

**U.S. EPA Great Lakes National Program Office
Great Lakes Collections of Household Unwanted Electronics and Medicines
Request for Applications**

CONTENTS

Overview.....	1
I. Funding Opportunity Description.....	2
II. Award Information.....	4
III. Eligibility and Matching.....	6
IV. Application and Submission.....	8
V. Application Review, including General Criteria, Review Process, Schedule.....	15
VI. Award Administration.....	18
VII. Agency Contacts.....	20
VIII. Other Information.....	20

Overview

Federal Agency Name: Environmental Protection Agency, Great Lakes National Program Office

Funding Opportunity Title: Great Lakes Collections of Household Unwanted Electronics and Medicines

Announcement Type: Initial Announcement

Funding Opportunity Number: EPA-R5-GL2008-1

Catalog of Federal Domestic Assistance (CFDA) Number: 66.469

Awards: An estimated \$175,000 is being targeted for an estimated 8-10 cooperative agreements ranging from approximately \$10,000 to \$25,000 each and having project periods of up to a year.

Important Dates:

January 15, 2008 - Request for Applications posted on the web and synopsis on grants.gov.

February 28, 2008 - Submissions must be received by EPA or electronically through grants.gov by 5:00 p.m. CST.

March 10 - Selections.

April 17 - Awards made.

April 22 – Earth Day. First major deliverable from awards.

Dates after February 28 are expected dates only and may change.

Application Information: Applicants may submit by either of two methods: (i) paper submission or (ii) submission through grants.gov . Information about both methods is described in Section IV. We encourage all applicants to register with us at <http://www.epa.gov/grtlakes/maillist/index.html> to be informed about our funding process.

I. Funding Opportunity Description.

Under this Request for Applications, the U.S. Environmental Protection Agency's (EPA's) Great Lakes National Program Office (GLNPO) is requesting Great Lakes application packages, including proposal narratives and all application materials, for awards which would mobilize citizens and communities to collect and recycle household unwanted electronics and/or dispose of unwanted and expired medicines from within the Great Lakes basin. Both prescription and over the counter medicines can be collected.

The statutory authority for these awards is (i) §104 of the Clean Water Act and (ii) §118 of the Clean Water Act. §118 calls for the achievement of the goals in the Great Lakes Water Quality Agreement, the principal goal being the restoration and maintenance of the chemical, physical, and biological integrity of the Great Lakes Ecosystem.

Funded activities will support Great Lakes pollution prevention and protection efforts, and will advance protection and restoration of the Great Lakes ecosystem in support of (i) Subobjective 4.3.3 (Improve the Health of Great Lakes Ecosystems) of EPA's Strategic Plan <http://www.epa.gov/ocfo/plan/plan.htm> and (ii) the Great Lakes Regional Collaboration Strategy to Protect and Restore the Great Lakes <http://www.gllrc.us/>. These activities are especially intended to support achievement of the program goal for restoring the chemical integrity of the Great Lakes basin.

Collections of unwanted and expired medicines may require support by police (if controlled substances are collected) and coordination with applicable state waste regulators to ensure compliance with State and Federal waste collection, transportation and disposal regulations.

Applicants should note the criteria in section V, particularly as they relate to utilization of environmentally responsible practices and tools. Applicants should also note the provisions in Section V pertaining to the consideration of proposed subawardees/subgrantees and contractors during the evaluation process.

Outcomes of projects may include, but are not limited to:

- Improved knowledge and practices of Great Lakes citizens regarding environmentally safe recycling of electronics and/or disposal of unwanted and expired medicines from within the Great Lakes basin.
- Fewer pollutants entering the Great Lakes ecosystem from household electronics and/or unwanted and expired medicines.

Outputs are expected to include, but are not limited to:

- Tailored projects that engage some segment of the Great Lakes basin population in safe and environmentally responsible collection events.
- Estimates of pollutants prevented from entering the Great Lakes ecosystem from recycling of electronics waste and disposal of unwanted and expired medicine.
- Increased educational outreach within the Great Lakes Basin, using vehicles such as newsletters, web sites, and list serves.

- Collection models or examples that can be tech transferred to other areas of the Great Lakes basin.
- Progress reports and a final report as described in Section VI.

II. Award Information

The number of cooperative agreements EPA will fund as a result of this announcement will be based on the quality of applications received and the availability of funding. Estimates of dollar amounts and numbers of projects are included as planning targets only.

Additional Awards. EPA reserves the right to make additional awards under this announcement (after the original award selections are made) if additional funding becomes available. Any additional selections for awards will be made no later than 6 months after the original selection decisions. The additional selections must be made in accordance with the terms of this announcement and EPA policy.

Amounts, Targets, and Number of Projects. An estimated \$175,000 is being targeted for an estimated 8-10 cooperative agreements ranging from approximately \$10,000 to \$25,000 each and having project periods of up to a year. Funding for these projects is not guaranteed and is subject to the availability of funds and the evaluation of applications based on the criteria in this announcement. Estimates of dollar amounts per topic and/or project area and numbers of projects are included as planning targets only. The actual amounts and number of projects may differ for many reasons, including the fact that EPA's operating plan has not been finalized and the number and quality of meritorious, technically qualified project submissions is unknown.

EPA reserves the right to reject all applications and make no awards under this announcement or make fewer awards than anticipated.

Anticipated Start and End Dates. EPA anticipates that applicants will submit certifications and other documentation required for a full funding package in order that their projects could, if selected, commence by April 4, 2007 or earlier. See "Pre-award Costs" for information regarding costs incurred prior to awards. Projects periods may be up to a year.

Awards from additional funding. EPA reserves the right to make additional awards under this announcement (after the original award selections are made) if additional funding becomes available. Any additional selections for awards will be made no later than 6 months after the original selection decisions. The additional selections will be made in accordance with the terms of this announcement and EPA policy.

Awards to partnerships, co-applicants, coalitions, or consortia. EPA awards funds to one eligible applicant as the recipient even if other eligible applicants are named as partners or co-applicants or members of a coalition or consortium. The recipient is accountable to EPA for the proper expenditure of funds.

Contracts and Sub-Awards. See Section VI (Award Administration) for information regarding contracts and sub-awards related to an award.

Funding Type. Successful applicants will be issued a grant or cooperative agreement. EPA expects that most awards pursuant to this announcement would be cooperative agreements. A cooperative agreement is an assistance agreement that is used when there is substantial federal involvement with the recipient during the performance of an activity or project. EPA awards

cooperative agreements for those projects in which it expects to have substantial interaction with the recipient throughout the performance of the project. EPA will negotiate the precise terms and conditions of “substantial involvement” as part of the award process. Federal involvement may include close monitoring of the recipient's performance; collaboration during the performance of the scope of work; in accordance with 40 CFR 31.36(g), review of proposed procurements; reviewing qualifications of key personnel; and/or review and comment on the content of printed or electronic publications prepared. EPA does not have the authority to select employees or contractors employed by the recipient. The final decision on the content of reports rests with the recipient.

Future Funding. Award of funding through this year’s competition is not a guarantee of future funding.

Partial Funding. In appropriate circumstances, EPA reserves the right to partially fund proposals by funding discrete portions or phases of proposed projects. If EPA decides to partially fund a proposal, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal, or portion thereof, was evaluated and selected for award, and therefore maintains the integrity of the competition and selection process.

Pre-award Costs. It is possible for applicants to be reimbursed for pre-award costs. Applicants who expect to incur pre-award costs should identify those costs in their proposals. Reimbursement of pre-award costs is considered on a case-by-case basis. EPA is not obligated to reimburse recipients for pre-award costs; recipients incur pre-award costs at their own risk. Applicable regulations for pre-award costs are contained in 40 CFR 30.25 (f). EPA Policy Guidance (GPI-00-02) makes this policy applicable to grantees under both Part 30 (universities and nonprofits) and Part 31 (State, local, and tribal).

III. Eligibility and Matching

Applicant Eligibility (CFDA 66.469). State pollution control agencies, interstate agencies, and other public or non-profit private agencies, institutions, and organizations are eligible; "for-profit" organizations are not. Colleges and universities which are subject to 40 CFR 30 or 31 are eligible. Non-profit organization, as defined by OMB Circular A-122, located at 2 CFR Part 230, means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Eligible Activities. Assistance is available pursuant to Clean Water Act §104(b) for activities impacting the Great Lakes Basin and in support of the Great Lakes Water Quality Agreement. Applicants' proposals pursuant to this Funding Opportunity must conduct, and promote the coordination and acceleration of, research, investigations, experiments, training, demonstrations, surveys, and/or studies relating to the causes, effects, extent, prevention, reduction, and/or elimination of pollution. Proposals for other activities will be rejected.

Ineligible Activities. Under this announcement, EPA will not fund: "construction grant" projects; basic research; land acquisition; or projects the principal purpose for which is general operating support.

Match. A match is not required, but cost-leveraging is one of the criteria in Section V which will be considered by reviewers during evaluations.

Noncompliance. Applications must substantially comply with the submission instructions and requirements set forth in Section IV of this announcement or else they will be rejected. Further, applications must be postmarked or received by the EPA or through Grants.gov on or before the closing date and time published in Section IV of this announcement. Applications postmarked or received after this date and time will not be reviewed and will be returned to the sender.

Threshold Eligibility Criteria. These are requirements that if not met by the due date and time will result in elimination of the proposal from consideration for funding. Only proposals from eligible entities (see above) that meet all of these criteria will be evaluated against the ranking factors in Section V of this announcement. Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

1. a. Applications must substantially comply with the application submission instructions and requirements set forth in Section IV of this announcement or else they will be rejected.
- b. In addition, applications must be received by the EPA or received through <http://www.grants.gov>, as specified in Section IV of this announcement, on or before the submission deadline published in Section IV of this announcement. Applicants are responsible for ensuring that their application reaches the designated person/office specified in Section IV of the announcement by the submission deadline.

- c. Applications received after the submission deadline will be considered late and returned to the sender without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling. For hard copy submissions, where Section IV requires application receipt by a specific person/office by the submission deadline, receipt by an agency mailroom is not sufficient. Applicants should confirm receipt of their application with Lawrence Brail (312-886-7474 /brail.lawrence@epa.gov) as soon as possible after the submission deadline—failure to do so may result in your proposal not being reviewed.
2. Proposals must be for eligible activities which support (i) Goal 4 (Healthy Communities and Ecosystems), Objective 3 (Ecosystems), Subobjective 3 (Improve the Health of Great Lakes Ecosystems) of EPA’s Strategic Plan <http://www.epa.gov/ocfo/plan/plan.htm> and (ii) the Great Lakes Regional Collaboration Strategy to Protect and Restore the Great Lakes <http://www.glrc.us/>.

Note also that pursuant to Section IV, zipped files will not be reviewed.

IV. Application and Submission

A. General:

Applicants may submit their application packages by hard copy or through grants.gov but not both. Regardless of the mode of submission, full application packages must be received by the due date and time specified below in Section IV.E. A full application package includes:

1. Application for Federal Assistance (SF-424)
2. Budget Information for Non-Construction Programs (SF-424A)
3. EPA Key Contacts Form 5700-54
4. Assurances for Non-Construction Programs (SF-424B)
5. Lobbying Form (SF-LLL)
6. EPA Form 4700-4 – Preaward Compliance Review Report
7. Proposal Narrative, including cover page and work plan prepared as described in Section IV.B. of this announcement.
8. Budget Narrative /Detail
9. Disclosure of Lobbying Activities (SF-LLL), if applicable
10. Indirect Cost Rate Agreement or Proposal, if Applicant will claim indirect costs.

Note: If submitting a paper application, include a disk or e-mail attachment containing items 7 and 8 above.

Forms are available from the GLNPO website <http://www.epa.gov/grtlakes/fund/appforms.html>, EPA's grant website <http://www.epa.gov/ogd/forms/forms.htm>, or from within <http://www.grants.gov>.

There is no page limit for Proposal or Budget Narratives, or other portions of the Application Package; however, the Proposal and Budget Narratives must be formatted for 8 ½" x 11" paper using no smaller than 11 point Times New Roman font with 1" margins as one Microsoft Word, WordPerfect or Adobe Acrobat file. If you submit an Adobe Acrobat file, it must be generated by printing the document to the Acrobat Distiller or PDF Writer and NOT scanned in from hardcopy. Do not include more than one Proposal and Budget Narrative in any file. Please do not zip the file, because we will not be able to open it.

It is recommended that confidential business information not be included in your application.

B. Proposal Narrative Format (item 7 above)

1. **Cover Page:** We request that the cover page consist of no more than one side of 1 page and should include:
 - a. **Funding Opportunity Title and Number.** Great Lakes Collections of Unwanted Household Electronics and Medicines / EPA-R5-GL2008-1
 - b. **Name of Project.** Please limit to 60 characters, or EPA reserves the right to change the name for its administrative convenience.
 - c. **Point of contact/s.** Individual and Organization Name. Business Address; Phone Number; Fax Number; E-mail Address; and, if the organization has one, DUNS number.
 - d. **Type of Organization.** Choose from: State; Interstate Agency or Commission; Sub-state or special purpose district; County; Municipality; College or University; Tribal Organization; Federally funded research and development center; or Other.
 - e. **Proposed funding request.** The dollar amount requested from EPA.

- f. **Leveraging.** Identify leveraged funds and the ratio of leveraged vs. requested funds.
 - g. **Brief project description.** Summarize the project in a manner understandable to the public. Include environmental KEY TERMS that could be used as search terms (e.g., water quality, toxins, mercury, etc.). Do not use acronyms. Should the project be selected and a grant awarded, this description may be posted to the EPA web, which has a 595 character limit to this field; EPA reserves the right to make unilateral changes to conform to posting requirements.
2. **Workplan.** Maps, charts or photographs must be included in the document and cannot be submitted as separate attachments. Clearly describe the:
- a. **Proposed Work.** Describe what will be done and how. Include a statement of the project's relevance to the Great Lakes, particularly to needs and priorities in Subobjective 4.3.3 (Improve the Health of Great Lakes Ecosystems) of EPA's Strategic Plan and to the Great Lakes Regional Collaboration <http://www.epa.gov/glnpo/collaboration/strategy.html>.
 - b. **Project Goals/Outcomes/Benefits.** Specify the quantitative and qualitative outcomes of the project, including what measurements you will use and how you will measure and evaluate the results of your project to prove that you have achieved the outcomes. Pursuant to EPA Order 5700.7 <http://www.epa.gov/ogd/grants/award/5700.7.pdf> on environmental results, "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, but must be quantitative. They may not necessarily be achievable within an assistance agreement funding period.
 - c. **Project Benefits/Outputs:** Specify the estimated quantifiable environmental and economic outputs of the project, including affected pollutants, industry sectors, economic impacts, habitats, and/or species. Include an estimate of the amount of chemicals to be "collected or prevented." Describe the quality of that estimate, including applicable limitations. Pursuant to EPA Order 5700.7 <http://www.epa.gov/ogd/grants/award/5700.7.pdf> on environmental results, "output" means an environmental activity, effort, and/or associated work products related to an environmental goal and objective, that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.
 - d. **Project Eligibility:** Identify how the project will conduct and promote the coordination and acceleration of, research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of pollution.
 - e. **Collaboration, Stakeholders, and Leveraging:** Describe plans and status of collaboration amongst the public, private, and independent sectors. List the proposed groups that will be involved in this project and what each of the groups' roles will be in the project staffing, funding, design and implementation. Describe how the applicant will obtain the leveraged resources and what role the requested funding will play in the overall project. If EPA accepts an offer for a voluntary cost share/match/participation, applicants must meet their

matching/sharing/participation commitment as a condition of receiving EPA funding. Applicants may use their own funds or other resources for voluntary match/cost share/participation if the standards at 40 CFR 30.23 or 40 CFR 31.24, as applicable, are met. Only eligible and allowable costs may be used for voluntary matches/cost shares/participation. Other Federal grants may not be used as voluntary matches or cost shares without specific statutory authority (e.g. HUD's Community Development Block Grants).

- f. **Measuring Progress:** Describe your plan for measuring progress toward achieving outputs and outcomes of the project, including those identified in Section I of the announcement.
- g. **Project Tasks/Schedule:** Outline the steps to be taken and the significant milestones to be achieved to complete the project as well as the estimated schedule of these achievements with dates. Include the date by which EPA would receive a final report on the project. This section should also include a discussion of a communication plan for distributing the project results to interested parties.
- h. **Past Performance – Programmatic Capability:** Submit a list of federally funded assistance agreements (assistance agreements include Federal grants and cooperative agreements but not Federal contracts) similar in size, scope and relevance to the proposed project that your organization performed within the last three years (no more than 5, and preferably EPA agreements) and describe (i) whether, and how, you were able to successfully complete and manage those agreements and (ii) your history of meeting the reporting requirements under those agreements including submitting acceptable final technical reports. In evaluating applicants under these factors in Section V, EPA will consider the information provided by the applicant and may also consider relevant information from other sources, including information from EPA files and from current and prior Federal agency grantors (e.g., to verify and/or supplement the information provided by the applicant). If you do not have any relevant or available past performance or reporting information, please indicate this in the proposal and you will receive a neutral score for these factors under Section V.
- i. **Past Performance – Environmental Results:** Submit a list of federally funded assistance agreements (assistance agreements include Federal grants and cooperative agreements but not Federal contracts) that your organization performed within the last three years (no more than 5, and preferably EPA agreements), and describe how you documented and/or reported on whether you were making progress towards achieving the expected results (e.g., outputs and outcomes) under those agreements. If you were not making progress, please indicate whether, and how, you documented why not. In evaluating applicants under this factor in Section V, EPA will consider the information provided by the applicant and may also consider relevant information from other sources, including information from EPA files and from current and prior Federal agency grantors (e.g., to verify and/or supplement the information provided by the applicant). If you do not have any relevant or available environmental results past performance information, please indicate this in the proposal, and you will receive a neutral score for this factor under Section V.

In addition, provide any other information, to the extent not otherwise addressed above, that relates to the evaluation factors in Section V.

C. Budget Narrative/Detail. (Item 8 above). Specify in a chart with a column that totals to the total project cost how the total of the EPA funds and any Applicant matching funds will be used for the following “budget object classes”. For example, the total budget for the personnel object class category should be supported with a list of job titles and chargeable salaries; the budget for equipment should be supported with a detailed list of proposed purchases and the estimated cost of each item; and, similar justifications should be provided for the other object class categories that comprise the total budget.

1. **Personnel/Salaries.** Identify each type of staff position by job title and the number of employees in each type of staff position. Enter the annual salary for each type of staff position, percentage of time assigned to the project, and total cost for the budget period. Do not include costs of consultants or personnel costs of subgrantees or subcontractors.
2. **Fringe Benefits.** Enter the total cost of fringe benefits unless treated as part of an approved indirect cost rate. Provide a break-down of amounts and percentages that comprised fringe benefit costs, such as health insurance, F.I.C.A., retirement insurance, etc.
3. **Travel.** Identify the number and purpose of trips for program activities; e.g., inspection, monitoring, enforcement, etc., and administrative activities; e.g., attendance at specific conferences, meetings, training, etc. Specify the cost for each activity and the basis for determining the cost. For example, list the number of trips and average cost per trip based on last year’s data or specify the location, duration and estimated cost of attending an annual conference, based on air fare and applicant per diem rates. Enter the total cost for travel.
4. **Equipment.** Identify each item of equipment to be purchased which has an estimated acquisition cost of \$5,000 or more per unit and a useful life of more than one year. An applicant may use its own definition of equipment provided that such definition would at least include all equipment defined above. Enter individual, as well as total, cost for equipment.
5. **Supplies.** List all tangible personal property other than “equipment” as defined above. The budget detail should be as descriptive as possible. Categories of supplies to be procured, e.g., laboratory supplies or office supplies, are acceptable if items cannot be reasonably separated. Enter individual, as well as total, cost of supplies.
6. **Contract costs.** Identify each proposed contract and specify its purpose, nature, period of performance and estimated cost. Do not include procurement contracts which are reflected in other object class categories such as equipment, supplies, etc. If funds allocated to this object class category include proposed expenditures not usually categorized as services to be procured at the market place, explanatory footnotes must be included. Enter total cost for contracts.
7. **Other Costs.** List each item of cost in sufficient detail for EPA to determine its reasonableness and allowability. Such costs, where applicable, may include, but are not limited to, insurance, space rental, equipment rental, printing, publication, computer use, training fees, utilities, telephone, and any cost an applicant customarily identifies as other cost. Enter individual, as well as total, cost for other.
8. **Total Direct Charges.**
9. **Indirect Charges.** Enter the total amount of indirect costs. (If indirect charges are budgeted, you will indicate the approved rate and its base on line 22 of section F when you submit your SF 424A. At that time, the organization must also provide documentation of a federally approved indirect cost rate (percentage) reflective of

proposed project/grant period. Applicant should indicate if organization is in negotiations with appropriate federal agency to obtain a new rate. When formulating budgets for proposals, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicants cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

10. **Total Cost.** Indicate overall figure of all direct and indirect costs.

D. Submission. Applicants have the option of applying (i) with a paper submission accompanied by a disk or e-mail attachment (see Section IV.D.1. below) or (ii) online using the Grants.gov website with an electronic signature (see Section IV.D.2. below). Please use only one method.

D.1. Instructions for Paper Submissions.

Applicants submitting Applications by paper must send the full application package described in Section IV. A. to the applicable address listed below in order that the package be **RECEIVED** by the due date and time. (EPA also request that the electronic files (disk or e-mail attachment) containing the Proposal and Budget Narrative also be received by the due date and time.) EPA strongly recommends that applicants use overnight delivery service or courier service, as regular mail may be subject to unforeseeable delays. Applications received after the due date and time will not be evaluated or considered for funding.

Application Packages submitted by paper must be sent to:

U.S. EPA - GLNPO (G-17J)
77 West Jackson Boulevard
Chicago, Illinois 60604-3590
Attention: Lawrence Brail (312-886-7474 /brail.lawrence@epa.gov)

D.2. Instructions for Grants.gov Submissions

You should have no trouble filling out and submitting the application, provided you have your electronic signature. However, if your organization is not already registered to use grants.gov, there are several additional steps that may be required, including:

- a. Obtain a Certified DUNS Number.
- b. Central Contractor Registry and Credential Provider Registration.
- c. Grants.gov Electronic Signature Authorization.

If you wish to apply electronically via Grants.gov, the electronic submission of your application must be made by an official representative of your institution who is registered with Grants.gov and authorized to sign applications for Federal assistance. For more information, go to <http://www.grants.gov> and click on "Get Registered" on the left side of the page. *****The registration process may take a week or longer to complete.***** If your organization is not

currently registered with Grants.gov, please encourage your office to designate an Authorized Representative (AOR) and ask that individual to begin the registration process as soon as possible.

To begin the application process under this grant announcement, go to <http://www.grants.gov> and click on the “Apply for Grants” tab on the left side of the page. Then click on “Apply Step 1: Download a Grant Application Package and Instructions” to download the PureEdge viewer and obtain the application package for the announcement. To download the PureEdge viewer click on the “PureEdge Viewer” link. Once you have downloaded the viewer, you may retrieve the application package by entering the Funding Opportunity Number, EPA-R5-GL2008-1, or the CFDA number that applies to the announcement (CFDA 66.469), in the appropriate field. You may also be able to access the application package by clicking on the button “How To Apply” at the top right of the synopsis page for this announcement on <http://www.grants.gov> (to find the synopsis page, go to <http://www.grants.gov> and click on the “Find Grant Opportunities” button on the left side of the page and then go to Search Opportunities and use the Browse by Agency feature to find EPA opportunities).

ATTENTION – Microsoft Vista and Word 2007 Users

Please note that Grants.gov does not currently support the new Microsoft Vista Operating system. The PureEdge software used by Grants.gov for forms is not compatible with Vista. Grants.gov will be reviewing this new product to determine if it can be supported in the future.

In addition, the new version of Microsoft Word saves documents with the extension .DOCX. The Grants.gov system does not process Microsoft Word documents with the extension .DOCX. When submitting Microsoft Word attachments to Grants.gov, please use the version of Microsoft Word that ends in .DOC. If you have any questions regarding this matter please email the Grants.gov Contact Center at support@grants.gov or call 1-800-518-4726.

Grants.gov Submission Deadline

Your organization’s AOR must submit your complete application package as described below, electronically to EPA through Grants.gov (<http://www.grants.gov>) no later than the due date and time specified below.

Please be sure to view the additional instructions for applying electronically under this announcement through use of grants.gov that are available for download on Grants.gov and are also available at <http://www.epa.gov/grtlakes/fund/2008-1rfa01/2008instructions.pdf>

If you have any technical difficulties while applying electronically, please refer to <http://www.grants.gov/Customersupport> or contact brail.lawrence@epa.gov.

E. Submission Deadline. Application packages, regardless of the mode of submission, must be received by EPA or through grants.gov by 5:00 p.m. Central Standard Time, February 28, 2008.

F. Confidentiality. In accordance with 40 CFR 2.203, applicants may claim all or a portion of their project submission as confidential business information. EPA will evaluate confidentiality claims in accordance with 40 CFR Part 2. Applicants must clearly mark submissions or portions thereof they claim as confidential. If no claim of confidentiality is made, EPA is not required to

make the inquiry to the applicant otherwise required by 40 CFR 2.204(c)(2) prior to disclosure. Note that under Public Law No. 105-277, data produced under an award is subject to the Freedom of Information Act.

G. Communications with Applicants. In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their applications. However, EPA will respond to questions in writing from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of the application, and requests for clarification about the announcement.

V. Application Review

Criteria. Submissions meeting the threshold criteria in Section III will be evaluated based on the Criteria set forth below. Applicants should directly and explicitly address these criteria as part of their submittal. Each proposal will be rated under a points system, with a total of 100 points possible.

- A. Strategic Approach.** Extent and quality of the proposal's strategic approach to achieving the objectives and proposed outcomes and outputs identified in Section I and the potential for achieving goals specified in the proposal by its specified project end. (20 points)

Under this criterion, EPA may consider such elements as whether there is agreement by the applicant to utilize environmentally responsible practices and tools for collection events and for recycling/disposal. For electronics, that would include practices such as:

- (a) initial processing within the United States;
- (b) selection of an electronics recycler having any of the following certifications: ISO 14001, International Association of Electronics Recyclers Certification, or the Institute of Scrap Recycling Industries Recycling Industries Operating Standards;
- (c) and utilization of practices and tools such as those available from <http://www.nerc.org/documents/survey/index.html>, <http://www.epa.gov/epaoswer/osw/consERVE/plugin/guide.htm>, and/or <http://www.indianarecycling.org/escrapmanagementtoolkit.html>.

For unwanted medications, that would include utilization of practices and tools such as those available from: <http://www.iisgcp.org/unwantedmeds/>.

EPA may also consider whether the applicant proposes environmentally responsible plans for addressing various collection amounts, including the possibility of costs from collections exceeding funds available under the cooperative agreement and whether the applicant demonstrates the ability to measure success and have programs that will continue activities well beyond Earth Day to expand citizen involvement and educational opportunities.

- B. Schedule.** Potential for successful collection event taking place during the week of April 22, 2008, including whether the applicant demonstrates the existence of well developed, safe, and environmentally responsible collection activities which could be improved, enhanced, or expanded to reach a larger audience in the Great Lakes basin and can leverage existing resources to hold collection/educational events in this time frame. (10 points)
- C. Environmental Results – Measuring Progress toward Outcomes and Outputs.** Extent and quality of the evaluative component of the project, including how the applicant's success in achieving the expected project outcomes and outputs, including those identified in Section I, will be tracked and measured. (10 points)
- D. Past Performance – Programmatic Capability and Reporting on Environmental Results.** The applicant's technical ability to successfully complete and manage the proposed project taking into account the applicant's experience with federally funded assistance agreements (assistance agreements include Federal grants and cooperative agreements but not Federal contracts) similar in size, scope, and relevance to the proposed project performed within the last 3 years. Under this criterion, EPA will evaluate the applicant's past performance and history regarding (i) successful completion and

management of such agreements, (ii) meeting reporting requirements and submission of acceptable final technical reports of such agreements, and (iii) documentation and/or reporting on progress towards achieving the expected outcomes and outputs (e.g., results) and if such progress was not made, whether the documentation and/reports satisfactorily explained why not. (15 points)

NOTE: The three elements of this criterion will be equally weighted. In evaluating applicants under this criterion, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). Applicants with no relevant or available past performance or reporting history will receive a neutral score for this criterion.

- E. Staff Expertise/Qualifications.** Expertise/Qualifications and resources (or the ability to obtain them) of the Applicant and its staff to successfully achieve the goals of the proposed project. (10 points)
- F. Appropriate Budget.** Reasonableness and appropriateness of the proposed budget for the level of work proposed and with the expected benefits to be achieved. (15 points)
- G. Collaboration/Partnerships and Leveraged Resources.** Degree to which the applicant proposes to work in partnership with appropriate partners (such as government agencies, community groups, businesses, stakeholders, States, Cities, Counties, Solid Waste Management Districts, and POTWs) and leverage significant resources to implement the proposal. Under this criteria, applicants will be evaluated based on the extent they demonstrate (i) how they will coordinate the use of EPA funding with other Federal and/or non Federal sources of funds to leverage additional resources to carry out the proposed project(s) and/or (ii) that EPA funding will complement activities relevant to the proposed project(s) carried out by the applicant with other sources of funds or resources. Leveraged funding or other resources need not be for eligible and allowable project costs under the EPA assistance agreement unless the Applicant proposes to provide a voluntary cost share or match. (10 points)
- H. Education/Outreach:** Effectiveness of education/outreach and plans to disseminate project results, including but not limited to whether the applicant has a demonstrated track record of outreach to inform citizens on the environmental impacts of harmful pollutants and improper disposal of unwanted medicines, and of an ability to mobilize citizen collection activities. (10 points)

Review and Selection Process. EPA staff will evaluate submitted proposals and budgets. Submissions that meet the Threshold eligibility criteria identified in Section III will be evaluated based on each applicant's ability to meet the stated evaluation criteria above. Review panels will rank submissions based on this review and provided to management in GLNPO.

Final funding decisions for projects to be funded by EPA will be made by the director of the Great Lakes National Program Office in consultation with the Great Lakes National Program Manager. In addition to the review panel rankings, programmatic priorities, program budgets, and geographic distribution of projects may also be considered by these officials in making final selections and funding decisions. Once final decisions have been made, a funding recommendation will be developed and forwarded to the EPA Award Official.

Conflict of Interest: Reviewers will be required to sign a disclosure of conflict of interest form and will be removed from review of applications where an actual or potential conflict of interest (that cannot be mitigated) exists.

If you register at <http://www.epa.gov/grtlakes/maillist/index.html> we will send you any updates to GLNPO funding information.

Consideration of proposed subawardees/subgrantees and contractors. During the evaluation process, except for those criteria that relate to the applicant's own qualifications, past performance, and reporting history, the review panel will consider, if appropriate and relevant, the qualifications, expertise, and experience of:

(i) an applicant's named subawardees/subgrantees identified in the proposal if the applicant demonstrates in the proposal that if it receives an award that the subaward/subgrant will be properly awarded consistent with the applicable regulations in 40 CFR Parts 30 or 31. For example, applicants must not use subawards/subgrants to obtain commercial services or products from for profit firms or individual consultants.

(ii) an applicant's named contractor(s), including consultants, identified in the proposal if the applicant demonstrates in its proposal that the contractor(s) was selected in compliance with the competitive Procurement Standards in 40 CFR Part 30 or 40 CFR 31.36 as appropriate. For example, an applicant must demonstrate that it selected the contractor(s) competitively or that a proper non-competitive sole-source award consistent with the regulations will be made to the contractor(s), that efforts were made to provide small and disadvantaged businesses with opportunities to compete, and that some form of cost or price analysis was conducted. EPA may not accept sole source justifications for contracts for services or products that are otherwise readily available in the commercial marketplace.

EPA will not consider the qualifications, experience, and expertise of named subawardees/subgrantees and/or named contractor(s) during the evaluation process unless the applicant complies with these requirements.

VI. Award Administration

Notification: We will confirm submission receipt within one week of the due date for all submissions. Shortly after the deadline, we will post project information (including Title and GLNPO identification number) at: <http://www.epa.gov/glnpo/fund/glf.html> . ALL APPLICANTS SHOULD CHECK THIS POSTING TO VERIFY THAT THEIR SUBMISSIONS HAVE BEEN INCLUDED IN GLNPO'S DATABASE. Contact brail.lawrence@epa.gov if you do not receive a confirmation or if your project is not posted. GLNPO will contact all Applicants to tell them whether or not they have been selected.

Pre-award Review for Administrative Capability. Non-profit applicants that are recommended for funding will be subject to pre-award administrative capability reviews consistent with paragraphs 8.b, 8.c, and 9.d of EPA Order 5700.8 http://www.epa.gov/ogd/grants/award/5700_8.pdf and may be required to fill out and document an "Administrative Capability" form.

Issuance of Awards. EPA reserves the right to negotiate appropriate changes (that do not affect the integrity of the competition) in project terms and amounts before making final decisions and awards and reserves the right to reject all Projects or applications and make no awards. EPA has 60 days to issue an award following receipt of the complete, fundable Application Package and selection of an Applicant. Final funding decisions are based upon the complete Application Packages.

Administrative and Reporting Requirements. The successful applicant will be required to adhere to the Federal grants requirements, particularly those found in applicable OMB circulars on Cost Principles (A-21, A-87, or A-122), Administrative Requirements (A-102 or 110), and Audit Requirements (A-133) available from <http://www.whitehouse.gov/omb/grants/> . This includes government-wide requirements pertaining to accounting standards, lobbying, minority or woman business enterprise, publication, meetings, construction, and disposition of property. EPA regulations governing assistance programs and recipients are codified in Title 40 of the Code of Federal Regulations. Those requirements, GLNPO-specific requirements currently in effect, and the application materials that will be needed by applicants can be found at <http://www.epa.gov/grtlakes/fund/projreqs.html> and <http://www.epa.gov/grtlakes/fund/appforms.html> . An acceptable final report must include statements regarding the quality of the data presented therein.

Contracts and Sub-Awards. Successful applicants must compete contracts for services and products (including consultant contracts) and conduct cost, price and value analyses to the extent required in 40 CFR Parts 30 or 31, as applicable, as well as any regulations covered by state or local procurement requirements. The regulations also contain limitations on consultant compensation. Funding may be used to provide subgrants or subawards of financial assistance, which includes using subawards or subgrants to fund partnerships, provided the recipient complies with applicable requirements for subawards or subgrants including those contained in 40 CFR Parts 30 or 31, as appropriate. Applicants are not required to identify subawardees/subgrantees and/or contractors (including consultants) in their proposal; however, if they do, the fact that an applicant selected for award has named a specific subawardee/subgrantee, contractor, or consultant in the proposal EPA selects for funding does not relieve the applicant of its obligations to comply with subaward/subgrant and/or competitive procurement requirements as well as any regulations covered by state or local procurement requirements.

(Note also that applicants may not award sole source contracts to consulting, engineering or other firms assisting applicants with the proposal solely based on the firm's role in preparing the proposal.)

Successful applicants cannot use sub-grants or sub-awards to avoid requirements in EPA grant regulations for competitive procurement by using these instruments to acquire commercial services or products to carry out its cooperative agreement. The nature of the transaction between the recipient and the sub-grantee must be consistent with the standards for distinguishing between vendor transactions and sub-recipient assistance under Subpart B Section .210 of OMB Circular A-133, and the definitions of “sub-award” at 40 CFR 30.2 (ff) or “subgrant” at 40 CFR 31.3, as applicable. EPA will not be a party to these transactions. Applicants acquiring commercial goods or services must comply with the competitive procurement standards in 40 CFR Part 30 or 40 CFR Part 31.36 and cannot use a subaward/subgrant as the funding mechanism.

Dispute Resolution Process. Assistance agreement competition-related disputes involving any applicant, including Federal applicants, will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found at <http://a257.g.akamaitech.net/7/257/2422/01jan20051800/edocket.access.gpo.gov/2005/05-1371.htm>. Copies of these procedures may also be requested by contacting russ.michael@epa.gov

VII. Agency Contact(s)

General Contact: Michael Russ (312-886-4013) / russ.michael@epa.gov

Technical Difficulties: Lawrence Brail (312-886-7474) / brail.lawrence@epa.gov / Tony Kizlauskas (312- 353-8773 / kizlauskas.anthony@epa.gov) or Pranas Pranckevicius (312-353-3437 / pranckevicius.pranas@epa.gov)

VIII. Other Information

EPA's Great Lakes National Program Office brings together Federal, state, tribal, local, and industry partners in an integrated, ecosystem approach to protect, maintain, and restore the chemical, biological, and physical integrity of the Great Lakes. The program coordinates international commitments under the Great Lakes Water Quality Agreement; monitors Lake ecosystem indicators; manages and provides public access to Great Lakes data; helps communities address contaminated sediments in their harbors; supports local protection and restoration of important habitats; promotes pollution prevention through activities and projects such as the Canada-U.S. Binational Toxics Strategy (GLBTS); explores emerging or strategic Great Lakes issues; and provides assistance for development and implementation of Lakewide Management Plans (LaMPs) and of community-based Remedial Action Plans (RAPs) for Areas of Concern. GLNPO, located in Chicago, Illinois, has a staff of about 55 and an annual budget of about \$50 million, including contaminated sediment remediation projects pursuant to the Great Lakes Legacy Act.

GLNPO will send an e-mail announcement of these and any of its funding opportunities to all who register at <http://www.epa.gov/grtlakes/maillist/index.html>.