

Comptroller of the Currency, Treasury

§ 4.4

4.7 Frequency of examination of Federal agencies and branches.

Subpart B—Availability of Information Under the Freedom of Information Act

- 4.11 Purpose and scope.
- 4.12 Information available under the FOIA.
- 4.13 Publication in the FEDERAL REGISTER.
- 4.14 Public inspection and copying.
- 4.15 Specific requests for records.
- 4.16 Predisclosure notice for confidential commercial information.
- 4.17 Fees for services.

Subpart C—Release of Non-Public OCC Information

- 4.31 Purpose and scope.
- 4.32 Definitions.
- 4.33 Requirements for a request of records or testimony.
- 4.34 Where to submit a request.
- 4.35 Consideration of requests.
- 4.36 Disclosure of non-public OCC information.
- 4.37 Persons and entities with access to OCC information; prohibition on dissemination.
- 4.38 Restrictions on dissemination of released information.
- 4.39 Notification of parties and procedures for sharing and using OCC records in litigation.
- 4.40 Fees for services.

APPENDIX A TO SUBPART C—MODEL STIPULATION FOR PROTECTIVE ORDER AND MODEL PROTECTIVE ORDER

Subpart D—Minority-, Women-, and Individuals With Disabilities-Owned Business Contracting Outreach Program; Contracting for Goods and Services

- 4.61 Purpose.
- 4.62 Definitions.
- 4.63 Policy.
- 4.64 Promotion.
- 4.65 Certification.
- 4.66 Oversight and monitoring.

Subpart E—One-Year Restrictions on Post-Employment Activities of Senior Examiners

- 4.72 Scope and purpose.
- 4.73 Definitions.
- 4.74 One-year post-employment restrictions.
- 4.75 Effective date; waivers.
- 4.76 Penalties.

AUTHORITY: 12 U.S.C. 93a. Subpart A also issued under 5 U.S.C. 552; Subpart B also issued under 5 U.S.C. 552; E.O. 12600 (3 CFR 1987 Comp., p. 235). Subpart C also issued under 5 U.S.C. 301, 552; 12 U.S.C. 161, 481, 482, 484(a), 1442, 1817(a)(3), 1818(u) and (v), 1820(d)(6), 1820(k), 1821(c), 1821(o), 1821(t),

1831m, 1831p-1, 1831o, 1867, 1951 *et seq.*, 2601 *et seq.*, 2801 *et seq.*, 2901 *et seq.*, 3101 *et seq.*, 3401 *et seq.*; 15 U.S.C. 77uu(b), 78q(c)(3); 18 U.S.C. 641, 1905, 1906; 29 U.S.C. 1204; 31 U.S.C. 9701; 42 U.S.C. 3601; 44 U.S.C. 3506, 3510. Subpart D also issued under 12 U.S.C. 1833e.

SOURCE: 60 FR 57322, Nov. 15, 1995, unless otherwise noted.

Subpart A—Organization and Functions

§ 4.1 Purpose.

This subpart describes the organization and functions of the Office of the Comptroller of the Currency (OCC), and provides the OCC's principal addresses.

§ 4.2 Office of the Comptroller of the Currency.

The OCC supervises and regulates national banks and Federal branches and agencies of foreign banks by examining these institutions to determine compliance with applicable laws and regulations; approving or denying applications for new charters or for changes in corporate or banking structure; approving or denying activities; taking supervisory or enforcement actions; appointing receivers and conservators; and issuing rules and regulations applicable to these institutions, their subsidiaries, and affiliates.

§ 4.3 Comptroller of the Currency.

The Comptroller of the Currency (Comptroller), as head of the OCC, is responsible for all OCC programs and functions. The Comptroller is appointed by the President, by and with the advice and consent of the Senate, for a term of five years. The Comptroller serves as a member of the board of the Federal Deposit Insurance Corporation, a member of the Federal Financial Institutions Examination Council, and a member of the board of the Neighborhood Reinvestment Corporation. The Comptroller is advised and assisted by OCC staff, who perform the duties and functions that the Comptroller directs.

§ 4.4 Washington office.

The Washington office of the OCC is the main office and headquarters of the OCC. The Washington office directs OCC policy, oversees OCC operations,