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Investment Criteria for Airport Surveillance Radar (ASR/ATCRBS/ARTS)

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EXECUTIVE SUMMARY

This report develops revised investment criteria for the Airport Surveillance Radar, Air Traffic Control Radar Beacon System, and Automated Radar Terminal System (ASR/ATCRBS/ARTS) for publication in FAA Order 7031.2B, Airway Planning Standard Number One. Airway Planning Standard Number One contains the policy and summarizes the criteria used in determining eligibility of terminal locations for establishment, discontinuance and improvements of air navigation facilities and air traffic control services. The investment criteria addressed in this report include ASR establishment, ASR discontinuance, improvements, remotored radar bright display scope, establishment of terminal radar approach control in tower cab (TRACAB), establishment of terminal radar approach control (TRACON) and TRACAB to TRACON conversion.

The ASR establishment and discontinuance criteria developed in this report are based on a rigorous computerized benefit/cost analysis. The other supplemental criteria, however, are not readily adaptable to benefit/cost analyses for system-wide application because benefits and costs may vary significantly from case to case. In lieu of detailed benefit/cost analyses for these supplemental criteria, activity levels are identified at which marginal benefits are expected to exceed costs.

The primary benefits of ASR quantified in this report include reduced delays to aircraft operating under instrument flight rule (IFR) conditions made possible by reducing separations below those required by manual procedures and reduced risks of midair and terrain collisions in the terminal area through the application of radar separation services. Other benefits, such as visual flight rule (VFR) radar advisory service, radar flight assists, and convenience have not been adequately quantified and therefore have not been included in the criteria developed in this report. Life-cycle costs are based on investment in and operation and maintenance costs of the ASR-9 in TRACAB and TRACON configurations.

Of particular interest are enhancements introduced into the benefits methodology for the ASR establishment and discontinuance criteria. The revised methodology extends credit for radar services provided to all user classes. The previous criteria focused more on the air carrier user class. Additionally, the revised benefits methodology introduces the "area concept" of providing qualified radar service to multiple airport sites having a common need for radar surveillance. Under this concept, qualified radar service provided to secondary or satellite airports is taken into account in quantifying total benefits. Additionally, the revised benefits methodology is more site-specific. In the previous criteria, benefits were based only on the aviation activity for the first year of the ASR's operation and costs were annualized. Changes in aviation activity growth were not represented in the benefits calculation. The revised benefits methodology uses official aviation activity forecasts by quantifying the benefits independently for each year of an ASR's estimated 15-year economic life and discounting the benefits for each year to their present value. These are summed to represent the present value life-cycle benefits. Capital costs and operations and maintenance costs are approached on a similar present

value life-cycle basis. Lastly, the revised benefits methodology incorporates updated critical value elements, including the value of time of aircraft passengers/occupants, the value of a statistical life, the cost of a statistical serious injury, aircraft replacement and restoration costs and aircraft variable operating costs.

The revised ASR criteria, in relation to the previous ASR criteria, identify more establishment candidates and fewer discontinuance candidates. Based on projections through FY 1987, 19 FAA approach control towers presently without ASR equipment or service will meet the revised establishment criteria, while 13 meet the previous establishment criteria. Two existing ASR installations meet the revised discontinuance criteria, while 5 meet the previous discontinuance criteria. It is impossible to assess the actual budget impact of the revised criteria on agency resources for several reasons. First, meeting candidacy levels does not by itself entail automatic qualification. Benefit/cost screening is but one of several inputs to the FAA decisionmaking process relative to investment in ASR facilities. Investment decisions are made on the basis of all pertinent factors. Second, neighboring airports having a common need for radar surveillance may collectively qualify for an ASR under the "area concept." Qualifying sites in the category will be determined on a case by case basis by the Air Traffic Service. And third, actual costs vary from site to site. Estimated site-specific costs will be applied in actual application of the criteria.

SECTION I - INTRODUCTION

This report develops revised investment criteria for the Airport Surveillance Radar, Air Traffic Control Radar Beacon System, and Automated Radar Terminal System (ASR/ATCRBS/ARTS). These criteria replace the ASR investment criteria that are currently contained in FAA Order 7031.2B, Airway Planning Standard Number One (Reference 1), as developed in FAA Report Number ASP-75-2, Establishment Criteria for Airport Surveillance Radar (ASR/ATCRBS/BDS) (Reference 2). Airway Planning Standard Number One contains the policy and summarizes the criteria used in determining eligibility of terminal locations for establishment, discontinuance and improvements of air navigation facilities and air traffic control services. The investment criteria addressed in this report include ASR establishment, ASR discontinuance, improvements, remoted radar bright display scope, establishment of terminal radar approach control in tower cab (TRACAB), establishment of terminal radar approach control (TRACON) and TRACAB to TRACON conversion.

The ASR, used in conjunction with the ATCRBS and ARTS, upgrades a manual approach control facility to a radar approach control facility. The ASR, itself, is a primary surveillance radar which provides aircraft target information on air traffic controllers' display scopes. The ATCRBS is a cooperative secondary radar system which facilitates the identification of radar targets by interrogating transponder-equipped aircraft. ARTS is a minicomputer-based system that facilitates the display of alphanumeric identification data on controllers' display scopes. For convenience and simplicity, the ASR/ATCRBS/ARTS system will be referred to simply as "ASR" in this report.

The ASR establishment and discontinuance criteria are derived from a rigorous life-cycle benefit/cost analysis. Benefit/cost analysis, as applied to FAA facilities, equipment and services, is a quantitative evaluation in which the life-cycle capital, operating and maintenance costs of a facility or service are compared with the dollar value of the life-cycle benefits that are expected from that facility or service. Intuitively, benefit/cost ratios of one or more are good investments, while those of less than one are poor investments. Since the capital costs of an ASR system are sunk, the only relevant costs for an ASR system being considered for discontinuance are its recurring operations and maintenance costs (ignoring salvage value, relocation costs, etc.). The other supplemental criteria are not readily adaptable to rigorous benefit/cost analyses for system-wide application because benefits and costs may vary significantly from case to case. In lieu of detailed benefit/cost analyses for these supplemental criteria, activity levels are identified at which there is expected to exist reasonable relationships between benefits and costs. Meeting candidacy levels will not mean automatic qualification. Benefit/cost screening will be but one of several inputs to the FAA decisionmaking process relative to investment in ASR facilities. It will in no way affect the responsibilities of the operating services for the validation of candidates.

SECTION II - REVISED ASR INVESTMENT CRITERIA

This section summarizes the ASR investment criteria addressed in this report. The previous ASR investment criteria are reproduced in Appendix A. As discussed in Section I, the ASR establishment and discontinuance criteria are derived from a rigorous benefit/cost analysis, while the supplemental criteria for improvements, remoted radar bright display scope, TRACAB establishment, TRACON establishment, and TRACAB to TRACON conversion are based on activity levels at or above which marginal benefits are expected to exceed marginal costs. The previous criteria for TRACAB establishment, TRACON establishment and TRACAB to TRACON conversion remain unchanged.

Meeting candidacy levels will not mean automatic qualification. Benefit/cost screening will be but one of several inputs to the FAA decisionmaking process relative to investment in ASR facilities. Investment decisions will be made on the basis of all pertinent factors. These criteria will in no way affect the responsibilities of the operating services for the validation of candidates.

A. ASR Establishment

1. Phase I

ASR establishment criteria for FAA approach control towers are two-phased. Phase I is a set of simple generalized criteria designed to initially identify potential candidates. Under Phase I an airport ratio value is computed by summing the relative contributory benefits of ASR. If the airport ratio value obtained is equal to or greater than 1.0, the location satisfies the Phase I criteria for ASR/ATCRBS/ARTS establishment.

If radar coverage will be provided at or below initial approach altitude at secondary or satellite airports, an area ratio value is computed by summing the airport ratio values of the airports making up the radar service area. The Air Traffic Service will determine eligible locations under the area concept on a case-by-case basis. ASR coverage encompassing two or more airports may dictate changes in the operational responsibilities within the radar service area. Prudent management of resources may require that radar service ultimately be provided from that location, regardless of its current facility status, which can best serve the area.

The computation procedure and nomenclature for Phase I establishment criteria are outlined on the following pages.

<u>Contributing Benefit</u>	=	<u>Ratio Value</u>
Delay Reduction:		
<u>ACPRIM</u> 3,400 - (.0013 x PRIM) *	=	XXXX
<u>ATPRIM</u> 26,000 - (.0096 x PRIM) *	=	XXXX
<u>GAPRIM</u> 53,300 - (.0196 x PRIM) *	=	XXXX
<u>MLPRIM</u> 8,600 - (.0032 x PRIM) *	=	XXXX
Safety:		
<u>ACITN</u> 107,400	=	XXXX
<u>ATITN</u> 539,600	=	XXXX
<u>GAITN + GALCL</u> 847,200	=	XXXX
<u>MLITN + MLLCL</u> 376,200	=	XXXX
Sum of Ratio Values		<u>If 1 or greater, location satisfies Phase I criteria</u>

*If the denominator for any user class results in a value equal to or less than zero, disregard all denominators and use all of the following instead. For the air carrier user class: 9,300 - (.0034 x PRIM); for the air taxi user class: 71,200 - (.0262 x PRIM); for the general aviation user class: 146,000 - (.0538 x PRIM); and for the military user class: 23,400 - (.0086 x PRIM).

ACPRIM, ATPRIM, GAPRIM and MLPRIM, for a primary airport, are the numbers of annual primary instrument operations of the air carrier (FAR 121, 127 and 129), air taxi (FAR 135), general aviation (FAR 91) and military (FAR 91) user classes, respectively. For a qualified secondary airport, these terms are the numbers of annual primary instrument operations of the secondary airport by user class, or the respective numbers of secondary instrument operations by user class of the primary airport associated with or allocable to the secondary airport, whichever are greater.

PRIM, for a primary airport, is the number of total annual primary instrument operations (i.e., the sum of ACPRIM, ATPRIM, GAPRIM and MLPRIM). PRIM, for a qualified secondary airport, is the number of total annual primary instrument operations of the secondary airport, or the number of total annual secondary instrument operations of the primary airport associated with or allocable to the secondary airport, whichever is greater.

ACITN, ATITN, GAITN and MLITN are the numbers of annual itinerant operations of the air carrier, air taxi, general aviation and military user classes, respectively.

GALCL and MLLCL are the numbers of annual local operations of the general aviation and military user classes, respectively.

2. Phase II

Phase II is a site-specific computerized benefit/cost screening process under which candidates identified under Phase I are further evaluated. If an airport benefit/cost ratio or an area benefit/cost ratio of 1.0 or greater is computed, the location satisfies the Phase II criteria for ASR/ATCRBS/ARTS establishment. The ASR subroutine, integrated into the Terminal Area Forecast Data System, requires the following manual input data:

1. System acquisition and installation costs (FAA Form 2500-40, F&E Cost Estimate Summary).
2. Percent of time that IFR weather prevails at the proposed location, if available. For the purpose at hand, IFR weather is defined as weather in which visibility is less than 3 miles and/or the ceiling below 1,500 feet.
3. Fraction of the air carrier user class represented by each of the following aircraft type categories:

Turbofan, 4-engine, wide body
Turbojet, 4-engine
Turbofan, 4-engine, regular body
Turbofan, 3-engine, wide body
Turbofan, 3-engine, regular body
Turbofan, 2-engine, wide body
Turbofan, 2-engine, regular body
Turboprop
Piston

If this data is not available from local sources, the Official Airline Guide, or the Terminal Area Forecast Data System, national averages will be used as default values in the Phase II screening process.

4. Fraction of secondary instrument operations of each user class (air carrier, air taxi, general aviation, and military) of the primary airport allocable to each secondary or satellite airport. NOTE: This data is required only for those secondary or satellite airports that are provided "qualified" radar coverage by the proposed candidate airport at or below initial approach altitude.

B. ASR Discontinuance

Like ASR establishment criteria, ASR discontinuance criteria are two-phased. To determine whether an ASR facility meets the Phase I discontinuance criteria, a ratio value is calculated by the same sum-of-ratios approach described above for Phase I establishment criteria. If the ratio value so obtained is less than 0.35, the location satisfies Phase I discontinuance criteria. The 0.35 figure is an approximation of the level where the benefits just offset recurring annual operations and maintenance costs, after allowing for salvage value, relocation costs, etc. Initial acquisition and installation costs are irrelevant when an ASR system is being considered for discontinuance since they are sunk costs. Locations satisfying Phase I discontinuance criteria will be further screened under the Phase II benefit/cost screening process. If the benefit/cost ratio so obtained is less than 0.35, the ASR installation may be considered for discontinuance.

C. Improvements

Existing FAA approach control facilities equipped with ASR systems frequently require improvements (e.g., ARTS implementation, relocation of facilities to correct siting problems, component replacement, etc.). Such improvements are normally made when the operational benefits expected to be realized exceed the costs involved.

1. An FAA radar approach control facility recording 25,000 or more annual instrument operations qualifies for those improvements that satisfy an operational requirement and/or facilitate the provision of terminal area radar service. A benefit/cost study may be required for "major" improvements to terminal radar facilities in this category.
2. An FAA radar approach control facility recording between 15,000 and 25,000 annual instrument operations may be a candidate for improvements. It qualifies for those improvements that satisfy an operational requirement and/or facilitate the provision of terminal area radar service. A benefit/cost study may be required for "major" improvements to terminal radar facilities in this category.

3. An FAA radar approach control facility recording less than 15,000 annual instrument operations is not a candidate for improvements. At that activity level, the additional cost per operation resulting from the improvement is not commensurate with the benefit derived. Any improvement to terminal radar facilities in this category will be limited to the correction of a critical situation and shall be justified by an individual staff study.

NOTE: Improvements to FAA-staffed RAPCON's/RATCF's may be considered on an individual basis but the above criteria shall remain a major determinant in considering FAA civil facilities for improvement.

D. Remoted Radar Bright Display Scope

An FAA VFR control tower at an airport, which is a satellite of the primary airport of a radar approach control facility, is a candidate for a remoted radar display scope in the tower cab when:

1. At least 30,000 annual itinerant operations are recorded; and
2. Operationally adequate low altitude coverage is assured at the satellite airport.

E. Terminal Radar Approach Control in Tower Cab (TRACAB) and Terminal Radar Approach Control (TRACON).

1. Establishment. An initial ASR/ATCRBS/ARTS installation shall be a TRACAB facility consisting of appropriate displays placed in the tower cab except when any of the following situations prevail:
 - a. If the official agency forecasts indicate an ASR/ATCRBS/ARTS candidate location will exceed 125,000 annual itinerant operations or 60,000 annual instrument operations within 2 years of the year of budget submission for the facility, the initial installation should be planned as a TRACON rather than a TRACAB, subject to an operational determination by the Air Traffic Service. Instrument operations at secondary airports may be included in this forecast provided radar coverage at these locations is expected to exist at or below initial approach altitude.
 - b. If an ASR/ATCRBS/ARTS candidate location cannot physically accommodate radar approach control in the tower cab, then individual justification shall be required to go directly to a TRACON facility.
 - c. When the complexity of the facility operation warrants, individual justification and consideration shall be given to locating the ASR/ATCRBS/ARTS in a TRACON rather than a TRACAB.

2. Discontinuance. A TRACAB will be discontinued when the ASR system is decommissioned or when the radar approach control function is transferred to a TRACON.
3. Conversion to TRACON. A TRACAB location is a TRACON candidate when the facility has at least 125,000 annual itinerant operations or 60,000 annual instrument operations. Instrument operations at secondary airports that receive radar service at or below initial approach altitude may be included in this count. Also, when the complexity of the facility warrants, individual justification and consideration should be given to relocating from a TRACAB to a TRACON.

SECTION III - ASR COSTS

ASR costs and their life-cycle counterparts are shown in Figure 1 for an ASR installation configured as a TRACAB and in Figure 2 for an ASR installation configured as a TRACON. The total cost of an ASR system consists of facilities and equipment costs plus operations and maintenance costs. Facilities and equipment costs consist of equipment, installation and commissioning flight check costs. Operations and maintenance costs consist of such annual recurring costs as air traffic staffing, support (airway facilities staffing, spares, training, etc.) and utilities. It may be noted that while the average installed system acquisition cost of the solid-state ASR-9 is higher than that of the earlier vacuum-tube ASR models, the operations and maintenance costs are significantly lower. Life-cycle costs are calculated by discounting total operations and maintenance costs over the assumed 15-year economic life of an ASR system to their present value and adding them to facilities and equipment costs, which are assumed to occur at the beginning of the installation year. An ASR's economic life, as opposed to its physical life, provides a more relevant measure of its useful service life. A fifteen year economic life is consistent with published guidelines on transportation-related capital stocks (e.g., Reference 30). Even if a longer life was assumed, the impact would be nominal, because the costs and benefits in the out years are heavily discounted.

The facilities and equipment costs in Figures 1 and 2 include no allowances for remoted radar bright display installations or "leap-frogging" (i.e., the practice of replacing an already existing older generation ASR with a state-of-the-art ASR and relocating the older generation ASR to a newly qualifying site). When either or both of these actions are contemplated, appropriate adjustments should be made to facilities and equipment costs.

Once an ASR is established, the terminal air traffic control facility is organized as either a TRACAB (where the radar control area is located in the air traffic control tower with the usual cab positions) or a TRACON (which entails a separate IFR control room). Early difficulties were experienced with the utilization of the radar display scope in the tower cab due to the high ambient light level and space congestion. With existing improvements in BRITE display performance and tower cab layout, current opinion suggests that the TRACAB concept is feasible at some maximum level of hourly operations. Thus, the impact of adding a separate TRACON or IFR room is simply to relieve any congestion in the tower cab that might exist.

The addition of an IFR control room to a TRACAB increases costs in two ways. First, an extra flight data position (located in the TRACON) is generally required because of the coordination problem created by the physical separation of the controllers. Second, the additional construction and remoting costs of the IFR room must be added. The manpower cost is relatively constant, but the construction and remoting costs of the IFR control room are highly site-dependent. In some cases, existing structures might be used and/or space may be available close to the tower. In others, new construction may be required, possibly at some

distance from the tower. Thus, a TRACAB is more economical and preferable if it is operationally feasible. TRACAB/TRACON criteria are outlined in paragraph E of Section II.

The decision to organize as a TRACAB or TRACON rests on current and projected traffic activity, and whether the less costly, but capacity limited, TRACAB configuration is adequate. The criteria developed in this report presume the establishment of the TRACAB configuration unless one or more of the criteria for establishing a TRACON (as outlined in paragraph E of Section II) are satisfied.

The assumed initial staffing level of five controllers per ASR facility is based on the Air Traffic Staffing Standards System (Reference 3). This Standard reflects Air Traffic Service judgment and experience in determining staffing levels necessary to provide safe separation and efficient flow of traffic. It is important to note that the assumption is not that there would be five air traffic control specialists assembled around the radar displays in the tower cab. The Standard provides that a minimum of three controllers is needed to operate the radar over a two-shift operating day. To allow for seven-day staffing and training, a standard factor of 1.6 is applied. Three times 1.6 yields 4.8, showing that five additional controllers must be employed to initially staff the least elaborate terminal radar configuration in the system.

Current FAA policy establishes Expanded Radar Service (ERS) at each new ASR/TRACAB facility within six months after commissioning. When the ERS is established (either Stage II which provides sequencing and advisory services or Stage III which provides sequencing and separation services), the services provided contribute toward the total instrument count at the facility, and therefore, require a concomitant increase in staff. It is current practice to request three additional controllers (in addition to the five necessary to initially establish radar approach control service) for the ERS capability when a new facility is established as a TRACAB, making a total of 8 controllers.

FIGURE 1

Typical Life-Cycle ASR/ATCRBS/ARTS Costs - TRACAB Configuration (ASR-9)

	<u>Cost</u> <u>(1980 \$)</u>	<u>10%</u> <u>Discount</u> <u>Factor</u>	<u>15-Year</u> <u>Discounted</u> <u>Costs</u>
<u>Facilities and Equipment:</u>			
System acquisition cost (installed) ^{1/}	\$6,200,000	1.000	\$6,200,000
<u>Operations and Maintenance:</u>			
Air Traffic staffing (8 additional controllers @ \$36,076 ^{2/})	\$ 288,608		
Support (Airway Facilities staffing, spares, training, etc.)	54,600		
Utilities	<u>4,700</u>		
Total O&M	\$ 347,908	7.976 ^{3/}	<u>2,774,914</u>
<u>Total Discounted Life-Cycle Cost</u>			\$8,974,914 or \$8,970,000

^{1/}Does not include costs for remoted radar bright display installations and leap-frogging (see text).

^{2/}GS-12/5 salary of \$27,995 over 9 months and \$30,543 over 3 months equates to a weighted 1980 salary of \$28,632. Inflating this salary level by a fringe benefits overhead factor of 1.26 (per Reference 4) yields \$36,076 per controller in 1980 dollars.

^{3/}Future year costs are discounted to their present values using mid-year rather than end-of-year discount factors ($\sum (1/(1.1)^{y-0.5})$, for y = 1 to 15).

Sources: AAF-356 and Reference 3.

FIGURE 2

Typical Life-Cycle ASR/ATCRBS/ARTS Costs - TRACON Configuration (ASR-9)1/

	<u>Cost (1980 \$)</u>	<u>10% Discount Factor</u>	<u>15-Year Discounted Costs</u>
<u>Total Discounted Life-Cycle Cost of TRACAB (from Figure 1)</u>			\$8,970,000
<u>Incremental Costs of TRACON:</u>			
Additional flight data positions (2 @ \$36,076 ^{2/})	\$ 72,152	7.976 ^{4/}	575,484
Building of IFR room ^{3/}	\$180,000	1.000	180,000
Equipment relocation and cabling	\$ 19,000	1.000	19,000
Additional support & utilities	\$ 2,000	7.976 ^{4/}	<u>15,952</u>
<u>Total Discounted Life-Cycle Cost</u>			\$9,760,436 or \$9,760,000

^{1/}As explained in the text, actual costs may vary considerably from site to site. Site-specific costs should be used in actual practice. As with the TRACAB cost estimates in Figure 1, no allowances have been made here for remoted radar bright display installations and leap-frogging (see text).

^{2/}See Footnote 2 of Figure 1.

^{3/}New building:

Construction of a minimum
3,000 sq. ft. base building
@ \$100 per sq. ft.

Site preparation of existing
leased space for TRACON

Total 30,000

x Assumed incidence rate of 50% x.50 \$165,000

Modification of existing facilities:

\$30,000 x assumed incidence rate of 50% \$ 15,000

Expected cost \$180,000

^{4/}Future year costs are discounted to their present values using mid-year rather than end-of-year discount factors ($\sum (1/(1.1)^{y-0.5})$), for y = 1 to 15).

SECTION IV - METHODOLOGY FOR ESTIMATING ASR BENEFITS

A. Introduction

An ASR approach control facility, as opposed to a manual approach control facility, can provide benefits through two primary sources: reduced delays to aircraft operating under instrument flight rule (IFR) conditions made possible by reducing separations below those required by manual procedures; and reduced risks of midair and terrain collisions in the terminal area through the application of radar separation services. Secondary benefits provided by an ASR include visual flight rule (VFR) radar advisory service, radar flight assists and convenience. This section details the methodology used to quantify these benefits. Before addressing the benefits in detail, however, the more significant differences in benefit methodology are highlighted between the previous and revised criteria.

First, unlike the previous benefits methodology, the revised Phase I methodology extends credit for radar services provided to all user classes, rather than focusing on the air carrier user class.

Second, in response to many suggestions that ASR establishment criteria be modified to allow credit for radar coverage provided to secondary or satellite airports, this report introduces the "area concept" of providing qualified radar service to multiple airport sites having a common need for radar surveillance. Under this concept, benefits will be ascribed and computed for the secondary airport(s) as well as the primary airport when the airport traffic areas are in a proximity which causes constant overlap of arrival and departure routes. The activity of secondary airports may be included if the siting of the ASR can be expected to provide coverage at or below initial approach altitude at the secondary airport(s). The Air Traffic Service will determine eligible locations in this category.

The methodology employed in determining total benefits of multiple airport sites having a common need for an ASR system is as follows. Because both delay reduction and safety benefits outlined in this report are non-linearly related to activity, each of the airports in the potential radar service area will be addressed independently, i.e., a benefit/cost ratio will be computed for each airport making up the radar service area. An area ratio will be computed by summing the respective airport ratios. ASR coverage encompassing two or more airports may dictate changes in the operational responsibilities within the radar service area. Prudent management of resources may require that radar service ultimately be provided from that location, regardless of its current facility status, which can best serve the area.

Third, the revised benefits methodology is more site-specific. In the previous criteria, benefits were based only on the aviation activity for the first year of the ASR's operation and costs were annualized. Changes in aviation activity growth were not represented in the benefits calculation. The revised benefits methodology uses official aviation activity forecasts by quantifying the benefits independently for each year of an ASR's estimated 15-year economic life and discounting the benefits for each year to their present value. These are summed to represent the present value life-cycle benefits. An ASR's economic life,

as opposed to its physical life, provides a more relevant measure of its useful service life. A fifteen year economic life is consistent with published guidelines on transportation-related capital stocks (e.g., Reference 5). Even if, a longer life were assumed, the impact would be nominal, because the benefits and costs in the out years are heavily discounted.

Lastly, the revised benefits methodology incorporates updated economic or critical values, including the value of time of aircraft passengers/occupants, the value of a statistical life, the cost of a statistical serious injury, aircraft replacement and restoration costs and aircraft variable operating costs.

B. IFR Delay Reduction Benefits

The establishment of an ASR at an approach control tower provides controllers with a visual representation of their traffic. It permits the use of reduced separation standards during IFR conditions and provides controllers with the capability of vectoring arrival and departure traffic, thereby increasing the utilization of the terminal area airspace and expediting the flow of traffic.

A National Bureau of Standards report, A Concept for New Establishment Criteria for Airport Surveillance Radar (Reference 6), prepared under an interagency agreement with the FAA, derived radar-preventable delays for various traffic mixes and levels of operation. When used in conjunction with unit delay costs, IFR delay reduction benefits attributable to an ASR can be readily obtained. NBS's DELCAP simulation model was used to obtain estimates of delay with and without ASR available. Since delay reduction benefits of ASR are realized principally under IFR conditions, IFR separation rules were used. It was assumed that ASR would permit minimum spacing (3 nautical miles for the type of airport relevant to this report) to be maintained at high levels of traffic, while without ASR various manual procedures would result in average spacings of 7.5, 10, and 15 nautical miles at the same traffic levels. It was further assumed that the airports in question would be operating in a single runway configuration when IFR conditions prevailed.

Two user classes, whose pertinent flight characteristics are given below, were used in the simulation runs. The flight characteristics of the general aviation user class are also attributed to the air taxi and military user classes in this report.

Flight Characteristics

<u>User Class</u>	<u>Speed (Knots)</u>		<u>Runway Occupancy (Seconds)</u>	
	<u>Landing</u>	<u>Liftoff</u>	<u>Landing</u>	<u>Takeoff</u>
General Aviation (GA)	90	90	35	25
Air Carrier (AC)	125	120	34	32

Four mixes of these user classes were run: 10 percent GA - 90 percent AC; 20 percent GA - 80 percent AC; 40 percent GA - 60 percent AC; and 80 percent GA - 20 percent AC. Five traffic activity levels were simulated: 10, 15, 20, 25, and 30 operations per hour. The distributions of the number of arriving aircraft was assumed to be Poisson. In each case, it was assumed that half of the operations are landings and half are takeoffs.

Delay was calculated for each aircraft, takeoff and landing, as the difference of the flight time actually required from that which would have occurred had no other aircraft been present. The calculations of the delays in landings plus the delays in takeoffs are shown in Figure 3. The values listed in Figure 3 are the average of the results obtained from 20 simulation runs utilizing different strings of random numbers for generating the arrivals. They represent total delay per hour of airport operation of aircraft landing and taking off for each of the 4 mixes of aircraft for airports operating in 4 different approach environments; i.e., 3, 7.5, 10, and 15 nautical mile separation of aircraft.

The ultimate capacities listed in Figure 3 were calculated as shown in the following example. At the 10 nautical mile separation, the average time between the touchdown of one aircraft and the touchdown of a following general aviation aircraft is:

$$\frac{10 \text{ nautical miles}}{90 \text{ knots}} = 0.11 \text{ hour}$$

and the average time between the touchdown of one aircraft and the touchdown of a following air carrier aircraft is:

$$\frac{10 \text{ nautical miles}}{125 \text{ knots}} = 0.08 \text{ hour}$$

At the 80 percent AC traffic mix, the probability of the landing aircraft being AC is 0.80, and the probability of the landing aircraft being GA is 0.20.

Therefore, the average time required per landing aircraft is:

$$(.08 \times .80) + (.11 \times .20) = 0.086 \text{ hour}$$

which yields an average rate of 11.6 landing aircraft per hour with no takeoffs. In this example, i.e., 10 nautical mile separation, the separation between landing aircraft is great enough to permit takeoffs without affecting the sequence of landings. Therefore, since half of the operations are landings and half takeoffs, the total potential operations per hour is 23 under the conditions stated. Since these conditions can be considered as "ideal" relative to the actual conditions under which an airport operates, the capacities computed in this manner are considered "ultimate." A slower aircraft landing ahead of a faster aircraft will generate a delay. This factor becomes evident when the plots of the total delay for a 60 percent AC mix are compared with higher and lower percentages of AC aircraft, as illustrated in Figure 4.

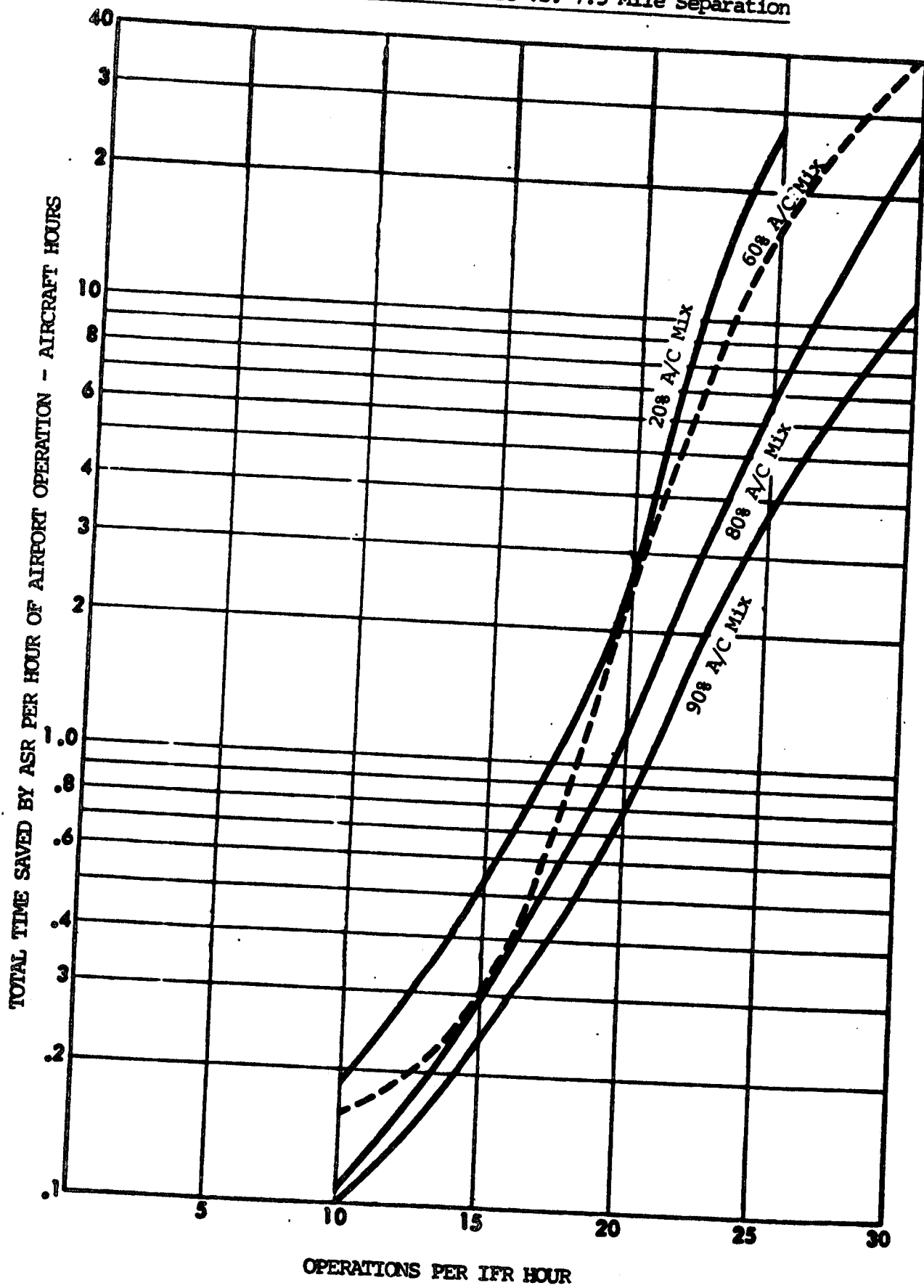
FIGURE 3

Total Minutes of Aircraft Delay Per Hour of Airport Operation
for Varying Numbers of Operations Per Hour

<u>Operations per Hour</u>	<u>90% A/C</u>	<u>80% A/C</u>	<u>60% A/C</u>	<u>20% A/C</u>
<u>3 Nautical Mile Separation</u>				
10	8.7	10.6	11.6	18.8
15	15.7	22.3	31.6	34.1
20	32.5	41.5	52.7	54.4
25	56.7	68.1	79.0	86.2
30	83.8	95.1	102.8	111.8
<u>7.5 Nautical Mile Separation</u>				
10	14.7	16.9	21.2	29.9
15	30.0	39.8	49.1	68.2
20	81.5	106.3	185.3	194.8
25	283.6	472.2	1,061.2	1,701.0
30	744.0	1,549.0	2,620.0	O/C
Ultimate Capacity (opns./hr.)	(32)	(31)	(30)	(26)
<u>10 Nautical Mile Separation</u>				
10	21.9	28.1	33.5	43.2
15	48.6	51.5	82.6	119.9
20	299.1	444.4	889.4	O/C
Ultimate Capacity (opns./hr.)	(24)	(23)	(22)	(19)
<u>15 Nautical Mile Separation</u>				
10	57.0	65.3	79.4	129.5
15	153.8	171.1	O/C	O/C
Ultimate Capacity (opns./hr.)	(16)	(15)	(14)	(12)

O/C = over capacity

FIGURE 4
 Time Saved By ASR: 3 Mile vs. 7.5 Mile Separation



In general, at the lower levels of operations per hour, the delay for takeoffs is greater than that for landings since the landing aircraft always have priority. However, as the ultimate capacities are approached, the simulation model permits landing delays to exceed delays in takeoffs to avoid an excessive departure queue.

Additional simulation runs were made at the 3 and 7.5 nautical mile separations increasing the runway occupancy for landing AC aircraft from 34 seconds to 60 seconds. No significant differences in the total delays were apparent for this increase. However, the data suggests that runway occupancy periods of over 60 seconds can become critical when computing delays for a 3 nautical mile separation distance.

For purposes of this report, total delay differentials shown in Figure 3 between the 3 and 7.5 nautical mile separation standards have been converted to average delay savings per aircraft for varying numbers of operations per hour of airport operation, as illustrated in Figure 5. Figure 4 graphically illustrates total aircraft hours saved by ASR per hour of airport operation by lowering the separation standard from 7.5 to 3 nautical miles for the 20%, 60%, 80% and 90% AC mixes.

Given the NBS methodology described above for deriving hourly IFR delay differentials between radar and non-radar environments for various hourly activity levels, the following additional data must be determined in order to compute IFR delay reduction benefits for a specific site:

1. Number of operations during a busy IFR hour;
2. Hourly cost of delay of the aircraft type mix, and
3. Frequency of busy IFR hour

An "IFR hour" is defined as one during which instrument approach weather conditions prevail, usually a ceiling of 1,500 feet or less and/or visibility of 3 miles or less. It is recognized that radar can reduce delays by reducing separation when instrument conditions exist at high altitudes as well. However, data on the frequency of occurrence of "better" IFR weather, e.g., 4000-5, is not available and the 1500-3 observations, which are available (Reference 7), are thought to approximate total IFR conditions closely enough for this purpose. A "busy" IFR hour, as the term connotes, is as an hour during which high instrument operations activity takes place. To estimate the number of operations during a busy IFR hour, a regression analysis between reported busy IFR hour operations and annual instrument operations was performed for 252 towered airports (after omitting outliers). The results of this analysis are presented in Appendix B and summarized in Figures 6 and 7. The data upon which this regression analysis is based were obtained from periodic counts made by tower personnel during periods of known high instrument operations activity (Reference 8). After determining the number of operations during a busy IFR hour and the percent of instrument operations represented by the air carrier user class, the time saved per aircraft per hour of airport operation can be found by referring to Figure 5.

FIGURE 5

Hours Saved per Aircraft for Varying Numbers of Operations Per Hour of Airport Operation
 (3 vs. 7.5 nautical mile separation)

Operations/ Busy IFR Hour	Percent Air Carrier Instrument Mix							
	90%	80%	70%	60%	50%	40%	30%	20%
10 or less	.010	.011	.013	.016	.017	.017	.018	.018
11	.011	.012	.014	.016	.017	.017	.019	.021
12	.012	.013	.014	.016	.018	.018	.020	.022
13	.013	.014	.015	.016	.018	.019	.023	.027
14	.014	.016	.017	.018	.020	.021	.026	.030
15	.017	.020	.020	.021	.023	.025	.031	.036
16	.019	.023	.023	.024	.027	.030	.037	.044
17	.022	.028	.029	.029	.033	.036	.045	.053
18	.028	.033	.037	.043	.050	.060	.069	.078
19	.032	.042	.053	.070	.080	.085	.089	.095
20	.040	.055	.075	.110	.113	.115	.118	.120
21	.052	.076	.105	.176	.183	.190	.195	.200
22	.068	.109	.150	.264	.277	.291	.327	.364
23	.087	.152	.217	.374	.404	.435	.500	.565
24	.125	.200	.308	.425	.504	.583	.708	.833
25	.152	.272	.480	.640	.720	.800	.940	1.080
26	.185	.346	.615	.808	.923	1.038	1.212	1.385
27	.222	.444	.667	.963	1.093	1.222	1.426	1.630
28	.271	.536	.786	1.107	1.268	1.429	1.607	1.786
29	.310	.655	.931	1.241	1.414	1.586	1.759	1.931
30 or more	.367	.800	1.033	1.400	1.567	1.733	1.900	2.067

FIGURE 6

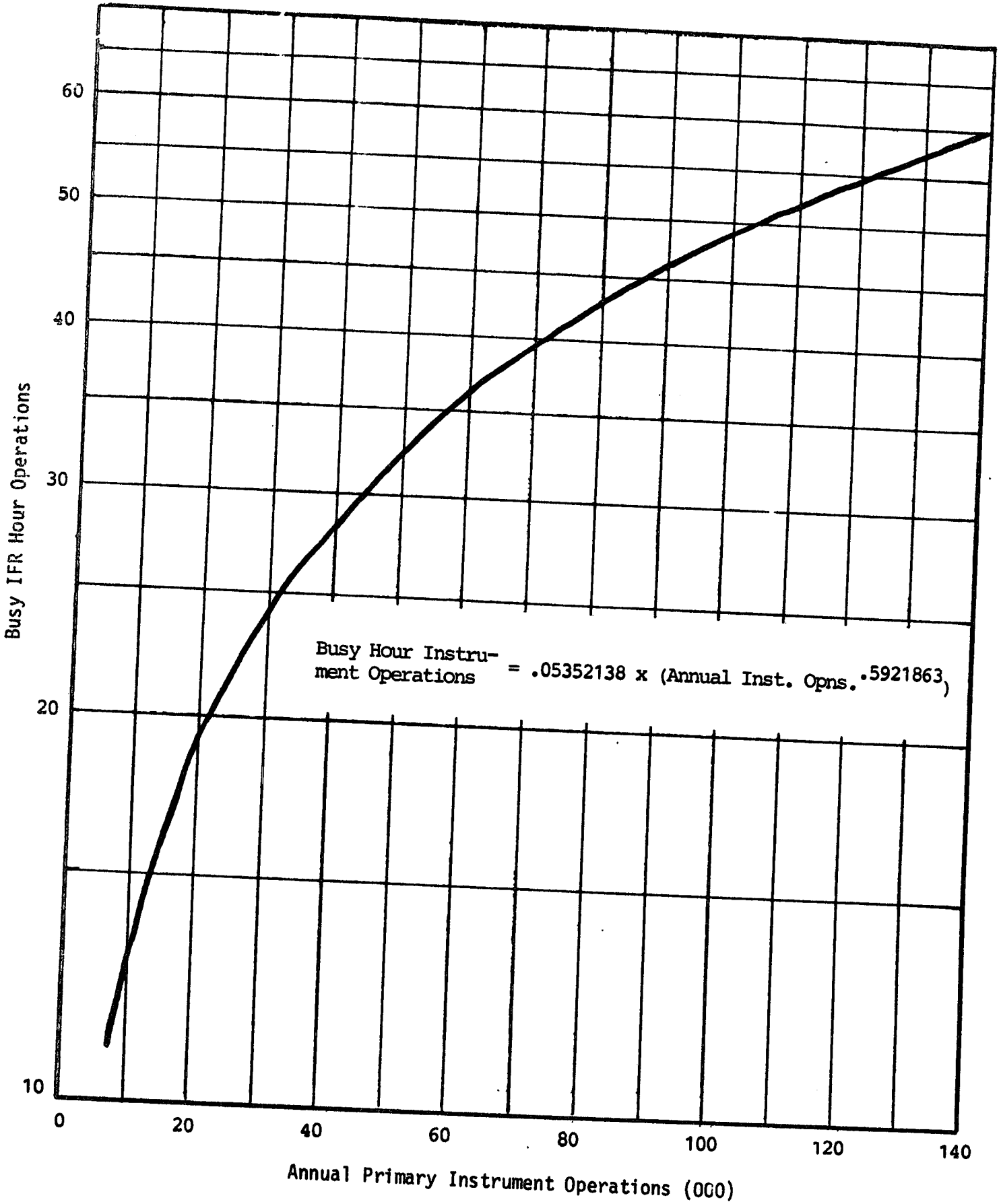
Relationship Between Busy IFR Hour Operations and Annual Instrument
Operations Based on Regression Analysis of 252 Towered Airports

<u>IFR BUSY HOUR OPNS</u>	<u>RANGE OF ANNUAL INSTRUMENT OPNS</u>	<u>IFR BUSY HOUR OPNS</u>	<u>RANGE OF ANNUAL INSTRUMENT OPNS</u>
0	0-43	32	47,557-50,133
1	44-277	33	50,134-52,766
2	278-658	34	52,767-55,453
3	659-1,163	35	55,454-58,194
4	1,164-1,778	36	58,195-60,989
5	1,779-2,495	37	60,990-63,838
6	2,496-3,309	38	63,839-66,739
7	3,310-4,214	39	66,740-69,692
8	4,215-5,206	40	69,693-72,697
9	5,207-6,281	41	72,698-75,754
10	6,282-7,438	42	75,755-78,862
11	7,439-8,673	43	78,863-82,021
12	8,674-9,985	44	82,022-85,230
13	9,986-11,371	45	85,231-88,490
14	11,372-12,829	46	88,491-91,799
15	12,830-14,359	47	91,800-95,157
16	14,360-15,958	48	95,158-98,564
17	15,959-17,625	49	98,565-102,020
18	17,626-19,359	50	102,021-105,525
19	19,360-21,159	51	105,526-109,078
20	21,160-23,023	52	109,079-112,678
21	23,024-24,952	53	112,679-116,326
22	24,953-26,943	54	116,327-120,021
23	26,944-28,996	55	120,022-123,764
24	28,997-31,110	56	123,765-127,553
25	31,111-33,284	57	127,554-131,388
26	33,285-35,518	58	131,389-135,270
27	35,519-37,810	59	135,271-139,198
28	37,811-40,161	60	139,199-143,171
29	40,162-42,570	61 and over*	
30	42,571-45,035		
31	45,036-47,556		

*Busy hour instrument operations for higher activity levels may be found by solving the formula in Figure 7.

FIGURE 7

Relationship Between Busy IFR Hour Operations and Annual Primary Instrument Operations
Based on Regression Analysis of 252 Towered Airports



Basing estimates of annual delay costs on the relatively few hours of the day that are "busy" may appear to understate those costs. However, delays increase exponentially with increases in traffic activity, and the rise is very rapid as the operations rate approaches capacity. Omitting the lower activity hours, therefore, will have little impact on total annual delays, and that impact will be offset on those occasions when higher rates of operation are experienced.

Total hours of aircraft delay per hour of airport operation multiplied by average delay costs per hour yields the total cost of delay generated during one hour of airport operation. Delay costs include aircraft variable operating costs and the value of aircraft passengers'/occupants' time. "Aircraft variable operating costs," as used in this report, include the costs of flight crews (for air carrier and air taxi), fuel and oil, and direct maintenance of airframe, avionics and engine. Depreciation, amortization of capital leases, insurance, hangar and tie-down fees, and other costs of a fixed or semi-fixed nature are considered irrelevant for purposes of measuring the cost of delay. The value of time of aircraft passengers/occupants and aircraft variable operating costs used in this report were taken from Reference 9. Hourly delay costs by aircraft type are illustrated in Appendix C and weighted and summarized by user class in Figure 8.

FIGURE 8

Delay Cost Per Hour By User Class
(1980 Dollars)

<u>User Class</u>	<u>Weighted Aircraft Variable Operating Cost/Hour</u>	<u>Weighted Number of Passengers/Occupants^{1/}</u>	<u>Value of Occupants' Time @ \$17.50/Hour</u>	<u>Total Delay Cost/Hour</u>
Air Carrier	\$1,169	45.7	\$800	\$1,969
Air Taxi ^{2/}	174	4.8	84	258
General Aviation	79	2.7	47	126
Military	709	4.2	74	783

Sources: Appendices C, E-1 and E-2. Data are weighted by the expected mix of aircraft types within each user class at potential establishment candidate airports.

^{1/}Because crew salaries are included in air carrier and air taxi variable operating costs, "passenger" load factors are used. "Occupant" load factors are used for the general aviation and military user classes.

^{2/}Air taxi includes air commuter aircraft.

Reference 10 provides an indication of percentage of traffic activity on an hourly basis for air carrier, general aviation, and military aircraft. Examining the data, heavy airport activity with respect to operations takes place for about 4 hours a day during weekdays and 2 hours a day during weekends, or about 1,252 hours a year. Reference 7 documents the percentage of IFR weather from samplings of hourly airport weather observations segmented on a monthly basis and on an annual basis by 8-hour differentials for 271 airports. The Terminal Area Forecast Data System, maintained by FAA-APO, also contains IFR weather data for many locations. Multiplying 1,252 hours by the average annual IFR occurrence rate gives an estimate of the number of times a year a busy IFR hour occurs.

In order to determine the annual delay reduction benefits of ASR, the delay time avoided per busy IFR hour is multiplied by the frequency that the busy IFR hour occurs in a year. The product yields the total time of preventable delay. This product multiplied by the hourly cost of delay (Figure 8) yields the dollar benefits of annual IFR delay reduction. The life-cycle value of IFR delay reduction benefits is derived by computing and summing this value for each year of an ASR's 15-year economic life and discounting to the present value equivalent, or:

$$\sum_{y=1}^{15} \left\{ \text{DELTOT}_y \times 1/(1+d)^{y-0.5} \right\}$$

where 'y' is each of 15 years of an ASR's economic life, 'DELTOT' is the nondiscounted IFR delay reduction benefits in year 'y', and 'd' is the OMB-prescribed discount rate of ten percent. In cases where qualifying radar service is provided by the airport to secondary airports under the "area concept" outlined in the introduction to this section, delay reduction benefits are computed independently for each of the respective airports.

An application of the above methodology in a manual computation of the benefits of IFR delay reduction is illustrated in Section V. In actual practice, this computation will be performed by a computer program in the Phase II benefit/cost screening process.

C. Safety Benefits

This section examines the measurement of safety provided by an ASR from the standpoint of avertable midair and terrain collisions, VFR radar advisory service and radar flight assists.

1. Collision Analysis

Two kinds of aircraft accident risks can potentially be reduced by ASR systems - midair collision and terrain collision risks. The benefits of the expected reduction of these types of accidents are outlined in this section. Midair collisions, while not the most common form of accident, are a major concern of all facets of the air traffic control process. The air traffic control system maintains specified separation minima between aircraft while trying to expedite the movement of traffic so as to decrease delays to users of the system. The large number of aircraft flying under visual flight rules in the typical ARTS terminal compounds this problem, especially during peak periods. The second kind of accident which can be potentially reduced by ASR systems is terrain collision accidents. ARTS II enhances the ability of the terminal air traffic control system to reduce the incidence of such accidents by its Minimum Safe Altitude Warning (MSAW) and Conflict Alert (CA) features.

a. Midair Collision Analysis

The availability of an ASR/ATCRBS/ARTS system provides a mechanism for reducing the risk and incidence of midair collisions. The basic data used to derive upper bound midair collision avoidance benefits in this report is from the Civil Aviation Midair Collisions Analysis (Reference 11), performed by the MITRE Corporation in 1973, and the 1974 addendum to that report (Reference 12). The data was derived from National Transportation Safety Board accident reports for the nine year period between January 1964 and December 1972. Although the analyses are somewhat aged, their results are generally consistent with those of more contemporary analyses, as indicated in the footnote to Figure 9. The total midair collisions (and associated fatalities) were segregated according to the place of occurrence (airport, enroute, or terminal area), circumstance of collision (runway, midair, nature of ATC control, etc.) and, in the case of airport area collisions, whether the airport was controlled or not.

Figure 9 provides a tabular summary of the results of regression analyses of airport area collisions over an eight year period at uncontrolled, controlled and VFR-towered airports from Reference 11. "Airport area" is defined as the airspace within 5 nautical miles of the airport. The general form assumed was $c = an^b$, where 'c' is the average number of collisions per airport over the period January 1964 - December 1971, 'a' and 'b' are the coefficients which yielded the least error between the actual and estimated numbers of collisions, and 'n' is the average number of aircraft operations per airport in 1971 in units of 100,000. The 1972 collision data provided by Reference 12 did not change the formulae.

FIGURE 9

Summary of Airport Area Collision Regression Analyses*

<u>Airport Category</u>	<u>Best Collision Estimator (c) For January 1964-December 1971</u>
Uncontrolled	$0.13n^{0.92}$
Controlled	$0.048n^{1.54}$
VFR Towers	$0.028n^{2.3}$

*These formulae are applicable only over a relevant range of activity.

When scaled to an annual basis by a scaling factor of 7 to account for activity growth over the eight year period, the airport collision formulae become $.019n^{0.92}$ and $.007n^{1.54}$ for uncontrolled and controlled airports, respectively. The latter is consistent with more contemporary but preliminary work done by Graham and Faison (Reference 13) which yields a formula of $(n/10)^2/2.3902$ for controlled airports, again with n in 10^5 operations. The rationale for the third category, "VFR towers," is that none of the collisions at controlled airports occurred between aircraft which were sequenced on radar by the approach control facility. Rather, the collisions typically occurred at very busy general aviation airports where a busy local controller, without the benefit of a BRITE display, was responsible for procedurally sequencing all aircraft to the runway. Thus, this category represents an attempt to get at the subset of all controlled airports which actually produced the observed collisions, i.e., the "VFR tower" airports. It is this formula upon which the benefits of radar-preventable midair collisions are based in this report.

The data base from which this relationship was derived included 296 midair collisions between January 1964 and December 1972. Thirty-four ASR-preventable midair collisions occurred within five miles of controlled airports: 2 IFR-VFR collisions and 32 VFR-VFR collisions. The airport area is defined as the airspace within 5 nautical miles of the airport. An additional 52 ASR-preventable midair collisions occurred in terminal areas outside the airport area: 1 IFR-IFR collision, 12 IFR-VFR collisions and 39 VFR-VFR collisions. The terminal area is defined at the airspace within 30 nautical miles of the airport. Thus, for a nine year period, there were 86 airport and terminal area midair collisions potentially avertable by today's ASR-9. If terminal area collisions are distributed approximately in proportion to airport collisions, an upper bound for the expected number of annual midair collisions avertable by ASR can be estimated by:

$$\frac{86}{34} \times \frac{0.028n^{2.3}}{7} = .010n^{2.3}$$

where n is the number of annual aircraft operations in units of 100,000 or 10^5 . Based on this formula, Figure 10 outlines expected numbers of ASR-preventable midair collisions within the relevant range of activity for new ASR establishment locations.

FIGURE 10

Relationship Between Total Aircraft Operations and Number of Avertable Midair Collisions Expected by ASR

Annual Aircraft Operations (000)	Expected Number of Annual Avertable Midair Collisions*
50	.002
55	.003
60	.003
65	.004
70	.004
75	.005
80	.006
85	.007
90	.008
95	.009
100	.010
105	.011
110	.012
115	.014
120	.015
125	.017
130	.018
135	.020
140	.022
145	.024
150	.025
155	.027
160	.029
165	.032
170	.034
175	.036
180	.039
185	.041
190	.044
195	.046
200	.049

Source: Reference 11

*The expected number of avertable midair collisions may be approximated by solving for $.010n^{2.3}$, where n is the number of annual aircraft operations in units of 100,000.

The equation used to estimate the number of preventable midair collisions as a function of total operations in this report yields significantly fewer collisions than the equation used in the current establishment criteria (Reference 2). Appendix F of Reference 11 contains a complete discussion of the merits of the formula used here versus the one used in Reference 2.

The costs of a midair collision include damage to aircraft, the value of lives lost and the costs of injuries. Collision losses used in the development of the criteria in this report are based on the unit values and costs outlined in Reference 9 and illustrated in Appendix D to this report. Expected midair collision costs per aircraft per involvement are illustrated in Figure 11. The expected number of preventable midair collisions multiplied by the appropriate collision costs for various classes of aircraft yields the estimated midair collision avoidance benefits credited to the establishment of an ASR. The life-cycle value of midair collision avoidance benefits is derived by computing and summing the expected value for each year of an ASR's 15-year economic life and discounting to the present value equivalent, or:

$$\sum_{y=1}^{15} \left\{ \text{MACTOT}_y \times 1/(1+d)^{y-0.5} \right\}$$

where 'y' is each of an ASR's 15-year economic life, 'MACTOT' is the nondiscounted midair collision avoidance benefits in year 'y,' and 'd' is the OMB-prescribed discount rate of ten percent.

The application of the above factors in a manual computation of the safety benefits of ASR is illustrated in Section VII. In actual practice, this computation will be performed by a computer program in the Phase II benefit/cost screening process.

FIGURE 11

Expected Midair Collision Costs per Aircraft per Involvement
(Thousands of 1980 Dollars)

<u>User Class</u>	<u>Costs of Fatalities and Injuries</u>	<u>Cost of Aircraft Damage</u>	<u>Total Costs</u>
Air Carrier	\$12,446	\$1,871	\$14,317
Air Taxi*	1,176	111	1,287
General Aviation	553	66	619
Military	1,038	1,108	2,146

Sources: Appendices D, E-1 and E-3. Data are weighted by the expected mix of aircraft types within each user class at potential establishment candidate airports.

*Air taxi includes air commuter aircraft.

b. Terrain Collision Analysis

The major sources of safety benefits provided by ARTS was found in a report entitled ARTS II Enhancements Costs and Benefits (Reference 14) to be its Minimum Safety Altitude Warning (MSAW) and Conflict Alert (CA) features. Benefits generated from MSAW result from the prevention of terrain collisions while those from CA result from the prevention of midair collisions. Since the preceding section on midair collisions derives the total expected difference in incidence of midair collisions between radar and non-radar environments, no further benefits are ascribed to CA because to do otherwise would potentially result in double counting. The benefits attributable to preventable terrain collisions outlined below are from the above referenced report, updated to reflect the value of a statistical life and aircraft replacement values (from Reference 9). The analysis of aircraft accidents used a series of National Transportation Safety Board files for accidents occurring near ARTS II candidate airports between 1967 and 1972 (during and at which 41,540,000 aircraft operations took place). There were 104 fatal accidents at or near the ARTS II sites during this period. Nineteen of these were collisions with the terrain or obstructions and were considered potentially preventable by MSAW. In this group were 44 fatalities and 19 general aviation aircraft destroyed. No air carrier aircraft were involved in any of these preventable accidents.

The benefits associated with MSAW can be calculated using the annual cost of preventable terrain collision accidents. The total value of the lives and aircraft lost in the 19 fatal accidents over the six year period was \$24,384,000 or \$0.587 per operation, calculated as follows:

44 fatalities	x \$530,000	= \$23,320,000
19 GA aircraft	x \$56,000	= <u>1,064,000</u>
Total		\$24,384,000
Operations		41,540,000
Loss per operation		\$ 0.587

Since all of the fatal terrain collision accidents involved general aviation aircraft, MSAW would only be effective if the aircraft were equipped with altitude encoders. The preventable loss must be adjusted since MSAW works only with altitude data. Figure 12 outlines percentages of all aircraft (including air carrier, air taxi, general aviation and military) which are estimated to be equipped with altitude encoding avionics over the next several years at a group of ARTS-II sites, as developed in Reference 14.

FIGURE 12

Forecasts of Mode C Usage (Total Aircraft Population)

<u>Year</u>	<u>Mode C Factor (%)</u>
1982	
1983	48
1984	52
1985	56
1986	60
1987	64
1988	68
1989	72
1990	76
1991	80
1992	84
1993	88
1994	92
1995	94
1996	95 (extrapolated)
1997	96 (extrapolated)
1998	97 (extrapolated)
1999	98 (extrapolated)
2000	99 (extrapolated)
	100 (extrapolated)

Thus, the present value benefits of MSAW over a 15 year life-cycle at a 10 percent discount rate can be defined as:

$$\sum_{y=1}^{15} \$.587 \times \text{OPS} \times \text{MODECF} \times (1/(1+d)^{y-0.5})$$

where 'y' is each of the 15 years of an ASR's economic life, 'OPS' is the total aircraft operations in year 'y,' 'MODECF' is the Mode C factor in year 'y,' and 'd' is the OMB-prescribed discount rate of ten percent.

2. VFR Radar Advisory Service. VFR radar advisory service is provided on a work-permitting basis at towers which have terminal radar. It is a service provided primarily to general aviation aircraft. The service consists of radar traffic information, separation between an aircraft receiving radar traffic information and observed traffic, safety advisory to radar-identified aircraft when a situation appears to affect the safety of the aircraft, altitude conflict separation, weather and chart information and radar navigation assistance to avoid those areas, bird activity information, holding pattern surveillance and navigation guidance. All of these activities contribute to an absolute improvement of the level of safety in a radar environment compared with a terminal

environment without radar, but none are easily quantified. Towers record the number of times the service is given, but the significance of each event is not recorded and would obviously be very difficult to ascertain. Accordingly, no attempt has been made in this report to quantify and value these services. The significance of this omission is rather immaterial since the pilot can still resort to other FAA-provided means of assistance such as VHF Direction Finder and emergency services offered through flight service stations (FSS), air route traffic control centers (ARTOC) and tower facilities. ASR can be classified as only an alternative and limited means of offering navigation assistance to pilots in the immediate area of the airport.

3. Radar Flight Assists. Primary reasons for flight assists include the pilot being lost, low on fuel, caught on top and equipment malfunction. Flight assists can and are performed without the aid of radar, but the user of flight assistance during an emergency enjoys a definite advantage when he is helped by a radar-equipped tower. The user, predominately a general aviation itinerant pilot, is in a relatively safer position from the time radar contact is established until the time he is in a safe position on the ground. This relative safety is due to the fact that the user is an identified target on the radar scope. He can be followed, guided and landed at the nearest suitable airport, or sent on his way. As in the case of VFR radar advisory service, radar flight assists afford an absolute improvement on the level of safety over that of a terminal environment which has no radar, but their value is not easily quantified. Again, these intangible services are not taken into account in the benefits developed in this report because of the difficulty of their measurement and valuation. Again this omission is not significant, since ASR should be viewed as only an alternative and limited means of offering navigation assistance to pilots in the immediate area of the airport. The pilot can still resort to other FAA-provided means of assistance such as VHF Direction Finder and emergency services offered through FSS, ARTOC and tower facilities.

D. Convenience

A third major benefit of ASR, both to the controller and the user, is that of convenience. The user benefits from the feeling of having added protection with radar. Convenience to the user arising from reduced flying time is addressed in an earlier part of this section dealing with IFR delay reduction. For the controller, convenience is realized from the reduction in the difficulty of retaining a mental image of the activity he is controlling, or more simply, a reduction in the mental strain of moving traffic. Because there is insufficient data upon which to attach a monetary value to these aspects of radar, they have not been quantified in the benefits developed in this report.

SECTION V - DEVELOPMENT OF PHASE I ASR ESTABLISHMENT
AND DISCONTINUANCE CRITERIA

This section explains how the Phase I ASR establishment and discontinuance criteria were derived. Phase I criteria, published in Airway Planning Standard Number One (Reference 1), are a set of generalized criteria designed to initially identify potential candidates. Phase I criteria are easily applied with available data without the aid of a computer. Phase II is a site-specific computerized benefit/cost screening process under which candidates identified by Phase I are further evaluated. Figure 14 of Section VI provides Phase I and II results for all principal FAA approach control towers (other than CIFRR's, CERAP's, RAPCON's and RATCF's) based on extrapolated Terminal Area Forecasts over the 15 year period Fiscal Years 1982 through 1996.

At any given site, the respective contributions of IFR delay reduction and safety benefits to the Phase II benefit/cost ratio can be expressed as:

$$\text{Delay Reduction Component of Benefit/Cost Ratio} = \frac{\text{TSAVE}_1 \times \text{PRIM}_1 \times \text{BHIO}_1 \times \text{VOC}_1 \times (\text{PIFR}/100) \times \text{BHPY} \times \text{NDF}}{\text{DISCST}}$$

$$\text{Accident Reduction Component of Benefit/Cost Ratio} = \frac{(\text{MACBEN}_1 + \text{TRCBEN}_1) \times \text{OPS}_1 \times \text{NDF}}{\text{DISCST}}$$

where for the first year of operation:

BC is the Phase II benefit/cost ratio,

TSAVE is the number of delay hours saved per aircraft per hour of airport operation (a function of airport capacity busy hour operations and user class mix),

PRIM is the number of primary instrument operations,

BHIO is the number of operations during a busy IFR hour,

VOC is the hourly variable operating cost of the aircraft mix,

PIFR is the percent of time that IFR weather prevails,

BHPY is the number of busy hours per year,

NDF is a factor by which first year benefits can be inflated to their life-cycle equivalent, taking into account discounting and activity growth,

DISCST is the life-cycle cost,

MACBEN is the expected benefit per operation of averted midair collisions,

TRCBEN is the expected benefit per operation of averted terrain collisions, and

OPS is the number of total aircraft operations.

The objective in developing Phase I criteria is to derive a simple relationship that when applied to first year activity data will produce a reasonable approximation of the Phase II benefit/cost ratio. This relationship should be sensitive to activity by user class composition because the value of ASR benefits differs by user class. Note in the above formulae that the activity measures used to determine the benefits of IFR delay reduction and collision avoidance benefits are primary instrument operations (PRIM) and total aircraft operations (OPS), respectively.

For each FAA towered airport, values of PRIM₁ and OPS₁ for each user class required to achieve a life-cycle benefit/cost ratio of unity (i.e., where benefits just break-even or offset costs) were calculated using the computer program described in Appendix F. The range of values derived for OPS was narrow, with medians of 107,400 for air carrier, 539,600 for air taxi, 847,200 for general aviation and 376,200 for military. Unfortunately the results obtained for PRIM were disparate because site specific weather (PIFR) and PRIM are unrelated and there is an exponential relationship between TSAVE and BHIO on the one hand and PRIM on the other. This poor correlation result could be overcome by making PIFR a separate part of the Phase I computation and by using exponents in the Phase I criteria or constructing a table look-up for the relationship between TSAVE, BHIO and PRIM. Unfortunately, this enhancement conflicts with the ideal of keeping the Phase I criteria as simple as possible and met with resistance during coordination of preliminary draft versions of this report. The Phase I criteria finally adopted, the computation procedure and nomenclature for which are outlined below in Figure 13, correlate very well with the Phase II criteria results in the area of safety but not as well in the area of delay reduction.

FIGURE 13

Phase I Criteria

<u>Contributing Benefit</u>	=	<u>Ratio Value</u>
Delay Reduction:		
<u>ACPRIM</u>	=	XXXX
3,400 - (.0013 x PRIM) *		
<u>ATPRIM</u>	=	XXXX
26,000 - (.0096 x PRIM) *		
<u>GAPRIM</u>	=	XXXX
53,300 - (.0196 x PRIM) *		
<u>MLPRIM</u>	=	XXXX
8,600 - (.0032 x PRIM) *		

FIGURE 13 (Continued)

Safety:

<u>ACITN</u> 107,400	=	XXXX
<u>ATITN</u> 539,600	=	XXXX
<u>GAITN + GALCL</u> 847,200	=	XXXX
<u>MLITN + MLLCL</u> 376,200	=	XXXX

Sum of Ratio Values

If 1 or greater, location satisfies Phase I establishment criteria

*If the denominator for any user class results in a value equal to or less than zero, disregard all denominators and use all of the following instead. For the air carrier user class: $9,300 - (.0034 \times \text{PRIM})$; for the air taxi user class: $71,200 - (.0262 \times \text{PRIM})$; for the general aviation user class: $146,000 - (.0538 \times \text{PRIM})$; and for the military user class: $23,400 - (.0086 \times \text{PRIM})$.

ACPRIM, ATPRIM, GAPRIM and MLPRIM, for a primary airport, are the numbers of annual primary instrument operations of the air carrier (FAR 121, 127 and 129), air taxi these terms (FAR 135), general aviation (FAR 91) and military (FAR 91) user classes, respectively. For a qualified secondary airport, these terms are the numbers of annual primary instrument operations of the secondary airport by user class, or the respective numbers of secondary instrument operations by user class of the primary airport associated with or allocable to the secondary airport, whichever are greater.

PRIM, for a primary airport, is the number of total annual primary instrument operations (i.e., the sum of ACRIM, ATPRIM, GAPRIM and MLPRIM). PRIM, for a qualified secondary airport, is the number of total annual primary instrument operations of the secondary airport, or the number of total annual secondary instrument operations of the primary airport associated with or allocable to the secondary airport, whichever is greater.

ACITN, ATITN, GAITN and MLITN are the numbers of annual itinerant operations of the air carrier, air taxi, general aviation and military user classes, respectively.

GALCL and MLICL are the numbers of annual local operations of the general aviation and military user classes, respectively.

When an ASR facility is being considered for discontinuance, initial acquisition and installation costs are irrelevant since they are sunk costs. The only relevant costs are recurring operations and maintenance costs, ignoring salvage value, relocation costs, etc. To determine whether an ASR facility meets Phase I discontinuance criteria, a ratio value is calculated by the same sum-of-ratios approach described above for Phase I establishment criteria. If the ratio value so obtained is less than 0.35, the location satisfies Phase I discontinuance criteria. The 0.35 figure is an approximation of the level where the life-cycle benefits just offset recurring life-cycle operations and maintenance costs.

Ideally, there should be a close relationship between candidates identified in Phase I (simple criteria) and candidates meeting a benefit/cost ratio of 1 or more in Phase II (computerized benefit/cost analysis). If not, one or both of two undesirable situations can occur. First, locations may show up as candidates under Phase I but fail to reflect an acceptable benefit/cost ratio under Phase II, a situation which is termed "false alarm." Secondly, and more critically, locations may not show up as candidates under Phase I but attain a benefit/cost ratio of 1 or more under Phase II screening, a situation termed "non-identification." In the development of the Phase I establishment criteria, the emphasis was primarily to keep the Phase I criteria as simple as possible and secondarily to maintain a reasonable relationship between the benefit/cost ratios derived from both phases. As a result of this approach, Figure 14 shows 24 instances of false alarm but no instances of non-identification of over 200 FAA approach control towers.

SECTION VI - RESULTS OF APPLYING PREVIOUS AND REVISED ASR CRITERIA
TO ALL FAA APPROACH CONTROL TOWERS AND IMPACT ANALYSIS
OF REVISED ASR CRITERIA

The computer program described in Appendix F, based on the benefit/cost methodology described in Sections III, IV and V, was used to compute Phase I and Phase II benefit/cost ratios for all FAA-operated approach control towers (excluding CIFRR's, CERAP's, RAPCON's and RATCF's) in the Terminal Area Forecasts (TAF) (Reference 25) over the 15 year period Fiscal Years 1982 through 1996. The results are outlined in Figure 14. Figure 14A outlines the results by descending Phase II benefit/cost ratio, Figure 14B in LOCID sequence, and Figure 14C in state sequence. Also included are the Phase II benefit/cost ratios derived from applying the previous ASR criteria. The benefit/cost ratios outlined in Figure 14 are based only on activity at the primary airport and do not reflect any benefits that might be attributable to radar coverage provided to qualified secondary airports, if any, under the "area concept" outlined in Section IV. The Air Traffic Service will determine eligible locations in this category.

Order 1320.1 requires an assessment of the impact of the revised criteria on agency resources. It is impossible, however, to "precisely" assess the impact for several reasons. First, meeting candidacy levels does not by itself entail automatic qualification. Benefit/cost screening is but one of several inputs to the FAA decisionmaking process relative to investment in ASR facilities. Investment decisions are made on the basis of all pertinent factors. Second, neighboring airports having a common need for radar surveillance may collectively qualify for an ASR under the "area concept" introduced in Section IV of this report. Qualifying sites in this category will be determined on a case by case basis by the Air Traffic Service. And third, actual costs vary from site to site. Estimated site-specific costs will be applied in actual application of the criteria.

Aside from these qualifications, the relative impact of the revised ASR establishment and discontinuance criteria may be assessed by comparing the number of FAA approach control towers which qualify under the benefit/cost provisions of the revised criteria with the number that qualify under the previous criteria. Figure 14D summarizes Figure 14A by ranges of Phase II benefit/cost ratios of FAA approach control towers (other than CIFRR's, CERAP's, RAPCON's and RATCF's) under the revised and previous ASR criteria. The revised criteria result in 13 new establishment candidates and 2 discontinuance candidates, while the previous criteria result in 9 new establishment candidates and 5 discontinuance candidates. Projections through FY 1987 suggest an additional 6 new establishment candidates under the revised criteria and 4 under the previous criteria. Again, potential establishment candidates that may qualify under the "area concept" are not reflected in these impact assessments. A sensitivity analysis is provided in Section IX.

RDU	NC	ASR	B	C	D	12.80	60428000	10390519	9760000	33.82	17.36	7.26
RALEIGH-DURHAM	IL	ASR-7				13.30	25832272	2225738	8970000	8.73	4.18	
ROCKFORD	VA	ASR-6	A			13.30	68296432	6171992	9760000	30.81	15.03	3.13
RICHMOND	NV	ASR-4	A			1.71	7360069	7930161	9760000	9.10	19.39	7.63
RNO	VA	ASR-8	A			8.59	47950992	4218192	8970000	15.47	11.61	1.57
ROA	VA	ASR-8	A			11.40	70338416	10547773	9760000	23.61	14.81	5.82
ROC	NM	ASR-4	B	C	D	3.89	2474138	864802	8970000	0.31	2.69	8.29
ROCHESTER	TX	ASR-8	B	C	D	13.90	48412736	1386801	8970000	11.16	5.14	5.55
RST	TX	ASR-8	B	C	D	17.50	109842432	12262231	9760000	43.90	19.89	12.51
SAT	GA	ASR-8	A			11.50	41302896	2475761	8970000	11.38	6.32	4.88
SAN ANTONIO	CA	ASR-4	A			16.50	45203360	3546163	8970000	16.47	5.50	7.14
SAVANNAH	IN	ASR-7	A			17.30	62419520	1606329	8970000	2.85	2.70	3.00
SANTA BARBARA	CA	ASR-8	B	C	D	8.80	25012592	1892896	8970000	19.98	19.98	7.91
SBN	CA	ASR-8	B	C	D	10.90	71533440	5694093	9760000	31.14	52.11	14.72
SOUTH BEND	MO	ASR-7	A			16.31	117237808	26465696	8970000	5.70	3.74	6.66
STOCKTON	LA	ASR-8	B	C	D	11.90	57474384	2242409	8970000	14.38	8.43	7.88
STOCKTON	TX	ASR-7	A			7.19	68925440	1744482	8970000	0.41	1.60	0.68
LOUISVILLE	UT	ASR-8	B	C	D	5.51	31499040	930979	8970000	26.79	34.32	5.92
SEATTLE	KS	ASR-7	A			7.80	1418543	754649	8970000	1.40	1.40	0.24
SPRINGFIELD	IL	ASR-8	B	C	D	10.30	37862768	1678672	8970000	11.84	5.45	4.41
SEA	MO	ASR-8	B	C	D	11.80	146769	452161	8970000	0.08	0.56	0.07
SGF	IA	ASR-7	A			11.00	84937440	50579216	9760000	85.12	78.19	13.88
SHREVEPORT	NY	ASR-8	B	C	D	10.80	49940352	1411681	8970000	5.32	14.22	5.72
SALT LAKE CITY	FL	ASR-4	A			12.30	54880000	5445114	9760000	24.58	4.64	6.18
SALINA	FL	ASR-8	B	C	D	2.41	48937184	2038094	8970000	13.68	6.94	5.68
SLN	OH	ASR-4	A			14.00	15002	143749	8970000	0.05	0.24	0.02
SPI	FL	ASR-8	B	C	D	6.80	66972608	1749169	8970000	16.17	7.49	7.66
ST JOSEPH	TN	ASR-8	A			10.80	48619920	28233712	9760000	39.83	51.15	7.87
ST LOUIS	OK	ASR-4	B	C	D	9.01	47550192	1969674	8970000	12.49	7.12	5.52
SIoux CITY	SP	ASR-8	B	C	D	2.00	62944160	8742465	9760000	14.51	13.40	7.34
Syracuse	ID	ASR-6	A			6.80	217886	69197	8970000	0.91	1.57	0.02
TALLAHASSEE	AR	ASR-8	B	C	D	11.20	417488	585914	8970000	0.19	1.32	0.09
TAMPA	TX	ASR-6	A			13.30	935003	413440	8970000	0.15	0.55	0.09
TRI	TN	ASR-8	A			9.51	39545568	615780	8970000	0.18	0.58	0.17
TULSA	WA	ASR-8				7.71	31244144	3668694	8970000	14.38	9.17	4.82
TUT	WA	ASR-8				20.90	50398560	1829608	8970000	1.83	4.28	3.69
TWf	OH							1360590	8970000	13.46	3.70	5.77

* RADAR EQUIPMENT AND SERVICE AS OF SEPTEMBER 30, 1981 (SOURCE: REFERENCE 26)-
 RADAR SERVICE CROSS REFERENCE CODES:

- A = ARTS I
- B = ARTS III
- C = CONFLICT ALERT
- D = MINIMUM SAFE ALTITUDE WARNING
- E = STAGE II EXPANDED RADAR SERVICE
- F = STAGE III EXPANDED RADAR SERVICE
- G = TCA GROUP 1
- H = TCA GROUP 2

** IFR WEATHER PREVALENCE PERCENTAGES WERE TAKEN FROM REFERENCES 7 AND 29.

City	State	Code	Rate	Rate	Rate	Rate
ALO	IA	ASR-6 A	13.50	31987968.	1272058.	8970000.
BOI	IA	ASR-7	4.69	19869296.	7734532.	9760000.
TWF	ID		6.80	217886.	585914.	8970000.
CMF	IL	ASR-6 A	18.50	49005280.	3433191.	8970000.
DEC	IL		18.50	14339153.	868393.	8970000.
MWA	IL		11.80	195193.	506769.	8970000.
MLI	IL	ASR-7	12.51	42340736.	1903401.	8970000.
PIA	IL	ASR-7	14.09	52729200.	2227310.	8970000.
RFD	IL	ASR-6 A	13.30	25832272.	2225738.	8970000.
SPI	IL	ASR-7 A	12.90	37862768.	1678672.	8970000.
EVV	IN	ASR-6 A	10.10	26728240.	1181015.	8970000.
FWA	IN	ASR-4 A	14.70	68404512.	2906030.	8970000.
IND	IN	ASR-8	14.80	83821472.	11408724.	9760000.
LAF	IN	B C D	14.60	20248992.	1737066.	9760000.
MIE	IN		16.10	772903.	585856.	8970000.
SRN	IN	ASR-7 A	17.30	62419520.	1606329.	8970000.
HUF	IN		13.40	15880670.	1025815.	8970000.
HUT	IN		10.00	69333689.	874221.	8970000.
SLN	KS		7.80	1418543.	754649.	8970000.
ICT	KS		10.50	46068816.	10725435.	9760000.
CVG	KY	ASR-5 A	14.60	104210640.	5872153.	8970000.
LEX	KY	B C D	13.10	48045440.	2718237.	8970000.
SDF	KY	B C D	10.90	71533440.	5694093.	9760000.
PAH	KY		10.10	1091030.	290480.	8970000.
BTR	LA	ASR-7	13.20	61526064.	3050392.	8970000.
LFT	LA	ASR-6 A	14.11	32280560.	4895293.	9760000.
LCH	LA	ASR-6 A	13.20	18523968.	572417.	8970000.
MLU	LA	ASR-5 A	11.20	22364784.	1198369.	8970000.
MSY	LA	B C D	10.89	76716080.	16719970.	8970000.
SHV	LA	ASR-7	11.50	68925440.	1744482.	9760000.
SHREVEPORT	LA	B C D	16.19	92041824.	62417488.	9760000.
BOS	MA		20.40	624230.	647644.	8970000.
WORCESTER	MA		13.00	73677056.	18110640.	9760000.
BWI	MD	ASR-6 A	19.60	79226416.	1167901.	8970000.
BGR	ME	ASR-4 A	18.30	42764768.	1658679.	8970000.
PWM	ME	B C D	14.29	92509584.	36950672.	9760000.
DTW	MI		15.50	39281312.	3620212.	8970000.
FNT	MI		17.10	71168448.	5074148.	8970000.
GRR	MI		24.99	1886963.	714753.	8970000.
JXN	MI		16.40	89044832.	1342836.	8970000.
AZO	MI		16.70	60334128.	3031680.	8970000.
KALAHAZOO	MI		14.29	48256864.	927047.	8970000.
LAN	MI		20.30	64827376.	1101871.	8970000.
MKG	MI		11.50	124632048.	1079355.	8970000.
MBS	MI		13.90	82447152.	36297248.	9760000.
MUSKEGON	MI		11.80	48412736.	1386801.	8970000.
SAGINAW	MN		11.80	269252.	454927.	8970000.
DULUTH	MN		11.80	2581244.	757397.	8970000.
MSP	MN		11.90	488387.	589073.	8970000.
MINNEAPOLIS	MN		10.00	72233920.	589073.	8970000.
ROCHESTER	MN		11.90	57474384.	20337584.	9760000.
CGI	MN		10.30	146769.	2242409.	8970000.
CAPE GIRARDEAU	MO		11.80	84937440.	452161.	8970000.
COLUMBIA	MO		11.39	633505.	50579216.	9760000.
JOPLIN	MO		14.00	54470672.	728598.	8970000.
KANSAS CITY	MO		11.39	65740432.	2241591.	8970000.
SPRINGFIELD	MO		5.70	23192848.	3323883.	8970000.
ST JOSEPH	MO		0.50	26502.	720857.	8970000.
ST LOUIS	MS					
GREENVILLE	MS					
GULFPORT	MS					
JACKSON	MS					
BILLINGS	MT					
HELENA	MT					

AMA	TX	ASR-4	F	8.11	50452592.	1907006.	8770000.	8.51	6.78	5.84
AUS	TX	ASR-7 A	F	15.21	92585008.	7385534.	9760000.	28.70	13.30	10.24
BPT	TX		F	14.30	14596324.	406929.	8970000.	2.05	1.00	1.67
BRO	TX		F	11.61	2168286.	951015.	8970000.	0.38	1.84	0.35
CLL	TX		F	15.21	1104261.	715202.	8970000.	0.16	0.64	0.20
CRP	TX		F	12.70	54742608.	2261461.	8970000.	14.05	6.90	6.35
DFW	TX		F	8.69	54623504.	160226592.	9760000.	74.79	53.59	22.01
ELP	TX		F	1.11	5853736.	8458299.	9760000.	5.90	15.99	1.47
IAH	TX		F	17.10	120442688.	72932976.	9760000.	113.28	70.55	19.81
GGG	TX		F	10.90	2377384.	806960.	8970000.	0.19	0.75	0.35
LBB	TX		F	8.09	49636768.	3083912.	8970000.	10.12	7.46	5.88
MFE	TX		F	11.61	1553074.	1001624.	8970000.	0.34	1.97	0.28
MAF	TX		F	7.00	40092880.	3706526.	8970000.	0.79	7.13	4.88
SJT	TX		F	7.19	5132306.	930979.	8970000.	0.41	1.60	0.68
SAN	TX		F	17.50	109842432.	12262231.	9760000.	43.90	19.89	12.51
ANT	TX		F	13.30	935003.	615780.	8970000.	0.18	0.58	0.17
WAC	TX		F	11.00	409850.	431555.	8970000.	0.16	0.58	0.09
SALT	TX		F	5.51	31499040.	26282864.	9760000.	26.79	34.32	5.92
LAKE	TX		F	12.80	27100256.	996132.	8970000.	1.64	2.17	3.13
CITY	TX		F	13.70	73699264.	8914189.	9760000.	42.62	22.58	8.46
LYH	TX		F	13.30	68296432.	6171992.	9760000.	30.81	15.03	7.63
ORF	TX		F	8.59	47950992.	4218192.	8970000.	15.47	11.61	5.82
RIC	TX		F	8.50	34906016.	1718090.	8970000.	9.07	6.28	4.08
ROA	TX		F	8.60	11343368.	2100228.	8970000.	0.32	1.54	1.50
RDN	TX		F	6.80	28988800.	2014368.	8970000.	1.62	4.50	3.46
SEA	TX		F	16.31	117237808.	26465696.	9760000.	76.70	52.11	14.72
GEG	TX		F	14.30	94503280.	5156017.	8970000.	26.70	14.07	11.11
YKM	TX		F	7.71	31244144.	1829608.	8970000.	1.83	4.28	3.69
GRB	TX		F	14.00	74582480.	2414352.	8970000.	18.01	9.10	8.58
LSE	TX		F	20.30	9997087.	878588.	8970000.	0.70	2.38	1.21
MSN	TX		F	14.09	59285680.	5683324.	8970000.	21.32	10.12	7.24
MKE	TX		F	14.60	90934352.	19069760.	9760000.	57.07	33.11	11.27
CRW	TX		F	22.20	71503296.	2305638.	8970000.	23.81	7.08	8.23
CKB	TX		F	27.09	1870000.	544178.	8970000.	0.28	0.71	0.27
HTS	TX		F	15.40	55257712.	905829.	8970000.	9.88	3.70	6.26
PKB	TX		F	16.09	5649189.	720677.	8970000.	0.36	0.90	0.71
CPR	TX		F	6.70	19289872.	1285149.	8970000.	4.23	3.31	2.29
CYS	TX		F	9.20	6960473.	868846.	8970000.	0.48	2.60	0.87

* RADAR EQUIPMENT AND RADAR SERVICE AS OF SEPTEMBER 30, 1981 (SOURCE: REFERENCE 26).

RADAR SERVICE CROSS REFERENCE CODES:

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 F = STAGE III EXPANDED RADAR SERVICE
 G = TCA GROUP 1
 H = TCA GROUP 2

** IFR WEATHER PREVAILANCE PERCENTAGES WERE TAKEN FROM REFERENCES 7 AND 29.

FIGURE 14D

Summary of Results of Applying Previous and Revised ASR Criteria to all FAA Approach Control Towers^{a/}

Phase II Benefit/Cost Ratio	<u>Previous Criteria</u>	<u>Revised Criteria</u>
0.00 - 0.34 ^{g/}	32 ^{b/}	30 ^{b/}
0.35 - 0.74	15 ^{c/}	12 ^{b/}
0.75 - 0.99	2 ^{d/}	3
1.00 - 1.34	2 ^{c/}	2 ^{d/}
1.35 and above	153 ^{e/}	157 ^{f/}

- ^{a/} Source: Figure 14A. Excludes CIFRR's, CERAP's, RAPCON's and RATCF's and benefits that may be attributable to radar coverage provided to qualified secondary airports, if any, under the "area concept" outlined in Section IV.
- ^{b/} As of September 1981, 3 of these locations had radar equipment and service (Reference 26).
- ^{c/} As of September 1981, 2 of these locations had radar equipment and/or service (Reference 26).
- ^{d/} As of September 1981, 1 of these locations had radar equipment and service (Reference 26).
- ^{e/} As of September 1981, 144 of these locations had radar equipment or service (Reference 26).
- ^{f/} As of September 1981 145 of these locations had radar equipment or service (Reference 26).
- ^{g/} Range of discontinuance criteria under the revised criteria. Of the 30 locations that fall in this range under the revised criteria, 3 had ASR as of September 1981. Tentatively applying the "area concept" criteria discussed in Section IV reduces this to 2 discontinuance candidates.
- ^{h/} Range of discontinuance criteria under the previous criteria. Of the 47 locations that fall in this range under the previous criteria, 5 had ASR as of September 1981 and therefore satisfy the previous discontinuance criteria.

SECTION VII - A MANUAL METHOD FOR COMPUTING
THE PHASE II ASR ESTABLISHMENT BENEFIT/COST RATIO

In actual practice, candidates found to satisfy Phase I criteria (simplified criteria) will be further screened under Phase II criteria (site-specific benefit/cost analysis) by a computer program. To facilitate understanding of the logic incorporated in the Phase II screening process by program analysts, auditors and others, this section describes in detail a manual method for computing the Phase II ASR establishment benefit/cost ratio. Figures 15 through 24 are designed to serve as worksheets for manually computing the Phase II benefit/cost ratio. Additional copies of Figures 16, 18 and 21 may be required in instances where there exist secondary airports qualified under the "area concept" discussed in Section IV. It may be noted that several of the worksheets include 4-engine turboprops/turbojets. Obviously, terminals that serve these aircraft types are already equipped with and/or served by ASR. Conversely, airports within the range of interest in this report for new radar establishment do not serve these aircraft types. Their inclusion here is without impact and only for completeness of presentation.

The step-by-step methodology outlined in this section is supplemented with an illustration in Figures 25 through 34 for Binghamton Broome County Airport, NY (BGM) (which already has an ASR), including one of its secondary or satellite airports, Endicott Tri-Cities Airport (N17), which for purposes of this illustration is assumed to meet the "area concept" criteria outlined in Section II. 1982 activity forecasts are used. Application of the manual approach to computing the Phase II benefit/cost ratio should be based on site-specific data since these program inputs, if available, should be used for the computerized Phase II benefit/cost screening process. In addition to being specified in the following steps, Appendix F provides a checklist for those program inputs which should be site-specific, for those which are fixed for all candidates, and those for which default values may be used in the absence of site-specific data. The manual computation of the Phase II benefit-cost ratio described in this section quantifies the expected life-cycle benefits by discounting future year benefits using a site-specific compound growth rate. The computerized Phase II benefit/cost screening will rely on official agency traffic forecasts specific to the potential candidate site over fifteen years to derive the present value of the expected life-cycle benefits.

IFR Delay Reduction Benefits

Step 1

Enter the annual number of primary instrument operations by user class in Column A of Figure 15 for the primary airport. In Column A of each copy of Figure 16 for each qualified secondary airport, if any, enter the number of primary instrument operations by user class or the number of secondary instrument operations of the primary airport allocable to the secondary airport, whichever is larger. In the Binghamton/Endicott illustration (Figures 25 and 26), Binghamton (the primary airport) has 42,480 primary instrument operations. Assuming Endicott is its only

qualifying secondary airport by satisfying the "area concept" criteria outlined in Section IV, 100 percent of Binghamton's 7,668 secondary instrument operations are allocable to Endicott. Since Endicott generates no primary instrument operations on its own, Endicott's instrument operations total 7,668.

Step 2

In Column B of Figure 15 for the primary airport and Column B of each copy of Figure 16 for each qualified secondary airport, enter the percent of annual instrument operations represented by each user class by dividing the annual instrument operations in Column A by the respective totals. The sum of the percentages should equal 100 or approximate 100 in the event of a rounding effect.

Step 3

Disaggregate each user class by aircraft type in percentage terms and enter the percentages in Column C of Figure 15 for the primary airport and Column C of each copy of Figure 16 for each qualified secondary airport. The sum of the percentages for each user class should equal 100 or approximate 100 in the event of a rounding effect. For purposes of the Binghamton/Endicott illustration (Figures 25 and 26), the distribution of instrument aircraft by aircraft type within the air taxi, general aviation and military user classes are based on national norms, as illustrated in Appendix E-2. For the air carrier user class, the distribution of aircraft by aircraft type is site-specific based on published statistics from References 15 and 16. In the computerized Phase II benefit/cost screening process, only the air carrier user class requires disaggregation by aircraft type. The aircraft type disaggregation of the other user classes are fixed based on national norms (Appendix E-2).

Step 4

Reference Figure 6 to determine the estimated number of instrument operations during a busy hour at the primary airport and each qualified secondary airport. Enter this (these) values in the space(s) provided in the heading(s) of Column D of Figure 15 for the primary airport and Column D of each copy of Figure 16 for each qualified secondary airport. In the Binghamton/Endicott illustration (Figures 25 and 26), 42,480 annual primary instrument operations equates to 29 instrument operations during a busy hour at Binghamton, the primary airport; for Endicott, the secondary airport, 7,668 annual instrument operations equates to 11 instrument operations during a busy hour.

Step 5

In Figure 15 for the primary airport and each copy of Figure 16 for each qualified secondary airport, find the expected mix of aircraft during a busy IFR hour by multiplying the results from steps 2 (Column B), 3 (Column C) and 4 and dividing the product by 10,000 for each aircraft type. Enter the quotient(s) in Column D.

Step 6

Transcribe the instrument aircraft mix of the primary airport from Column D of Figure 15 to Column A of Figure 17 and from Column D of each copy of Figure 16 to Column A of each copy of Figure 18 for each qualified secondary airport.

Step 7

In Figure 17 for the primary airport and each copy of Figure 18 for each qualified secondary airport, determine the hourly aircraft variable operating cost for each aircraft type mix by multiplying the number of instrument aircraft (Column A) by the respective aircraft hourly variable operating cost (preprinted in Column B). Enter the product(s) in Column C.

Step 8

Enter the average number of passengers for the air carrier and air taxi user classes and the average number of occupants for the general aviation and military user classes for each aircraft type in Column D of Figure 17 for the primary airport and Column D of each copy of Figure 18 for each qualified secondary airport. For purposes of the Binghamton/Endicott illustration (Figures 27 and 28) and the computer-generated Phase II benefit/cost ratios outlined for all FAA approach control towers in Section VI, passenger and occupant load factors are based on national norms, as derived from References 17, 18, 19 and 20. These are illustrated in Appendix C.

Step 9

In Figure 17 for the primary airport and each copy of Figure 18 for each qualified secondary airport, determine the value of passengers'/occupants' time per hour for each aircraft type mix by multiplying the number of aircraft (Column A), the average number of passengers/occupants per aircraft (Column D), and \$17.50 (the hourly value of time of aircraft passengers/occupants in 1980 dollars). Enter the product(s) in Column E.

Step 10

In Figure 17 for the primary airport and each copy of Figure 18 for each qualified secondary airport, find the sum of aircraft variable operating costs (Column C) and the value of occupants'/passengers' time (Column E) to arrive at the total hourly operating cost of each aircraft type mix. Enter the sum(s) in Column F. Sum all values in Column F to find the total cost of operating the instrument aircraft mix for one hour.

Step 11

Find the percent of total annual instrument operations represented by the air carrier user class from Column B of Figure 15 for the primary airport and Column B of each copy of Figure 16 for each qualified secondary airport. Round to the nearest 10 percent. If less than 20 percent, round up to 20 percent; if greater than 90 percent, round down to 90 percent. With this (these) percentage(s) and the number(s) of total

instrument operations during a busy hour from Column D of Figure 15 for the primary airport and Column D of each copy of Figure 16 for each qualified secondary airport, refer to Figure 5 to find the number of hours saved per aircraft per hour of airport operation. Enter this (these) value(s) in the appropriate space(s) in Row A of Figure 19. Applying Binghamton's 29 instrument operations per busy hour and a 20% air carrier instrument mix (rounded up from 6.6%) to Figure 5, we find an expected savings of 1.931 hours per aircraft per hour of airport operation (Figure 29). Similarly, applying Endicott's 11 instrument operations per busy hour and a 20% air carrier instrument mix (rounded up to lower limit) to Figure 5, we find an expected savings of .021 hours per aircraft per hour of airport operation (Figure 29).

Step 12

Transcribe the total hourly cost of aircraft operation and passengers'/occupants' time from Column F of Figure 17 for the primary airport and Column F of each copy of Figure 18 for each qualified second airport to the appropriate space(s) in Row B of Figure 19.

Step 13

In Figure 19, find the total delay savings per busy IFR hour at the primary airport and each qualified secondary airport per hour of airport operation by multiplying the average delay savings per aircraft per hour of airport operation (Row A) by the total hourly operating cost of the aircraft mix (Row B). Enter the product(s) in Row C.

Step 14

From local statistics or Section VI, find the proportionate hours of the year that instrument approach weather prevails at the primary airport and each qualified secondary airport. Enter the value(s) in the appropriate space(s) of Row D of Figure 19.

Step 15

In Figure 19, find the number of busy IFR hours per year at the primary airport and each qualified secondary airport by multiplying the percentage of time that IFR weather prevails (Column D) by 1,252 hours. The 1,252 value is the annual national norm of IFR weather prevalence based on 4 hours during weekdays and 2 hours during weekends. Enter the product(s) in Row E.

Step 16

In Figure 19, find the value of annual delay savings for the primary airport and each qualified secondary airport by multiplying the total delay savings per busy IFR hour (Row C) by the number of busy IFR hours per year (Row E). Enter the product(s) in Row F.

Step 17

Find the value of total annual delay savings for all airports (primary airport and qualified secondary airports) by summing Row F of Figure 19. Enter the sum in the space provided.

Safety Benefits

Step 18

Enter the number of annual aircraft operations for each user class in Column A of Figure 20 for the primary airport and Column A of each copy of Figure 21 for each qualified secondary airport. Sum the values of the user classes to determine total annual aircraft operations at the primary airport and each qualified secondary airport.

Step 19

Disaggregate each user class by aircraft type in percentage terms and enter the percentages in Column B of Figure 20 for the primary airport and Column B of each copy of Figure 21 for each qualified secondary airport. The sum of the percentages for each user class should equal 100 or approximate 100 in the event of a rounding effect. For purposes of the Binghamton illustration (Figure 30), the distributions of aircraft by aircraft type within the air taxi, general aviation and military user classes are based on national norms, as illustrated in Appendix E-3. For the air carrier user class, the distribution of aircraft by aircraft type is site-specific based on published statistics from References 15 and 16. In the computerized Phase II benefit/cost screening process, only the air carrier user class requires disaggregation by aircraft type. The aircraft type disaggregations of the other user classes are fixed based on national norms (Appendix E-3).

Step 20

In Figure 20 for the primary airport and each copy of Figure 21 for each qualified secondary airport, find the mix of aircraft in all operations by multiplying Column A by Column B and dividing the product by 100 for each aircraft type. Enter the results in Column C. Sum Column(s) C in Figure 20 and any copies of Figure 21.

Step 21

Cross sum the annual operations by aircraft type over the primary and qualified secondary airports in Columns C of Figure 20 and all copies of Figure 21. Enter the sum for each aircraft type in Column A of Figure 22.

Step 22

Enter in Column B of Figure 22 the occupant load factor for each aircraft type within the air carrier and air taxi user classes by making allowances for crews to the passenger load factors used in Columns D of Figures 17 and 18. The occupant load factors for the general aviation and military user classes used in Figures 17 and 18 should be transcribed directly to Column B of Figure 22.

Step 23

In Figure 22 find the expected contributory costs of fatalities and serious injuries per aircraft for each aircraft type by multiplying the aircraft mix (Column A), the number of occupants per aircraft (Column B), the expected costs of fatalities and serious injuries per occupant (preprinted in Column C) and dividing the resultant product by the total aircraft mix. Enter the result for each aircraft type in Column D.

Step 24

In Figure 22 find the expected contributory cost of aircraft damage per aircraft for each aircraft type by multiplying the aircraft mix (Column A) by the expected cost of aircraft damage per aircraft (preprinted in Column E) and dividing the resultant product by the total aircraft mix. Enter the result for each aircraft type in Column F.

Step 25

In Figure 22 sum the expected contributory costs of fatalities and serious injuries (Column D) and the expected contributory cost of aircraft damage per aircraft (Column F) for each aircraft type. Enter the result for each aircraft type in Column G. Sum Column G to determine the expected cost of a midair collision per aircraft.

Step 26

Transcribe the number of total annual aircraft operations from Column A of Figure 22 to both spaces provided in Row A of Figure 23.

Step 27

In Row B of Figure 23, enter the expected number of avertable midair collisions by referring to Figure 10 or by solving the formula outlined in the footnote to Figure 10.

Step 28

Multiply the total expected cost of a midair collision per aircraft in Column G of Figure 22 by the factor "2" (i.e., two aircraft per midair collision). Enter the product in Column C of Figure 23.

Step 29

Refer to Figure 12 to determine the Mode C usage factor. As an approximation of the average factor over the 15-year economic life of an ASR system, use that factor corresponding to the base year plus seven years. Enter the factor in Column D of Figure 23. In the Binghamton/Endicott illustration (Figure 33), the Mode C usage factor is found to be 76%.

Step 30

Find the expected value of annual avertable midair collisions by multiplying the expected number of annual avertable midair collisions

(Column B) by the expected cost of a midair collision (Column C). Enter the product in the space provided in Column E. Find the value of avertable terrain collisions by multiplying the number of total aircraft operations (Column A), the cost of terrain collisions per operation (preprinted in Column C) and the Mode C usage factor (Column D). Enter the product in the space provided in Column E. Find the expected value of all avertable collisions by adding the respective values in Column E. Enter the sum in the space provided.

Benefit/Cost Ratio

Step 31

Transcribe the expected annual value of IFR delay reduction from Row F of Figure 19 to Row A of Figure 24 and the expected annual value of avertable collisions from Column E of Figure 23 to Row B of Figure 24.

Step 32

Add Rows A and B of Figure 24 to arrive at total expected annual quantified benefits of an ASR in the base year. Enter this sum in Row C.

Step 33

Compute the site-specific net discount factor by solving the following formula:

$$NDF = \left(\frac{1}{(1 + d)^{7.5}} \right) \left(\frac{\text{Projected Total aircraft Opns in "Base Year + 7 years"}}{\text{Actual Total aircraft Opns in "Base Year"}} \right) (15)$$

where,

NDF = net discount factor

d = OMB-prescribed discount rate of 10 percent

For the Binghamton/Endicott illustration, assume there are 191,000 total aircraft operations in the base year and 224,000 total aircraft operations projected for the seventh year thereafter. The net discount factor is then computed to be:

$$NDF = \left(\frac{1}{(1.1)^{7.5}} \right) \left(\frac{270,000}{185,000} \right) (15) = 10.71$$

Enter the result in the space provided in Row D of Figure 24.

This step is only a short-cut method of discounting the total approximate life-cycle benefits over 15 years to their present value. The computerized Phase II screening benefit/cost process is more specific in that each year is addressed separately and independently of the others.

Step 34

Multiply the total annual benefits in Column C of Figure 24 by the net discount factor computed in Step 34. Enter the product in Row D.

Step 35

Enter the site-specific discounted life-cycle cost of an ASR system in Row E of Figure 24 based on the methodology outlined in Figure 1 for a TRACAB configuration or Figure 2 for a TRACON configuration, as appropriate. The criteria for TRACAB/TRACON are outlined in paragraph E of Section II.

Step 36

In Figure 24, find the ASR Phase II benefit/cost ratio by dividing the total discounted life-cycle benefits (Row D) by the discounted life-cycle costs (Row E). Enter the quotient in Row F. As illustrated in Figure 34, Binghamton/Endicott easily qualify for an ASR with a benefit/cost ratio of 6.51. Note how similar this manually computed Phase II benefit/cost ratio is with the computer-generated Phase II benefit/cost ratio of 6.36 computed for Binghamton in Figure 14 of Section VI. The difference can be attributed principally to the fact that the computer-generated benefit/cost ratio was derived for Binghamton only and includes no credit for radar coverage provided to Endicott, while in the benefit/cost ratio computed manually in this section does include Endicott. Also, the computer-generated benefit/cost ratio is based on discounted traffic forecasts specific to Binghamton, while the benefit/cost ratio computed manually in this section is based on a less precise net discount factor concept.

FIGURE 15

Worksheet for Computation of Aircraft Mix During a Busy IFR Hour - PRIMARY AIRPORT

(A) Number of Annual Primary Instrument Operations
 (B) Percent of Total Annual Primary Instrument Operations
 (C) Percent Aircraft Type of User Class
 (D) Number of Aircraft During a Busy IFR Hour ((BxCx)/10.00

User Class and Aircraft Type

Air Carrier
 Turbofan, 4-engine, wide body
 Turbojet, 4-engine
 Turbofan, 4-engine, regular body
 Turbofan, 3-engine, wide body
 Turbofan, 3-engine, regular body
 Turbofan, 2-engine, wide body
 Turbofan, 2-engine, regular body
 Turboprop
 Piston

Air Taxi (Including Air Commuter)

Jet
 Turboprop
 Multi-engine piston
 Single-engine piston
 Rotorcraft

General Aviation (Excluding Air Taxi)

Jet
 Turboprop
 Multi-engine piston
 Single-engine piston
 Rotorcraft

Military

Jet
 Turboprop
 Piston
 Rotorcraft

TOTAL

FIGURE 16

Worksheet for Computation of Aircraft Mix During a Busy IFR Hour - QUALIFIED SECONDARY AIRPORT

	(A) Number of Annual Instrument Operations (See Text)	(B) Percent of Total Annual Instrument Operations	(C) Percent Aircraft Type of User Class	(D) Number of Aircraft During a Busy IFR Hour ((ExCx) / 10,000)
--	--	--	---	--

User Class and Aircraft Type

Air Carrier
 Turbofan, 4-engine, wide body
 Turbojet, 4-engine
 Turbofan, 4-engine, regular body
 Turbofan, 3-engine, wide body
 Turbofan, 3-engine, regular body
 Turbofan, 2-engine, wide body
 Turbofan, 2-engine, regular body
 Turboprop
 Piston

Air Taxi (Including Air Commuter)

Jet
 Turboprop
 Multi-engine piston
 Single-engine piston
 Rotorcraft

General Aviation (Excluding Air Taxi)

Jet
 Turboprop
 Multi-engine piston
 Single-engine piston
 Rotorcraft

Military

Jet
 Turboprop
 Piston
 Rotorcraft

TOTAL

FIGURE 17

Worksheet for Computation of Aircraft Variable Operating Costs and Value of Passengers' / Occupants' Time During a Busy IFR Hour - PRIMARY AIRPORT

	(A) Number of Aircraft During a Busy IFR Hour (From Figure 15)	(B) Aircraft Hourly Variable Operating Cost ^{1/}	(C) V.O.C. of Aircraft Mix (AxB)	(D) Number of Passengers / Occupants per Aircraft ^{2/}	(E) Value of Passengers' / Occupants' Time (AxDx\$17.50 ^{1/})	(F) Hourly Cost of Aircraft Operations and Passengers' / Occupants' Time of Aircraft Mix (C+E)
<u>User Class and Aircraft Type</u>						
<u>Air Carrier</u>						
Turbofan, 4-engine, wide body		\$4,767				
Turbojet, 4-engine		2,880				
Turbofan, 4-engine, regular body		2,643				
Turbofan, 3-engine, wide body		3,341				
Turbofan, 3-engine, regular body		1,964				
Turbofan, 2-engine, wide body		2,655				
Turbofan, 2-engine, regular body		1,508				
Turboprop		694				
Piston		139				
<u>Air Taxi (Including Air Commuter)</u>						
<u>Jet</u>						
Turboprop		942				
Multi-engine piston		369				
Single-engine piston		132				
Rotorcraft		56				
		138				
<u>General Aviation (Excluding Air Taxi)</u>						
<u>Jet</u>						
Turboprop		782				
Multi-engine piston		233				
Single-engine piston		93				
Rotorcraft		30				
		77				
<u>Military</u>						
<u>Jet</u>						
Turboprop		1,333				
Piston		360				
Rotorcraft		97				
		113				

TOTAL

^{1/}Source: Reference 9

^{2/}Passengers for Air Carrier and Air Taxi; Occupants for General Aviation and Military.

FIGURE 18

Worksheet for Computation of Aircraft Variable Operating Costs and Value of Passengers' Occupants' Time During a Busy IFR Hour - QUALIFIED SECONDARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) Number of Aircraft During a Busy IFR Hour (From Figure 16)	(B) Aircraft Hourly Variable Operating Cost ^{1/}	(C) V.O.C. of Aircraft Mix (AXB)	(D) Number of Passengers/Occupants per Aircraft ^{2/}	(E) Value of Passengers' Occupants' Time (AxDr\$17.50 ^{1/})	(F) Hourly Cost of Aircraft Operation and Passengers' Occupants' Time of Aircraft Mix (C+E)
<u>Air Carrier</u>						
Turbofan, 4-engine, wide body		\$4,767				
Turbojet, 4-engine		2,880				
Turbofan, 4-engine, regular body		2,643				
Turbofan, 3-engine, wide body		3,341				
Turbofan, 3-engine, regular body		1,964				
Turbofan, 2-engine, wide body		2,655				
Turbofan, 2-engine, regular body		1,508				
Turboprop		694				
Piston		139				
<u>Air Taxi (Including Air Commuter)</u>						
Jet		942				
Turboprop		369				
Multi-engine piston		132				
Single-engine piston		56				
Rotorcraft		138				
<u>General Aviation (Excluding Air Taxi)</u>						
Jet		782				
Turboprop		233				
Multi-engine piston		93				
Single-engine piston		30				
Rotorcraft		77				
<u>Military</u>						
Jet		1,333				
Turboprop		360				
Piston		97				
Rotorcraft		113				
TOTAL						
^{1/} Reference 9						
^{2/} Passengers for Air Carrier and Air Taxi; Occupants for General Aviation and Military.						

FIGURE 19

Worksheet for Computation of Annual Benefits of IFR Delay Reduction at Primary and Secondary Airports

	Primary Airport	"Qualified" Secondary Airports				Total
A	Hours Saved per Aircraft per Hour of Airport Operation					
B	Sum of Aircraft Variable Operating Costs and Value of Passengers' / Occupants' Time					
C	Total Delay Savings per Busy IFR Hour (A x B)					
D	Percent of Time that IFR Weather Prevails					
E	Number of Busy IFR Hours per Year (D x 1,252 Hours)					
F	Annual Benefits of IFR Delay Reduction (C x E)					

FIGURE 20

Worksheet for Computation of Relative Annual Aircraft Mix - PRIMARY AIRPORT

	(A) Number of Annual Operations By User Class	(B) Percent Aircraft Type of User Class	(C) Annual Aircraft Mix $\frac{((A)(B))/100}{}$
<u>User Class and Aircraft Type</u>			
<u>Air Carrier</u>			
Turbofan, 4-engine, wide body			
Turbojet, 4-engine			
Turbofan, 4-engine, regular body			
Turbofan, 3-engine, wide body			
Turbofan, 3-engine, regular body			
Turbofan, 2-engine, wide body			
Turbofan, 2-engine, regular body			
Turboprop			
Piston			
<u>Air Taxi (Including Air Commuter)</u>			
Jet			
Turboprop			
Multi-engine piston			
Single-engine piston			
Rotorcraft			
<u>General Aviation (Excluding Air Taxi)</u>			
Jet			
Turboprop			
Multi-engine piston			
Single-engine piston			
Rotorcraft			
<u>Military</u>			
Jet			
Turboprop			
Piston			
Rotorcraft			
TOTAL			

FIGURE 21

Worksheet for Computation of Annual Aircraft Mix - QUALIFIED SECONDARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) <u>Number of Annual Operations By User Class</u>	(B) <u>Percent Aircraft Type of User Class</u>	(C) <u>Annual Aircraft Mix ((AxB)/100)</u>
<u>Air Carrier</u>			
Turbofan, 4-engine, wide body			
Turbojet, 4-engine			
Turbofan, 4-engine, regular body			
Turbofan, 3-engine, wide body			
Turbofan, 3-engine, regular body			
Turbofan, 2-engine, wide body			
Turbofan, 2-engine, regular body			
Turboprop			
Piston			
<u>Air Taxi (Including Air Commuter)</u>			
Jet			
Turboprop			
Multi-engine piston			
Single-engine piston			
Rotorcraft			
<u>General Aviation (Excluding Air Taxi)</u>			
Jet			
Turboprop			
Multi-engine piston			
Single-engine piston			
Rotorcraft			
<u>Military</u>			
Jet			
Turboprop			
Piston			
Rotorcraft			
TOTAL			

FIGURE 22

Worksheet for Computation of the Expected Cost of a Midair Collision Per Aircraft

(A)	(B)	(C)	(D)	(E)	(F)	(G)
Aircraft Mix	Number of Occu- pants Per Aircraft	Expected Costs of Fatalities and Serious Injuries Per Occupant*	Expected Contributory Costs of Fatalities and Serious Injuries ((AxBxC)/∑ Col A)	Expected Cost of Aircraft Damage Per Aircraft*	Expected Contributory Costs of Aircraft Damage ((AxEx)/∑ Col A)	Total Expected Contributory Cost Per Collision Per Aircraft (D+E+F)
<u>Air Carrier</u>						
Turbofan, 4-engine, wide body		\$249,700		\$11,389,000		
Turbojet, 4-engine				889,000		
Turbofan, 4-engine, regular body				2,200,000		
Turbofan, 3-engine, wide body				11,420,000		
Turbofan, 3-engine, regular body				2,201,000		
Turbofan, 2-engine, wide body				11,120,000		
Turbofan, 2-engine, regular body				2,860,000		
Turboprop				705,000		
Piston				178,000		
<u>Air Taxi (Including Air Commuter)</u>						
Jet		216,900		814,000		
Turboprop				348,000		
Multi-engine piston				64,000		
Single-engine piston				19,000		
Rotorcraft				71,000		
<u>General Aviation (Excluding Air Taxi)</u>						
Jet		216,900		998,000		
Turboprop				390,000		
Multi-engine piston				64,000		
Single-engine piston				17,000		
Rotorcraft				46,000		
<u>Military</u>						
Jet		216,900		1,344,000		
Turboprop				2,085,000		
Piston				66,000		
Rotorcraft				257,000		
TOTAL						

*Source: Appendix D

FIGURE 23

Worksheet for Computation of the Expected Value of Annual Avertable Collisions

	A	B	C	D	E
	Annual Aircraft Operations	Expected Number of Avertable Midair Collisions	Expected Cost of a Midair Collision* and Cost of Terrain Coll/Opn.	Mode C Factor	Expected Value of Annual Avertable Collisions
Safety Benefit					
Avertable Midair Collisions				X	
Avertable Terrain Collisions			\$.587		
Total					

*Total expected cost of a midair collision per aircraft (from Figure 22 Column G) x 2 (i.e., 2 aircraft per midair collision).

FIGURE 24

Worksheet for Computation of Phase II Benefit/Cost Ratio

A	Annual Benefit of IFR Delay Reduction (Base Year)	
B	Annual Benefits of Averted Collisions (Base Year)	
C	Total Annual Base Year Quantified Benefits (A + B)	
D	Annual Benefits (C) x Net Discount Factor of _____	
E	Total Discounted Life-Cycle Costs	
F	Benefit/Cost Ratio (D / E)	

FIGURE 25

Illustrative Computation of Aircraft Mix During a Busy IFR Hour - PRIMARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) <u>Number of Annual Primary Instrument Operations</u>	(B) <u>Percent of Total Annual Primary Instrument Operations</u>	(C) <u>Percent Aircraft Type of User Class</u>	(D) <u>Number of Aircraft During a Busy IFR Hour ((BxCx29)/10,000)</u>
<u>Air Carrier</u>	2,786	6.6		
Turbofan, 4-engine, wide body				
Turbojet, 4-engine				
Turbofan, 4-engine, regular body				
Turbofan, 3-engine, wide body				
Turbofan, 3-engine, regular body			5.8	0.11
Turbofan, 2-engine, wide body				
Turbofan, 2-engine, regular body				
Turboprop			65.3	1.25
Piston			28.9	0.55
<u>Air Taxi (Including Air Commuter)</u>	26,191	61.7		
Jet				
Turboprop			4.0	0.72
Multi-engine piston			10.1	1.81
Single-engine piston			65.9	11.79
Rotorcraft			19.0	3.40
			1.0	0.18
<u>General Aviation (Excluding Air Taxi)</u>	13,186	31.0		
Jet				
Turboprop			3.4	0.31
Multi-engine piston			3.8	0.34
Single-engine piston			24.7	2.22
Rotorcraft			67.9	6.10
			0.2	0.02
<u>Military</u>	317	0.7		
Jet				
Turboprop			47.0	0.10
Piston			9.5	0.02
Rotorcraft			5.6	0.01
			37.9	0.08
TOTAL	42,480	100.0		29.00

FIGURE 26

Illustrative Computation of Aircraft Mix During a Busy IFR Hour - QUALIFIED SECONDARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) Number of Annual Instrument Operations (See Text)	(B) Percent of Total Annual Instrument Operations	(C) Percent Aircraft Type of User Class	(D) Number of Aircraft During a Busy IFR Hour (Excluded)
<u>Air Carrier</u>	0	0.0		
Turbofan, 4-engine, wide body				
Turboprop, 4-engine				
Turbofan, 4-engine, regular body				
Turbofan, 3-engine, wide body				
Turbofan, 3-engine, regular body				
Turbofan, 2-engine, wide body				
Turbofan, 2-engine, regular body				
Turboprop				
Piston				
<u>Air Taxi (Including Air Commuter)</u>	593	7.7		
<u>Jet</u>				
Turboprop			76.7	0.65
Multi-engine piston			22.1	0.19
Single-engine piston			1.2	0.01
Rotorcraft				
<u>General Aviation (Excluding Air Taxi)</u>	7,072	92.2		
<u>Jet</u>				
Turboprop			26.6	2.70
Multi-engine piston			73.2	7.42
Single-engine piston			0.2	0.02
Rotorcraft				
<u>Military</u>	3	0.0		
<u>Jet</u>				
Turboprop				
Piston				
Rotorcraft				
TOTAL	<u>7,668</u>	<u>100.0</u>		<u>11.00</u>

FIGURE 27

Illustrative Computation of Aircraft Variable Operating Costs and Value of Passengers'/Occupants' Time During a Busy IFR Hour - PRIMARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) <u>Number of Aircraft During a Busy IFR Hour (From Figure 25)</u>	(B) <u>Aircraft Hourly Variable Operating Cost^{1/}</u>	(C) <u>V.O.C. of Aircraft Mix (AMB)</u>	(D) <u>Number of Passengers/Occupants per Aircraft^{2/}</u>	(E) <u>Value of Passengers'/Occupants' Time (AMdx\$17.50)^{1/}</u>	(F) <u>Hourly Cost of Aircraft Operation and Passengers'/Occupants' Time of Aircraft Mix (C+E)</u>
<u>Air Carrier</u>						
Turbofan, 4-engine, wide body		\$4,767				
Turbojet, 4-engine		2,880				
Turbofan, 4-engine, regular body		2,643				
Turbofan, 3-engine, wide body		3,341				
Turbofan, 3-engine, regular body	0.11	1,964	\$ 216	78.4	\$ 151	\$ 367
Turbofan, 2-engine, wide body		2,655				
Turbofan, 2-engine, regular body	1.25	1,508	1,885	61.6	1,348	3,233
Turboprop	0.55	694	382	23.5	226	608
Piston		139				
<u>Air Taxi (Including Air Commuter)</u>						
Jet						
Turboprop	0.72	942	678	2.3	29	707
Multi-engine piston	1.81	369	668	7.3	231	899
Single-engine piston	11.79	132	1,556	5.4	1,114	2,670
Rotorcraft	3.40	56	190	2.1	125	315
	0.18	138	25	1.4	4	29
<u>General Aviation (Excluding Air Taxi)</u>						
Jet						
Turboprop	0.31	782	242	4.1	22	264
Multi-engine piston	0.34	233	79	5.6	33	112
Single-engine piston	2.22	93	206	3.6	140	346
Rotorcraft	6.10	30	183	2.2	235	418
	0.02	77	2	2.4	1	3
<u>Military</u>						
Jet						
Turboprop	0.10	1,333	133	6.0	11	144
Piston	0.02	360	7	5.0	2	9
Rotorcraft	0.01	97	1	3.0	1	2
	0.08	113	9	2.0	3	12
TOTAL	29.00					\$10,138

^{1/}Source: Reference 9.

^{2/}Passengers for Air Carrier and Air Taxi; Occupants for General Aviation and Military. Sources: References 17, 18, 19 and 20.

FIGURE 28

Illustrative Computation of Aircraft Variable Operating Cost and Value of Passengers'/Occupants' Time During a Busy IFR Hour - QUALIFIED SECONDARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) Number of Aircraft During a Busy IFR Hour (From Figure 26)	(B) Aircraft Hourly Variable Operating Cost ^{1/}	(C) V.O.C. of Aircraft Mix (ARB)	(D) Number of Passengers/Occupants per Aircraft ^{2/}	(E) Value of Passengers'/Occupants' Time (ARBx\$17.50) ^{1/}	(F) Hourly Cost of Aircraft Operation and Passengers'/Occupants' Time of Aircraft Mix (C+E)
<u>Air Carrier</u>						
Turbofan, 4-engine, wide body		\$4,767				
Turbojet, 4-engine		2,860				
Turbofan, 4-engine, regular body		2,643				
Turbofan, 3-engine, wide body		3,341				
Turbofan, 3-engine, regular body		1,964				
Turbofan, 2-engine, wide body		2,655				
Turbofan, 2-engine, regular body		1,508				
Turboprop		694				
Piston		139				
<u>Air Taxi (Including Air Commuter)</u>						
Jet		942				
Turboprop		369				
Multi-engine piston	0.65	132	\$ 86	5.4	\$ 61	\$ 147
Single-engine piston	0.19	56	11	2.1	7	18
Rotorcraft	0.01	138	1	1.4	0	0
<u>General Aviation (Excluding Air Taxi)</u>						
Jet		782				
Turboprop		233				
Multi-engine piston	2.70	93	251	3.6	170	421
Single-engine piston	7.42	30	223	2.2	286	509
Rotorcraft	0.02	77	2	2.4	1	3
<u>Military</u>						
Jet		1,333				
Turboprop		360				
Piston		97				
Rotorcraft		113				
<u>TOTAL</u>						<u>\$1,096</u>

^{1/}Source: Reference 9.

^{2/}Passengers for Air Carrier and Air Taxi; Occupants for General Aviation and Military. Sources: References 17, 18, 19 and 20.

FIGURE 29

Illustrative Computation of Annual Benefits of IFR Delay Reduction at Primary and Secondary Airports

	Primary Airport		"Qualified" Secondary Airports				Total
	BGM	N17					
A	Hours Saved per Aircraft per Hour of Airport Operation	1,931	0.021				
B	Sum of Aircraft Variable Operating Costs and Value of Passengers'/Occupants' Time	\$ 10,138	\$1,098				
C	Total Delay Savings per Busy IFR Hour (A x B)	\$ 19,576	\$ 23				
D	Percent of Time that IFR Weather Prevails	21.6	21.6				
E	Number of Busy IFR Hours per Year (D x 1,252 Hours)	270	270				
F	Annual Benefits of IFR Delay Reduction (C x E)	\$5,285,520	\$6,210				\$5,291,730

FIGURE 30

Illustrative Computation of Relative Annual Aircraft Mix - PRIMARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) <u>Number of Annual Operations By User Class</u>	(B) <u>Percent Aircraft Type of User Class</u>	(C) <u>Annual Aircraft Mix ((AxB)/100)</u>
<u>Air Carrier</u>	2,786		
Turbofan, 4-engine, wide body			
Turbojet, 4-engine			
Turbofan, 4-engine, regular body		5.8	162
Turbofan, 3-engine, wide body			
Turbofan, 3-engine, regular body			
Turbofan, 2-engine, wide body		65.3	1,819
Turbofan, 2-engine, regular body		28.9	805
Turboprop			
Piston			
<u>Air Taxi (Including Air Commuter)</u>	30,947		
Jet		3.0	928
Turboprop		12.5	3,868
Multi-engine piston		38.2	11,822
Single-engine piston		27.5	8,510
Rotorcraft		18.8	5,818
<u>General Aviation (Excluding Air Taxi)</u>	33,380		
Jet		3.1	1,035
Turboprop		3.0	1,001
Multi-engine piston		13.0	4,339
Single-engine piston		76.9	25,669
Rotorcraft		4.0	1,335
<u>Military</u>	1,669		
Jet		58.8	981
Turboprop		12.3	205
Piston		6.8	113
Rotorcraft		22.1	369
<u>TOTAL</u>	<u>68,782</u>		<u>68,782</u>

FIGURE 31

Illustrative Computation of Annual Aircraft Mix - QUALIFIED SECONDARY AIRPORT

<u>User Class and Aircraft Type</u>	(A) <u>Number of Annual Operations By User Class</u>	(B) <u>Percent Aircraft TYPE of User Class</u>	(C) <u>Annual Aircraft Mix ((AxB)/100)</u>
<u>Air Carrier</u>			
Turbofan, 4-engine, wide body	0		
Turbojet, 4-engine			
Turbofan, 4-engine, regular body			
Turbofan, 3-engine, wide body			
Turbofan, 3-engine, regular body			
Turbofan, 2-engine, wide body			
Turbofan, 2-engine, regular body			
Turboprop			
Piston			
<u>Air Taxi (Including Air Commuter)</u>	9,968		
<u>Jet</u>			
Turboprop			
Multi-engine piston		45.2	4,506
Single-engine piston		32.5	3,240
Rotorcraft		22.3	2,223
<u>General Aviation (Excluding Air Taxi)</u>	106,109		
<u>Jet</u>			
Turboprop			
Multi-engine piston		13.8	14,643
Single-engine piston		81.9	86,903
Rotorcraft		4.3	4,563
<u>Military</u>			
<u>Jet</u>	300		
Turboprop			
Piston			
Rotorcraft			
		23.5	71
		76.5	230
<u>TOTAL</u>	<u>116,377</u>		<u>116,377</u>

FIGURE 32

Illustrative Computation of the Expected Cost of a Midair Collision Per Aircraft

	(A)	(B)	(C)	(D)	(E)	(F)	(G)
	Aircraft Mix	Number of Occupants Per Aircraft	Expected Costs of Fatalities and Serious Injuries Per Occupant*	Expected Contributory Costs of Fatalities and Serious Injuries ((AxExC)/185,159)	Expected Cost of Aircraft Damage Per Aircraft*	Expected Contributory Costs of Aircraft Damage ((AxE)/185,159)	Total Expected Contributory Cost Per Collision Per Aircraft (D+F)
<u>User Class and Aircraft Type</u>							
<u>Air Carrier</u>							
Turbofan, 4-engine, wide body			\$249,700				
Turbojet, 4-engine							
Turbofan, 3-engine, regular body	162	84.4		\$ 18,439		\$ 1,926	20,365
Turbofan, 3-engine, wide body							
Turbofan, 3-engine, regular body							
Turbofan, 2-engine, wide body	1,819	66.6		163,373	2,860,000	28,097	191,470
Turbofan, 2-engine, regular body	805	26.5		28,768	705,000	3,065	31,833
Turboprop Piston					178,000		
<u>Air Taxi (Including Air Commuter)</u>							
Jet	928	4.3	216,900	4,674	814,000	4,080	8,754
Turboprop	3,868	9.3		42,139	348,000	7,270	49,409
Multi-engine piston	16,328	7.4		141,540	64,000	5,644	147,184
Single-engine piston	11,750	3.1		42,669	19,000	1,206	43,875
Rotorcraft	8,041	2.4		22,607	71,000	3,083	25,690
<u>General Aviation (Excluding Air Taxi)</u>							
Jet	1,035	4.1	216,900	4,971	998,000	5,579	10,550
Turboprop	1,001	5.6		6,567	390,000	2,108	8,675
Multi-engine piston	18,982	3.6		80,050	64,000	6,561	86,611
Single-engine piston	112,572	2.2		290,113	17,000	10,336	300,449
Rotorcraft	5,898	2.4		16,582	46,000	1,465	18,047
<u>Military</u>							
Jet	901	6.0	216,900	6,895	1,344,000	7,121	14,016
Turboprop	205	5.0		1,201	2,085,000	2,308	3,509
Piston	184	3.0		647	66,000	66	713
Rotorcraft	599	2.0		1,403	257,000	831	2,234
TOTAL	185,159						\$963,384

*Source: Appendix D

FIGURE 33

Illustrative Computation of the Expected Value of Annual Avertable Collisions

	A	B	C	D	E
	Annual Aircraft Operations	Expected Number of Avertable Midair Collisions	Expected Cost of a Midair Collision* and Cost of Terrain Coll/Opn.	Mode C Factor	Expected Value of Annual Avertable Collisions
Safety Benefit					
Avertable Midair Collisions	185,159	.041	\$1,926,768		\$ 78,977
Avertable Terrain Collisions	185,159		\$.587	76%	\$ 82,603
Total					\$161,600

*Total expected cost of a midair collision per aircraft (from Figure 32, Column G) x 2 (i.e., 2 aircraft per midair collision).

FIGURE 34

Illustrative Computation of Phase II Benefit/Cost Ratio

A	Annual Benefits of IFR Delay Reduction (Base Year)	\$ 5,291,730
B	Annual Benefits of Averted Collisions (Base Year)	\$ 161,600
C	Total Annual Base Year Quantified Benefits (A + B)	\$ 5,453,330
D	Annual Benefits (C) x Net Discount Factor of <u>10.71</u>	\$58,405,164
E	Total Discounted Life-Cycle Costs	\$ 8,970,000
F	Benefit/Cost Ratio (D / E)	6.51

SECTION VIII - DEVELOPMENT OF SUPPLEMENTAL CRITERIA

In addition to revising ASR establishment criteria, this report reviews and/or revises the supplemental criteria relative to ASR facilities. This section outlines the development of the ASR supplemental criteria outlined in Section II: discontinuance, improvements, remoted radar bright display scope, establishment of terminal radar approach control in tower cab (TRACAB), establishment of terminal radar approach control (TRACON) and TRACAB to TRACON conversion. Like the establishment criteria, the discontinuance criteria are based on a detailed benefit/cost analysis. The other supplemental criteria, however, are not readily adaptable to rigorous benefit/cost analyses for system-wide application. Rather, they are based on activity levels at which there is expected to exist reasonable relationships between benefits and costs.

A. Discontinuance

Since initial ASR acquisition and installation costs are sunk costs, the only relevant costs when an ASR system is being considered for decommissioning are recurring operations and maintenance costs, ignoring salvage value, relocation costs, etc. An ASR system should be decommissioned if the present value of the life-cycle operating and maintenance costs exceed the present value of the benefits expected over the remaining useful life of the system. Using the life-cycle cost data in Figures 1 and 2 as a basis, it is estimated that this condition is reached whenever a benefit/cost ratio of less than 0.35 is derived when applying the detailed benefit/cost methodology for new radar establishment.

B. Improvements

Existing radar approach control facilities frequently require improvements to satisfy operational requirements and/or facilitate the provision of terminal area radar service. The varying and diverse nature and costs of such improvements prohibit a meaningful and rigorous benefit/cost analysis for system-wide application. However, experience suggests instrument activity ranges where the marginal benefits are expected to exceed costs. This approach was used to derive the revised improvements criteria outlined in Section II.

C. Remoted Radar Bright Display Scope

Remoted radar bright display scopes are occasionally installed in VFR towers of secondary or satellite airports receiving radar service from a primary airport. As with the improvements criteria discussed above, experience can be relied upon to identify an activity level at or above which a remoted radar bright display scope can be expected to be economically justified. In addition to changing the qualifying level of itinerant operations, the revised criteria outlined in Section II

eliminate the requirement that the secondary airport be within twenty miles and within microwave link range of the existing surveillance radar system, because microwave link repeaters can be used to extend the range almost indefinitely or digital remoting may be used. Finally, since a FAA control tower which is satellite to a military radar approach control facility can benefit from a remoted radar bright display scope, the previous requirement that the radar approach control facility be an FAA facility has been eliminated.

D. TRACAB and TRACON

Radar service can be configured into either of two housing designs - TRACAB or TRACON. A TRACAB (Terminal Radar Approach Control in Tower CAB) is a facility that provides radar approach control service from positions located in the tower cab, as opposed to a TRACON (Terminal Radar Approach Control) in which radar approach control service is provided from positions located in a separate IFR room.

Historically, the principal technical questions of TRACAB feasibility revolved around the adequacy of the BRITE radar scope display and space congestion in the tower cab. Early difficulties were experience with utilization of the BRITE display in the tower cab due to the high ambient light level. However, with existing improvements in BRITE display performance the consensus currently is that the TRACAB concept is feasible and can work effectively at some maximum level of operations. Currently, the only impact of adding a separate TRACON or IFR room is to relieve congestion in the tower cab. As more radar positions are required due to increased sectorization demanded by growing traffic counts, it becomes impossible to squeeze the physical space required for these radar positions into the tower cab. Without some radical revision of cab design concepts, the physical size of the cab limits the peak hour operations that can be accommodated.

An earlier benefit/cost analysis of the TRACAB concept (Reference 21) found that the major cost savings made possible by the TRACAB was a reduction in controllers required. This reduction is due primarily to eliminating flight data positions in the IFR room. Reduced coordination effort is also an advantage when all radar controllers and cab personnel are co-located. Thus, there is normally a positive cost advantage to utilizing a TRACAB configuration. The cost of converting a TRACAB facility to a TRACON is highly site dependent. In some cases, adequate space may be available close to the tower. In other cases, new construction is required, possibly at some distance from the tower. Thus, each site should be surveyed to determine a reliable cost impact prior to an establishment decision. Typical TRACAB and TRACON cost differentials are outlined in further detail in Section III.

Review of several evaluations of tower cab configurations (References 22 through 24) and Air Traffic Service experience suggests that the current provisions in Airway Planning Standard Number One, Order 7031.2B, are valid operational and economic determinants for determining how a radar approach control facility should initially be established and for determining when a TRACAB should be converted to a TRACON. Therefore, the current provisions of these supplemental criteria, in effect since 8/13/80 by Change 18 to the subject order, remain intact.

SECTION IX - SENSITIVITY ANALYSIS

In the computation of the benefit/cost ratio for ASR, there are a number of constants and variables which are used to quantify benefits. This section addresses the sensitivity of the benefit/cost ratio to variations in those factors which are defined by uncertain or judgmental parameters. These factors include the number of instrument operations during a busy hour, the percentage of time that IFR weather prevails, the value of time of aircraft passengers/occupants, the probability of a midair collision, the cost of a midair collision, the cost of a terrain collision and the value of a statistical life. To get some indication of the sensitivity of these factors on the benefit/cost ratio, sample selected airports with Phase II benefit/cost ratios of 0.56 (ABY), 0.71 (GNV), 0.87 (HUT), 1.21 (LSE), 1.38 (ELM) and 1.50 (MWH) were examined with specific percentage increases and decreases in these variables.

	Airport With B/C Ratio of					
	0.56	0.71	0.87	1.21	1.38	1.50
<u>Number of Instrument Operations During a Busy Hour</u>						
50% decrease	0.05	0.07	0.08	0.28	0.32	0.35
20% decrease	0.10	0.13	0.16	0.39	0.44	0.46
10% decrease	0.22	0.28	0.34	0.65	0.74	0.80
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	1.09	1.39	1.70	1.90	2.17	2.36
20% increase	1.66	2.10	2.58	2.46	2.80	3.04
50% increase	2.34	2.96	3.63	2.82	3.22	3.50
<u>Percentage of Time that IFR Weather Prevails</u>						
50% decrease	0.30	0.38	0.47	0.74	0.84	0.92
20% decrease	0.46	0.58	0.71	1.02	1.17	1.27
10% decrease	0.51	0.65	0.80	1.12	1.27	1.38
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.61	0.78	0.95	1.30	1.49	1.62
20% increase	0.66	0.84	1.03	1.40	1.59	1.73
50% increase	0.82	1.04	1.27	1.68	1.92	2.08
<u>Value of Time of Aircraft Passengers/Occupants</u>						
50% decrease	0.48	0.61	0.75	1.03	1.18	1.28
20% decrease	0.53	0.68	0.83	1.14	1.30	1.41
10% decrease	0.55	0.69	0.85	1.17	1.34	1.46
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.57	0.73	0.89	1.25	1.42	1.54
20% increase	0.59	0.75	0.92	1.28	1.46	1.59
50% increase	0.64	0.81	0.99	1.39	1.58	1.72

0.56 0.71 0.87 1.21 1.38 1.50

Probability of a Midair Collision

50% decrease	0.55	0.70	0.86	1.14	1.30	1.41
20% decrease	0.56	0.71	0.87	1.17	1.34	1.46
10% decrease	0.56	0.71	0.87	1.20	1.37	1.49
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.56	0.71	0.87	1.22	1.39	1.51
20% increase	0.56	0.71	0.87	1.25	1.42	1.54
50% increase	0.56	0.71	0.87	1.28	1.46	1.59

Cost of a Midair Collision

50% decrease	0.55	0.70	0.86	1.14	1.30	1.41
20% decrease	0.56	0.71	0.87	1.17	1.34	1.46
10% decrease	0.56	0.71	0.87	1.20	1.37	1.49
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.56	0.71	0.87	1.22	1.39	1.51
20% increase	0.56	0.71	0.87	1.25	1.42	1.54
50% increase	0.56	0.71	0.87	1.28	1.46	1.59

Cost of a Terrain Collision

50% decrease	0.55	0.69	0.85	1.15	1.31	1.43
20% decrease	0.55	0.70	0.86	1.19	1.35	1.47
10% decrease	0.56	0.71	0.87	1.20	1.37	1.49
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.56	0.71	0.87	1.22	1.39	1.51
20% increase	0.57	0.72	0.88	1.23	1.41	1.53
50% increase	0.57	0.73	0.89	1.27	1.45	1.57

Value of a Statistical Life

50% decrease	0.55	0.70	0.86	1.15	1.31	1.43
20% decrease	0.56	0.71	0.87	1.19	1.35	1.47
10% decrease	0.56	0.71	0.87	1.20	1.37	1.49
When computed or standard value used	0.56	0.71	0.87	1.21	1.38	1.50
10% increase	0.56	0.71	0.87	1.22	1.39	1.51
20% increase	0.56	0.71	0.87	1.23	1.41	1.53
50% increase	0.57	0.72	0.88	1.27	1.45	1.57

APPENDIX A - PREVIOUS ASR INVESTMENT CRITERIA

The previous investment criteria for ASR, as outlined in Airway Planning Standard Number One (Reference 1) are reproduced below. Except for the criteria for TRACAB establishment, TRACON establishment and TRACAB to TRACON conversion, these criteria have been superceded by the revised criteria outlined in Section II. The TRACAB establishment, TRACON establishment and TRACAB to TRACON conversion criteria remain unchanged.

"AIRPORT SURVEILLANCE RADAR WITH AIR TRAFFIC CONTROL RADAR BEACON SYSTEM AND BRIGHT DISPLAY SCOPE (ASR/ATCRBS/BDS)

a. Establishment. An FAA approach control tower which records a minimum of 45,000 annual itinerant operations and 4,000 certificated route air carrier operations and records 18,000 instrument operations at the primary and secondary airports is a candidate for ASR/ATCRBS/BDS if it satisfies any combination of the three parameters which equals or exceeds a linear sliding scale defined by the following limits:

- (1) 45,000 annual itinerant operations, of which 10,000 are certificated route air carrier operations, and 18,000 instrument operations at the primary and secondary airports; or
- (2) 105,000 annual itinerant operations, of which 4,000 are certificated route air carrier operations, and 27,000 instrument operations at the primary and secondary airports.
- (3) Numbers of operations required for candidacy... may be determined either by interpolating the sliding scale or by satisfying both of the following equations:

$$N \geq 145,000 - 10AC$$

$$I \geq 33,000 - 1.5AC$$

Where N, AC, and I are the annual numbers of itinerant, air carrier, and primary plus secondary instrument operations, respectively.

b. Discontinuance. An ASR/ATCRBS/BDS at an FAA radar approach control facility is a candidate for decommissioning if the facility records less than 75 percent of the levels specified... above for at least one parameter. In lieu of deriving these levels, discontinuance candidates must satisfy at least one of the following five equations:

$$AC < 3,000$$

$$N < (108,750 - 10AC) \text{ for } 3,000 \leq AC \leq 7,500$$

$$N < 33,750 \text{ for } AC < 7,500$$

$$I < (24,750 - 1.5AC) \text{ for } 3,000 \leq AC \leq 7,500$$

$$I < 13,500 \text{ for } AC > 7,500$$

- c. Benefit/Cost Screening. ASR/ATCRBS/BDS candidates identified... above will be screened using the benefit versus cost technique described in Report Number ASP-75-2. FAA Regional Offices shall submit data required for screening purposes as specified in the annual Call for Estimates...
- d. Improvements. Existing FAA approach control facilities equipped with ASR/ATCRBS/BDS frequently require improvements. Such improvements are normally made when there exists a reasonable relationship between the operational benefits to be realized and the costs involved in accordance with the following provisions:
- (1) An FAA radar approach control facility recording 30,000 or more annual instrument operations qualifies for those improvements that satisfy an operational requirement and/or facilitate the provision of terminal area radar service. This activity level normally assures a cost per operation that is commensurate with the benefit derived from the improvement.
 - (2) An FAA radar approach control facility recording between 20,000 and 29,000 annual instrument operations may be a candidate for improvements. It qualifies for those improvements that satisfy an operational requirement and/or facilitate the provision of terminal area radar service provided the additional cost does not result in a cost per operation that exceeds the benefit derived from the improvement.
 - (3) An FAA radar approach control facility recording less than 20,000 annual instrument operations is not a candidate for improvements. At that activity level, the additional cost per operation resulting from the improvement is not commensurate with the benefit derived. Any improvement to terminal radar facilities in this category will be limited to the correction of a critical situation and shall be justified by an individual staff study.
- NOTE: Improvements to FAA staffed RAPCON/RATCCs... may be considered on an individual basis but the above criteria for FAA civil facilities shall remain a major determinant in considering them for improvement.
- e. Remoted Radar Bright Display Scope. An FAA VFR control tower at an airport, which is a satellite of the primary airport of an FAA radar approach control facility, is a candidate for a remote radar bright display scope in the tower cab when:
- (1) At least 35,000 annual itinerant operations are recorded; and
 - (2) The airport is located within 20 miles and within microwave link range of an existing surveillance radar system.
 - (3) Operationally adequate low altitude radar coverage is assured at the satellite airport.

f. Terminal Radar Approach Control in Tower Cab.

- (1) Establishment. An initial ASR/ATCRBS/BDS installation shall be a TRACAB facility consisting of appropriate displays placed in the tower cab.
 - (a) If the official agency forecasts indicate an ASR/ATCRBS/BDS candidate location will exceed 125,000 annual itinerant operations or 60,000 annual instrument operations within 2 years of the year of budget submission for the facility, the initial installation should be planned as a TRACON rather than a TRACAB. Instrument operations at secondary airports may be included in this forecast provided radar coverage at these locations is expected to exist at or below initial approach altitude.
 - (b) If an ASR/ATCRBS/BDS candidate location cannot physically accommodate radar approach control in the tower cab, then individual justification shall be required to go directly to a TRACON facility.
 - (c) When the complexity of the facility operation warrants, individual justification and consideration shall be given to locating the ASR/ATCRBS/BDS in a TRACON rather than a TRACAB.
- (2) Discontinuance. A TRACAB will be discontinued when the ASR/ATCRBS/BDS is decommissioned or when the radar approach control function is transferred to a TRACON.
- (3) Conversion to TRACON. A TRACAB location is a TRACON candidate when the facility has at least 125,000 annual itinerant operations or 60,000 annual instrument operations. Instrument operations at secondary airports that receive radar service at or below initial approach altitude may be included in this count. Also, when the complexity of the facility operations warrants, individual justification and consideration should be given to relocating from a TRACAB to a TRACON."

APPENDIX B

BUSY HOUR REGRESSION

FILE ASRRO (CREATION DATE 01/07/81)

DEPENDENT VARIABLE: LBUSY FROM VARIABLE LIST 1 REGRESSION LIST 1

SEQUENCE	UNOBSERVED LBUSY	PREDICTED LBUSY	RESIDUAL	-2.0	-1.0	0.0	1.0	2.0
1	5.4595A5	5.134432	0.3251525					
2	4.9555027	4.911170	0.2443320					
3	4.787401	4.919735	-0.1323348					
4	5.105945	4.627630	0.2783177					
5	4.812184	4.756632	0.5552168					
6	4.997211	4.735057	0.2621537					
7	4.553077	4.725026	-0.1719489					
8	4.672029	4.715522	-0.4269025					
9	4.628072	4.642311	0.2200081					
10	4.859812	4.639207	0.2206041					
11	4.248495	4.637045	-0.3885500					
12	4.630817	4.640784	-0.1499727					
13	4.890609	4.602101	0.2885089					
14	4.795390	4.592259	0.2031304					
15	6.601307	6.500522	0.1007859					
16	4.304965	4.590427	-0.2854635					
17	4.828113	4.565839	0.2622745					
18	4.362026	4.537803	-0.1757828					
19	4.578711	4.513720	0.5649911E-01					
20	4.646636	4.493083	0.4447446E-02					
21	4.330733	4.406593	-0.7586110E-01					
22	4.699809	4.400424	0.9938419E-01					
23	4.877336	4.368225	0.1091102					
24	4.317384	4.349149	-0.1166175E-01					
25	4.304065	4.342294	-0.3823370E-01					
26	4.564347	4.340302	0.2240488					
27	4.877336	4.288822	0.1925135					
28	4.510059	4.283308	0.2276709					
29	3.761200	4.272016	-0.5108167					
30	3.980983	4.231898	-0.2429163					
31	4.610880	4.221846	0.1969540					
32	4.905274	4.217313	0.6879629					
33	4.143134	4.197916	0.1979916					
34	4.210507	4.189836	0.1898701E-01					
35	4.610880	4.183287	0.2555528					
36	4.200659	4.157490	0.1329677					
37	3.931025	4.140927	-0.2131024					
38	4.290849	4.142964	0.1474903					
39	4.665908	4.138915	0.3269917					
40	4.143134	4.127513	0.1562076E-01					
41	1.668479	4.120576	-0.4316969					
42	4.383805	4.115576	0.2282263					
43	1.986483	4.114607	-0.1256239					
44	4.530713	4.112504	0.2182254					

LBUSY = Natural logarithm of busy IFR hour operations
 Source = FAA-APO regression analysis of data contained in Reference 8

APPENDIX B (CONT'D.)

BUSY HOUR REGRESSION

45	4.043051	0.095171	-0.5212009E-01	
46	3.071201	4.093073	-0.2218730	
47	4.004104	4.021750	0.1259127E-01	
48	3.970291	4.075175	-0.1050442	
49	4.127134	4.074734	0.5240050E-01	
50	4.564187	4.064438	0.0999049	
51	4.544967	4.042013	0.5021526	
52	4.510059	4.030272	0.0005460	
53	3.800662	4.026323	-0.2196621	
54	4.106654	4.025174	0.1044799	
55	4.043051	4.024415	0.1063530E-01	
56	4.330733	4.019740	0.3109527	
57	4.762174	4.009469	0.7563041	
58	3.071201	4.001413	-0.1306129	
59	4.219507	4.001450	0.2180560	
60	3.980983	3.992227	-0.324229E-02	
61	3.050147	3.963379	-0.1332327	
62	4.075175	3.930205	0.9724009E-01	
63	4.010440	3.977426	0.0414146	
64	4.077537	3.975506	0.1020298	
65	4.127134	3.962078	0.1642554	
66	3.912023	3.961509	-0.0957712E-01	
67	3.737669	3.954160	-0.2164304	
68	3.070291	3.953381	0.169071E-01	
69	4.330733	3.936655	0.3940741	
70	4.007333	3.917426	0.0990540E-01	
71	3.555347	3.906059	-0.3507122	
72	4.276066	3.905948	0.3706811	
73	3.063561	3.869698	-0.2061371	
74	3.050147	3.868740	-0.1859354E-01	
75	3.071201	3.861582	0.0610577E-02	
76	3.050147	3.852230	-0.2083559E-02	
77	3.070291	3.789657	0.1806343	
78	3.986983	3.743468	0.2450945	
79	3.066662	3.719025	0.0763677E-01	
80	3.091020	3.709485	0.1423344	
81	3.555347	3.708632	-0.1532859	
82	4.025352	3.702446	0.3229050	
83	3.526361	3.683680	-0.1573194	
84	3.931025	3.680736	0.2510844	
85	3.065735	3.675066	-0.2093313	
86	3.912023	3.668112	0.2439102	
87	3.496507	3.642108	-0.1456016	
88	4.077537	3.619640	0.4578952	
89	3.680079	3.615060	0.7301839E-01	
90	3.218475	3.590054	-0.3771794	
91	2.772580	3.592277	-0.0194495	X
92	3.543518	3.579678	0.3039757E-02	
93	3.496507	3.574497	-0.8239104E-01	
94	3.496507	3.568000	-0.7149357E-01	
95	3.610917	3.566590	0.4432640E-01	
96	3.367295	3.504632	-0.1413365	
97	2.433213	3.497023	-0.6044113	X

APPENDIX B (Cont'd.)

BUSY HOUR NEGROSSION

98	2.995731	3.476085	-0.4803537
99	3.295836	3.073302	-0.1774661
100	3.737669	3.467757	0.2699112
101	3.028081	3.450691	0.3717489
102	3.091042	3.433442	-0.3424013
103	3.135093	3.426305	-0.290518
104	3.091042	3.413095	-0.3220544
105	3.218875	3.406204	-0.1073293
106	3.496507	3.394587	0.1019194
107	3.528361	3.394135	0.1322204
108	3.258096	3.364103	-0.1060085
109	3.610917	3.360277	0.2506396
110	3.044522	3.358972	-0.3184498
111	3.178053	3.358666	-0.1806136
112	3.332204	3.355958	-0.2375035E-01
113	3.178053	3.348924	-0.1668717
114	3.091042	3.337533	-0.2464916
115	2.833213	3.330983	-0.5017704
116	2.890371	3.317316	-0.6269871
117	3.178053	3.281649	-0.1035957
118	3.091042	3.276530	-0.1854886
119	3.671201	3.265938	0.6052022
120	3.250906	3.254046	0.3149777E-02
121	3.034522	3.253559	-0.2090372
122	2.884906	3.251387	-0.7604807
123	2.690371	3.240236	-0.3538675
124	2.295836	3.236790	0.5946672E-01
125	3.713572	3.233888	0.4801827
126	3.401196	3.231339	0.1698577
127	2.564949	3.223943	-0.6509042
128	3.833087	3.223273	0.2107730
129	3.496507	3.222226	0.7742802
130	2.690371	3.220523	-0.3301510
131	2.772588	3.209673	-0.4370859
132	3.295836	3.199394	0.1064425
133	3.367295	3.198200	0.1780948
134	3.088522	3.197283	-0.1827806
135	3.088522	3.177361	-0.1328396
136	3.332204	3.172587	0.1596164
137	2.772588	3.167813	-0.3952264
138	3.091042	3.156721	-0.6560033E-01
139	2.833213	3.156374	-0.3231611
140	2.995731	3.154572	0.1588811
141	3.218875	3.148408	0.708610E-01
142	2.772588	3.147932	-0.3753443
143	2.085731	3.147496	-0.1517653
144	2.995731	3.139705	-0.1439734
145	2.833213	3.134424	-0.3012114
146	2.639057	3.104886	-0.465296
147	3.401196	3.058493	0.3427037
148	3.091042	3.050674	0.4036669E-01
149	2.564949	3.047980	-0.4830314
150	2.033213	3.046259	-0.2130467

APPENDIX B (Cont'd.)

BUSY HOUR REGRESSION

151	2.397695	3.041463	-0.6435683	
152	3.465735	3.036027	0.4297079	
153	3.465735	3.029882	0.6358525	
154	2.944439	3.025791	-0.6135862E-01	
155	2.944439	3.016313	-0.7187408E-01	
156	2.708050	3.014860	-0.3068113	
157	3.178053	3.008754	0.1692905	
158	2.639057	3.001336	-0.3622798	
159	2.708050	2.990479	-0.2624303	
160	2.302585	2.989992	-0.6674061	
161	2.995731	2.988874	0.7256644E-02	
162	2.690371	2.986081	-0.957092E-01	
163	2.708050	2.985536	-0.2774859	
164	3.258096	2.982936	0.2751573	
165	2.772588	2.972767	-0.2001800	
166	2.772588	2.959091	-0.1865039	
167	2.833213	2.959005	-0.1257930	
168	3.091042	2.958007	0.1330347	
169	3.091042	2.956976	0.1340648	
170	2.564949	2.956575	-0.3916267	
171	3.555347	2.954628	0.6007229	
172	2.995731	2.954366	0.8136547E-01	
173	3.295836	2.946230	0.3496044	
174	3.044522	2.943776	0.1007490	
175	2.684906	2.943393	-0.4504893	
176	3.258096	2.937715	0.3203810	
177	3.135493	2.934922	0.2010795	
178	2.833213	2.931381	-0.981667E-01	
179	3.332204	2.923254	0.8089491	
180	3.218875	2.920429	0.2984456	
181	3.044522	2.918172	0.1263503	
182	3.044522	2.913753	0.1307689	
183	2.833213	2.905997	-0.6278867E-01	
184	2.995731	2.886754	0.1089765	
185	2.639057	2.870315	-0.2312382	
186	2.564949	2.857688	-0.2927390	
187	2.564949	2.849887	-0.2850381	
188	2.708050	2.836633	-0.1285832	
189	2.197224	2.823508	-0.6262850	
190	3.178053	2.821173	0.3568800	
191	2.833213	2.813343	0.1888914E-01	
192	2.302585	2.811333	-0.5087485	
193	2.708050	2.780755	-0.7270598E-01	
194	2.302585	2.780370	-0.4777853	
195	2.708050	2.771116	-0.6306732E-01	
196	2.833213	2.770019	0.6319356E-01	
197	2.772588	2.761388	0.1118890E-01	
198	2.639057	2.741427	-0.1023709	
199	3.091042	2.737633	0.3532081	
200	2.995731	2.726619	0.2691122	
201	2.684906	2.713507	-0.2286005	
202	2.397895	2.709172	-0.3112773	
203	2.690371	2.690163	0.2002961	

APPENDIX B (Cont'd.)

BUSY HOUR REGRESSION

204	1.135493	2.660359	0.4751332		
205	1.295436	2.654854	0.6409421		
206	2.634057	2.652369	-0.1331279E+01		
207	2.664906	2.651906	-0.1670803		
208	2.664439	2.638759	0.3056800		
209	1.645910	2.636560	-0.6905915		X
210	2.664906	2.616085	-0.1311795		
211	2.690371	2.607010	0.2033611		
212	2.772588	2.610560	0.1620245		
213	3.250096	2.607687	0.6888087		
214	2.664906	2.607367	-0.1224608		
215	2.772588	2.605607	0.1669799		
216	2.367895	2.583832	-0.1959373		
217	2.397895	2.563243	-0.1653461		
218	3.091042	2.560285	0.5307562		
219	2.780050	2.555003	0.1510465		
220	2.197224	2.551550	-0.3543268		
221	2.397895	2.525594	-0.1276593		
222	2.664906	2.514598	-0.2969174E+01		
223	2.397895	2.514054	-0.1161592		
224	2.664906	2.512903	-0.2799766E+01		
225	2.197224	2.510292	-0.3110686		
226	2.780050	2.507547	0.2005026		
227	2.664906	2.500742	-0.3587563E+01		
228	2.664906	2.656486	0.2841963E+01		
229	2.302585	2.641295	-0.1307106		
230	2.664906	2.641091	0.4692810		
231	2.397895	2.600421	-0.111682E+01		
232	2.679441	2.604329	-0.3244477		
233	2.639057	2.390632	0.2484252		
234	2.664906	2.337389	0.3075576		
235	2.302585	2.323326	-0.2074224E+01		
236	2.664906	2.250124	0.2397620		
237	2.630057	2.230378	0.1066185		
238	1.645910	2.221505	-0.2756760		
239	1.609437	2.221049	-0.6118527		
240	2.679441	2.215008	-0.1355668		
241	2.679441	2.195342	-0.1159015		
242	2.633213	2.184466	0.6407440		
243	2.664439	2.164469	0.7599701		
244	2.633213	2.184095	0.6501175		
245	1.386293	2.133364	-0.7470704		X
246	2.397895	2.093680	0.3042566		
247	2.664906	1.920237	0.5646694		
248	2.397895	1.875204	0.5226643		
249	2.302585	1.777578	0.6250111		
250	1.609437	1.594704	0.1473273E+01		
251	1.791759	1.291343	0.5004157		
252	1.098612	1.268003	-0.1693904		

APPENDIX B (Cont'd.)

R REGRESSION
 BR00 (CREATION DATE = 01/07/81)
 MULTIPLE REGRESSION VARIABLE LIST 1
 REGRESSION LIST 1

1 VARIABLE.. LOUSY
 (S) ENTERED ON STEP NUMBER 1.. COUNT

R 0.92366 ANALYSIS OF VARIANCE OF SUM OF SQUARES MEAN SQUARE F
 0.05311 REGRESSION 1. 136.77724 136.77724 1051.07769
 R SQUARE 0.85252 RESIDUAL 250. 23.55016 0.09420
 ERROR 0.30692

..... VARIABLES IN THE EQUATION
 VARIABLES NOT IN THE EQUATION

B	BETA	STD ERROR B	F	VARIABLE	BETA IN	PARTIAL	TOLERANCE	F
1	0.5921063	0.02366	0.01554	1051.078				
2	-2.927675							

4. REGRESSION
 BR00 (CREATION DATE = 01/07/81)
 MULTIPLE REGRESSION VARIABLE LIST 1
 REGRESSION LIST 1

1 VARIABLE.. LOUSY
 (S) ENTERED ON STEP NUMBER 1.. COUNT

..... VARIABLES IN THE EQUATION
 VARIABLES NOT IN THE EQUATION

B	BETA	STD ERROR B	F	VARIABLE	BETA IN	PARTIAL	TOLERANCE	F
1	0.92366	0.05311	0.05311	0.92366				
2	0.5921063							
3	-2.927675							

4. REGRESSION
 BR00 (CREATION DATE = 01/07/81)
 MULTIPLE REGRESSION VARIABLE LIST 1
 REGRESSION LIST 1

1 VARIABLE.. LOUSY
 (S) ENTERED ON STEP NUMBER 1.. COUNT

..... VARIABLES IN THE EQUATION
 VARIABLES NOT IN THE EQUATION

WATSON TEST OF RESIDUAL DIFFERENCES COMPARED BY CASE ORDER (SEQUENTIAL).
 LIST 1, REGRESSION LIST 1. DURBIN-WATSON TEST 1.78256

BUSY HOUR REGRESSION

FILE ASR60 (CREATION DATE = 01/07/81)

VARIABLE	MEAN	STANDARD DEV	CASES
BUSYHR	38.7659	33.9413	252
COUNT	82367.0516	113813.1650	252
LBUSY	3.3360	0.7992	252
LCOUNT	10.5772	1.2466	252

BUSY HOUR REGRESSION

FILE ASR80 (CREATION DATE = 01/07/81)

CORRELATION COEFFICIENTS

A VALUE OF 99.0000 IS PRINTED
IF A COEFFICIENT CANNOT BE COMPUTED.

	BUSYHR	COUNT	LBUSY	LCOUNT
BUSYHR	1.00000	0.91226	0.90913	0.84645
COUNT	0.91226	1.00000	0.78009	0.81432
LBUSY	0.90913	0.78009	1.00000	0.92364
LCOUNT	0.84645	0.81432	0.92364	1.00000

APPENDIX C

DELAY COSTS PER HOUR BY AIRCRAFT TYPE
(1980 Dollars)

<u>User Class and Aircraft Type</u>	<u>(A)</u> Aircraft Vari- able Operating Costs ^{1/}	<u>(B)</u> Number of Passengers/ Occupant ^{2/}	<u>(C)</u> Value of Passengers'/ Occupants' Time (Column B x \$17.50 ^{1/})	<u>(D)</u> Total Delay Cost Per Hour (Column A + Column C)
<u>Air Carrier</u>				
Turbofan, 4 engine, wide body ^{3/}	\$4,767	235.7	\$4,125	\$8,892
Turbojet, 4-engine ^{3/}	2,880	92.3	1,615	4,495
Turbofan, 4-engine, regular body ^{3/}	2,643	99.7	1,745	4,388
Turbofan, 3-engine, wide body	3,341	158.5	2,774	6,115
Turbofan, 3-engine, regular body	1,964	78.4	1,372	3,336
Turbofan, 2-engine, wide body	2,655	138.3	2,420	5,075
Turbofan, 2-engine, regular body	1,508	61.6	1,078	2,586
Turboprop	694	23.5	411	1,105
Piston	139	2.2	39	178
<u>Air Taxi (Including Air Commuter)</u>				
Jet	942	2.3	40	982
Turboprop	369	7.3	128	497
Multi-engine piston	132	5.4	95	227
Single-engine piston	56	2.1	37	93
Rotorcraft	138	1.4	25	163
<u>General Aviation (Excluding Air Taxi)</u>				
Jet	782	4.1	72	854
Turboprop	233	5.6	98	331
Multi-engine piston	93	3.6	63	156
Single-engine piston	30	2.2	39	69
Rotorcraft	77	2.4	42	119
<u>Military</u>				
Jet	1,333	6.0	105	1,438
Turboprop	360	5.0	88	448
Piston	97	3.0	53	150
Rotorcraft	113	2.0	35	148

^{1/}Source: Reference 9

^{2/}Sources: Reference 17 for air carrier; References 18, 19 and 20 for air taxi and general aviation. Data represents the number of passengers for the air carrier and air taxi user classes, and the number of occupants for the general aviation and military user classes. Passenger load factors, as opposed to occupant load factors, are used for the air carrier and air taxi user classes because the value of time of the crews of these user classes are included in aircraft variable operating costs in the form of salaries and wages.

^{3/}The NBS DELCAP simulation model did not include these aircraft types. Terminals that serve these aircraft types obviously are already equipped with or served by ASR. Conversely, airports within the range of interest in this report for new radar establishments do not serve these aircraft types. Their inclusion here is without impact and only for completeness of presentation.

APPENDIX D

Midair Collision Costs (Thousands of 1980 Dollars)

	Fatalities and Injuries				Aircraft Damage				Total Collision Costs	
	No. Occu- pants ^{1/}	Fatalities		Serious Injuries		Destroyed		Substantially Damaged		
		Prob. ^{2/} / (\$530 ^{1/})	Cost (\$530 ^{1/})	Prob. ^{2/} / (\$38 ^{1/})	Cost (\$38 ^{1/})	Value ^{2/} / Prob. ^{2/}	Replace. Exp. Cost ^{2/}	Restor. Cost ^{2/}		Exp. Cost ^{2/}
<u>Air Carrier</u>										
Turbofan, 4-engine, wide body ^{4/}	251.7	\$62,832	.002	\$19	.417	20,485	8,542	6,828	2,847	74,247
Turbojet, 4-engine ^{4/}	100.3	25,038		8		1,600	667	533	222	25,935
Turbofan, 4-engine, regular body ^{4/}	107.7	26,885		8		3,958	1,650	1,319	550	28,053
Turbofan, 3-engine, wide body	169.5	42,312		13		20,540	8,565	6,847	2,855	53,743
Turbofan, 3-engine, regular body	84.4	21,069		6		3,959	1,651	1,320	550	23,276
Turbofan, 2-engine, wide body	148.3	37,020		11		20,000	8,340	6,667	2,780	48,151
Turbofan, 2-engine, regular body	66.6	16,625		5		5,144	2,145	1,715	715	19,490
Turboprop	26.5	6,615		2		1,269	529	423	176	7,327
Piston	4.2	1,048		0		320	133	107	45	1,226
<u>Air Taxi (Including Air Commuter)</u>										
Jet	4.3	925	.046	8	.493	1,300	641	433	173	1,747
Turboprop	9.3	2,001		16		556	274	185	74	2,365
Multi-engine piston	7.4	1,592		13		102	50	34	14	1,669
Single-engine piston	3.1	667		5		31	15	10	4	691
Rotorcraft	2.4	516		4		114	56	38	15	591
<u>General Aviation</u>										
Jet	4.1	882	.046	7	.493	1,594	786	531	212	1,887
Turboprop	5.6	1,205		10		623	307	208	83	1,605
Multi-engine piston	3.6	775		6		102	50	34	14	845
Single-engine piston	2.2	473		4		27	13	9	4	494
Rotorcraft	2.4	516		4		74	36	25	10	566
<u>Military</u>										
Jet	6.0	1,291	.046	10	.493	2,146	1,058	715	286	2,645
Turboprop	5.0	1,076		9		3,328	1,641	1,109	444	3,170
Piston	3.0	646		5		106	52	35	14	717
Rotorcraft	2.0	430		3		410	202	137	55	690

^{1/}Sources: References 17, 18, 19 and 20.

^{2/}Sources: References 27 and 28.

^{3/}Source: Reference 9.

^{4/}Terminals that serve these aircraft types obviously are already equipped with or served by ASR. Conversely, airports within the range of interest in this report for new radar establishments do not serve these aircraft types. Their inclusion here is without impact and only for completeness of presentation.

APPENDIX E-1

NORMATIVE DISTRIBUTION OF AIR CARRIER AIRCRAFT BY AIRCRAFT TYPE
USED IN THE DEVELOPMENT OF DELAY AND MIDAIR COLLISION AVOIDANCE BENEFITS*

<u>Aircraft Type</u>	<u>Percentage Mix</u>
Turbofan, 4 engine, wide body	0.0
Turbojet, 4 engine	0.0
Turbofan, 4 engine, regular body	0.0
Turbofan, 3 engine, wide body	0.0
Turbofan, 3 engine, regular body	8.0
Turbofan, 2 engine, wide body	0.0
Turbofan, 2 engine, regular body	50.0
Turboprop	36.0
Piston	6.0

*Data represents the expected mix of air carrier aircraft at potential candidate airports, based on a subset of airports listed in Section VI applied to References 15 and 16.

APPENDIX E-2

NORMATIVE DISTRIBUTION OF AIR TAXI, GENERAL AVIATION AND MILITARY AIRCRAFT
BY AIRCRAFT TYPE USED IN THE DEVELOPMENT OF DELAY BENEFITS

	<u>Estimated Number of Aircraft- 1978</u>	<u>Estimated % of Active Aircraft Flown IFR</u>	<u>Expected Number Air- craft Flown IFR</u>	<u>Percent Distri- bution</u>
<u>Air Taxi (Including Air Commuter)1/</u>				
Jet	170	100.0	170.00	4.0
Turboprop	444	97.5	432.90	10.1
Multi-engine piston	3,314	85.2	2,823.53	65.9
Single-engine piston	2,770	29.4	814.38	19.0
Rotorcraft	1,215	3.6	43.74	1.0
	<u>7,913</u>		<u>4,284.55</u>	<u>100.0</u>
<u>General Aviation (Excluding Air Taxi)1/</u>				
Jet	2,310	100.0	2,310.00	3.4
Turboprop	2,686	97.5	2,618.85	3.8
Multi-engine piston	19,857	85.2	16,918.16	24.7
Single-engine piston	157,881	29.4	46,417.01	67.9
Rotorcraft	4,100	3.6	147.60	0.2
	<u>186,834</u>		<u>68,411.62</u>	<u>100.0</u>
<u>Military2/</u>				
Jet	8,898			47.0
Turboprop	1,794			9.5
Piston	1,056			5.6
Rotorcraft	<u>7,183</u>			<u>37.9</u>
	<u>18,931</u>			<u>100.0</u>

1/Source: Reference 19

2/Source: Reference 29

APPENDIX E-3

NORMATIVE DISTRIBUTION OF AIR TAXI, GENERAL AVIATION AND MILITARY AIRCRAFT
BY AIRCRAFT TYPE USED IN THE DEVELOPMENT OF MIDAIR COLLISION AVOIDANCE BENEFITS

	<u>Estimate of Total Hours Flown-1978</u>	<u>Percent Distribution</u>
<u>Air Taxi (Including Air Commuter)^{1/}</u>		
Jet	132,449	3.0
Turboprop	550,288	12.5
Multi-engine piston	1,683,862	38.2
Single-engine piston	1,210,368	27.5
Rotorcraft	<u>828,107</u>	<u>18.8</u>
	4,405,094	100.0
<u>General Aviation (Excluding Air Taxi)^{1/}</u>		
Jet	1,061,797	3.1
Turboprop	1,055,995	3.0
Multi-engine piston	4,502,028	13.0
Single-engine piston	26,646,920	76.9
Rotorcraft	<u>1,399,544</u>	<u>4.0</u>
	34,666,284	100.0
<u>Military^{2/}</u>		
Jet	2,843,000	58.8
Turboprop	595,000	12.3
Piston	328,000	6.8
Rotorcraft	<u>1,071,000</u>	<u>22.1</u>
	4,837,000	100.0

^{1/} Source: Reference 19

^{2/} Source: Reference 29

APPENDIX F - PROGRAM LOGIC OF ASR ESTABLISHMENT
AND DISCONTINUANCE CRITERIA

The ASR establishment and discontinuance criteria described in this report will be integrated as a FORTRAN subroutine into the Terminal Area Forecast Data System. This appendix provides a centralized algebraic description of the logic used to compute the Phase I and II benefit/cost ratios (in Section VI), a description and source of variables and constants used in the subroutine, and appropriate default values.

A. Phase I Ratio

$$\begin{aligned} \text{ACEBE} &= \text{ACPRIM}_1 / (3,400 - (.0013 \times \text{PRIM}_1)) * \\ \text{ATEBE} &= \text{ATPRIM}_1 / (26,000 - (.0096 \times \text{PRIM}_1)) * \\ \text{GAEBE} &= \text{GAPRIM}_1 / (53,300 - (.0196 \times \text{PRIM}_1)) * \\ \text{MLEBE} &= \text{MLPRIM}_1 / (8,600 - (.0032 \times \text{PRIM}_1)) * \\ \text{ACSBE} &= \text{ACITN}_1 / 107,400 \\ \text{ATSBE} &= \text{ATITN}_1 / 539,600 \\ \text{GASBE} &= (\text{GAITN}_1 + \text{GALCL}_1) / 847,200 \\ \text{MLSBE} &= (\text{MLITN}_1 + \text{MLLCL}_1) / 376,200 \end{aligned}$$

*If the denominator for any user class results in a value equal to or less than zero, disregard all denominators and use all of the following instead. For the air carrier user class: $9,300 - (.0034 \times \text{PRIM})$; for the air taxi user class: $71,200 - (.0262 \times \text{PRIM})$; for the general aviation user class: $146,000 - (.0538 \times \text{PRIM})$; and for the military user class: $23,400 - (.0086 \times \text{PRIM})$.

$$\text{PHASE I} = \text{ACEBE} + \text{ATEBE} + \text{GAEBE} + \text{MLEBE} + \text{ACSBE} + \text{ATSBE} + \text{GASBE} + \text{MLSBE}$$

If PHASEI > 1.0, then location satisfies Phase I establishment criteria.

If PHASEI < .35, then location satisfies Phase I discontinuance criteria.

In cases where qualifying radar service is provided by the primary airport to secondary airports, the Phase I ratio value shall be the sum of the airport ratios of the airports comprising the radar service area.

B. Phase II Benefit/Cost Ratio

1. Compute Life-Cycle Benefits

$$\text{DISBEN} = \sum_{y=1}^{15} (\text{ANNBEN}_y \times 1 / (1+d)^{y-0.5})$$

where DISBEN is the life-cycle benefits discounted over 15 years, 'y' is each of 15 years of an ASR's estimated economic life, 'ANNBEN' is the nondiscounted sum in year 'y' of benefits of IFR delay reduction, midair collision avoidance and terrain collision avoidance, and 'd' is the OMB-prescribed discount rate of ten percent. In cases where qualifying radar service is provided by the primary airport to secondary airports, the Phase II benefit/cost ratio shall be the sum of the airport ratios of the airports comprising the radar service area.

a. IFR Delay Reduction Benefits

- (1) Compute delay costs (aircraft variable operating costs and value of passengers'/occupants' time) of aircraft mix during an busy IFR hour:

Aircraft Mix Hourly Delay Costs

ACACST =	(((ACPRIM/PRIM) x ACAEP x BHIO)/100) x	(ACAVOC + (ACAPAX x VALTIM))
ACBCST =	(((ACPRIM/PRIM) x ACBEP x BHIO)/100) x	(ACEVOC + (ACBPAX x VALTIM))
ACCCST =	(((ACPRIM/PRIM) x ACCEP x BHIO)/100) x	(ACCVOC + (ACCPAX x VALTIM))
ACDCST =	(((ACPRIM/PRIM) x ACDEP x BHIO)/100) x	(ACDVOC + (ACDPAX x VALTIM))
ACECST =	(((ACPRIM/PRIM) x ACEEP x BHIO)/100) x	(ACEVOC + (ACEPAX x VALTIM))
ACFCST =	(((ACPRIM/PRIM) x ACFEP x BHIO)/100) x	(ACFVOC + (ACFPAX x VALTIM))
ACGCST =	(((ACPRIM/PRIM) x ACGEP x BHIO)/100) x	(ACGVOC + (ACGPAX x VALTIM))
ACHCST =	(((ACPRIM/PRIM) x ACHEP x BHIO)/100) x	(ACHVOC + (ACHPAX x VALTIM))
ACICST =	(((ACPRIM/PRIM) x ACIEP x BHIO)/100) x	(ACIVOC + (ACIPAX x VALTIM))
ATACST =	(((ATPRIM/PRIM) x ATAEP x BHIO)/100) x	(ATAVOC + (ATAPAX x VALTIM))
ATBCST =	(((ATPRIM/PRIM) x ATBEP x BHIO)/100) x	(ATBVOC + (ATBPAX x VALTIM))
ATCCST =	(((ATPRIM/PRIM) x ATCEP x BHIO)/100) x	(ATCVOC + (ATCPAX x VALTIM))
ATDCST =	(((ATPRIM/PRIM) x ATDEP x BHIO)/100) x	(ATDVOC + (ATDPAX x VALTIM))
ATECST =	(((ATPRIM/PRIM) x ATEEP x BHIO)/100) x	(ATEVOC + (ATEPAX x VALTIM))
GAACST =	(((GAPRIM/PRIM) x GAAEP x BHIO)/100) x	(GAAVOC + (GAACU x VALTIM))
GABCST =	(((GAPRIM/PRIM) x GABEP x BHIO)/100) x	(GABVOC + (GABOCU x VALTIM))
GACCST =	(((GAPRIM/PRIM) x GACEP x BHIO)/100) x	(GACVOC + (GACOCU x VALTIM))
GADCST =	(((GAPRIM/PRIM) x GADEP x BHIO)/100) x	(GADVOC + (GADOCU x VALTIM))
GAECST =	(((GAPRIM/PRIM) x GAEEP x BHIO)/100) x	(GAEVOC + (GAEUCU x VALTIM))
MLACST =	(((MLPRIM/PRIM) x MLAEP x BHIO)/100) x	(MLAVOC + (MLAOCU x VALTIM))
MLBCST =	(((MLPRIM/PRIM) x MLBEP x BHIO)/100) x	(MLBVOC + (MLBOCU x VALTIM))
MLCCST =	(((MLPRIM/PRIM) x MLCEP x BHIO)/100) x	(MLCVOC + (MLCOCU x VALTIM))
MLDCST =	(((MLPRIM/PRIM) x MLDEP x BHIO)/100) x	(MLDVOC + (MLDOCU x VALTIM))

TOTCST = Σ of above

(2) Compute IFR Delay Reduction Benefits:

DELSPH

$$\text{DELTOT} = \text{TOTCST} \times \text{TSAME} \times (\text{PIFR}/100) \times \text{BHPY}$$

b. Safety Benefits

(1) Midair Collision Avoidance Benefits

(a) Compute Expected Cost of a Midair Collision:

Relative Aircraft Mix	Cost of Fatal and Serious Injuries Per Aircraft	Cost of Aircraft Damage Per Aircraft
ACACC = ((ACTN x ACASP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACBOC = ((ACTN x ACBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACCCO = ((ACTN x ACCSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACDCO = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACDCC = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACFOCC = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACGCO = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACHCO = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
ACICCO = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
AFACCO = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
AFBCC = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
AFDCC = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
AFBCC = ((ACTN x ACDBSP)/100) x ((ACBOC x (ACFPR x VALLIF) + (ACIPRB x (CSTINJ))) + ((ACDESP x ACAREP) + (ACSDP x ACARES))		
GAACC = ((GAIN + GALL) x GASP)/100) x ((GBOC x (GAPPR x VALLIF) + (GAIPRB x (CSTINJ))) + ((GADESP x GAAREP) + (GASDP x GARES))		
GABCC = ((GAIN + GALL) x GASP)/100) x ((GBOC x (GAPPR x VALLIF) + (GAIPRB x (CSTINJ))) + ((GADESP x GAAREP) + (GASDP x GARES))		
GACCC = ((GAIN + GALL) x GASP)/100) x ((GBOC x (GAPPR x VALLIF) + (GAIPRB x (CSTINJ))) + ((GADESP x GAAREP) + (GASDP x GARES))		
GADCC = ((GAIN + GALL) x GASP)/100) x ((GBOC x (GAPPR x VALLIF) + (GAIPRB x (CSTINJ))) + ((GADESP x GAAREP) + (GASDP x GARES))		
GAFCC = ((GAIN + GALL) x GASP)/100) x ((GBOC x (GAPPR x VALLIF) + (GAIPRB x (CSTINJ))) + ((GADESP x GAAREP) + (GASDP x GARES))		
MLACC = ((MLIN + MLCL) x MLASP)/100) x ((MLBOC x (MLFPR x VALLIF) + (MLIPRB x (CSTINJ))) + ((MLDESP x MLAREP) + (MLSdp x MLARES))		
MLBCC = ((MLIN + MLCL) x MLASP)/100) x ((MLBOC x (MLFPR x VALLIF) + (MLIPRB x (CSTINJ))) + ((MLDESP x MLAREP) + (MLSdp x MLARES))		
MLDCC = ((MLIN + MLCL) x MLASP)/100) x ((MLBOC x (MLFPR x VALLIF) + (MLIPRB x (CSTINJ))) + ((MLDESP x MLAREP) + (MLSdp x MLARES))		
MLDCC = ((MLIN + MLCL) x MLASP)/100) x ((MLBOC x (MLFPR x VALLIF) + (MLIPRB x (CSTINJ))) + ((MLDESP x MLAREP) + (MLSdp x MLARES))		

EMACC = ((Σ of above)/OPS) x 2

(b) Compute Annual Midair Collision Avoidance Benefits:

MACTOT = EMACC x .010 x (OPS/100000)2.3

(2) Terrain Collision Avoidance Benefits

TRCTOT = TERBEN x OPS x MODECF

(3) Compute Total Safety Benefits:

SAFTOT = MACTOT + TRCTOT

c. Compute Total Annual Benefits:

ANNBEN = DELTOT + SAFTOT

2. Compute Phase II Benefit/Cost Ratio

BC = DISBEN/DISCST

If BC ≥ 1.0, then location satisfies Phase II establishment criteria.

If BC < .35, then location satisfies Phase II discontinuance criteria.

<u>Variable/Fixed Factor Name</u>	<u>Description</u>	<u>Source</u>	<u>Default Value</u>
ANNBEN	Nondiscounted sum of benefits of IFR delay reduction, midair collision avoidance and terrain collision avoidance in year 'y'.	Computed	
BC	Phase II benefit/cost ratio.	Computed	
BHIO	Number of operations during a busy IFR hour: BHIO = .05352138 x (PRIM .5921863).	Computed	
BBPY	Number of busy hours per year.	Constant of 1,252	
--_--_CCC	Contributory expected midair collision costs by user class and aircraft type category. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Computed	
--_--_CST	Contributory aircraft variable operating costs and value of passengers'/occupants' time by user class and aircraft type category during a busy IFR hour. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Computed	
CSTINJ	Cost of a statistical serious injury.	Constant of \$38,000	
d	OMB-prescribed discount rate.	Constant of 0.10	
DELSFH	Product of TOTCST and TSAVE	Computed	
DEIFOT	Nondiscounted IFR delay reduction benefits in year 'y'.	Computed	
--_--_DESP	Probability of an aircraft involved in a midair collision being "destroyed" by user class. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D	
DISBEN	Life-cycle benefits discounted over 15 years.	Computed	

<u>Variable/Fixed Factor Name</u>	<u>Description</u>	<u>Source</u>	<u>Default Value</u>
DISOST	Life-cycle costs discounted over 15 years.	Regional input	Constants outlined in Figures 1 and 2
-- EBE	Break-even activity levels by user class (i.e., where efficiency benefits equal cost). Used in Phase I criteria. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Computed	
EIMACC	Total expected cost of a midair collision.	Computed	
-- EP	Percentage disaggregation of user classes by aircraft type category for purposes of delay benefits. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	For air carrier: Regional input or the Official Airline Guide. For other user classes: Constants outlined in Appendix E-2.	For air carrier: As outlined in Appendix E-1
EXPMAC	Expected annual number of avertable midair collisions: EXPMAC = .010 x (OPS/100,000) ^{2.3}	Computed	
-- FPEP	Probability of an occupant being fatally injured in a midair collision by user class. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D	
-- IPFB	Probability of an occupant being seriously injured in a midair collision by user class. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D	
-- ITN	Itinerant operations of the air carrier and air taxi user classes in year 'y'. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	TAF	

<u>Variable/Fixed Factor Name</u>	<u>Description</u>	<u>Source</u>	<u>Default Value</u>
--_LCL	Local operations of the general aviation and military user classes in year 'y'. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	TAF	
MACTOT	Nondiscounted midair collision avoidance benefits in year 'y'.	Computed	
MODECF	Percentage of all aircraft which are estimated to be Mode C - equipped in year 'y'.	Constants outlined in Figure 12	
--_OCU	Standard number of occupants (including crew) aboard each aircraft type category of each user class. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D	
OPS	Total aircraft operations in year 'y'.	TAF	
--_PAX	Standard number of passengers (other than crew) aboard the various aircraft type categories of the air carrier and air taxi user classes. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Constants outlined in Appendix C	
PHASEI	Phase I benefit/cost ratio.	Computed	
PIFR	Percentage of time that IFR weather prevails.	This report, Reference 5, Reference 29, or regional input.	13.5

Default
Value

Variable/Fixed Factor Name	Description	Source
PRIM	Sum of <u>PRIM</u> . <u>PRIM</u> = Sum of <u>PRIM</u> in year 1. See below.	TAF
--_PRIM	Annual primary instrument operations by user class. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix. For a primary airport, this includes only primary instrument operations. For a secondary airport, this includes primary instrument operations of the secondary airport or secondary instrument operations of the primary airport allocable to the secondary airport, whichever is greater. <u>PRIM</u> = Annual primary instrument operations by user class in year 1.	Terminal Area Forecast (TAF) File for instrument operations. To compute allocable secondary instrument operations of the primary airport, regions provide allocation percentages.
--_REP	Aircraft "replacement" costs by user class and aircraft type category. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D
--_RES	Aircraft "restoration" costs by user class and aircraft type category. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D
SAPTOT	Nondiscounted sum of midair and terrain collision avoidance benefits in year 'y'.	Computed
--_SBE	Break-even activity levels by user class (i.e., where safety benefits equal cost). Used in Phase I criteria. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Computed

<u>Variable/Fixed Factor Name</u>	<u>Description</u>	<u>Source</u>	<u>Default Value</u>
--_SDP	Probability of an aircraft involved in a midair collision being "substantially-damaged" by user class. The user classes (identified in the first two positions) are cross-referenced at the end of this appendix.	Constants outlined in Appendix D	
--_SP	Percentage disaggregation of user classes by aircraft type category for purposes of safety benefits. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.	For air carrier: Regional input. For other user classes: Constants outlined in Appendix E-3.	For air carrier: As outlined in Appendix E-1.
TERBEN	Benefits of averted terrain collision benefits per operation.	Constant of .587	
TOTCST	Sum of aircraft variable operating costs and value of passengers'/occupants' time during an IFR busy hour for all aircraft type categories of all user classes.	Computed	
TRCROT	Nondiscounted terrain collision avoidance benefits in year 'y'.	Computed	
TSAVE	Delay time saved (hours) per aircraft per hour of airport operation. Derived from Figure 5 delay time table and supplemented with the following FORTRAN interpolation: <pre> IB=BHIO-9. IF(BHIO.GE.30.) IB=21 IF(BHIO.LE.10.) IB=1 PSLOW=(ATPRIM + GAPRIM + MLPRIM)/PRIM TSAVE=0. IF(PSLOW.LE..10) TSAVE=T(1,IB) IF(PSLOW.GE..80) TSAVE=T(8,IB) IF(TSAVE.NE.0.) GO TO 940 IP=PSLOW*10. TSAVE=T(IP,IB)+(PSLOW*10.-FLOAT(IP))* *(T(IP+1,IB)-T(IP,IB)) 940 CONTINUE </pre>	Computed	

where T(IP,IB) = element of delay time table whose subscripts are calculated, FLOAT(IP) = FORTRAN supplied mathematical function to change an integer (IP) to its real equivalent. This allows us to find the fractional difference between PSLOW*10 and IP.

Default Value

Source

Description

Variable/Fixed Factor Name

T(IP+1,IB) = Next higher element of delay timetable used to interpolate the stated value of T(IP,IB).

FSLON = Fraction of total primary instrument operations represented by user classes other than air carrier.

Value of a statistical life.

Hourly value of time of aircraft passengers/occupants.

Aircraft variable operating costs per hour by user class and aircraft type category. The user classes (identified in the first two positions) and the aircraft type categories (identified in the third position) are cross-referenced at the end of this appendix.

A year of an ASR's estimated 15-year economic life.

Factors relating to aircraft type categories (third position) within each user class (first two positions):

Air Carrier:

- Turbofan, 4-engine, wide body
- Turbojet, 4-engine
- Turbofan, 4-engine, regular body
- Turbofan, 3-engine, wide body
- Turbofan, 3-engine, regular body
- Turbofan, 2-engine, wide body
- Turbofan, 2-engine, regular body
- Turboprop
- Piston

Air Taxi (Including Air Commuter):

- Jet
- Turboprop
- Multi-engine piston
- Single-engine piston
- Rotorcraft

General Aviation (Excluding Air Taxi):

- Jet
- Turboprop
- Multi-engine piston
- Single-engine piston
- Rotorcraft

Military:

- Jet
- Turboprop
- Piston
- Rotorcraft

Constant of \$530,000

Constant of \$17.50

Constants outlined in Appendix C

- ACA
- ACB
- ACC
- ACD
- ACE
- ACF
- ACG
- ACH
- ACI

- ATA
- ATB
- ATC
- ATD
- ATE

- GAA
- GAB
- GAC
- GAD
- GAE

- MLA
- MLB
- MLC
- MLD

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