

TABLE H.1

CRITERIA FOR IDENTIFYING DUPLICATE TRANSACTIONS

Population	Reporting Rule	Relevant Data Elements from Extract file	Duplicate Detection Criteria	Comments
1. Weeks Claimed	The same week of unemployment can only be claimed once.	SSN, Claim Week Ending Date	Remove as duplicates all multiple records with the same SSN and Claim Week Ending Date.	
2. Final Payments	A Benefit Year normally has only one final payment, unless wages were added/returned after initial exhaustion.	SSN, Mail Date, Check #/Unique ID	Remove as duplicates all multiple records with the same SSN, check number/unique ID and mail date.	The mail date criterion would falsely reject the unlikely but legitimate case of two Final Payments for separate claims that exhaust on the same day. For manual detection, the validator could take a sample of all records of multiple instances of the same SSN.

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<p>3. Claims</p>	<p>Report each legitimate claim once. Most claim types will be reportable only once in a quarter. Multiple UI New or Transitional claims may be legitimate; however, there can be only one claim with a <i>sufficient</i> monetary per SSN within the same quarter. Although there can be more than one with an <i>insufficient</i> monetary, none may follow a <i>sufficient</i> claim.</p>	<p>SSN, Date Claim Filed, Program Type, Claim Type, “Sufficient / Insufficient” (Field 9)</p>	<p>Duplicate Detection Criteria</p> <ol style="list-style-type: none"> For all Claim Type and Program Type combinations: Remove as duplicates all multiple records with the same SSN, Date Claim Filed, and Claim Type. For UI New and Transitional Claims: Remove as duplicates <ul style="list-style-type: none"> all multiple records for which SSN and Claim Type are the same for multiple records where Field 9 = Sufficient; and all records for the same SSN and Claim type with Field 9 = Insufficient with a Claim Filed Date later than the same SSN and Claim Type with Field 9 = Sufficient. 	<p>Duplicate claims in Population 3 must sort out (a) counting legitimate claims more than once and (b) counting illegitimate claims. (b) is mostly an issue for UI New and Transitional claims. To define legitimate UI New and Transitional claims, the decision was made to not allow more than one Sufficient determination in the same quarter for the same claim type and not to allow an insufficient claim to follow a sufficient claim for the same SSN and claim type.</p>

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3.a Additional Claims	Every additional claim is associated with a week of unemployment and involves at least a 1-week break in the claims series due to intervening employment and separation from an employer.	SSN, Date Claim Filed, Separation Date	Remove as duplicates all multiple records with the same SSN, Date Claim Filed, and Separation Date. Possible Future Release: SSN and Effective Date are the same for multiple records	SSN and Separation Date represent “hard” duplicate detection. SSN and Date Claim Filed could be used as “soft” duplicate detection where the validator would take a sample of all records of multiple instances of the same SSN and Date Claim Filed. Note: Need to add Effective Date to the extract in the future
4. Payments	There can only be one countable regular week compensated for a given week. Where there is a CWC payment, there is a separate count of one CWC payment per week. There is no rule limiting the number of adjustment checks.	SSN, Intra/Inter, Week-End Date, Mail Date	1. For all but CWC payments and adjustments (i.e., subpops 4.1-4.32 and 4.43), Remove as duplicates all multiple records where SSN, Intra/Inter, Mail Date and Week End Date are the same. 2. CWCs only: Remove as duplicates all multiple records where SSN and Week End Date are the same. Adjustment Payments: No duplicate check	Cannot count a week compensated twice for the same week ending date. Since the week can be counted both as a regular week and a CWC week, need to perform the check separately for both groups. No check at all for adjustment checks.

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5. Nonmonetary Determinations	Count every monetary determination once.	SSN, Unique ID, issue code, mail date.	<p>Remove as duplicates all multiple records with the same SSN and Unique ID.</p> <ul style="list-style-type: none"> When state has Unique ID, this will definitively identify duplicate records. When Unique ID is <i>null</i>, validator must manually mark duplicates for removal and assign a Unique ID (e.g., 1, 2, 3) to legitimate multiple transactions and reload them to the file. 	Good candidate for manual duplicate detection in which a sample would be taken of multiple instances of the same SSNs with the same issue codes and mail dates. Second dot point implements a variant of manual detection.
6. Lower Authority Appeals Filed	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.
7. Higher Authority Appeals Filed	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.
8. Lower Authority Appeals Decisions	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.

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9. Higher Authority Appeals Decisions	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.
10. Lower Authority Appeals Case Aging	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.
11. Higher Authority Appeals Case Aging	Count every appeal once.	SSN, Docket #/Unique ID	Remove as duplicates all multiple records with the same SSN and Docket Number/Unique ID.	If the same SSN and docket number appears more than once in a count, the additional records are duplicates.
12. Overpayments Established	Count each transaction only once.	SSN, Date OP established, Unique ID	Remove as duplicates all multiple records with the same SSN, Date Overpayment Established, and Unique ID. <ul style="list-style-type: none"> • If state has Unique ID this definitively identifies duplicates. • If Unique ID is null, validator must manually mark duplicates for removal and assign a Unique ID (e.g., 1, 2, 3) to legitimate multiple transactions and reload them to the file. 	Second dot point implements a variant of manual detection.

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13. Overpayment Reconciliation	Count each transaction only once.	SSN, Unique ID, Activity Type, Date of Activity	<p>Remove as duplicates all multiple records with the same SSN, Unique ID, Date of Activity, and Activity Type.</p> <ul style="list-style-type: none"> • If state has Unique ID this definitively identifies duplicates. • If Unique ID is null, validator must manually mark duplicates for removal and assign a Unique ID (e.g., 1, 2, 3) to legitimate multiple transactions and reload them to the file. 	Second dot point implements a variant of manual detection.

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14. Overpayments Case Aging	Count each overpayment once.	SSN, Unique ID	Remove as duplicates all multiple records with the same SSN and Unique ID. <ul style="list-style-type: none"> • If state has Unique ID this definitively identifies duplicates. • If Unique ID is null, validator must manually mark duplicates for removal and assign a Unique ID (e.g., 1, 2, 3) to legitimate multiple transactions and reload them to the file. 	Second dot point implements a variant of manual detection.