

Effects of coancestry on accuracy of individual assignments to population of origin: examples using Great Lakes lake trout (*Salvelinus namaycush*)

Bruno Guinand^{1,2}, Kim T. Scribner¹, Kevin S. Page^{1,4}, Kristi Filcek¹, Laura Main¹ & Mary K. Burnham-Curtis³

¹Department of Fisheries and Wildlife, Michigan State University, East Lansing, MI 48824-1222, USA;

²UMR CNRS 5171 Génome, Populations, Interactions, Adaptation, Station Méditerranéenne de l'Environnement Littoral – Quai de la Daurade, 34200, Sète, France; (Phone: +33-4-67-46-33-82, Fax: +33-4-67-46-33-99, E-mail: guinand@univ-montp2.fr); ³National Forensics Laboratory, U.S. Fish and Wildlife Service, 1450 Main St., Ashland, OR USA; ⁴Minnesota Department of Natural Resources, 1601 Minnesota Dr., Brainerd, MN 56401-3971, USA

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Abstract

Methods for assigning individuals to population of origin are widely used in ecological genetics, resources management, and forensics. Characteristics of genetic data obtained from putative source populations that enhance accuracy of assignment are well established. How non-independence within and among unknown individuals to be classified [i.e., gene correlations within individuals (inbreeding) and gene correlations among individuals within groups (coancestry)] affect assignment accuracy is poorly understood. We used empirical data for six microsatellite loci and offspring from full-sib crosses of hatchery strains of lake trout (*Salvelinus namaycush*; Salmonidae) representing known levels of coancestry (mean $\theta=0.006$ and 0.06) within families to investigate how gene correlations can affect assignment. Additional simulations were conducted to further investigating the influence of allelic diversity (2, 6 or 10 alleles per locus) and inbreeding ($F=0.00, 0.05, \text{ and } 0.15$) on assignment accuracy for cases of low and high inter-population variance in allele frequency (mean $F_{st}=0.01$ and 0.1, respectively). Inbreeding had no effect on accuracy of assignments. In contrast, variance in assignment accuracy across replicated simulations, and for each empirical case study increased with increasing coancestry, reflecting non-independence of probabilities of correct assignment among members of kin groups. Empirical estimates of assignment error rates should be interpreted with caution if appreciable levels of coancestry are suspected. Additional emphasis should be placed on sampling designs (spatially and temporally) that define or minimize the potential for sampling related individuals.

Introduction

Fundamental understanding of ecological and genealogical relationships between populations is a prerequisite for effective population management and conservation. Allele frequencies estimated using highly polymorphic DNA markers such as microsatellite loci are widely recognized as a viable

means to define population boundaries, and to estimate rates of gene flow among populations (Waser & Strobeck, 1998; Luikart & England, 1999; Manel, Gaggiotti & Waples, 2005). Statistical tools such as assignment tests have been widely used to place individuals to putative populations of origin when spatial genetic variation among populations exists. Assignment tests have been used widely in

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52 many ecological and evolutionary contexts,
 53 including applied fisheries science (Hansen,
 54 Kenchington & Nielsen, 2001a), to establish rela-
 55 tionships among individuals within and among
 56 groups (e.g., Roques, Duchesne & Bernatchez,
 57 1999; Koskinen, Piironen & Primmer, 2001; Nielsen
 58 et al., 2001), identification of introgression and
 59 hybrid individuals (Martinez et al., 2001), and
 60 ecotypes (Taylor et al., 2000), forensics (Primmer,
 61 Koskinen & Piironen, 2000), phylogeographical
 62 analyses (King et al., 2001), documenting contri-
 63 butions of stocked individuals to natural popula-
 64 tions or evaluation of supportive breeding
 65 programs (Hansen et al., 2000, 2001b), and to infer
 66 rates of dispersal (Berry, Tocher & Sarre, 2004;
 67 Castric & Bernatchez, 2004). Empirical and theo-
 68 retical studies have shown assignment tests to be
 69 useful for purposes of classification when moderate
 70 numbers of loci are employed, characterized by
 71 moderate to high numbers of alleles per locus, and
 72 when populations are moderately to highly differ-
 73 entiated ($F_{st} \approx 0.05$ or higher; Cornuet et al., 1999;
 74 Bernatchez & Duchesne, 2000; Paetkau et al.,
 75 2004). Studies have focused on properties of the
 76 putative source populations rather than on the un-
 77 known individuals to be classified (Manel et al.,
 78 2005).

79 Despite the wide use of assignment tests, several
 80 constraints may limit their use. Tests generally as-
 81 sume that source populations are in Hardy–Weinberg
 82 equilibrium and loci are independent (i.e., no linkage
 83 disequilibrium). Tests assume adequate samples sizes
 84 of source populations, and random and equitable
 85 sampling across genealogical groups within sources
 86 populations to ensure accurate estimation of allele
 87 (and expected genotype) frequencies (Guinand et al.,
 88 2004). Regardless of the statistical basis of different
 89 assignment methods, all assume that the genetic
 90 markers employed provide independent information
 91 on an individual's ancestry. The importance of vio-
 92 lations of assumptions to assignment accuracy have
 93 been investigated for source samples (e.g., linkage in
 94 admixed populations; Falush, Stephens & Pritchard,
 95 2003), but are likely to be similarly important for
 96 samples of unknowns to be classified. For example, if
 97 unknown individuals collected from the same locale
 98 were related (i.e., gene correlations or non-zero
 99 coancestry *between* individuals within the same
 100 breeding group; Wright, 1969; Chesser, 1991a, b),
 101 non-independence due to shared pedigree could
 102 influence population assignment. Individuals char-

acterized by non-zero levels of coancestry could be
 sampled from populations of low effective size, if the
 variance in adult reproductive success is high, or due
 to other life-history and behavioral factors leading to
 kin-structured populations (Sugg et al., 1996).

Levels of inter-population variance in allele fre-
 quency (F_{st} ; Wright, 1965) have been widely used to
 predict the accuracy with which individuals can be
 assigned to population of origin. Fixation indices
 (F -statistics; Wright, 1965) may also be interpreted
 in light of non-independence among individuals,
 based on measures of inbreeding (F), coancestry (θ)
 and inter-group correlations (α) (Wright, 1969;
 Cockerham, 1973; Chesser, 1991a, b). As correla-
 tions increase, less genetic variation is apportioned
 at other levels of population structure (Chesser,
 1991a, b). Hence, increasing coancestry leads to
 lower variance within populations, and concomi-
 tantly to reapportionment of greater portions of
 overall variance among families within populations
 and among populations. Understanding how the
 relative apportionment of genetic variance influ-
 ences assignment accuracy has not been rigorously
 examined. For example, Cornuet et al. (1999) per-
 formed extensive simulations focusing on varying
 levels of population differentiation (F_{st}), but not on
 other components of genetic variance such as
 inbreeding (F) and coancestry (θ).

Using empirical data sets, we investigate how
 inbreeding and coancestry of unknown individuals
 to be classified influences assignment accuracy.
 Specifically, we created mixtures composed of
 individuals from different strains of lake trout
 (*Salvelinus namaycush*; Salmonidae), where vary-
 ing proportions of individuals from each strain
 were related at the level of full-sibs. Simulations
 were also conducted on a range of values for
 parameters including the number of loci, number
 of alleles per locus, and level of population differ-
 entiation, that reflect characteristics observed for
 empirical data sets typically studied in nature. We
 demonstrate that coancestry, but not inbreeding
 levels of unknown individuals to be classified
 influenced accuracy of assignment decisions.

Materials and methods

Three levels of mean coancestry were considered:
 no coancestry ($\theta_j=0.00$), low coancestry
 ($\theta_j=0.006$), and high coancestry ($\theta_j=0.06$). All

151 analyses were conducted using source populations
 152 characterized by empirically estimated variance in
 153 allele frequency ($F_{st} \approx 0.01$ and $F_{st} \approx 0.10$). In all
 154 cases, accuracy of assignment was computed by
 155 assigning individuals of a given coancestry level to
 156 source populations. Genotype frequencies in all
 157 simulated and empirical source populations con-
 158 formed to Hardy–Weinberg expectations (i.e., no
 159 significant coancestry).

160 *Empirical samples*

161 Three lake trout hatchery strains were selected for
 162 empirical evaluations. The Marquette (SMD) and
 163 Seneca (SLW) strains represented a pair-wise
 164 comparison where allele frequencies were highly
 165 divergent, and represented a high F_{st} case
 166 ($F_{st} \approx 0.10$). The SMD and Isle Royale (SIW)
 167 strains were selected as the low F_{st} case
 168 ($F_{st} \approx 0.01$). Details on hatchery strains may be
 169 found in Page et al. (2003). Spawning of adults
 170 were conducted by U.S. Fish and Wildlife Service
 171 personnel during annual lake trout egg takes for
 172 each domestic broodstock during the fall of 1998.
 173 All crosses for each family involved one male and
 174 one female. Fertilized eggs from each full-sib
 175 family were incubated individually and upon
 176 emergence were placed together in 95% non-
 177 denatured ethanol for analysis.

178 Reproductive data including the total number
 179 of lake trout pairs spawned and total numbers of
 180 juveniles produced from each mating were used to
 181 calculate average levels of coancestry (mean θ ;
 182 Chesser, 1991a). We sampled 15 offspring from
 183 each of four full-sib families and 6 individuals
 184 from each of 10 full-sib families to generate
 185 empirical sample sets with high and low levels of
 186 coancestry, respectively for each strain comparison
 187 in our analyses. Coancestry represents correlations
 188 of genes between individuals within the same
 189 family group, describing the probability of identity
 190 by descent for two alleles drawn randomly from
 191 each of two individuals. Coancestry values be-
 192 tween full-sibs were 0.25. Gene correlation matri-
 193 ces were derived for individual families as
 194 described by Chesser (1991a). The number of
 195 juveniles sampled per family ($b=6$ or 15), number
 196 of families ($n=10$ or 4), and total numbers of
 197 individuals within a strain used in the experiment

(N) were used to estimate the average coancestry
 of the j^{th} strain as per Chesser (1991a):

$$\theta_j = \frac{\sum_{i=1}^n b_i^2 - b_i}{4(N^2 - N)} \quad (1)$$

Using Equation (1) we selected full-siblings from
 families to empirically establish groups with
 known high ($\theta_j=0.06$) and low ($\theta_j=0.006$) empir-
 ical levels of known coancestry using offspring
 from four and 10 family crosses, respectively.
 Baseline SIW, SLW and SMD broodstocks were
 used as source populations in assignments where θ
 for each source was assumed to be zero.

Laboratory analyses of empirical samples

DNA extraction of tissues from emergent juveniles
 ($N=382$) was performed using a proteinase K
 digestion and a modified Puregene extraction pro-
 tocol (Gentra, Inc., Minneapolis, MN). DNA was
 resuspended in 50 μl of TE buffer (10 mM Tris-
 HCL, pH 8.0, 1 mM EDTA). Fluorometry was
 used to determine DNA concentrations. One hun-
 dred nanograms of DNA was used for each PCR.
 We used six microsatellite loci that were originally
 developed for other salmonid species including
 brook trout (*Salvelinus fontinalis*) (*Sfo1*, *Sfo12* and
Sfo18; Angers, Bernatchez, 1996), pink salmon
 (*Onchorynchus gorbuscha*) (*Ogo1a*; Olsen, Bentzen
 & Seeb, 1998), bull trout (*Salvelinus confluentus*)
 (*Sco19*; Taylor et al., 2001), and Atlantic salmon
 (*Salmo salar*) (*Ssa85*; O'Reilly et al., 1996). PCRs
 were performed in 25 μl volumes using conditions
 provided by the respective authors. PCR products
 were screened using 6% polyacrylamide vertical
 gels. Products were visualized by a Hitachi FMBIO
 II Multi-View scanner and associated software.
 Microsatellite fragments were sized manually using
 a 20 bp internal lane standard. Several individuals
 of known genotype were used as additional allele
 size standards on each gel.

Summary statistics for empirical data

Estimates of allele frequency for the baseline
 SMD, SIW, and SLW broodstocks were obtained
 independently (Page, 2001) for each of 6 micro-
 satellite loci based on > 60 adults per broodstock.

242	Previous simulation studies (Guinand et al., 2004)	populations relative to random members of the	291
243	have shown that sample sizes at or exceeding this	entire sample.	292
244	level are sufficient to accurately estimate allele	We also used the Bayesian method of Pritchard	293
245	frequencies for source populations under all con-	et al. (2000), implemented in the program Struc-	294
246	ditions evaluated in this study. Estimates of devi-	ture (v. 1.0). The program uses multilocus geno-	295
247	ations of observed genotypic proportions from	types to infer population structure and to assign	296
248	Hardy–Weinberg expectations were made using	individuals based on posterior probabilities to	297
249	the Markov Chain method of Guo and Thompson	populations (or strains). Results were based on	298
250	(1992) implemented in the program Genepop	100,000 or 500,000 Markov Chain Monte Carlo	299
251	(Raymond & Rousset, 1995). <i>F</i> -statistics (Weir &	iterations following a burn-in period of 20,000 or	300
252	Cockerham, 1984) describing levels of allelic vari-	100,000 iterations for the high- and low <i>F</i> _{st} cases,	301
253	ance among individuals within and among strains	respectively. For each <i>F</i> _{st} level and each coancestry	302
254	were estimated using Genetix 4.02 (Belkhir et al.,	case, we estimated individual admixture propor-	303
255	2001). Statistical significance for all Hardy–Wein-	tions (\hat{q} , posterior probability of assignment),	304
256	berg tests and tests of inter-strain differences in	representing the estimated proportion of an indi-	305
257	allele frequency were based on probability levels	vidual's genotype originating from either parental	306
258	adjusted for multiple comparison tests using	population. We ranked estimated \hat{q} values from	307
259	sequential Bonferroni tests (Rice, 1989). Evidence	the smallest to the highest value to obtain a dis-	308
260	for linkage disequilibrium was tested using	tribution of individual admixture proportions for	309
261	Genetix 4.02.	each <i>F</i> _{st} level and each coancestry case as described	310
262	<i>Assignment tests</i>	by Nielsen et al. (2003). We then used a	311
263	We first employed the likelihood-based assignment	Kolmogorov–Smirnov two-sample test to evaluate	312
264	test of Paetkau et al. (1995) to assign individuals	pair-wise differences between observed distribu-	313
265	to strain of origin. This approach was used pri-	tions of individual admixture proportions under	314
266	marily due to the wide use of likelihood-based	two coancestry levels. This test compares distri-	315
267	methods in the empirical literature. Our working	butions of \hat{q} values between different groups	316
268	hypothesis was that genetic correlations among	characterized by different mean coancestry levels.	317
269	individuals would lead to greater probabilities of	Specifically, pair-wise comparisons were con-	318
270	concurrent correct or misclassification of members	ducted between distributions of individual admix-	319
271	of the same family group. We then summarized	ture proportions with no coancestry [mean	320
272	results characterizing the importance of gene cor-	$\theta_j=0.00$], low coancestry [mean $\theta_j=0.006$], and	321
273	relations and apportionment of genetic variation	high coancestry [mean $\theta_j=0.06$]. Test were con-	322
274	on assignment error rate. We estimated accuracy	ducted were considered for each level of empiri-	323
275	of individual strain classification using multilocus	cally estimated variance in allele frequency	324
276	genotype frequencies of each lake trout brood-	[<i>F</i> _{st} \approx 0.01 and <i>F</i> _{st} \approx 0.1]).	325
277	stock as described previously (Page, 2001; Page	<i>Computer simulations</i>	326
278	et al., 2003) using the leave-one-out procedure	We used computer simulations for the range of	327
279	(Efron, 1983). We assigned progeny from low	parameters represented in the empirical lake trout	328
280	coancestry and high coancestry groups of un-	examples. We simulated population data for 6 loci	329
281	knowns for each inter-strain comparison to	using three different levels of allelic diversity (2, 6	330
282	determine whether accuracy of individual assign-	or 10 alleles per locus). We focused on loci with	331
283	ments was random across samples. To investigate	this range of allelic diversity because individual	332
284	whether assignment accuracy covaried non-inde-	characterizations will result in higher probabilities	333
285	pendently among individuals as a function of	of individuals sharing alleles that are identical in	334
286	familial relationship (i.e., a 'family effect' char-	state but not identical by descent relative to sim-	335
287	acterized by elevated inter-individual gene correla-	ulations employing loci with higher allelic diver-	336
288	tions), we conducted chi-square tests to examine	sity. Accordingly, the null hypothesis (no effect of	337
289	whether progeny from the same parental cross	gene correlations on assignment accuracy) would	338
290	were more likely to be assigned correctly to source	be more difficult to reject. Further, Bernatchez and	339

340 Duchesne (2000) showed that increasing the
341 number of alleles did not significantly improve
342 assignment accuracy.

343 For simulated data sets, two levels of popula-
344 tion differentiation estimated as per Wright (1965)
345 were examined, including a low and high F_{st} case
346 ($F_{st}=0.01$ and 0.10 , respectively). Levels of inter-
347 population variance in allele frequency were con-
348 sistent with levels of inter-strain differentiation
349 between the low F_{st} and high F_{st} from the empirical
350 lake trout examples, respectively, and reflected
351 ranges of values likely encountered in natural set-
352 tings. Individuals were simulated by randomly
353 drawing alleles with replacement each allele for
354 each locus, with probabilities associated with
355 multinomial distributions established for each
356 population. Multinomial distributions in each
357 population were characterized by the same number
358 of allelic states. Under conditions of high F_{st} (0.10)
359 and low F_{st} (0.01), allele frequencies for a second
360 population were established as per Wright (1965)
361 with levels of inbreeding or gene correlations
362 within individuals (F) equal to 0.00 , 0.05 and 0.15 .
363 We assumed no gametic disequilibrium ($\Delta_{AB}=0$;
364 Weir, 1979). To simulate progeny for use in
365 assignment tests, we randomly selected two indi-
366 viduals as parents and randomly selected one allele
367 per parent per locus to produce progeny geno-
368 types. Progeny arrays were constructed with 60
369 individuals with contributions from each parental
370 pair proportional to the empirical low and high
371 coancestry levels described above for the empirical
372 lake trout data (i.e., 10 families of 6 individuals in
373 the low coancestry case; 4 families of 15 individ-
374 uals in the high coancestry case). We conducted 25
375 replicate simulations for each combination of
376 allelic diversity (2 , 6 or 10), coancestry (0.00 , 0.006 ,
377 and 0.06), and inbreeding (0.00 , 0.05 , 0.15). Inter-
378 population variance in allele frequency was set at
379 $F_{st}=0.01$ and 0.10 . Likelihood-based tests of
380 assignment accuracy were summarized for each
381 combination as the mean and standard error in
382 assignment accuracy over the 25 replicates.

383 We also computed *mean* distributions of indi-
384 vidual admixture proportions over the simulated
385 replicates using the Structure (v. 1.0) program.
386 Characteristics of Markov Chain Monte Carlo
387 and burn in period were identical to the ones used
388 for empirical data sets. We then performed
389 Kolmogorov–Smirnov two-sample tests as de-
390 scribed above. For each level of allelic diversity, we

made pair-wise comparisons both between differ- 391
ent coancestry level for a given inbreeding and F_{st} 392
level, but also between different inbreeding levels 393
for each coancestry and F_{st} level. 394

Results 395

Empirical data 396

No significant linkage disequilibrium was detected 397
in each baseline (broodstock) sample, and geno- 398
type frequencies of each broodstock conformed to 399
Hardy–Weinberg expectations. However, increas- 400
ing coancestry of individuals in groups of un- 401
knowns led to significant and increasing 402
departures from HWE expectations for unknown 403
samples (Table 1). 404

Accuracy of assignment increased with 405
increasing coancestry in both the low and high F_{st} 406
cases (Table 1). Increases in levels of coancestry 407
and lower inter-individual variance within families 408
led to concomitant changes apportionment of ge- 409
netic variance (greater variance among families 410
and broodstocks), especially when F_{st} was high 411
(Table 1). Higher inter-population variance in al- 412
lele frequency (F_{st}) led to greater assignment 413
accuracy in all empirical lake trout cases. We 414
found significant differences (Kolmogorov–Smir- 415
nov test; $p \leq 0.05$) between distributions of indi- 416
vidual admixture proportions for samples from 417
each pair-wise coancestry level comparison in the 418
low F_{st} case (SMD–SIW). No significant difference 419
was detected in the high F_{st} case (SMD–SLW). 420
Results were not significant ($0.05 < p < 0.10$) when 421
comparing \hat{q} distributions between the high coan- 422
cestry and low coancestry data sets. 423

To investigate the effects of familial relation- 424
ship on assignment accuracy, we tabulated the 425
number of offspring from crosses within each 426
strain and full-sib family that were correctly clas- 427
sified to strain of origin. The null hypothesis that 428
assignment accuracy across all individuals was 429
independent of familial origin was rejected. When 430
classifying unknown individuals originating from 431
broodstocks characterized by low inter-population 432
variance in allele frequency (e.g., the low F_{st} case; 433
SIW and SMD lake trout broodstocks; $F_{st} \sim 0.01$) 434
and with both low and high coancestry levels, we 435
found that the majority of individuals from the 436
same families were either correctly or incorrectly 437



Table 1. Likelihood-based assignment error rates for *Salvelinus namaycush* empirical data sets representing low and high F_{st} cases, and various levels of coancestry

Low F_{st} case	Source ($\theta=0.000$)			Low coancestry (mean $\theta=0.006$)			High coancestry (mean $\theta=0.060$)			
	SMD-SIW	Error rate 35.8%		HW	Error rate 28.3%		HW	Error rate 20.0%		
		F_{it}	F_{is}		F_{it}	F_{is}		F_{it}	F_{is}	
Mean number of alleles	5.67	0.002	-0.019	0.013	0.147	0.110	0.041	0.153	0.108	0.051
SE		0.035	0.031	0.007	0.059	0.054	0.021	0.108	0.109	0.030
High F_{st} case	SMD-SLW	HW	Error rate 9.2%	HW	Error rate 10.6%	HW	Error rate 0.8%			
Mean number of alleles	5.33	NS	-0.050	0.129	0.183	0.076	0.115	0.226	0.044	0.190
SE		NS	0.028	0.030	0.057	0.065	0.037	0.057	0.086	0.057

NS, not significant; $***p < 0.001$.

Multilocus results of tests of Hardy-Weinberg (HW) expectations and estimates of fixation indices (and associated standard errors; SE) for low- and high-coancestry levels of unknown individuals are reported. Assignment error rates for source (broodstock) individuals were estimated according to the leave-one-out procedure of Efron (1983).

classified. All four χ^2 tests (2 strains for each of 2 coancestry levels) were significant ($p < 0.05$). For the high F_{st} case (SLW and SMD strains), we found little evidence for lack of independence in assignment as a function of familial membership. Only one of four χ^2 tests were significant for the SLW strain in the low coancestry case ($p = 0.011$). No significant results were detected for the SMD strain for the low ($p = 0.40$) or high ($p = 0.25$) coancestry cases. Since a high proportion of individuals were correctly classified even in the absence of coancestry (Table 1), there was no statistically significant family effect in the high F_{st} case. In contrast, when variance in allele frequency between strains was low (the low F_{st} case), assignment accuracy was greatly affected by coancestry levels of unknown individuals.

Simulated data

Using simulated data we were able to examine effects of coancestry as well as inbreeding on assignment accuracy. Increasing levels of inbreeding and coancestry reflect decreasing variance within families, and concomitantly, a propensity for greater variance among families. With increasing inter-population variance in allele frequency (low versus high F_{st}), and when loci with different allelic diversities are used (2, 6 or 10 alleles per locus), there were no effects of inbreeding across the cases considered (Figure 1). Accuracy of assignment was approximately equal and the magnitude of variance across replicates were similar. Assignment error rates and associated standard errors for the low coancestry at $F = 0.01$ and 0.10 were nearly identical across simulations of different levels of allelic diversity (Figure 1).

Contrary to results for inbreeding, the relationship between accuracy of likelihood-based assignment and coancestry was complex using simulated data. Average assignment error rates were generally lower for low coancestry cases compared to the no coancestry cases (except when F_{st} was high for the 6 and 10 allele cases), because assignment accuracy was already high in cases of no coancestry cases (Figure 1(d-f)). Assignment error rates increased for high coancestry cases. Increases in average assignment error rate for higher levels of coancestry was evident for the 6 and 10 allele cases only, especially when variance in allele frequency among source populations (F_{st})



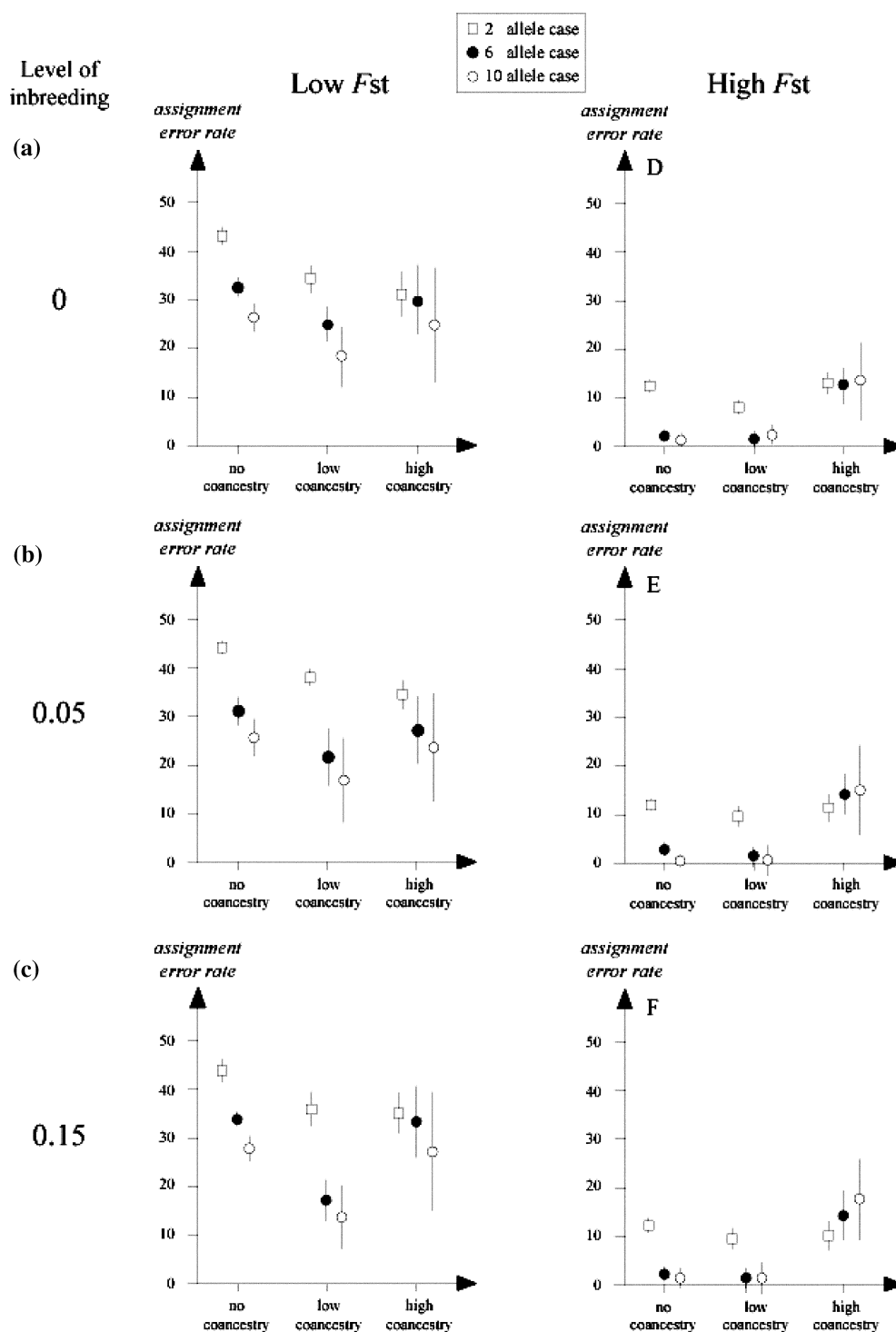


Figure 1. Mean assignment error rates for low- and high-coancestry individuals in *Salvelinus namaycush* empirical data sets representing low and high F_{st} cases. Bars indicate standard errors over replicates.



Table 2. Summary of significant pair-wise changes in mean distributions of individual admixture proportions (\hat{q}) over replicated simulated data sets when coancestry (θ) varied

Inbreeding level	Coancestry level	Low F_{st} (0.01)						High F_{st} (0.01)						
		2 alleles		6 alleles		10 alleles		2 alleles		6 alleles		10 alleles		
		Low θ	High θ	Low θ	High θ	Low θ	High θ	Low θ	High θ	Low θ	High θ	Low θ	High θ	
0	No θ	*	*	NS	NS	(*)	NS	No θ	NS	NS	NS	(*)	NS	(*)
	Low θ		NS	NS	NS	NS	NS	Low θ	NS			(*)	*	
0.05	No θ	*	*	NS	NS	NS	NS	No θ	NS	NS	NS	(*)	NS	(*)
	Low θ		NS	NS	NS	NS	NS	Low θ	NS			*	*	
0.15	No θ	*	(*)	*	NS	(*)	NS	No θ	NS	NS	NS	(*)	NS	(*)
	Low θ		NS		(*)	NS	NS	Low θ	NS			(*)	*	

NS, not significant.

* $p < 0.05$ when corrected for multiple tests.

(*), $p < 0.05$, not corrected for multiple tests.

Results were provided by Kolmogorov–Smirnov two-sample test.

487 was high (Figure 1(d–f)). At the high coancestry
 488 level, accuracies of assignment in the 2, 6, and 10
 489 allele cases were similar. Generally, no improve-
 490 ment in likelihood-based assignment accuracy was
 491 observed when loci characterized by high allelic
 492 diversity were used. In simulations of non-zero
 493 coancestry, we observed greater variance in
 494 assignment accuracy among families and greater
 495 inter-replicate variance in assignment accuracy.
 496 Ranges of assignment error rates, as assessed by
 497 standard errors associated with mean assignment
 498 accuracy, increased with level of coancestry for all
 499 levels of allelic diversities considered, and for low
 500 and high F_{st} cases (Figure 1). This trend was
 501 stronger for the 10 allele case (Figure 1).

502 We used Kolmogorov–Smirnov tests to con-
 503 duct pair-wise comparisons of individual admix-
 504 ture proportions (\hat{q}) for different levels of
 505 inbreeding, for levels of allelic diversity and
 506 coancestry level. No significant changes associated
 507 with level of inbreeding level were observed (re-
 508 sults not shown). Conversely, significant changes
 509 in distributions of \hat{q} between simulated groups
 510 characterized by different coancestry level were
 511 observed in both the low and high F_{st} cases for
 512 each level of allelic diversity (Table 2).

513 Discussion

514 Given the increasing number of molecular genetic
 515 studies of kin association and microgeographic

genetic structuring (Storz, 1999; Ross, 2001), stud- 516
 ies of the effects of non-independence (coancestry) 517
 of individuals to be assigned to population of origin 518
 on assignment error rates are warranted. The pres- 519
 ence of non-zero gene correlations within or among 520
 samples of unknown individuals (inbreeding and 521
 coancestry, respectively) will reduce variance com- 522
 ponents within each contributing population as a 523
 proportion of the total variance. For fishes, samples 524
 characterized by non-zero levels of coancestry and/ 525
 or inbreeding could be collected if samples are ob- 526
 tained from populations of low effective size, if the 527
 variance in female reproductive success is high, if 528
 individuals are philopatric and remain in vicinity of 529
 hatching location until maturity, or due to group 530
 behaviors such as avoidance of predators (Ryman, 531
 Allendorf & Ståhl, 1979; Hedgecock, 1994; Hansen, 532
 Nielsen & Mensberg, 1997; Gerlach et al., 2001; 533
 Bekkevold, Hansen & Loeschke, 2002; Castric 534
 et al., 2002). Although demonstration of kin asso- 535
 ciation in fish is debated (Arnold, 2000; Krause 536
 et al., 2001; Russell et al., 2004), evidence is accu- 537
 mulating for the importance of non-random genetic 538
 structuring and of the occurrence of inbreeding in 539
 natural populations (Fontaine & Dodson, 1999; 540
 Pouyaud et al., 1999; Gerlach et al., 2001; Castric 541
 et al., 2002; Planes & Lenfant, 2002; Planes et al., 542
 2002; Hansen & Jensen, 2005; Kolm et al., 2005; 543
 Fraser, Duchesne & Bernatchez, 2005). Ruzzante, 544
 Hansen and Meldrup (2001) found that Danish 545
 populations of *Salmo trutta* were likely composed of 546
 inbred individuals or by mixtures of individuals of 547

548 different demes at scales smaller than sampling
549 locations. Non-independence among individuals to
550 be classified has been cited as potentially influencing
551 individual classification decisions (Hansen et al.,
552 1997; Ruzzante et al., 2001). Using simulations and
553 mixtures of known ancestry, we explicitly address
554 unstudied aspects of how attributes of unknown
555 individuals affect assignment accuracy.

556 Our empirical and simulation results extend
557 previous empirical observations by examining a
558 range of conditions under which inbreeding and
559 coancestry may be expected to exist in natural
560 populations. In the empirical portion of this study
561 we described results of assignment accuracy based
562 on collections of known full-sibs. Accuracy of
563 assignment was higher when genetic correlations
564 were greater than zero (i.e., with increasing levels
565 of coancestry; Table 1). Using Kilmogorov–Smir-
566 nov test, we also demonstrated that changes oc-
567 curred among distributions of individual
568 admixture proportion (posterior probability, \hat{q})
569 when coancestry levels varied. Based on results of
570 χ^2 tests, we showed that accuracy of assignment
571 was dependent on familial origin, especially in the
572 low F_{st} case (comparison of the SIW and SMD
573 strains). Results for the high F_{st} case (comparison
574 of the SLW and SMD strains) were inconclusive,
575 likely due to low power, because so few individuals
576 were incorrectly classified even in cases of zero
577 coancestry.

578 The variance among groups (families or popu-
579 lations) is proportional to the coancestry of indi-
580 viduals within groups (i.e., gene correlation
581 between individuals of the same breeding group;
582 Cockerham, 1969, 1973) only in the absence of
583 inbreeding (i.e., gene correlation within individu-
584 als) within groups. Hence, because we could not
585 breed related individuals, empirical lake trout data
586 based on full-sib crosses could not simultaneously
587 examine the effects of inbreeding and coancestry
588 on assignment accuracy.

589 Results from simulations permitted results
590 from empirical cases to be generalized across
591 ranges of parameter values likely to be encoun-
592 tered in natural populations. We found that
593 inbreeding, over the ranges simulated ($F=0.00$,
594 0.05 , 0.15), did not affect likelihood-based assign-
595 ment accuracy (Figure 1). No significant changes
596 were observed across distributions of \hat{q} when
597 inbreeding varied, regardless of the level of allelic
598 diversity and coancestry level. Results from

599 simulations also indicated that mean likelihood-
600 based assignment error rates will be generally
601 lower with increasing levels of coancestry among
602 unknown individuals, particularly when coances-
603 try is low. On average, likelihood-based assign-
604 ment accuracy was minimally effected when
605 coancestry was high (Figure 1). We also docu-
606 mented greater variance in likelihood-based
607 assignment error rates regardless of the level of F_{st}
608 among source populations (Figure 1). Results of
609 Kolmogorov–Smirnov two sample tests further
610 demonstrated that distributions of \hat{q} values may
611 significantly vary when coancestry varies, when
612 loci characterized by low and high allelic diversity
613 are used, and when inbreeding is non-zero (Ta-
614 ble 2).

615 Results from simulations for the 2, 6 and 10
616 allele cases differed markedly. Assignment error
617 rate decreased (Figure 1(a–c)) or were approxi-
618 mately constant (Figure 1(d–e)) with increasing
619 coancestry for the 2 allele case. The relationship
620 was more complex for 6 and 10 allele cases, where
621 error rates were greater at the high than at the low
622 coancestry level (Figure 1). High variance in
623 assignment accuracy was most notable in the 6 and
624 10 allele cases with increasing coancestry (Fig-
625 ure 1). Large variance in assignment error rates
626 associated with high coancestry in simulated data
627 sets can be explained by inter-family variance, as
628 documented in the empirical case studies. In simu-
629 lated data sets, individuals in a family were fre-
630 quently all correctly or incorrectly classified
631 (specific data not shown) as observed for empirical
632 data sets (quantified using χ^2 tests).

633 Confamilial-based non-independence in
634 assignment accuracy resulted in high inter-
635 replicate variance in estimates of assignment
636 accuracy, particularly for simulated data sets
637 involving loci with higher allelic diversity (6 and 10
638 alleles per locus). Across simulated data sets,
639 individuals (full-sibs) in each family were
640 either largely correctly or mostly all misclassified
641 (Figure 1). Results presented a trend toward
642 bimodality in assignment accuracy (data not
643 shown); one ‘mode’ representing low error rates
644 whereas the other ‘mode’ depicted high error rates.
645 These observations have important implications to
646 empirical studies in natural populations. Mean
647 assignment error rates described in Figure 1 for
648 data sets with moderate or high allelic diversity
649 (6 and 10 alleles per locus), and when source

650 populations are highly genetically differentiated
651 (high F_{st} cases) should be interpreted with caution.
652 Further, sampling of unknown individuals may
653 lead to very different estimates of source popula-
654 tion contributions if levels of coancestry are
655 greater than zero.

656 Based on results from the simulation studies,
657 estimates of accuracy of assignment described for
658 empirical lake trout cases are readily explained.
659 For the high F_{st} case (SMD and SLW) and for the
660 low F_{st} case (SMD and SIW), allele distributions
661 did not completely match because rare alleles were
662 present. In simulated data sets, rare alleles may
663 decrease accuracy of assignment (Cornuet et al.,
664 1999), as the accuracy of allele frequency estimates
665 is highly dependent on sample size (Guinand et al.,
666 2004). In the low coancestry case, individuals
667 possessing common alleles were sampled with
668 higher probability. As a consequence, allelic dis-
669 tributions across families were more likely to
670 overlap in situations of low coancestry and high
671 F_{st} . Thus, assignment accuracy across families was
672 not improved (Table 1). In cases of high coances-
673 try and high F_{st} , individuals sampled had higher
674 probabilities of sharing rare alleles and accuracy of
675 assignment was greatly improved (Table 1).

676 Conclusion

677 Results of our empirical and simulated data sets
678 have important implications for analyses of wild
679 populations when biologists seek to correctly as-
680 sign individuals to populations of origin. Assign-
681 ment error is highly non-random when significant
682 levels of coancestry existed among unknowns.
683 Estimates of assignment accuracy made on the
684 basis of resampling source populations will not
685 likely be predictive of expected accuracy for un-
686 known individuals characterized by non-zero
687 coancestry. Gene correlations among individuals
688 within source populations will upwardly bias esti-
689 mates of assignment accuracy because the variance
690 among groups (families or populations) is pro-
691 portional to the coancestry of individuals within
692 groups (i.e., gene correlation between individuals
693 of the same breeding group; Cockerham, 1969,
694 1973). Genetic correlations among individuals will
695 likely bias results unless assignment tests are
696 accompanied by additional analyses that provide
697 surrogate estimates of pedigree relationships (e.g.,

use of genetic markers to estimate coefficients of 698
relationship such as Queller and Goodnight's r_{xy} ; 699
Queller and Goodnight, 1989). 700
701

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