

Name and Address:

Securities Industry Automation Corporation
11 Wall Street
New York, NY 10005

Details of organization:

Corporation organized under Section 402 of the Business Corporation Law of the State of New York on April 24, 1972.

Affiliation:

The Securities Industry Automation Corporation is an affiliate of The Nasdaq Stock Market due to the simultaneous control by the National Association of Securities Dealers of both Nasdaq and the American Stock Exchange.

Business or functions:

The Securities Industry Automation Corporation provides the information-handling and communication system that supports order processing, trading, market data reporting, comparison, and settlement for trading on the New York Stock Exchange, The American Stock Exchange, The National Securities Clearing Corporation, and their subsidiaries.

Articles of Incorporation:

Attached as Exhibit A.

By-Laws:

Attached as Exhibit B.

Officers, Governors, and Standing Committee members:

Attached as Exhibit C.