## Office of Personnel Management

made at least 15% of their career SES appointments from sources outside the agency. Notwithstanding this exception recruitment must be competitive and be announced at least agencywide. Graduates of these programs who have been certified by a QRB must then compete Governmentwide for entry to the SES, but do not have to obtain a second QRB certification before appointment.

- (b) In recruiting, the agency, consistent with the merit system principles in 5 U.S.C. 2301(b) (1) and (2), takes into consideration the goal of achieving a diversified workforce.
- (c) All candidates are selected through SES merit staffing procedures. The number selected shall be consistent with the number of expected vacancies
- (d) Each candidate has an SES development plan covering the period of the program. The plan is prepared from a competency-based needs determination. It is approved by the Executive Resources Board.
- (e) The minimum program requirements, unless an exception is obtained in advance of the beginning of the candidate's program, for an SES development plan are as follows:
- (1) There is a formal training experience that addresses the executive core qualifications and their application to SES positions Governmentwide. The training experience must include interaction with a wide mix of Federal employees outside the candidate's department or agency to foster a corporate perspective but may include managers from the private sector and state and local governments. The nature and scope of the training must have Governmentwide or multi-agency applicability. If formal interagency training is used to meet this requirement, it must total at least 80 hours. If an interagency work experience is used, it must be of significantly longer duration than 80 hours.
- (2) There are developmental assignments that total at least 4 months of full-time service outside the candidate's position of record. The purpose of the assignments is to broaden the candidate's experience and/or increase knowledge of the overall functioning of

the agency so that the candidate is prepared for a range of agency positions.

- (3) There is a member of the Senior Executive Service as a mentor.
- (f) Each candidate's performance in the program is evaluated periodically, and there is a written policy for discontinuing a candidate's participation in the program. A candidate can be discontinued or may withdraw from the program without prejudice to his or her ability to apply directly for SES positions.
- (g) Each candidate has a documented starting and finishing date in the program.

# Subpart B—Senior Executive Service Status and Nonstatus Candidate Development Programs

### § 412.201 Purpose.

Section 3393 of title 5, United States Code, requires that career appointees to the SES be recruited either from all groups of qualified individuals within the civil service, or from all groups of qualified individuals whether or not within the civil service. This subpart sets forth regulations establishing two types of SES candidate development programs, "status" and "non-status."

#### §412.202 "Status" programs.

Only employee serving under career appointments, or under career-type appointments as defined in §317.304(a)(2) of this chapter, may participate in "status" candidate development programs.

# $\S 412.203$ "Non-status" programs.

- (a) Eligibility. Candidates are from outside Government and/or from among employees serving on other than career or career-type appointments within the civil service.
- (b) Requirements. (1) Candidates must be appointed using the Schedule B authority authorized by §213.3202(j) of this chapter. The appointment may not exceed or be extended beyond 3 years.
- (2) Assignments must be to a fulltime position created for developmental purposes connected with the SES candidate development program. Candidates serving under Schedule B