

400 Seventh Street, S.W. Washington, D.C. 20590

### Pipeline and Hazardous Materials Safety Administration

# DOT-SP 13301 (SECOND REVISION)

EXPIRATION DATE: February 28, 2011

(FOR RENEWAL, SEE 49 CFR § 107.109)

1. <u>GRANTEE</u>: United Technologies Corporation West Palm Beach, FL

#### 2. PURPOSE AND LIMITATION:

- a. This special permit authorizes the transportation in commerce of certain hazardous materials approximately 400 feet, not subject to the shipping paper, marking and labeling requirements in Part 172. This special permit provides no relief from the Hazardous Materials Regulations (HMR) other than as specifically stated herein.
- b. The safety analyses performed in development of this special permit only considered the hazards and risks associated with transportation in commerce.
- c. Party status will not be granted to this special permit.
- 3. REGULATORY SYSTEM AFFECTED: 49 CFR Parts 106, 107 and 171-180.
- 4. REGULATIONS FROM WHICH EXEMPTED: 49 CFR §§ 172.200, 172.300, and 172.400 in that hazard communication requirements are waived, except as specified herein.
- 5. <u>BASIS</u>: This special permit is based on the application of United Technologies Corporation dated February 28, 2007, submitted in accordance with § 107.109.

## 6. HAZARDOUS MATERIALS (49 CFR § 172.101):

Hazardous Materials Description				
Hazard Class/ Division	Identi- fication Number	Packing Group		
2.1	UN1950			
4.3	UN1396	II		
9	NA2212	III		
8	UN3028	III		
8	UN2794	III		
8	UN3264	II		
8	UN3266	II		
8	UN3261	II		
8	UN3262	II		
8	UN1759	III		
6.1	UN1935	II		
6.1	UN1588	II		
1.4B	UN0255	II		
3	UN2924	III		
3	UN1993	II		
3	UN1863	III		
9	UN3082	III		
	Hazard Class/Division  2.1  4.3  9  8  8  8  8  8  1  1.4B  3  3  3  3	Hazard Class/ Division Number  2.1 UN1950  4.3 UN1396  9 NA2212  8 UN3028  8 UN2794  8 UN3264  8 UN3266  8 UN3266  8 UN3261  8 UN3262  8 UN1759  6.1 UN1935  6.1 UN1588  1.4B UN0255  3 UN2924  3 UN1993  3 UN1993		

## March 9, 2007

Hazardous Materials Description			
Proper shipping name*	Hazard Class/ Division	Identi- fication Number	Packing Group
Hazardous waste, solid, n.o.s.	9	UN3077	III
Mercury	8	UN2809	III
Mercury compounds, solid, n.o.s.	6.1	UN2025	III
Paint related material	3	UN1263	II
Polychlorinated biphenyls	9	UN2315	II
Radioactive material, n.o.s.	7	UN2982	
Regulated medical waste	6.2	UN3291	II
Sludge, acid	8	UN1906	III

<sup>\*</sup> The word "Waste" must be included in the proper shipping name for a hazardous waste as defined in 49 CFR 171.8.

#### 7. PACKAGING AND SAFETY CONTROL MEASURES:

- a. <u>PACKAGING</u> -Packagings must be in accordance with the requirements of the Hazardous Materials Regulations, as appropriate for non-bulk packagings.
- b. MARKING No marking required.
- c. LABELING No labeling required.
- d. <u>SHIPPING PAPERS</u> No shipping papers required. In lieu of shipping papers, United Technologies Corporation must prepare internal tracking documents that identify the general types of hazardous materials being transported.

#### e. OPERATIONAL CONTROLS -

- (1) Transportation must be by private or contract motor carrier;
- (2) Transportation is restricted to movement of approximately 400 feet between United Technologies

## March 9, 2007

Corporation's facilities located at 17900 Beeline Highway, Jupiter, FL and United Technologies Corporation's RCRA-permitted hazardous waste storage building located at 16900 Innovation Drive, Jupiter, FT.

- 8. SPECIAL PROVISIONS: Persons conducting operations under the terms of this special permit must comply with all provisions of this special permit and all other applicable requirements contained in 49 CFR Parts 171-180.
- 9. MODES OF TRANSPORTATION AUTHORIZED: Motor vehicle only.
- 10. MODAL REQUIREMENTS: None other than as specified in the HMR.
- 11. <u>COMPLIANCE</u>: Failure by a person to comply with any of the following may result in suspension or revocation of this special permit and penalties prescribed by the Federal hazardous materials transportation law, 49 U.S.C. 5101 <u>et</u> seq:
  - o All terms and conditions prescribed in this special permit and the Hazardous Materials Regulations, 49 CFR Parts 171-180.
  - o Persons operating under the terms of this special permit must comply with the security plan requirement in Subpart I of Part 172 of the HMR, when applicable.
  - o Registration required by § 107.601 et seq., when applicable.

Each "Hazmat employee", as defined in § 171.8, who performs a function subject to this special permit must receive training on the requirements and conditions of this special permit in addition to the training required by §§ 172.700 through 172.704.

No person may use or apply this special permit, including display of its number, when the special permit has expired or is otherwise no longer in effect.

Under Title VII of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) - 'The Hazardous Materials Safety and Security Reauthorization Act of 2005' (Pub. L. 109-59), 119 Stat. 1144 (August 10, 2005), amended the Federal hazardous materials transportation law by changing the term

## March 9, 2007

'exemption' to 'special permit' and authorizes a special permit to be granted up to two years for new special permits and up to four years for renewals.

12. REPORTING REQUIREMENTS: Shipments or operations conducted under this special permit are subject to the Hazardous Materials Incident Reporting requirements specified in 49 CFR §§ 171.15 - Immediate notice of certain hazardous materials incidents, and 171.16 - Detailed hazardous materials incident reports. In addition, the grantee(s) of this special permit must notify the Associate Administrator for Hazardous Materials Safety, in writing, of any incident involving a package, shipment or operation conducted under terms of this special permit.

Issued in Washington, D.C.:

Diane Lavalle

for Bob Richard
Deputy Associate Administrator
 for Hazardous Materials Safety

Address all inquiries to: Associate Administrator for Hazardous Materials Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, Washington, D.C. 20590. Attention: PHH-31.

Copies of this special permit may be obtained by accessing the Hazardous Materials Safety Homepage at <a href="http://hazmat.dot.gov/sp\_app/special\_permits/spec\_perm\_index.htm">http://hazmat.dot.gov/sp\_app/special\_permits/spec\_perm\_index.htm</a>
Photo reproductions and legible reductions of this special permit are permitted. Any alteration of this special permit is prohibited.

PO: sln