' = Approved SSA applicant; 'D' = Deemed eligible by CMS;
29. Filler	137	364 - 500	Spaces

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New / Updated Transaction Reply Codes (TRCs)

Code/Type	Title	Short Definition	Definition
118 A	LIS Facilitated Enrollment Accepted	LIS FAC ENROLL	This new enrollment transaction (61, 62, 71) was the result of a Plan-submitted or CMS-initiated facilitated enrollment of a low income beneficiary into a Part D Plan. The effective date of the new enrollment is shown in the Effective Date (field 18) of the Transaction Reply record and in the EFF DATE column on the printed report. Other accompanying replies with different TRCs may give additional information about this new enrollment. **Plan Action:** Ensure the Plan's system matches the information included in the TRR record. Take the appropriate actions as per CMS enrollment guidance.
121 M	Low Income Period Status	LIS UPDATE	This TRC is returned on a reply with transaction type 01. It is not a reply to a submitted transaction but is intended to supply the Plan with additional information about the beneficiary. It is created in response to an enrollment transaction or change in a beneficiary's low income profile. Each TRC-121 returns start and end dates, premium subsidy percentage, and co-payment category for one low income period affecting a PBP enrollment. There may be more than one TRC-121 returned. The effective date for the co-pay period is shown in the Low-Income Period Effective Date field (field 51). Premium subsidy percentage and co-pay level are reported in the Part D Low-Income Premium Subsidy Level field (field #49), and Low-Income Co-Pay Category field (field 50), respectively. The Effective Date field (field 18) contains the PBP enrollment period start date. Low income subsidy TRCs 194 and/or 223 may accompany TRC-121. These three TRCs convey the beneficiary's low income subsidy profile at the time of report generation. They provide a full replacement set of low income subsidy data affecting the identified PBP enrollment period. **Plan Action:** Update the Plan's records to reflect the given data for the beneficiary's LIS period. Take the appropriate actions as per CMS enrollment guidance.

New / Updated Transaction Reply Codes (TRCs)

Code/Type	Title	Short Definition	Definition
167 M	Change in Beneficiary Low Income Premium Subsidy	OBSOLETE	This TRC is returned on a reply with transaction type 01. It is not a reply to a submitted transaction but is intended to supply the Plan with additional information about the beneficiary. This beneficiary's Part D low-income subsidy amount and/or percentage have changed. The effective date of the change is reported in field 18 of the TRR record and in the EFF DATE column on the printed report. Field 55 reports the beneficiary's Part D premium subsidy amount as of the effective date of the transaction. If the change affects the Part D low-income subsidy for the Current Payment Month (CPM), the new amount will be reported in field 24. Replies with TRC 167 are often accompanied by replies with TRC 168 and TRC 121. **Note**: Fields 24 and 49 – 54 always represent the beneficiary's LIS and LEP values for the current CPM. If this change is retroactive, these values may not reflect the values of the period being changed. Refer to the LISHIST report to determine the correct values for retroactive changes. **Plan Action**: Adjust the beneficiary's Part D LIS amount and/or percentage as of the effective date in field 18. Take the appropriate actions as per CMS enrollment guidance. If the change is retroactive, refer to the LISHIST report to verify the correct amount for the affected period.

New / Updated Transaction Reply Codes (TRCs)

Code/Type	Title	Short Definition	Definition
168 M	Change in Beneficiary Low Income Cost Sharing Subsidy	OBSOLETE	This TRC is returned on a reply with transaction type 01. It is not a reply to a submitted transaction but is intended to supply the Plan with additional information about the beneficiary. This beneficiary's Part D low-income cost sharing level (co-pay) has changed. The effective date of the change is reported in field 18 of the TRR record and in the EFF DATE column on the printed report. If the change affects the Part D low-income cost sharing level for the Current Payment Month (CPM), the new level will be reported in field 24. Replies with TRC 168 are often accompanied by replies with TRC 167 and TRC 121. **Note**: Fields 24 and 49 – 54 always represent the beneficiary's LIS and LEP values for the current CPM. If this change is retroactive, these values may not reflect the values of the period being changed. Refer to the LISHIST report to determine the correct values for retroactive changes. Field 55 reports the beneficiary's Part D premium subsidy amount as of the effective date of the transaction. **Plan Action:** Adjust the beneficiary's Part D LIS cost-sharing level as of the effective date in field 18. Take the appropriate actions as per CMS enrollment guidance. If the change is retroactive, refer to the LISHIST report to verify the correct level for the affected period.

New / Updated Transaction Reply Codes (TRCs)

Code/Type	Title	Short Definition	Definition
194 M	Deemed Correction	DEEMD CORR	This TRC is returned on a reply with transaction type 01. It is not a reply to a submitted transaction but is intended to supply the Plan with additional information about the beneficiary. CMS has manually added or updated a co-pay period for this beneficiary. This added or updated co-pay period occurs within a period during which the beneficiary is DEEMED by CMS. This is a correction. Each TRC-194 returns start and end dates, premium subsidy percentage, and co-payment category for one low income subsidy period affecting a beneficiary's PBP enrollment. There may be more than one TRC-194 returned. The effective date for the added or updated deemed low income subsidy period is shown in the Low-Income Period Effective Date field (field 51). The new co-pay level is reported in the Low-Income Co-Pay Category field (field 50). The Effective Date field (field 18) contains the PBP enrollment period start date. **Plan Action:** Update the Plan's records to reflect the given data for the beneficiary's LIS period. Take the appropriate actions as per CMS enrollment guidance.
223 I	Low Income Period Closed	LIS CLOSED	This TRC is returned on a reply with transaction type 01. It is not a reply to a submitted transaction but is intended to supply the Plan with additional information about the beneficiary. It is returned for each low income subsidy period removed and not replaced over the course of a PBP enrollment. Each TRC-223 returns start and end dates, premium subsidy percentage, and co-payment category for one low income period affecting a beneficiary's PBP enrollment. There may be more than one TRC-223 returned. The effective date of the removed low income subsidy period is shown in the Low-Income Period Effective Date field (field 51). The removed premium subsidy percentage and co-pay level are reported in the Part D Low-Income Premium Subsidy Level field (field 49) and Low-Income Co-Pay Category field (field 50), respectively. The Effective Date field (field 18) contains the PBP enrollment period start date. Low income subsidy TRCs 194 and/or 121 may accompany TRC-223. These three TRCs convey the beneficiary's low income subsidy profile at the time of report generation. They provide a full replacement set of low income subsidy data affecting the PBP enrollment period. **Plan Action:** Update the Plan's records to reflect the given data for the beneficiary's LIS period. Take the appropriate actions as per CMS enrollment guidance.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
1. Claim Number	12	1 – 12	Claim Account Number
2. Surname	12	13 – 24	Beneficiary Surname
3. First Name	7	25 – 31	Beneficiary Given Name
4. Middle Name	1	32	Beneficiary Middle Initial
5. Sex Code	1	33	Beneficiary Sex Identification Code '0' = Unknown '1' = Male '2' = Female
6. Date of Birth	8	34 – 41	YYYYMMDD Format
7. Filler	1	42	Space
8. Contract Number	5	43 – 47	Plan Contract Number
9. State Code	2	48 – 49	Beneficiary Residence State Code; otherwise, spaces if not applicable.
10. County Code	3	50 – 52	Beneficiary Residence County Code; otherwise, spaces if not applicable.
11. Disability Indicator	1	53	'1' = Disabled; '0' = No Disability; Space = not applicable.
12. Hospice Indicator	1	54	'1' = Hospice; '0' = No Hospice; Space = not applicable.
13. Institutional/NHC Indicator	1	55	'1' = Institutional; '2' = NHC; '0' = No Institutional; Space = not applicable.
14. ESRD Indicator	1	56	'1' = End-Stage Renal Disease; '0' = No End-Stage Renal Disease; Space = not applicable.
15. Transaction Reply Code	3	57 – 59	Transaction Reply Code
16. Transaction Type Code	2	60 – 61	Transaction Type Code

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
17. Entitlement Type Code	1	62	Beneficiary Entitlement Type Code: 'Y' = Entitled to Part A and B; Blank Space = Entitled to Part A or B; Space reported with TRCs 121, 194, and 223 has no meaning.
18. Effective Date	8	63 – 70	YYYYMMDD Format; Effective date is present for all Transaction Reply Codes. However, for UI Transaction Reply Codes (TRC), field content is TRC dependent: 701 – New enrollment period start date, 702 – Fill-in enrollment period start date, 703 – Start date of cancelled enrollment period, 704 – Start date of enrollment period cancelled for PBP correction, 705 – Start date of enrollment period for corrected PBP, 706 – Start date of enrollment period cancelled for segment correction, 707 – Start date of enrollment period for corrected segment, 708 – Enrollment period end date assigned to existing opened ended enrollment, 709 & 710 – New start date resulting from update, 711 & 712 – New end date resulting from update, 713 – "00000000" – End date removed. Original end date can be found in field 24.X. For low income subsidy TRCs 121, 194, and 223, – field content is PBP enrollment effective date. 091 – Previously reported incorrect death date.
19. WA Indicator	1	71	'1' = Working Aged '0' = No Working Aged
20. Plan Benefit Package ID	3	72 – 74	PBP number
21. Filler	1	75	Spaces
22. Transaction Date	8	76 – 83	YYYYMMDD Format; Present for all transaction reply codes. For TRCs 121, 194, and 223, the report generation date.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
23. UI Initiated Change Flag	1	84	'1' = transaction created through user interface; '0' = transaction from source other than user interface; Space = not applicable.
24. **Positions 85 – 96 are dependent upon the value of the TRANSACTION REPLY CODE. There are spaces for all codes except where indicated below.**			
a. Effective Date of the Disenrollment	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is one of the following: 13, 14, 18, 84
b. New Enrollment Effective Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 17, 83
c. Claim Number (new)	12	85 – 96	Present only when Transaction Reply Code is one of the following: 22, 25, 86
d. Date of Death	8	85 – 92	YYYYMMDD Format; P resent only when Transaction Reply Code is one of the following: 90 (with transaction type 01), 92
e. Hospice Start Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 71
f. Hospice End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 72
g. ESRD Start Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 73
h. ESRD End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 74
i. Institutional/ NHC Start Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is one of the following: 48, 75, 158, 159
j. Medicaid Start Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 77
k. Medicaid End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 78
l. Part A End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 79
m. WA Start Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 66

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
n. WA End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 67
o. Part A Reinstate Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 80
p. Part B End Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 81
q. Part B Reinstate Date	8	85 – 92	YYYYMMDD Format; Present only when Transaction Reply Code is 82
r. Old State and County Codes	5	85 – 89	Beneficiary's prior state and county code; Present only when Transaction Reply Code is 85
s. Attempted Enroll Effective Date	8	85 - 92	The effective date of an enrollment transaction that was submitted but rejected. Present only when Transaction Reply code is the following: 35, 36, 45, 56
v. PBP Effective Date	8	85 – 92	YYYYMMDD Format. Effective date of a beneficiary's PBP change. Present only when Transaction Reply Code is 100.
w. Correct Part D Premium Rate	12	85 – 96	ZZZZZZZZ9.99 Format; Part D premium amount reported by HPMS for the Plan. Present only when the Transaction Reply Code is 181.
x. Date Identifying Information Changed by UI User	8	85 – 92	YYYYMMDD Format; Field content is dependent on Transaction Reply Code: 702 – Fill-in enrollment period end date, 705 – End date of enrollment period for corrected PBP, blank when end date not provided by user, 707 – End date of enrollment period for corrected segment, blank when end date not provided by user, 709 & 710 – Enrollment period start date prior to start date change, 711, 712, & 713 – Enrollment period end date prior to end date change.
y. Modified Part C Premium Amount	12	85 – 96	ZZZZZZZZ9.99 Format; Part C premium amount reported by HPMS for the Plan. Present only when the Transaction Reply Code is 182.
z. Date of Death Removed	8	85 – 96	YYYYMMDD Format; Previously reported erroneous date of death. Present only when Transaction Reply Code is 091.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
25. District Office Code	3	97 – 99	Code of the originating district office; Present only when Transaction Type Code is 53; otherwise, spaces if not applicable.
26. Previous Part D Contract/PBP for TrOOP Transfer.	8	100 – 107	CCCCCPPP Format; Present only if previous enrollment exists within reporting year in Part D Contract. Otherwise, field will be spaces. CCCCC = Contract Number; PPP = Plan Benefit Package (PBP) Number.
27. Filler	8	108 – 115	Spaces
28. Source ID	5	116 – 120	Transaction Source Identifier
29. Prior Plan Benefit Package ID	3	121 – 123	Prior PBP number; present only when transaction type code is 71; otherwise, spaces if not applicable.
30. Application Date	8	124 – 131	The date the plan received the beneficiary's completed enrollment (electronic) or the date the beneficiary signed the enrollment application (paper). Format: YYYYMMDD; otherwise, spaces if not applicable.
31. UI User Organization Designation	2	132 – 133	'02' = Regional Office; '03' = Central Office; Spaces = not UI transaction
32. Out of Area Flag	1	134	'Y' = Out of area; Space = field not applicable for TRCs 121, 194, and 223.
33. Segment Number	3	135 – 137	Further definition of PBP by geographic boundaries; otherwise, spaces if not applicable.
34. Part C Beneficiary Premium	8	138 – 145	Cost to beneficiary for Part C benefits; otherwise, spaces if not applicable.
35. Part D Beneficiary Premium	8	146 – 153	Cost to beneficiary for Part D benefits; otherwise, spaces if not applicable.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
36. Election Type	1	154	'A' = AEP; 'E' = IEP;'I' = ICEP; 'O' = OEP; 'N' = OEPNEW; 'T' = OEPI 'S'= Other SEP; 'U'=Dual/LIS SEP; 'V'=Permanent Change in Residence SEP; 'W'=EGHP SEP; 'X'=Administrative Action SEP; 'Y'=CMS/Case Work SEP; Space = not applicable. (MAs use I, A, N, O, S, T, U, V, W, X, and Y. MAPDs use I, A, E, N, O, S, T, U, V, W, X, Y. PDPs use A, E, S, U, V, W, X, and Y.)
37. Enrollment Source	1	155	'A' = Auto enrolled by CMS; 'B' = Beneficiary Election; 'C' = Facilitated enrollment by CMS; 'D' = CMS Annual Rollover; 'E' = Plan initiated auto-enrollment; 'F' = Plan initiated facilitated-enrollment; 'G' = Point-of-sale enrollment; 'H' = CMS or Plan reassignment; 'I' = Invalid submitted value (transaction is not rejected); Space = not applicable.
38. Part D Opt-Out Flag	1	156	'Y' = Opt-out of auto-enrollment; 'N' = Opted out of auto-enrollment; Space = No change to opt-out status
39. Premium Withhold Option/Parts C-D	1	157	'D' = Direct self-pay 'S' = Deduct from SSA benefits 'R' = Deduct from RRB benefits 'O' = Deduct from OPM benefits 'N' = No premium applicable Option applies to both Part C and D Premiums; Space = not applicable.
40. Number of Uncovered Months	3	158 – 160	Count of Total Months without drug coverage

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
41. Creditable Coverage Flag	1	161	'Y' = Covered; 'N' = Not Covered; 'R' = Setting uncovered months to zero due to a new IEP; 'U' = Setting uncovered months to the value prior to using R; Space = not applicable.
42. Employer Subsidy Override Flag	1	162	'Y' = Beneficiary is in a plan receiving an employer subsidy, flag allows enrollment in a Part D plan; Space = not applicable.
43. Processing Timestamp	15	163 – 177	Transaction processing time, or, for TRCs 121, 194, and 223, the report generation time. Format: HH.MM.SS.SSSSSS
44. Filler	20	178 – 197	Spaces
45. Secondary Drug Insurance Flag	1	198 – 198	Type 61 & 71 MA-PD and PDP transactions: 'Y' = Beneficiary has secondary drug insurance; 'N' = Beneficiary does not have secondary drug insurance available; Space = No flag submitted by plan. Type 72 MA-PD and PDP transactions: 'Y' = Secondary drug insurance available; 'N' = No secondary drug insurance available; Space = no change.
46. Secondary Rx ID	20	199 – 218	Beneficiary's secondary insurance Plan's ID number taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
47. Secondary Rx Group	15	219 – 233	Beneficiary's secondary insurance Plan's Group ID number taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
48. EGHP	1	234	Type 60, 61, 71 transactions: 'Y' = EGHP Space = not EGHP Type 74 transactions: 'Y' = EGHP 'N' = Not EGHP Space = no change A space reported with any other transaction type has no meaning.
49. Part D Low-Income Premium Subsidy Level	3	235 – 237	Part D low-income premium subsidy percentage category: '000' = No subsidy; '025' = 25% subsidy level; '050' = 50% subsidy level; '075' = 75% subsidy level; '100' = 100% subsidy level; Spaces = not applicable.
50. Low-Income Co-Pay Category	1	238	Definitions of the co-payment categories: '0' = none, not low-income; '1' = (High); '2' = (Low); '3' = (0); '4' = 15%; '5' = Unknown; Space = not applicable.
51. Low-Income Period Effective Date	8	239 – 246	Date co-pay category became effective, YYYYMMDD; otherwise, spaces if not applicable.
52. Part D Late Enrollment Penalty Amount	8	247 – 254	Calculated Part D late enrollment penalty, not including adjustments indicated by items (53) and (54). Format: -9999.99; otherwise, spaces if not applicable.
53. Part D Late Enrollment Penalty Waived Amount	8	255 – 262	Amount of Part D late enrollment penalty waived. Format: -9999.99; otherwise, spaces if not applicable.
54. Part D Late Enrollment Penalty Subsidy Amount	8	263 – 270	Amount of Part D late enrollment penalty low-income subsidy. Format: -9999.99; otherwise, spaces if not applicable.

Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
55. Low-Income Part D Premium Subsidy Amount	8	271– 278	Amount of Part D low-income premium subsidy. Format: -9999.99; otherwise, spaces if not applicable.
56. Part D Rx BIN	6	279 – 284	Beneficiary's Part D Rx BIN taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
57. Part D Rx PCN	10	285 – 294	Beneficiary's Part D Rx PCN taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
58. Part D Rx Group	15	295 – 309	Beneficiary's Part D Rx Group taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
59. Part D Rx ID	20	310 – 329	Beneficiary's Part D Rx ID taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
60. Secondary Rx BIN	6	330 – 335	Beneficiary's secondary insurance BIN taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
61. Secondary Rx PCN	10	336 – 345	Beneficiary's secondary insurance PCN taken from the input transaction (60/61, 71, or 72); otherwise, spaces for any other transaction type.
62. De Minimis Differential Amount	8	346 – 353	Amount by which a Part D de Minimis Plan's beneficiary premium exceeds the applicable regional low-income premium subsidy benchmark. Format: -9999.99; otherwise, spaces if not applicable.
63. MSP Status Flag	1	354	'P' = Medicare primary payor; 'S' = Medicare secondary payor; 'N' = Non-respondent beneficiary; Space = not applicable.
64. Low Income Period End Date	8	355 – 362	Date low income period closes. FORMAT: YYYYMMDD; otherwise, spaces if not applicable.
65. Low Income Subsidy Source Code	1	363	'A' = Approved SSA applicant; 'D' = Deemed eligible by CMS; Space = not applicable.

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Transaction Reply Report (TRR) (Weekly/Monthly) with new LIS fields

Field	Size	Position	Description
66. Enrollee Type Flag, PBP Level	1	364	Designation relative to the reporting date (Transaction Date, field #22) 'C' = Current PBP enrollee; 'P' = Prospective PBP enrollee; 'Y' = Previous PBP enrollee; Space = not applicable.
67. Filler	136	365 – 500	Spaces