

400 Seventh Street, S.W. Washington, D.C. 20590

Pipeline and Hazardous Materials Safety Administration

DOT-SP 12532 (FOURTH REVISION)

EXPIRATION DATE: April 30, 2010

(FOR RENEWAL, SEE 49 CFR § 107.109)

1. GRANTEE: Carleton Technologies Inc. Orchard Park, NY

2. PURPOSE AND LIMITATIONS:

- a. This special permit authorizes the manufacture, marking, sale and use of a nonrefillable, non-DOT specification cylinder conforming with all regulations applicable to a DOT Specification 39 cylinder, except as specified herein, for the transportation in commerce of helium. Each cylinder is equipped with a pyrotechnic relief device and is part of a gas storage system. This special permit provides no relief from the Hazardous Materials Regulations (HMR) other than as specifically stated herein.
- b. The safety analyses performed in development of this special permit only considered the hazards and risks associated with transportation in commerce.
- 3. REGULATORY SYSTEM AFFECTED: 49 CFR Parts 106, 107 and 171-180.
- 4. REGULATIONS FROM WHICH EXEMPTED: 49 CFR §§ 173.302a(a) and 175.3 in that the use of a non-DOT specification cylinder is not authorized except as specified herein.
- 5. <u>BASIS</u>: This special permit is based on the application of Carleton Technologies Inc. dated May 2, 2006, submitted in accordance with § 107.109.

6. HAZARDOUS MATERIALS (49 CFR § 172.101):

Proper Shipping Name/ Hazardous Material Description	Hazard Class/ Division	Identi- fication Number	Packing Group
Helium, compressed	2.2	UN1046	N/A

NOTE: For the purpose of this special permit, a gas cylinder, system or component which contains a quantity of pyrotechnic materials must be classed and approved as provided for in § 173.56. If the pyrotechnic material augments the volume of the gas in the cylinder, or in any way enhances the performance of the compressed gas, the device must be tested in the same configuration as when shipped.

7. SAFETY CONTROL MEASURES:

- a. PACKAGING Prescribed packaging is a non-DOT specification nonrefillable welded stainless Packaging steel cylinder equipped with a Division 1.4S pyrotechnic pressure relief device conforming with Carleton Technologies Inc.'s drawing B43507 dated July 28, 2000 on file with the Office of Hazardous Materials Special Permits and Approvals (OHMSPA). The cylinder must be in conformance with DOT Specification 39 (§§ 178.35 and 178.65) except as follows:
- § 178.65(a) Type, size, service pressure and test pressure. Welded, or brazed stainless steel shells consisting of cylindrical/spherical halves.
 - (1) Size limitation. Maximum water capacity may not exceed 18.5 cubic inches and a maximum service pressure of 9,400 psig at $73^{\circ}F$.
 - (2) Test pressure. The minimum test pressure is 14,300 psig.

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- \S 178.65(b) *Material*. The cylinder must be constructed of PH13-8Mo H1025 stainless steel.
- § 178.65(c) Manufacture.
 - (1), (2), and (3) * *

- (4) Welding/brazing procedures and operators must be in accordance with CGA Pamphlet C-3 or MIL-STD-2219 and MIL-B-7883.
- (5) Inspection of welds must be in accordance with MIL-STD-453, MIL-STD-6866 and acceptance criteria of MIL-STD-1907 and 1264 respectively as stated on the drawings on file with OHMSPA.
- § 178.65(f) Pressure tests.
 - (1) Each cylinder must be tested at an internal pressure of at least 14,300 psig and must be held at that pressure for at least 30 seconds.
 - (2) One cylinder randomly selected from each lot must be hydrostatically tested to failure. The entire lot must be rejected (see § 178.65(h)) if:
 - (i) a failure occurs at a gauge pressure less than 2.25 times the marked service pressure;
 - (ii), (iii), (iv) * * *
 - (3) A "lot" is defined as the quantity of pressure vessels fabricated from the same heat of steel, manufactured by same process and heat treated in the same equipment under same conditions of time, temperature and atmosphere and must not exceed a quantity of 500.
- § 178.65(g) Flattening test.
 - (1) One sample ring representative of the cylinder must accompany each lot. The sample ring must be from the same material and be subjected to the same heat treatment as the lot of cylinders.
 - (2) The sample ring representative of the cylinder may be flattened. The sample ring may not include the heat affected zone or any weld.3) (Add) Flattening between flat plates is authorized. Flattening must continue until test specimen fails.
 - (4) Sample rings may not crack when flattened so that their outer surfaces are not more than 12 times wall thickness apart.

The failure point must be recorded in the inspector's test report as multiples of the cylinder wall thickness.

- (5) If any sample ring cracks when subjected to the specified flattening test, the lot of cylinders represented by the test must be rejected (see § 178.65(h)).
- § 178.65(i) Markings.

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- (2)(i) Each cylinder must be marked "DOT-SP 12532" in lieu of "DOT-39".
- (2) (viii) does not apply.
- (3) * * *. For example: DOT-SP 12532 NRC 9400/14300 M * * * *.
- b. $\underline{\text{TESTING}}$ Prototype packagings must have been qualified under the qualification program as described in the application prior to initial shipment. Completely charged cylinders must be leak tested to ensure a maximum leakage rate of 1 x 10^{-5} scc/sec is not exceeded.

c. OPERATIONAL CONTROLS -

- (1) A copy of the inspector's report required by § 178.35(g) for the first lot of cylinders must be submitted to the OHMSPA prior to first shipment.
- (2) The cylinder must be shipped in strong outside packagings in accordance with § 173.301(a)(9).
- (3) Each cylinder may be refilled a maximum of 3 times to the service pressure marked on the cylinder provided each cylinder is inspected and proof tested to 1.5 times the test pressure prior to refilling. The pressure vessel must only be refilled by Carleton Technologies. Topping off is considered a refill. Carleton Technologies must maintain a record of refills by serial number.

8. SPECIAL PROVISIONS:

- a. In accordance with the provisions of Paragraph (b) of § 173.22a, persons may use the packaging authorized by this special permit for the transportation of the hazardous materials specified in paragraph 6, only in conformance with the terms of this special permit.
- b. A person who is not a holder of this special permit, but receives a package covered by this special permit, may reoffer it for transportation provided no modifications or changes are made to the package and it is offered for transportation in conformance with this special permit and the HMR.
- c. A current copy of this special permit must be maintained at each facility where the package is offered or reoffered for transportation.
- d. Each packaging manufactured under the authority of this special permit must be marked with a <u>registration symbol</u> designated by the Office of Hazardous Materials Special Permits and Approvals for a specific manufacturing facility.
- e. A current copy of this special permit must be maintained at each facility where the package is manufactured under this special permit. It must be made available to a DOT representative upon request.
- f. Packagings permanently marked 'DOT-E 12532', prior to October 1, 2007 may continue to be used under this special permit for the remaining service life of the packaging or until the special permit is no longer valid. Packagings marked on or after October 1, 2007 must be marked 'DOT-SP 12532'.
- g. Shipping papers displaying 'DOT-E 12532' may continue to be used until October 1, 2007, provided the special permit remains valid.
- 9. MODES OF TRANSPORTATION AUTHORIZED: Motor vehicle, rail freight, cargo aircraft only.
- 10. MODAL REQUIREMENTS: A current copy of this special permit must be carried aboard aircraft used to transport packages

covered by this special permit. The shipper must furnish a copy of this special permit to the air carrier before or at the time the shipment is tendered.

- 11. <u>COMPLIANCE</u>: Failure by a person to comply with any of the following may result in suspension or revocation of this special permit and penalties prescribed by the Federal hazardous materials transportation law, 49 U.S.C. 5101 <u>et seq</u>:
 - o All terms and conditions prescribed in this special permit and the Hazardous Materials Regulations, Parts 171-180.
 - o Persons operating under the terms of this special permit must comply with the security plan requirement in Subpart I of Part 172 of the HMR, when applicable.
 - o Registration required by § 107.601 et seq., when applicable.

Each "Hazmat employee", as defined in § 171.8, who performs a function subject to this special permit must receive training on the requirements and conditions of this special permit in addition to the training required by §§ 172.700 through 172.704.

No person may use or apply this special permit, including display of its number, when this special permit has expired or is otherwise no longer in effect.

Under Title VII of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)- 'The Hazardous Materials Safety and Security Reauthorization Act of 2005' (Pub. L. 109-59), 119 Stat. 1144 (August 10, 2005), amended the Federal hazardous materials transportation law by changing the term 'exemption' to 'special permit' and authorizes a special permit to be granted up to two years for new special permits and up to four years for renewals.

12. REPORTING REQUIREMENTS: Shipments or operations conducted under this special permit are subject to the Hazardous Materials Incident Reporting requirements specified in 49 CFR §§ 171.15 - Immediate notice of certain hazardous materials incidents, and 171.16 - Detailed hazardous materials incident reports. In addition, the grantee(s) of this special permit must notify the Associate Administrator

for Hazardous Materials Safety, in writing, of any incident involving a package, shipment or operation conducted under terms of this special permit.

Issued in Washington, D.C.

for Robert A. McGuire Associate Administrator

for Hazardous Materials Safety

Address all inquiries to: Associate Administrator for Hazardous Materials Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, Washington, D.C. 20590. Attention: PHH-31.

Copies of this special permit may be obtained by accessing the Hazardous Materials Safety Homepage at http://hazmat.dot.gov/sp_app/special_permits/spec_perm_index.htm
Photo reproductions and legible reductions of this special permit are permitted. Any alteration of this special permit is prohibited.

PO: SS/AM