

Instructions for filling out PCT-SAFE/EASY form for application filed in paper with the Sequence Listing part of the description filed in electronic form. (U.S. practice under PCT Administrative Instructions 801(c). See MPEP 1823.02).

1. Click on the left “Biology” tab. Next check the box “This description contains a sequence listing” under the text “**Nucleotide and/or amino acid sequence listing.**”

PCT-R0-101 Electronic Request - SL 801

File Edit View Tools Help

Request
States
Names
Priority
Biology
Declarations
Contents
Fees
Payment
Annotate
filing not possible
filing possible
ready for filing

Indications Relating to Deposited Microorganism or Other Biological Material

No.	Depository Institution	Date of Deposit	Accession No.	Val.
+	Open to add new item			

Open

Nucleotide and/or amino acid sequence listing

The description contains a sequence listing
 The description contains tables related thereto

2. Click on the “Contents” tab. Next click on the box containing the words “Sequence listing part of description.”

The screenshot shows the 'PCT-RO-101 Electronic Request - SL 801' window. The left sidebar has the 'Contents' tab selected, indicated by a red arrow. The main area displays filing options and a table of documents. The table has columns: Document, Details, Pages, Electronic File, and Val. The row 'Sequence listing part of description' is highlighted with a red arrow. Below the table, there is a 'Recalculate' button and a total page count of 129. At the bottom, it shows 'In total: 6 documents 1 files'.

Document	Details	Pages	Electronic File	Val.
Request (including declaration sheets)		3		✓
Description (excluding sequence listing ...)		100		✓
Claims		10		✓
Abstract		1	EZABST00.TXT	✓
Drawings	Fig. No. 2	15		✓
Sequence listing part of description				✗

total: 129 pages

In total: 6 documents 1 files

3. Fill in the radio button “Electronic file is enclosed (under Section 801(a)(i)),” and indicate the type of carrier and the number of carriers. In this example, the electronic sequence listing is a part of the application. Therefore, the electronic file is enclosed as part of the application.

The screenshot shows a dialog box titled "Content Details" with a sidebar on the left and a main content area on the right. The sidebar contains a list of document components: "Request (including declaration s...", "Description (excluding sequenc...", "Claims", "Abstract", "Drawings", "Sequence listing part of descripti...", "fee calculation sheet", "PCT-EASY physical medium", and "sequence listing in electronic for...". The "Sequence listing part of descripti..." item is selected. The main content area is divided into two sections: "Paper document" and "Electronic file". Under "Paper document", there are two radio buttons: "is not enclosed" (selected) and "is enclosed". Under "Electronic file", there are three radio buttons: "is not enclosed", "is enclosed (under Section 801(a)(i))" (selected), and "is enclosed in addition to being filed on paper (under Section 801(a)(ii))". Below these radio buttons, there are two input fields: "type of carrier" with a dropdown menu showing "CD-ROM" and "number" with a text box containing "1". A red arrow points from the left towards the "is enclosed (under Section 801(a)(i))" radio button. Another red arrow points from the right towards the "number" text box. A third red arrow points from the bottom right towards the "CD-ROM" dropdown menu. At the bottom of the dialog box, there are "OK" and "Cancel" buttons.

4. Click on the “Accompanying Items” tab near the bottom of the screen.

Type of filing: PCT-EASY Fully electronic
 EFS-Web Single specification file

Document	Details	Pages	Electronic File	Val.
Request (including declaration sheets)		3		
Description (excluding sequence listing ...)		100		
Claims		10		
Abstract		1	EZABST00.TXT	
Drawings	Fig. No. 2	15		
Sequence listing part of description			1 CD-ROM	

Document	Details	Pages	Electronic File	Val.
----------	---------	-------	-----------------	------

total: 129 pages

In total: 6 documents 2 files

Sequence listing under Administrative Instruction 801(c)

5. Click on the box that contains the text “sequence listing in electronic form.”

The screenshot shows the 'PCT-R0-101 Electronic Request - SL 801' window. The sidebar on the left contains the following items: Request (green checkmark), States (green circle), Names (green circle with question mark), Priority (yellow circle with exclamation mark), Biology (green circle), Declarations (green circle), Contents (yellow circle), Fees (green checkmark), Payment (yellow circle), Annotate (green circle with question mark), filing not possible (red circle with X), filing possible (yellow circle with exclamation mark), and ready for filing (green circle with question mark). The main area has 'Type of filing' set to 'PCT-EASY' with options for 'Fully electronic' and 'Single specification file'. Below this is a table with columns: Document, Details, Pages, Electronic File, and Val. The table contains three rows: 'fee calculation sheet' (1 page, green checkmark), 'PCT-EASY physical medium' (checkbox checked, green checkmark), and 'sequence listing in electronic form' (yellow circle). A red arrow points to the 'sequence listing in electronic form' row. At the bottom, there are tabs for 'International Application' and 'Accompanying Items', and a summary: 'In total: 6 documents 2 files'. An 'Open' button is also visible.

Document	Details	Pages	Electronic File	Val.
fee calculation sheet		1		✓
PCT-EASY physical medium			<input checked="" type="checkbox"/>	✓
sequence listing in electronic form				●

6. Fill in the radio button “Electronic file is enclosed-additional copies” and fill in type of carrier and number of carriers

The screenshot shows a dialog box titled "Content Details" with a sidebar on the left and a main content area on the right. The sidebar contains a list of categories, with "sequence listing in electronic for..." selected. The main content area is titled "Electronic file" and contains three radio buttons. The first two are "is not enclosed" and "submitted for the purposes of international search only (and not as part of the international application)". The third radio button, "is enclosed - additional copies", is selected. Below the radio buttons are two input fields: "type of carrier" with a dropdown menu showing "CD-ROM" and "number" with a text box containing "3". Red arrows point from the left and bottom edges of the image to the selected radio button, the "CD-ROM" dropdown, and the "3" in the number field. At the bottom right of the dialog box are "OK" and "Cancel" buttons.

Congratulations, you have filled out the sequence listing portion of the Request form.

PCT-SAFE/EASY form for application filed in paper with the Sequence Listing part of the description filed in electronic form. (U.S. practice under PCT Administrative Instructions 801(c). See MPEP 1832.02).

IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	3	✓
IX-2	Description (excluding sequence listing part)	100	-
IX-3	Claims	10	✗
IX-4	Abstract	1	✓
IX-5	Drawings	15	-
IX-6a	Sequence listing part of description filed in electronic form only (under Section 801(a)(i))	-	1 CD-ROM
IX-7	TOTAL	129	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-16(A)	Sequence listing in electronic form		
IX-16(A) -(ii)	additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter	-	3 CD-ROM
IX-17	PCT-SAFE physical media	-	✓