OGE	Use	Only

## **Notification of Conflict of Interest Referral**

For use in cases involving possible violations of 18 U.S.C. §§ 203, 205, 207-209 by current or former executive branch employees only; see reverse for summary of statutory/regulatory background. Please return directly to: U.S. Office of Government Ethics, 1201 New York Ave. NW, Suite 500, Washington, DC 20005-3917. Phone: Chief, Financial Disclosure Division (202)208-8000, Extension 1123. FAX: (202)208-8038.

Agency Referring the Case	Agency Case or Referral Number	Case Referred to: DOJ, Public Integrity Section, Criminal Division U.S. Attorney for (district) DOJ (other)	
Date of Referral to DOJ	Name of Employee Involved in Ca	use (optional), Agency, and Agency Component Where he/she was Employed.	
Please check each stat	ute involved in the case, and	answer all questions concerning those statute(s).	
Is there any evid	ence the individual received	ethics training? Yes No Unclear	
Federal entity be Compensated rep	efore which representation oc presentation on behalf of:	be rendered by the employee? or by another?	
18 U.S.C. § 205	(Representation Affecting t	he Government)	
Federal entity be	fore which representation occ	curred:	
•			
	( <b>Post-Employment</b> ) fore which representation occ	curred:	
•	on behalf of:		
	nication/representation oral?		
		fore January 1, 1991. Check subsections involved:	
207(a	) 207(b)(i) 207(b)	)(ii) 207(c)	
Former em	nployee terminated service on	or after January 1, 1991. Check subsections involved:	
207(a	(1) 207(a)(2) 20°	7(b) 207(c) 207(d) 207(f)	
If 207(b) or	r 207(f) is checked, was behin	nd-the-scenes aid or advice involved? Yes No	
	(Acts Affecting a Personal I		
		the employee? that of the employee's spouse? minor	child?
	1 0	ng for employment? other?	
		s it granted? Yes No	
		disclosure form? Yes No If yes, check form involve	d:
		ecify)	
If so, was the bas	sis for the interest disclosed o	on the financial disclosure form? Yes No	
	(Supplementation of Salary		
		etc.):	
Value of supplen	nentation: \$ Nu	mber of supplements:	
Additional State	utes Involved in Conflict of	Interest Referrals	
Was 18 U.S.C. §	201 (bribery/gratuity) involv	red? Yes No	
Was 5 U.S.C. Ap	p. (Ethics in Government Ac	t) § 501 (outside earned income) involved? Yes No	
Was 5 U.S.C. Ap	p. (Ethics in Government Ac	t) § 502 (outside employment) involved? Yes No	
	1001 (false statements) invol		
Was 5 U.S.C. Ap	pp. (Ethics in Government Ac	t) § 101 et seq. (financial disclosure) involved? Yes No _	
Other (list)			
Agency Contact/Telep	hone Number		Date
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## Statutory/Regulatory Background

28 U.S.C. § 535 requires every department or agency to report to the Attorney General any information, allegations, or complaints relating to violations of title 18 of the United States Code involving Government employees, including possible violations of 18 U.S.C. § 207 by former Government employees. The Director of the Office of Government Ethics (OGE), in accordance with 5 U.S.C. App. § 402(e)(2), has promulgated regulations at 5 C.F.R. § 2638.603 requiring agencies to concurrently notify the Director when any matter involving a violation of 18 U.S.C. §§ 203, 205, 207, 208, and/or 209 is referred to the Department of Justice pursuant to 28 U.S.C. § 535. Such notification may be accomplished by providing a copy of the referral document or by submitting this optional form, unless such notification would otherwise be prohibited by law. OGE regulations also require that the department or agency subsequently notify the Director of the referral's disposition, including any disciplinary or corrective action taken by the department or agency. 5 C.F.R. § 2638.603(c). Information relating to the disposition of a referral may be communicated to the Director in writing.

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Additio	nal agency comments (if any):
Disposit	tion of Referral (OGE use only):
I	OOJ declined prosecution.
I	OOJ initiated prosecution.
	Resolution:
Agency	disciplinary or corrective action, if any:
Agency	disciplinary of corrective action, if any.