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- 1101.8 Disclosure of records to third parties.
- 1101.9 Exemptions.
- 1101.10 Accounting for disclosures.
- 1101.11 Fees.
- 1101.12 Request to correct or amend a record.
- 1101.13 Agency review of request to correct or amend a record.
- 1101.14 Appeal of Agency decision not to correct or amend a record.
- 1101.15 Judicial review. 1101.16 Criminal penalties.
- 1101.17 Annual Report to Congress.

AUTHORITY: Privacy Act of 1974 (Pub. L. 93-579, as amended, 5 U.S.C. 552a).

SOURCE: 57 FR 24945, June 12, 1992, unless otherwise noted.

§1101.1 Purpose and scope.

The purpose of these regulations is to prescribe responsibilities, rules, guidelines, and policies and procedures to implement the Privacy Act of 1974 (Pub. L. 93-579, as amended; 5 U.S.C. 552a) to assure that personal information about individuals collected by the United States Section is limited to that which is legally authorized and necessary and is maintained in a manner which precludes unwarranted intrusions upon individual privacy. Further, these regulations establish procedures by which an individual can: (a) Determine if the United States Section maintains records or a system of records which includes a record pertaining to the individual and (b) gain access to a record pertaining to him or her for the purpose of review, amendment or correction.

§1101.2 Definitions.

For the purpose of these regulations: (a) Act means the Privacy Act of 1974.

- (b) Agency is defined to include any executive department, military department, Government corporation, Government controlled corporation or other establishment in the executive branch of the Government (including the Executive Office of the President, or any independent regulatory agency) (5 U.S.C. 552)).
- (c) Commission means the International Boundary and Water Commission, United States and Mexico.
- (d) Commissioner means head of the United States Section, International Boundary and Water Commission, United States and Mexico.

- (e) Individual means a citizen of the United States or an alien lawfully admitted for permanent residence.
- (f) Maintain includes maintain, collect, use, or disseminate.
- (g) Record means any item, collection, or grouping of information about an individual that is maintained by an agency, including, but not limited to, his education, financial transactions, medical history, and criminal or employment history and that contains his name, or the identifying number, symbol, or other identifying particular assigned to the individual, such as a finger or voice print or a photograph.
- (h) Routine use means, with respect to the disclosure of a record, the use of such record for a purpose which is compatible with the purpose for which it is collected.
- (i) Section means the United States Section, International Boundary and Water Commission, United States and Mexico.
- (j) Statistical record means a record in a system of records maintained for statistical research or reporting purposes only and not used in whole or in part in making any determination about an identifiable individual, except as provided by 13 U.S.C. 8 (Census data).
- (k) System of records means a group of any records under the control of any agency from which information is retrieved by the name of the individual or by some identifying number, symbol, or other identifying particular assigned to the individual.

§1101.3 General policy: Collection and use of personal information.

(a) Heads of Divisions, Branches, and the projects shall ensure that all Section personnel subject to their supervision are advised of the provisions of the Act, including the criminal penalties and civil liabilities provided therein, and that Section personnel are made aware of their responsibilities to protect the security of personal information, to assure its accuracy, relevance, timeliness and completeness, to avoid unauthorized disclosure either orally or in writing, and to ensure that no system of records concerning individuals, no matter how small or specialized, is maintained without public notice.