

## APPENDIX IV-3 FORM OF DETACHED ASSIGNMENT

- Applicability:** Ginnie Mae I MBS Program and Ginnie Mae II MBS Program.
- Purpose:** To effect a transfer of registered certificate ownership, through an assignment separate from the certificate.
- Prepared by:** Certificate holder.
- Prepared in:** Original.
- Distribution:** Original to the CPTA.
- Completion Instructions:** The circled numbers of the illustrated form correspond with the numbers listed below.
1. Full legal name and address of assignee (new certificate holder).
  2. Social security, tax identification, or other identifying number of assignee (new certificate holder).
  3. Pool number as it appears on the face of the certificate.
  4. Issue date as it appears on the face of the certificate.
  5. Maturity date as it appears on the face of the certificate. (If this is a new pool and maturity date is not known, enter “not known.”)
  6. Interest rate as it appears on the face of the certificate.
  7. Initial certificate principal amount as it appears on the face of the certificate.
  8. Certificate number of each certificate to be transferred.
  9. Exact name of the registered holder as it appears on the face of the certificate.
  10. Signature of registered holder. See guidelines printed on the reverse side of the assignment form.
  11. Signature and authority guaranty. See guidelines printed on the reverse side of the assignment form.

**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION  
MORTGAGE-BACKED SECURITIES PROGRAM**

**FORM OF DETACHED ASSIGNMENT**

FOR VALUE RECEIVED the undersigned hereby sell(s) and transfer(s) to

Please Print or Type Name and Address including Zip Code of Assignee <p align="center">①</p>	Please insert Social Security Tax identification or other identifying Number of Assignee <p align="center">②</p>
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The following described Ginnie Mae Mortgage-Backed Certificate(s) and all rights thereunder of which I am (we are) the owner(s) or the duly authorized representative of the owner(s)

Pool Number	Issue Date	Maturity Date	(Pass-Through Rate)
③	④	⑤	⑥
<b>Certificate Principal Balance</b> <i>(As shown on face of certificate)</i>	<b>Certificate Number(s)</b>	<b>Registration</b> <i>(Exact Inscription on each certificate)</i>	
⑦	⑧	⑨	

\_\_\_\_\_ ⑩  
(Signature \_\_\_\_\_)

By \_\_\_\_\_  
(Signature and Title of \_\_\_\_\_)

**Notice:** See reverse side for Signature guidelines

**Guaranty**

I Certify that the above-named person(s) as described, whose identity (or the identity of each of whom) and whose ownership or whose authority to act on behalf of the owner(s) of the above described Certificate(s) is well known or proved to me, personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, at \_\_\_\_\_, and signed the above assignment.  

*(City and State)*

**(SEAL)**

**GUARANTEED**

By \_\_\_\_\_ ⑪  
(Signature and Title of \_\_\_\_\_)

\_\_\_\_\_  
(Address)

**IMPORTANT**

The present principal balance of the Certificate(s) is not necessarily the original principal amount shown on its face. The present principal balance of the Certificate(s) may be ascertained from Ginnie Mae or a dealer in Certificates

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**GOVERNMENT NATIONAL MORTGAGE ASSOCIATION  
GUIDELINES FOR  
SIGNATURE FOR ASSIGNMENT, SIGNATURE AND AUTHORITY GUARANTY  
AND AN ERASURE OR ALTERATION**

Government National Mortgage Association Guaranteed Mortgage-Backed Certificates are fully registered, and to be transferred or repurchased, must be assigned on the reverse side of the certificate or on a completed Ginnie Mae Form of Detached Assignment. Outlined below is a summary of the Ginnie Mae general guidelines for signatures for assignment, signature and authority guaranty and an erasure or alteration. The rules of the Stock Transfer Association should be referred to for a more detailed presentation of the applicable assignment standards.

- I. **Signatures (for assignment).** All signatures to an assignment must correspond with the name as written upon the face of the certificate in every particular without alteration or enlargement or any change whatever.
- A. **Individual Owner(s).** All registered owners of a certificate must endorse the certificate.
- B. **Joint Tenant with the Right of Survivorship.** Each tenant must endorse the certificate. (Signature of the surviving tenant and proof of death of the deceased tenant are required to support a transfer by the surviving tenant.)
- C. **Trust.** (Including pension and profit sharing trusts) All trustees named in the registration on the face of the certificate must endorse the certificate. Any exception may require a certification as to the \_\_\_\_\_ of the trust and/or a certified copy of the trust agreement.
- D. **Corporate Entity.** (Corporations and associations—and nominees.) Certificates presented for transfer from the name of a corporate entity must be endorsed in such name and signed by the officer(s) authorized to assign the certificate by the corporate Board of Directors.
- E. **Members of National Stock Exchange.** Certificates presented for transfer by a registered securities dealer must be endorsed in such name and signed by an individual authorized to sign on behalf of such dealer. Such signatures must be on file with Ginnie Mae's transfer agent (CPTA).
- F. **Power of Attorney.** Assignments executed by a person having power of attorney must be accompanied by a copy of the power of attorney certified by a commercial bank or trust company having a New York City office or correspondent or by a member of a national stock exchange to be a true and correct copy in full force and effect and that the maker is still alive.
- II. **Signature and Authority Guaranty.** All signatures to an assignment and the ownership or authority to act on behalf of the owner(s) must be guaranteed by a commercial bank or trust company having a New York City office or correspondent or by a member of a national stock exchange.

**Exception:** A guaranty is not required of a dealer registered as a member of a national stock exchange having authorized specimen signatures on file with Ginnie Mae's transfer agent (CPTA).

Ginnie Mae will accept a signature guaranty absent an endorsement by a commercial bank or trust company having a New York City office or correspondent or by a member of a national stock exchange.

All Guaranties must be acceptable to Ginnie Mae or its transfer agent and the guaranty may not be qualified in any manner whatsoever.

- III. **Erasure or Alteration.** Any alteration or erasure of the assignment on the reverse side of the certificate or on the certificate or on the Form of Detached Assignment will require an erasure of alteration guaranty acceptable to Ginnie Mae or its transfer agent.

***SPECIAL FORM OF DETACHED ASSIGNMENT FOR  
UNITED STATES REGISTERED SECURITIES***

FOR VALUE RECEIVED I assign to \_\_\_\_\_  
(Name)

\_\_\_\_\_ (Taxpayer identifying number and address of assigned)

the following described registered securities of which I am (we are) the owner(s) or the duly authorized representative of the owner.

TITLE OF LOAN and/or ISSUE: \_\_\_\_\_  
(Include interest rate, series, issue date and call and maturity dates)

Denomination	Serial Numbers	Registration (Exact Inscription on each security)

and thereby authorize discharge of registration thereof on the books of the Department of the Treasury.

\_\_\_\_\_  
*(Signature by or on behalf of owner)*

\_\_\_\_\_  
*(Additional Signature, if required)*

I CERTIFY that the above named person(s) as described, whose identity (or the identify of each of whom) is well known or proved to me, personally appeared before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, at \_\_\_\_\_, and signed the above assignment.  
(City and State)

SEAL

\_\_\_\_\_  
(Signature and title of certifying officer)\_\_\_\_\_  
(Address)

FORM PD 1832  
Dept. of the Treasury  
Bur. of the Public Debt  
(Rev. Mar. 1977)

**INSTRUCTIONS**

**Note:** Use of this form must be specifically authorized by a Federal Reserve Bank or Branch or the Bureau of the Public Debt.

Registered transferable securities may be assigned in blank, to bearer, to a specified transferee, to the Secretary of the Treasury for exchange for coupon securities, or to the Secretary of the Treasury for redemption or for exchange for other securities offered at maturity, upon call or pursuant to an advance refunding offer. Nontransferable securities may be assigned only in the manner and to the extent provided in the offering circulars or special applicable regulations. The owner or his authorized representative must appear before and establish his identity to the satisfaction of an officer authorized to certify assignments, and execute the assignment in the presence of that officer. The officer must then fully complete the certification form. If the securities are assigned to a specified transferee, the name, taxpayer identifying number (social security account number or employer identification number), and the address of the assignee should be shown on the form in the space provided.

**OFFICERS AUTHORIZED TO CERTIFY ASSIGNMENTS**

## (1) IN THE UNITED STATES:

- (a) **Any and All Assignments.** Officers and employees of banks and trust companies incorporated in the United States, its territories or possessions, or the Commonwealth of Puerto Rico, or other organizations which are members of the Federal Home Loan Bank System, who have been authorized to (I) generally bind their respective institutions by their acts, (ii) unqualifiedly guarantee signature to assignments of securities, or (iii) expressly certify assignments of securities. A complete list of classes of authorized officers may be obtained from any Federal Reserve Bank or the Bureau of the Public Debt.

**CAUTION: NOTARIES PUBLIC HAVE ONLY LIMITED AUTHORITY. SEE (b)**

**BELOW.**

- (b) **Limited Authority.** Assignments for redemption for the account of the assignor, or for redemption exchange, or pursuant to an advance refunding offer for other securities to be registered in his name, or in his name with a joint owner, may be certified by:
- (i) Justices of the peace and notaries public in the United States, in territories and possessions the Commonwealth of Puerto Rico and the Canal Zone.
- (ii) Postmasters, acting postmasters, assistants postmasters, inspectors-in-charge, chief and assistant chief accountants, and superintendents of stations of any post office in the United States, its territories and possessions, the Commonwealth of Puerto Rico and the Canal Zone.

## (2) IN FOREIGN COUNTRIES. Any and All Assignments:

- (a) United States diplomatic or consular representatives

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- (b) Managers, assistant managers and other officers of foreign branches of banks or trust companies incorporated in the United States, its territories or possessions, or the Commonwealth of Puerto Rico.
  - (c) Officers authorized to administer oaths, including notaries public, but their official position and authority must be certified by a United States diplomatic or consular representative under the seal of his office.

### INSTRUCTIONS TO CERTIFYING OFFICER

The owner or his authorized representative must appear before you and establish his identity to your satisfaction. The signature to the assignment or certification must be executed in your presence. They you should fully complete and sign the certification form provided for your use.

If you are an employee (rather than an officer) authorized to certify assignments and certifications, insert the words "Authorized Signature" in the space provided for the title. Insert the place and date, as required, on the form. Impress the seal of your organization, or imprint in the space provided a "signature guaranteed" stamp. [If your organization is a bank, or the issuing agents's dating stamp. If your organization is an authorized issuing agent for United States Savings Bonds of Series E.] If assignment of certification is one a notary public is authorized to certify and you are a notary, impress your seal and insert the expiration date of your commission.

**Note:** THE FURNISHING OF SOCIAL SECURITY NUMBERS IS REQUIRED BY THE GENERAL REGULATIONS GOVERNING UNITED STATES SECURITIES, I.E., DEPT. CIR. NO. 300. CUR. REV. THE NUMBERS ARE USED TO MAINTAIN OWNERSHIP RECORDS OF THE BONDS. OTHER INFORMATION REQUIRED BY THIS FORM IS ALSO REQUIRED UNDER THE ABOVE REGULATIONS TO ESTABLISH THE RIGHTS, AUTHORITY AND/OR ENTITLEMENT OF THE SIGNERS. FAILURE TO FURNISH ANY OF THE REQUESTED INFORMATION MAY PREVENT COMPLETION OF THE TRANSACTION.