

Privacy Act of 1974 System of Records Notice

U.S. RAILROAD RETIREMENT BOARD

Name

RRB-4: Estimated Annuity, Total Compensation and Residual

Amount File (MARC)

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Federal Register 12-27-2007

Vol. 72, No. 247. pp. 73502-03

Effective Date 2-5-2008

System Location U.S. Railroad Retirement Board, 844 North Rush Street, Chicago,

Illinois 60611-2092.

Security Classification

None.

Categories of Individuals Covered by the System Railroad employees who never filed an application for an annuity, have not been reported to be deceased and who either worked in the current reporting year or have at least 120 months of creditable railroad service or have at least 60 months of creditable railroad service after 1995.

Categories of Records in the System

For employees with less than 120 months of creditable railroad service, or less than 60 months of creditable railroad service after 1995: SSN, name, date of birth, sex, cumulative service, cumulative tier 1 compensation, daily pay rate, employer number, gross residual, year last worked, number and pattern of months worked in year last worked, tier 1 compensation for year last worked, tier 2 compensation for year last worked. For railroad employees with 120 or more months of creditable railroad service and for employees with at least 60 months of creditable railroad service after 1995; all of the above information plus estimated annuity data and SSA data.

Authority for Maintenance of the System

Section 7(b)(6) of the Railroad Retirement Act of 1974 (45 U.S.C. 231f(b)(6)).

Purpose(s)

The primary purpose of the system is to provide field offices with the capability of furnishing annuity estimates to prospective beneficiaries. The system is also used by field offices to provide temporary annuity rates that the Division of Operations may issue to applicants for employee and spouse benefits.

Routine Uses of Records Maintained in the System, Including Categories of Users and Purposes of Such Uses

- a. Entitlement information may be disclosed to primary beneficiaries regarding secondary beneficiaries (or vice versa) when the addition of such beneficiary affects either the entitlement or benefit payment.
- b. (Standard Disclosure 3.)
- c. (Standard Disclosure 1.)
- d. Pursuant to a request from an employer covered by the Railroad Retirement Act or the Railroad Unemployment Insurance Act, information regarding the Board's estimated payment of



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unemployment, sickness or retirement benefits, the methods by which such benefits are calculated and entitlement data may be released to the requesting employer for the purposes of determining entitlement to and the rates of private supplemental pensions, sickness or unemployment benefits and to calculate estimated benefits due.

- e. If a request for information pertaining to an individual is made by an official of a labor organization of which the individual is a member and the request is made on behalf of the individual, information from the record of the individual concerning his anticipated benefit and concerning the method of calculating that benefit may be disclosed to the labor organization official.
- f. (Standard Disclosure 2.)
- g. (Standard Disclosure 7.)
- h. Annuity estimates may be released to any court, state agency, or interested party, or the representative of such court, state agency, or interested party, in connection with contemplated or actual legal or administrative proceeding concerning domestic relations and support matters.

Disclosure to Consumer Reporting Agencies None.

Policies and Practices for Storing, Retrieving, Accessing, Retaining, and Disposing of Records in the System

Storage On-line mainframe system.

Retrievability Social security number.

Safeguards Only authorized personnel have access to these records. Access is

determined by internal computer system security levels.

Retention and Disposal A maximum of three sets of MARC records (the current and prior two

sets of MARC) are maintained on-line with the oldest set deleted

when a new MARC is produced.

System Manager(s) and

Address

Office of Programs--Director of Policy and Systems, U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

Notification Procedure

Request for information regarding an individual's record should be in writing, including the full name, social security number and railroad retirement claim number (if any) of the individual. Before information about any record will be released, the individual may be required to



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provide proof of identity, authorization from the individual to permit release of information. Requests should be sent to the Office of Programs--Director of Operations, U.S. Railroad Retirement Board,

844 North Rush Street, Chicago, Illinois 60611-2092.

Record Access Procedure See Notification section above.

Contesting Record Procedure

See Notification section above.

Record Source Categories Information which is secured from the original master records is

made available to all authorized headquarters and field service

users.

Exemptions Claimed for

the System

None.