

<b>PART I - A</b>
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<b>PART I: SUMMARY INFORMATION AND JUSTIFICATION</b>
<i>In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.</i>
<i>OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)</i>

<b>Section A: Overview (All Capital Assets)</b>
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<i>I.A.1) Date of Submission (mm/dd/yyyy)</i>
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Sep 10, 2007
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<i>I.A.2) Agency</i>
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029 - Department of Veterans Affairs
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<i>I.A.3) Bureau</i>
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00 - Agency Wide Initiatives
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<i>I.A.4) Name of this Investment:(SHORT ANSWER)</i>
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Revenue Improvements and System Enhancements-2009
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<i>I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.</i>
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029-00-01-11-01-1189-00
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<i>I.A.6) What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&amp;M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&amp;M. These investments should indicate their current status.)</i>
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Planning
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<i>I.A.7) What was the first budget year this investment was submitted to OMB?</i>
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FY2009
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<i>I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)</i>
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Revenue Improvements & System Enhancement (RISE) seeks to establish a comprehensive enterprise wide end-to-end revenue solution that will result in revenue improvement through the implementation of improved business practices, state-of-the-art software & enhanced VHA applications. The current revenue process requires extensive manual intervention, resulting in inaccurate claims, significant delays in revenue collections and diminished reimbursements. The scope encompasses modernization of the entire revenue cycle, including coordination with front-end processes, agency-to-agency support (DOD/CMS) & e-Government initiatives.

A forerunner to RISE, a major driver in VHA's revenue optimization strategy was the Congressionally-mandated Patient Financial Services System (PFSS) project which sought to remedy significant business process & technology gaps, compliance mandates & OIG identified material weaknesses in VA's financial systems. Due to 07/08 funding cuts PFSS was put into a strategic pause. However, the impetus for PFSS remains. RISE is building on the lessons-learned from PFSS to fulfill the Congressional mandate and deliver a world-class system that closes critical performance gaps by providing the business processes and tools that will give the VHA with the ability to operate the revenue cycle in the same manner as the private-sector. RISE will provide VA with an automated solution to revenue generation, reduce inaccuracies, improve customer service, optimize revenue cycle management, increase revenue & collections, resolve material weaknesses in VHA's patient financial system, & improve compliance & internal controls.

RISE supports the PMA & promotes the reuse of shareable data, fits into the e-Gov initiative, is market-based, citizen-centric & results oriented. RISE is maximizing interoperability to support future VHA initiatives within the Agency; between the Agency & other government agencies (DOD/CMS); or between the Agency & external parties. RISE supports the VA's Enabling Goal to deliver world-class service to veterans & their families through effective communication & management of people, technology, business processes, & financial resources. RISE is citizen/veteran centered & provides tangible benefits for all stakeholders; including citizens & employees. Overall, veterans will benefit from timely & easy access to their financial information, increased efficiency in claims processing, improved customer service & satisfaction.

<i>I.A.9) Did the Agency's Executive/Investment Committee approve this request?</i>
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Yes
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<i>I.A.9.a) If "yes," what was the date of this approval?</i>
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Jun 28, 2007
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I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Project Managers Contact Information

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Patricia J. Gheen	202-254-0315	Patricia.Gheen@va.gov

I.A.11.a) What is the current FAC-P/PM certification level of the project/program manager?

DAWIA-Level-2

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
Yes	Financial Performance	- Financial Performance
		- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives

	- "Right Sized" Overseas Presence
	- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

RISE is citizen/veteran centered & provides tangible benefits for all the stakeholders; including internal & external customers. It will increase efficiencies, improve revenue cycle effectiveness, & financial performance in VHA billing. This initiative will support the streamlining of operations, automation, & decrease redundancy by utilizing rules based billing procedures. These measures will help cut future operating costs & translate into increased revenue & long term benefits to veterans.

I.A. 14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)

No

I.A. 14.a) If "yes," does this investment address a weakness found during a PART review?

I.A. 14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A. 14.c) If "yes," what rating did the PART receive?

I.A. 15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A. 16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 3

I.A. 17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A. 18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

No

I.A. 19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

Yes

I.A. 19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

Yes

I.A. 19.a.1) If yes, which compliance area?

Applicable Federal Acct Standards

I.A. 19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

The initiative is in the planning stage. It is anticipated that the decision to report this investment in the most recent financial systems inventory update will be addressed when the CBA is complete and the best alternative is chosen. It is expected that system will be listed as the Revenue Improvements and System Enhancements as a billing replacement system and the acronym is RISE.

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Chi Yu
Phone Number:	(202) 565-8928
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Chi.yu@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

**PART I - B**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

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**Section B: Summary of Funding (All Capital Assets)**

*I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)*

*(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)*

*Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.*

<b>Category of Funds</b>	<b>PY-1 and Earlier</b>	<b>PY 2007</b>	<b>CY 2008</b>	<b>BY 2009</b>
<b>Planning</b>				
<b>Budgetary Resources</b>	0.000	0.000	0.000	0.500
<b>Acquisition</b>				
<b>Budgetary Resources</b>	0.000	0.000	0.000	0.500
<b>Total, Sum of Stages</b>				
<b>Total, Resources (Plan &amp; Acq)</b>	0.000	0.000	0.000	1.000
<b>Operations &amp; Maintenance</b>				
<b>Budgetary Resources</b>	0.000	0.000	0.000	0.000
<b>Total, All Stages Resources</b>	0.000	0.000	0.000	1.000
<b>Government FTE Costs</b>	0.000	0.000	5.000	4.400
<b>Govt. FTE Numbers</b>	0	0	43	40
<b>Total, All Stages Resources + FTE</b>	0.000	0.000	5.000	5.400

*Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.*

*Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.*

*I.B.2) Will this project require the agency to hire additional FTE's?*

No

*I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)*

*I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)*

No. This investment is in the early planning stage of the first submission to OMB. The initiative is currently conducting a Cost Benefit Analysis (CBA). The results of the CBA are expected in 2008. The project expects to provide a mature, full life-cycle-cost-estimate in the BY2010 submission.

**PART I - C**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

*In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.*

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**Section C: Acquisition/Contract Strategy (All Capital Assets)**

*I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)*

Earned Value will be required for all contracts when released.

*I.C.2) Do the contracts ensure Section 508 compliance?*

Yes

*I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:*

All contracts associated with this Exhibit 300 requires Section 508 compliance

*I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?*

No

*I.C.3.a) If "yes," what is the date?*

*I.C.3.b) If "no," will an acquisition plan be developed?*

Yes

*I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)*



**PART I - F**

**PART I: SUMMARY INFORMATION AND JUSTIFICATION**

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**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

*In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.*

*I.F.1) Is this investment included in your agency's target enterprise architecture?*

Yes

*I.F.1.a) If "no," please explain why? (LONG ANSWER)*

*I.F.2) Is this investment included in the agency's EA Transition Strategy?*

No

*I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)*

*I.F.2.b) If "no," please explain why? (LONG ANSWER)*

The initiative is in the planning stage. This will be determined as a result of the alternative chosen as an outcome of the CBA. The alternatives analysis is currently in progress. It is anticipated to be completed and approved by end of quarter 1, FY 2008.

*I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?*

Yes

*I.F.3.a) If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.(MEDIUM ANSWER)*

The Health Business Segment/Health Care

**I.F.3) FEA SERVICE REFERENCE MODEL:**

*I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.*

**SERVICE COMPONENT TABLE:**

	<b>Agency Component Name(SHORT ANSWER)</b>	<b>Agency Component Description (MEDIUM ANSWER)</b>	<b>FEA SRM Service Type</b>	<b>FEA SRM Component (a*)</b>	<b>FEA Service Component Reused : Component Name (b*)</b>	<b>FEA Service Component Reused : UPI (b*)</b>	<b>Internal or External Reuse? (c*)</b>	<b>BY Funding Percentage (d*)</b>
<b>1</b>	NA	NA	Financial Management	Revenue Management			No Reuse	
<b>2</b>								
<b>3</b>								
<b>4</b>								

NOTE:

*(a\*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.*

(b\*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c\*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d\*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

**I.F.4) FEA TECHNICAL REFERENCE MODEL:**

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

**TECHNICAL REFERENCE MODEL TABLE:**

	<b>FEA SRM Component (a*)</b>	<b>FEA TRM Service Area</b>	<b>FEA TRM Service Category</b>	<b>FEA TRM Service Standard</b>
<b>1</b>				
<b>2</b>				
<b>3</b>				
<b>4</b>				
<b>5</b>				

**NOTE:**

(a\*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b\*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

I.F.6) Does this investment provide the public with access to a government automated information system?

I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

**PART II - B**

**PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION**

*Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.*

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**Section B - RISK MANAGEMENT (All Capital Assets)**

*II.B.1) Does the investment have a Risk Management Plan?*

No

*II.B.1.a) If "yes," what is the date of the plan?*

*II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?*

*II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)*

*II.B.2) If there currently is no plan, will a plan be developed?*

Yes

*II.B.2.a) If "no," what is the strategy for managing the risks? (LONG ANSWER)*

*II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)*

The initiative is in the planning stage. This will be determined as a result of the alternative chosen as an outcome of the CBA. The alternatives analysis is currently in progress. It is anticipated to be completed and approved by end of quarter 1, FY 2008.

**PART II - C**

**Part II: Planning, Acquisition And Performance Information**

*Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.*

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**C) Cost and Schedule Performance:**

*Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.*

*II.C.1) Does the earned value management system meet the criteria in ANSI/EIA Standard – 748?*

No

*II.C.3) Has the investment re-baselined during the past fiscal year?*

No

*II.C.3.a) If "Yes", when was it approved by the Agency head?*

**II.C.4) Comparison of Initial Baseline and Current Approved Baseline:**

*II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in \$ Millions).*

<b>Description of Milestone</b>	<b>Init BL Planned Completion Date</b>	<b>Current BL Completion Date Planned</b>	<b>Current BL Completion Date Actual</b>
Planning & Analysis	Sep 30, 2008	Sep 30, 2008	
Non Work Time/ Non Product Time 2008	Sep 30, 2008	Sep 30, 2008	
Design	Sep 30, 2009	Sep 30, 2009	