

Office of Patent Legal Administration
Correlation of Rule Packages with Specific Rules
Rules Affected By Rule Packages Only

Section	Description	Interim RCE	Final RCE	PBG	PTA	PGPub	Inter Partes	Multiple Packages
	FR Citation	65FR14865 3/20/00	65FR50092 8/16/00	65 FR 54694 9/8/00	65 FR 56366 9/18/00	65 FR 57024 9/20/00	65 FR 76756 12/07/00	
	OG Citation	1233 Off. Gaz. Pat. Office 47 4/11/00	1238 Off. Gaz. Pat. Office 13 (9/5/00)	1238 Off. Gaz. Pat. Office 77 (9/19/00)	1239 Off. Gaz. Pat. Office 14 (10/3/00)	1239 Off. Gaz. Pat. Office 63 (10/10/00)	1243 Off. Gaz. Pat. Office 12 (1/02/01)	
1.4	Nature of correspondence and signature requirements.			X				PBG-IP
1.6	Receipt of correspondence.			X			X	PBG-IP
1.7	Times for taking action; expiration on Saturday, Sunday, or Federal holiday.	X						
1.9	Definitions.			X		X		PBG-PGP
1.11	Files open to the public.					X		
1.12	Assignment records open to public inspection.			X		X		PBG-PGP
1.13	Copies and certified copies.					X		
1.14	Patent applications preserved in confidence.			X		X		PBG-PGP
1.17	Patent application processing fees.	X		X		X		RCE(I)-PBG-PGP
1.18	Patent issue fees.				X	X		PTA-PGP
1.19	Document supply fees.			X		X		PBG-PGP
1.20	Post issuance fees.						X	
1.22	Fees payable in advance.			X				
1.24	Coupons.					X		
1.25	Deposit accounts.			X			X	PBG-IP
1.26	Refunds.			X			X	PBG-IP
1.27	Statement of status as small entity.			X				
1.28	Effect on fees of failure to establish status, or change status, as a small entity.			X				
1.33	Correspondence respecting patent applications, reexamination proceedings, and other proceedings.			X				
1.34	Recognition for representation.			X				
1.36	Revocation of power of attorney or authorization; withdrawal of attorney or agent.			X				
1.41	Applicant for patent.			X				
1.44	Proof of authority.			X				
1.47	Filing when an inventor refuses to sign or cannot be reached.			X				
1.48	Correction of inventorship in a patent application, other than a reissue application.			X				
1.51	General requisites of an application.			X				
1.52	Language, paper, writing, margins.			X		X		PBG-PGP

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1.53	Application number, filing date, and completion of application.	X	X	X				RCE(I)-RCE(F)-PBG
1.55	Claim for foreign priority.			X		x		PBG-PGP
1.56	Duty to disclose information material to patentability.			X				
1.58	Chemical and mathematical formulae and tables.			X				
1.59	Expungement of information or copy of papers in application file.			X				
1.63	Oath or declaration.			X				
1.64	Person making oath or declaration.			X				
1.67	Supplemental oath or declaration.			X				
1.72	Title and abstract.			X		X		PBG-PGP
1.76	Application Data Sheet			X		X		PBG-PGP
1.77	Arrangement of application elements.			X				
1.78	Claiming benefit of earlier filing date and cross-references to other applications.	X		X		X		RCE(I)-PBG-PGP
1.84	Standards for drawings.			X		x		PBG-PGP
1.85	Corrections to drawings.			X		X		PBG-PGP
1.91	Models or exhibits not generally admitted as part of application or patent.			X				
1.96	Submission of computer program listings.			X				
1.97	Filing of information disclosure statement.	X		X				RCE(I)-PBG
1.98	Content of information disclosure statement.			X		X		PBG-PGP
1.99	Third party submission in published application					X		
1.102	Advancement of examination.			X				
1.103	Suspension of action.		X			X		RCE(F)-PBG-PGP
1.104	Nature of examination.	X		X		X		RCE(I)-PBG-PGP
1.105	Requirements for Information			X				
1.111	Reply by applicant or patent owner.			X				
1.112	Reconsideration before final action.			X			X	PBG-IP
1.113	Final rejection or action.	X					X	RCE(I)-IP
1.114	Request for continued examination.	X	X					RCE(I)-RCE(F)
1.115	[Reserved]			X				
1.116	Amendments after final action or appeal.	X					X	RCE(I)-IP
1.121	Manner of making amendments			X			X	PBG-IP

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1.125	Substitute specification.			X					
1.130	Affidavit or declaration to disqualify commonly owned patent as prior art.					X			
1.131	Affidavit or declaration of prior invention to overcome cited patent or publication.			X		X			PBG-PGP
1.132	Affidavits or declarations traversing grounds of rejection.			X		X			PBG-PGP
1.133	Interviews.			X					
1.136	Extensions of time.			X			X		PBG-IP
1.137	Revival of abandoned application or lapsed patent.			X		X			PBG-PGP
1.138	Express abandonment.			X		X			PBG-PGP
1.152	Design drawings.			X					
1.154	Arrangement of application elements.			X					
1.155	Issue of design patents.			X					
1.165	Plant Drawings.					X			
1.173	Specification.			X					
1.174	Drawings.			X					
1.176	Examination of reissue.			X					
1.177	Reissue in divisions.			X					
1.178	Original patent.			X					
1.181	Petition to the Commissioner.			X			X		PBG-IP
1.191	Appeal to Board of Patent Appeals and Interferences.						X		
1.193	Examiner's answer and reply brief.			X					
1.198	Reopening after decision.	X							
1.211	Publication of Applications					X			
1.213	Nonpublication request					X			
1.215	Patent application publication					X			
1.217	Publication of redacted copy of an application					X			
1.219	Early publication					X			
1.221	Voluntary publication or republication of patent application publication.					X			
1.291	Protests by the public against pending applications.					X			
1.292	Public use proceedings.					X			
1.301	Appeal to U.S. Court of Appeals for the Federal Circuit.						X		

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1.303	Civil action under 35 U.S.C. 145, 146, 306.			X			X	PBG-IP
1.304	Time for appeal or civil action.						X	
1.311	Notice of Allowance.			X		X		PBG-PGP
1.312	Amendments after allowance.	X						
1.313	Withdrawal from issue.	X	X					RCE(I)-RCE(F)
1.314	Issuance of patent.			X				
1.322	Certificate of correction of Office mistake.			X				
1.323	Certificate of correction of applicant's mistake.			X				
1.324	Correction of inventorship in patent.			X				
1.366	Submission of maintenance fees.			X				
1.417	Submission of translation of international application.					X		
1.446	Refund of international application filing and processing fees.			X				
1.494	Entering the national stage in the United States of America as a Designated Office.					X		
1.495	Entering the national stage in the United States of America as an Elected Office					X		
1.497	Oath or declaration under 35 U.S.C. 371(c)(4).			X				
1.501	Citation of prior art in patent files.						X	
1.502	Processing of prior art citations during an ex parte reexamination proceeding						X	
1.510	Request for reexamination.			X			X	PBG-IP
1.515	Determination of the request for reexamination.						X	
1.520	Reexamination at the initiative of the Commissioner.						X	
1.525	Order to reexamine.						X	
1.530	Statement; amendment by patent owner.			X			X	PBG-IP
1.535	Reply by requester.						X	
1.540	Consideration of responses.						X	
1.550	Conduct of reexamination proceedings.			X			X	PBG-IP
1.552	Scope of reexamination in reexamination proceedings.						X	

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1.555	Information material to patentability in reexamination proceedings.						X		
1.560	Interviews in reexamination proceedings.						X		
1.565	Concurrent office proceedings.			X			X		PBG-IP
1.570	Issuance of reexamination certificate after reexamination proceedings.						X		
1.666	Filing of interference settlement agreements.			X					
1.701	Extension of patent term due to examination delay under the Uruguay Round Agreements Act (original applications, other than designs, filed on or after June 8, 1995, and before May 29, 2000).				X				
1.702	Grounds for adjustment of patent term due to examination delay under the Patent Term Guarantee Act of 1999 (original applications, other than designs, filed on or after May 29, 2000).				X				
1.703	Period of adjustment of patent term due to examination delay.				X				
1.704	Reduction of period of adjustment of patent term.				X				
1.705	Patent term adjustment determination.				X				
1.720	Conditions for extension of patent term.			X					
1.730	Applicant for extension of patent term.			X					
1.740	Application for extension of patent term.			X					
1.741	Filing date of application.			X					
1.760	Interim extension of patent term under 35 U.S.C. 156(e)(2).			X					
1.780	Certificate of extension of patent term.			X					
1.821	Nucleotide and/or amino acid sequence disclosures in patent applications.			X					
1.823	Requirements for nucleotide and/or amino acid sequences as part of the application papers.			X					

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1.824	Form and format for nucleotide and/or amino acid sequence submissions in computer readable form.			X					
1.825	Amendments to or replacement of sequence listing and computer readable copy thereof.			X					
1.902	Processing of prior art citations during an inter partes reexamination proceeding.						X		
1.903	Service of papers on parties in inter partes reexamination.						X		
1.904	Notice of inter partes reexamination in Official Gazette.						X		
1.905	Submission of papers by public in inter partes reexamination.						X		
1.906	Scope of reexamination in inter partes reexamination proceeding.						X		
1.907	Inter partes reexamination prohibited.						X		
1.913	Persons eligible to file request for inter partes reexamination.						X		
1.915	Content of request for inter partes reexamination.						X		
1.919	Filing date of request for inter partes reexamination.						X		
1.923	Examiner's determination on the request for inter partes reexamination.						X		
1.925	Partial refund if request for inter partes reexamination is not ordered.						X		
1.927	Petition to review refusal to order inter partes reexamination.						X		
1.931	Order for inter partes reexamination.						X		
1.933	Patent owner duty of disclosure in inter partes reexamination proceedings.						X		
1.935	Initial Office action usually accompanies order for inter partes reexamination.						X		
1.937	Conduct of inter partes reexamination.						X		
1.939	Unauthorized papers in inter partes reexamination.						X		

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1.941	Amendments by patent owner in inter partes reexamination.						X		
1.943	Requirements of responses, written comments, and briefs in inter partes reexamination.						X		
1.945	Response to Office action by patent owner in inter partes reexamination.						X		
1.947	Comments by third-party requester to patent owner's response in inter partes reexamination.						X		
1.948	Limitations on submission of prior art by third-party requester following the order for inter partes reexamination.						X		
1.949	Examiner's Office action closing prosecution in inter partes reexamination.						X		
1.951	Options after Office action closing prosecution in inter partes reexamination.						X		
1.953	Examiner's Right of Appeal Notice in inter partes reexamination.						X		
1.955	Interviews prohibited in inter partes reexamination proceedings.						X		
1.956	Patent owner extensions of time in inter partes reexamination.						X		
1.957	Failure to file a timely, appropriate or complete response or comment in inter partes reexamination.						X		
1.958	Petition to revive terminated inter partes reexamination or claims terminated for lack of patent owner response.						X		
1.959	Notice of appeal and cross appeal to Board of Patent Appeals and Interferences in inter partes reexamination.						X		
1.961	Jurisdiction over appeal in inter partes reexamination.						X		
1.962	Appellant and respondent in inter partes reexamination defined.						X		
1.963	Time for filing briefs in inter partes reexamination.						X		

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1.965	Appellant brief in inter partes reexamination.						X		
1.967	Respondent brief in inter partes reexamination.						X		
1.969	Examiner's answer in inter partes reexamination.						X		
1.971	Rebuttal brief in inter partes reexamination.						X		
1.973	Oral hearing in inter partes reexamination.						X		
1.975	Affidavits or declarations after appeal in inter partes reexamination.						X		
1.977	Decision by the Board of Patent Appeals and Interferences; remand to examiner in inter partes reexamination.						X		
1.979	Action following decision by the Board of Patent Appeals and Interferences or dismissal of appeal in inter partes reexamination.						X		
1.981	Reopening after decision by the Board of Patent Appeals and Interferences in inter partes reexamination.						X		
1.983	Patent owner appeal to the United States Court of Appeals for the Federal Circuit in inter partes reexamination.						X		
1.985	Notification of prior or concurrent proceedings in inter partes reexamination.						X		
1.987	Suspension of inter partes reexamination proceeding due to litigation.						X		
1.989	Merger of concurrent reexamination proceedings.						X		
1.991	Merger of concurrent reissue application and inter partes reexamination proceeding.						X		
1.993	Suspension of concurrent interference and inter partes reexamination proceeding.						X		
1.995	Third-party requester's participation rights preserved in merged proceeding.						X		
1.997	Issuance of inter partes reexamination certificate						X		

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3.27	Mailing address for submitting documents to be recorded.			X					
3.71	Prosecution by assignee.			X					
3.73	Establishing right of assignee to take action.			X					
3.81	Issue of patent to assignee.			X					
5.1	Correspondence.			X		x			PBG-PGP
5.2	Secrecy order.			X					
5.12	Petition for license.			X					
10.23	Misconduct.			X					