PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)

Sep 10, 2007

I.A.2) Agency

029 - Department of Veterans Affairs

I.A.3) Bureau

00 - Agency Wide Initiatives

I.A.4) Name of this Investment:(SHORT ANSWER)

Benefits Delivery Network (BDN) Maintenance and Operations-2009

I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.

029-00-01-13-01-1360-00

I.A.6) What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

I.A.7) What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

The VBA Benefits Delivery Network (BDN) Payment System contributes to meeting the following VA strategic goals; Restore the capability of disabled veterans to the greatest extent possible and improve the quality of their lives and their families; Ensure a smooth transition for veterans from active military services to civilian life; and Honor and serve veterans in life and memorialize them in death for their sacrifices on behalf of the Nation. The BDN is the legacy system employed by VBA to process entitlements for three of the five business lines: Compensation and Pension, Education, and Vocational Rehabilitation and Employment. The primary services of the BDN are: receipt, processing, tracking and disposition of veterans' applications for benefits; requests for assistance and general administration of legislated benefit programs. The BDN processes at the Hines Information Technology Center (ITC). The Compensation program provides monthly payments and ancillary benefits to veterans in recognition of the loss of earning capacity caused by a disability or disease incurred in or aggravated during military service. The Pension program provides monthly payments to needy wartime veterans who are permanently and totally disabled as a result of a disability not related to military service. The Educational Assistance provides opportunities for higher education; restore lost educational opportunities and vocational readjustment. The Vocational Rehabilitation and Employment program helps service-disabled veterans achieve independent life skills and employment, provide service to enable veterans with service-connected disabilities to achieve independence in daily living, become employable and obtain and maintain suitable employment. The BDN provides interface to VBA's other benefits delivery systems, as such, the VBA OIM Hines ITC maintains and administers all VBA databases for compensation, pension, education, and vocational rehabilitation and employment claims processing, supports the external interfaces (such as SSA and IRS) and provides payment data to the U.S. Treasury, which issues benefit payments. The system has been fully installed and tested to support failover operations in the event of any unplanned events or disasters at the Hines ITC. The second phase of BDN Disaster Recovery will be the data vaulting of the daily online BDN transactions to storage devices that shall be acquired for the Philadelphia BDN Disaster Recovery system.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

Jul 27, 2007

I.A.10) Did the Project Manager review this Exhibit?	
Yes	
I.A.11) Project Managers Contact Information	

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Stephen Mercier	202-273-6901	steve.mercier@va.gov

I.A.11.a) What is the current FAC-P/PM certification level of the project/program manager?
DAWIA-Level-2
I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
No
I.A.12.a) Will this investment include electronic assets (including computers)?
Yes
I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)
I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?
I.A.12.b.2) If "yes," will this investment meet sustainable design principles?
I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)
I.A.13) Does this investment directly support one of the PMA initiatives?
Yes
I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
		- Human Capital
		- Budget Performance Integration
		- Financial Performance
		- Expanded E-Government
		- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing
		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives

		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A.13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

This initiative supports the DOD and VA in early processing of veterans claims while the claimant is in the process of discharge from the services. The BDN is part of the baseline applications layer infrastructure and distributed systems architecture and provides infrastructure for internal (VA-wide) sharing of information.

I.A.14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A.14.a) If "yes," does this investment address a weakness found during a PART review?

No

I.A.14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A.14.c) If "yes," what rating did the PART receive?

I.A.15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A.16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 2

I.A.17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A.18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

Yes

I.A.19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A.19.a) If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by

Circular A–11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	5.00
% Software	10.00
% Services	85.00
% Others	0.00

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Chi Yu
Phone Number:	(202) 565-8928
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Chi.yu@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section B: Summary of Funding (All Capital Assets)
I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)
Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2007	CY 2008	BY 2009

Planning				
Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition				
Budgetary Resources	8.060	0.000	0.000	0.000
Total, Sum of Stages				
Total, Resources (Plan & Acq)	8.060	0.000	0.000	0.000
Operations & Maintenance				
Budgetary Resources	29.463	7.522	7.200	7.200
Total, All Stages Resources	37.523	7.522	7.200	7.200
Government FTE Costs	79.286	14.563	15.045	15.226
Govt. FTE Numbers	608	148	148	146
Total, All Stages Resources + FTE	116.809	22.085	22.245	22.426

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

No

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

I.B.3) If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. (LONG ANSWER)

The BDN program was extended one more year because the replacement systems that were due to replace this legacy system are not ready. A revised baseline is being issued to reflect the amounts in this summary of spending table.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section C: Acquisition/Contract Strategy (All Capital Assets)
I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)
This contract supports operations and maintenance work in a steady state environment and as such, an EVMS is not required per VA

Directive 6061.

I.C.2) Do the contracts ensure Section 508 compliance?	
Yes	
I.C.2.a) If the Contracts WILL NOT ensure Section 508 Compliance, explain why:	
Contracts provide for 508 compliance by requiring that all software and infrastructure is evaluated in the planning and implementation stages of the project. This allows VA to act proactively to identify software and hardware, which does not meet the standards for 508 compliance and allows us to specify with the vendors what remedies are required to accept their software or hardware.	
I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?	
Yes	
I.C.3.a) If "yes," what is the date?	
Oct 1, 2005	
I.C.3.b) If "no," will an acquisition plan be developed?	
I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)	

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION
In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.
OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section D: Performance Information (All Capital Assets)
I.D.1) In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestone, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.
For Existing IT projects that have previously submitted Exhibit 300s:
--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2005 and Table 2 for fiscal years 2006 through at least 2009.
--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2006 through at least 2009.
For projects that are submitting Exhibit 300s for the first time:
--> Use Table 2.
--> Report on Performance Measures for at least two years, i.e., FY 2008 and 2009, FY 2009 and 2010.
--> If the project will have data for 2007 that you wish to include, add extra lines in Table 2 and complete all information in this single table.
--> At least one performance goal should be met by BY+1.
PERFORMANCE INFORMATION TABLE 2:
Please use Table 2 and the FEA Performance Reference Model (PRM) to identify the performance information pertaining to this major IT Investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year).

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results

2005	Quality of Life	Mission and Business Results	Information Management	Systems Maintenance - (Supports VA Strategic Objectives 1.2, 1.3 and 1.4)	Achieve 99% of the online schedule	BDN will be available for online processing as described in the BDN online schedule of operations table	Objective met at 99%
2005	Quality of Life	Customer Results	Access	Availability - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Achieve 99% of the systems availability	Reports and letters generated in each cycle are mailed to veterans, with required enclosures, within one workday after scheduled processing.	Objective met at 99%
2005	Quality of Life	Processes and Activities	Cycle Time	Cycle Time - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Maintain current levels <5 seconds for 95% of all transactions	BDN Online Transaction Response Time - BDN online transaction processors will process transactions within or less than 5 seconds.	Met at <5 seconds at 96%
2005	Quality of Life	Technology	User Satisfaction	Accessibility - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Obtain 97% User Satisfaction	BDN will be available for user processing and maintain a satisfied user community	BDN available 99%
2006	Quality of Life	Mission and Business Results	Information Management	Systems Maintenance - (Supports VA Strategic Objectives 1.2, 1.3 and 1.4)	Achieve 99% of the online schedule	BDN will be available for online processing as described in the BDN online schedule of operations table	Objective met at 99%
2006	Quality of Life	Customer Results	Access	Availability - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Achieve 99% of the systems availability	Reports and letters generated in each cycle are mailed to veterans, with required enclosures, within one workday after scheduled processing.	Objective met at 99%
2006	Quality of Life	Processes and Activities	Cycle Time	Cycle Time - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Maintain current levels <5 seconds for 95% of all transactions	BDN Online Transaction Response Time - BDN online transaction processors will process transactions within or less than 5 seconds.	Met at <5 seconds at 96%
2006	Quality of Life	Technology	User Satisfaction	Accessibility - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Obtain 97% User Satisfaction	BDN will be available for user processing and maintain a satisfied user community	BDN available 99%
2007	Quality of Life	Mission and Business Results	Information Management	Systems Maintenance - (Supports VA Strategic Objectives 1.2, 1.3 and 1.4)	Achieve 99% of the online schedule	BDN will be available for online processing as described in the BDN online schedule of operations table	
2007	Quality of Life	Customer Results	Access	Availability - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Achieve 99% of the systems availability	Reports and letters generated in each cycle are mailed to veterans, with required enclosures, within one workday after scheduled processing.	

2007	Quality of Life	Processes and Activities	Cycle Time	Cycle Time - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Maintain current levels <5 seconds for 95% of all transactions	BDN Online Transaction Response Time - BDN online transaction processors will process transactions within or less than 5 seconds.	
2007	Quality of Life	Technology	User Satisfaction	Accessibility - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Obtain 97% User Satisfaction	BDN will be available for user processing and maintain a satisfied user community	
2008	Quality of Life	Mission and Business Results	Information Management	Systems Maintenance - (Supports VA Strategic Objectives 1.2, 1.3 and 1.4)	Achieve 99% of the online schedule	BDN will be available for user processing and maintain a satisfied user community	
2008	Quality of Life	Customer Results	Access	Availability - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Achieve 99% of the systems availability	Reports and letters generated in each cycle are mailed to veterans, with required enclosures, within one workday after scheduled processing.	
2008	Quality of Life	Processes and Activities	Cycle Time	Cycle Time - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Maintain current levels <5 seconds for 95% of all transactions	BDN Online Transaction Response Time - BDN online transaction processors will process transactions within or less than 5 seconds.	
2008	Quality of Life	Technology	User Satisfaction	Accessibility - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Obtain 97% User Satisfaction	BDN will be available for user processing and maintain a satisfied user community	
2009	Quality of Life	Mission and Business Results	Information Management	Systems Maintenance - (Supports VA Strategic Objectives 1.2, 1.3 and 1.4)	Achieve 99% of the online schedule	BDN will be available for user processing and maintain a satisfied user community.	
2009	Quality of Life	Customer Results	Access	Availability - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Achieve 99% of the systems availability	Reports and letters generated in each cycle are mailed to veterans, with required enclosures, within one workday after scheduled processing.	
2009	Quality of Life	Processes and Activities	Cycle Time	Cycle Time - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Maintain current levels <5 seconds for 95% of all transactions	BDN Online Transaction Report Time - BDN online transaction processors will process transactions within or less than 5 seconds.	
2009	Quality of Life	Technology	Accessibility	Accessibility - (Supports VA Strategic Objectives 1.2, 1.3 & 1.4)	Obtain 97% User Satisfaction	BDN will be available for user processing and maintain a satisfied user community.	

PART I - F

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A. B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1) Is this investment included in your agency's target enterprise architecture?

Yes

I.F.1.a) If "no," please explain why? (LONG ANSWER)

I.F.2) Is this investment included in the agency's EA Transition Strategy?

No

I.F.2.a) If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. (MEDIUM ANSWER)

I.F.2.b) If "no," please explain why? (LONG ANSWER)

This system is a legacy system due to be retired from service and therefore is not part of the agencies EA strategy moving forward.

I.F.3) Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

I.F.3.a) If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.(MEDIUM ANSWER)

Benefits Support Segment - which is under development.

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g.,knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Customer Services	Veteran Entitlements	Customer Relationship Management	Customer / Account Management			No Reuse	16.666
2	Customer Services	Veteran Entitlements	Customer Relationship Management	Contact and Profile Management			No Reuse	16.666

3	Process Automation Services	Veteran Entitlements	Tracking and Workflow	Case Management			No Reuse	16.666
4	Business Management Services	Veteran Entitlements	Management of Processes	Configuration Management			No Reuse	16.666
5	Digital Asset Services	Veteran Entitlements	Knowledge Management	Information Retrieval			No Reuse	12.666
6	Standardized/Canned	Veteran Entitlements	Reporting	Standardized / Canned			No Reuse	16.666
7	Certification and Accreditation	Provide secure access to benefit records	Security Management	Certification and Accreditation			No Reuse	2.000
8	Identification and Authentication	Validate user credentials	Security Management	Identification and Authentication			No Reuse	2.000
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NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Customer / Account Management	Service Access and Delivery	Access Channels	Web Browser
2	Case Management	Service Platform and Infrastructure	Delivery Servers	Application Servers
3	Case Management	Component Framework	Data Management	Reporting and Analysis

4	Configuration Management	Service Access and Delivery	Delivery Channels	Internet
5	Information Retrieval	Service Access and Delivery	Delivery Channels	Intranet
6	Standardized / Canned	Service Platform and Infrastructure	Software Engineering	Software Configuration Management
7	Certification and Accreditation	Component Framework	Security	Supporting Security Services
8	Identification and Authentication	Component Framework	Security	Supporting Security Services
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NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

I.F.6) Does this investment provide the public with access to a government automated information system?

No

I.F.6.a) If "yes," does customer access require specific software (e.g., a specific web browser version)?

PART III - A

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A - RISK MANAGEMENT (All Capital Assets)

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified,

include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.

III.A.1) Does the investment have a Risk Management Plan?

Yes

III.A.1.a) If "yes," what is the date of the plan?

Jan 17, 2007

III.A.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

III.A.1.c) If "yes," describe any significant changes: (LONG ANSWER)

III.A.2) If there currently is no plan, will a plan be developed?

III.A.2.a) If "yes," what is the planned completion date?

III.A.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

PART III - B

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

III.B) Cost and Schedule Performance:

III.B.1) Was operational analysis conducted?

Yes

III.B.1.a) If "yes," provide the date the analysis was completed.

Aug 20, 2007

III.B.2) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004").

Description of Milestone	Current BL Completion Date Planned	Current BL Completion Date Actual
Update operating system software and hardware mainframe.	Oct 1, 2004	Oct 1, 2004
Prior Years - Operations and Maintenance - Sustainment	Sep 30, 2003	Sep 30, 2003

FY04 - Operations and Maintenance - Sustainment	Sep 30, 2004	Sep 30, 2004
FY05 - Operations and Maintenance - Sustainment	Sep 30, 2005	Sep 30, 2005
FY06 - Operations and Maintenance - Sustainment	Sep 30, 2006	Sep 30, 2006
FY07 - Operations and Maintenance - Sustainment	Sep 30, 2007	Sep 30, 2007
FY08 - Operations and Maintenance - Sustainment	Sep 30, 2008	
FY09 - Operations and Maintenance - Sustainment	Sep 30, 2009	