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Monday, December 3, 2001

Part XXXV

Office of Government Ethics

Semiannual Regulatory Agenda

OFFICE OF GOVERNMENT ETHICS (OGE)

OFFICE OF GOVERNMENT ETHICS

5 CFR Ch. XVI

Semiannual Regulatory Agenda, October 2001

AGENCY: Office of Government Ethics. **ACTION:** Semiannual regulatory agenda.

SUMMARY: The following Office of Government Ethics (OGE) substantive and procedural regulations are scheduled for development or review during the period from October 1, 2001 through September 30, 2002. This agenda fulfills OGE's responsibility to publish a semiannual regulatory agenda under Executive Order 12866, Regulatory Planning and Review, and has been prepared in accordance with guidance received from the Office of Management and Budget. None of the OGE regulations in this agenda will have a significant economic impact on a substantial number of small entities as defined under the Regulatory Flexibility Act, 5 U.S.C. chapter 6. In addition, none of the listed OGE regulatory actions is procurement-related. Furthermore, the Office of Government Ethics' rulemakings are not included in the Regulatory Plan that is published each fall.

This publication in the **Federal Register** does not impose a binding obligation on the Office of Government Ethics with respect to any particular item on its agenda. The dates shown for the future steps of each action are estimates and not commitments to act by the date shown. Moreover, other OGE regulatory actions, in addition to the entries listed, are not precluded.

FOR FURTHER INFORMATION CONTACT:

William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917; Telephone: (202) 208-8000, ext. 1110; TDD: (202) 208-8025; Fax: (202) 208-8037.

Approved: September 21, 2001. Amy L. Comstock,

Director, Office of Government Ethics.

Office of Government Ethics—Proposed Rule Stage

Sequence Number	Title	Regulation Identification Number
3890	Office of Government Ethics Gift Acceptance Authority and Organizational Update	3209-AA21
3891	Privacy Act Rules of the Office of Government Ethics	3209-AA18
3892	Testimony by OGE Employees and Production of Official Records in Legal Proceedings	3209-AA23
3893	Interpretation of 18 U.S.C. 209, the Constraint Against Private Supplementation of Salaries of Executive Branch	
	Officials and Employees	3209-AA12

Office of Government Ethics—Final Rule Stage

Sequence Number	Title	Regulation Identification Number
3894	Executive Branch Financial Disclosure, Qualified Trusts, and Certificates of Divestiture	3209-AA00
3895	Standards of Ethical Conduct for Employees of the Executive Branch	3209-AA04
3896	Limitations on Outside Earned Income, Employment and Affiliations for Certain Noncareer Employees	3209-AA13
3897	Executive Agency Ethics Training Programs	3209-AA07
3898	Interpretation, Exemptions, and Waiver Guidance Concerning 18 U.S.C. 208 (Acts Affecting a Personal Financial	
	Interest)	3209-AA09
3899	Post-Employment Conflict of Interest Restrictions	3209-AA14

Office of Government Ethics-Long-Term Actions

Sequence Number	Title	Regulation Identification Number
3900	Executive Agency Supplemental Standards of Ethical Conduct Regulations Issued Jointly With the Concurrence of the Office of Government Ethics	. 3209-AA15

Office of Government Ethics (OGE)

3890. OFFICE OF GOVERNMENT ETHICS GIFT ACCEPTANCE AUTHORITY AND ORGANIZATIONAL UPDATE

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978

CFR Citation: 5 CFR 2600

Legal Deadline: None

Abstract: This regulation will implement the agency gift acceptance authority of the Office of Government Ethics as set forth in the 1996 OGE Authorization Act. In addition, various provisions of OGE's part 2600 organization and functions regulation will be updated to reflect changes in OGE's organizational structure. This regulation will be written in "plain language."

Timetable:

Action	Date	FR Cite
NPRM	05/00/02	
NPRM Comment	07/00/02	
Period End		

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: James P. O'Sullivan, Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037 RIN: 3209–AA21

3891. PRIVACY ACT RULES OF THE OFFICE OF GOVERNMENT ETHICS

Priority: Other Significant

Legal Authority: 5 USC 552a; 5 USC app, Ethics in Government Act of 1978

CFR Citation: 5 CFR 2606 (New)

Legal Deadline: None

Abstract: This proposed rule would establish for the Office of Government Ethics (OGE) regulatory procedures to implement the Privacy Act of 1974, including requests for access and amendment and appeals, and would reference certain OGE systems of records (which will also be updated and revised).

Timetable:

Action	Date	FR Cite
NPRM	01/00/02	
NPRM Comment	03/00/02	
Period End		

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: Elaine Newton, Attorney Advisor, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209–AA18

3892. TESTIMONY BY OGE EMPLOYEES AND PRODUCTION OF OFFICIAL RECORDS IN LEGAL PROCEEDINGS

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978

CFR Citation: 5 CFR 2608 (New)

Legal Deadline: None

Abstract: This proposed rule would set forth proposed OGE procedures for responding to subpoenas and other requests and demands for testimony by OGE employees and documentary production relating to official OGE information and records (Touhy regulations).

Timetable:

Action	Date	FR Cite
NPRM NPRM Comment Period End	09/24/01 11/23/01	66 FR 48824

Regulatory Flexibility Analysis Required: No

Proposed Rule Stage

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

Government Levels Affected: Federal

RIN: 3209–AA23

3893. INTERPRETATION OF 18 U.S.C. 209, THE CONSTRAINT AGAINST PRIVATE SUPPLEMENTATION OF SALARIES OF EXECUTIVE BRANCH OFFICIALS AND EMPLOYEES

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 209

CFR Citation: 5 CFR 2639 (New)

Legal Deadline: None

Abstract: Proposed regulation to interpret section 209 of title 18, U.S. Code, prohibiting the private supplementation of the Government salary of executive branch officers and employees. This regulation is being written in "plain language."

Timetable:

Action	Date	FR Cite
NPRM	02/00/02	
NPRM Comment Period End	04/00/02	

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: Andrew Falcon, Associate General Counsel, Office of Government Ethics, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209-AA12

Office of Government Ethics (OGE)

3894. EXECUTIVE BRANCH FINANCIAL DISCLOSURE, QUALIFIED TRUSTS, AND CERTIFICATES OF DIVESTITURE

Priority: Other Significant

Legal Authority: 5 USC app (Ethics in Government Act of 1978); EO 12674; EO 12731; 26 USC 1043; 28 USC 2461 note; PL 101-410; PL 104-134, sec 31001

CFR Citation: 5 CFR 2634

Legal Deadline: None

Abstract: Regulation revising the executive branch public and confidential financial disclosure report systems for high-level officials and certain mid-level employees, respectively, in light of the changes to the Ethics in Government Act effected by the Ethics Reform Act of 1989 (Pub. L. 101-194), as amended. These disclosure provisions apply to public reports filed since January 1, 1991. Subpart I of the regulation, effective October 5, 1992, superseded prior executive branchwide and agencyspecific regulations and established a revised system of confidential reporting, modeled generally on the public reporting system, for executive branch agency mid-level employees with sensitive duties and special Government employees. This regulation also has a subpart dealing with certificates of divestiture.

Timetable:

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Action	Date	FR Cite
NPRM	12/02/86	51 FR 43359
Interim Final Rule	04/07/92	57 FR 11800
Correction	05/22/92	57 FR 21854
Correction	12/31/92	57 FR 62605
Revised Interim Final Rule	07/21/93	58 FR 38911
Proposed Amendment to Interim Final Rule	09/01/93	58 FR 46096
Technical Amendments to Interim Final Rule	11/30/93	58 FR 63023
Technical (Paperwork) Amendments to Interim Final Rule	07/07/94	59 FR 34755
Proposed Amendments- Certificates of No New Interests for Confidential Filers	01/15/97	62 FR 2048
Final Amendment- Certificates of No New Interests for Confidential Filers	06/24/97	62 FR 33972
Updating Amendment to Part 2634	09/17/97	62 FR 48746

Action	Date	FR Cite	
Clarifying Amendment to Section 2634.906	03/31/98	63 FR 15273	
Minor Amdt. to Part 2634 (Transfer of Inactive in Lieu of Honoraria Charitable Paymts. Reporting)	08/12/98	63 FR 43067	
Paperwork Revisions to Appendixes A, B, and C	11/02/98	63 FR 58619	
Technical Amendments to Part 2634	12/18/98	63 FR 69991	
Technical Corrections to Part 2634	01/14/99	64 FR 2421	
Proposed Gifts Reporting Waiver Amendments	05/13/99	64 FR 25849	
Inflation Adjustments to Civil Monetary Penalties as Reflected in Part 2634	08/30/99	64 FR 47095	
Final Gifts Reporting Waiver Amendments	09/14/99	64 FR 49639	
Technical Amendments to Categories of Value Reporting and Gifts/ Reimbursements Thresholds	11/20/00	65 FR 69655	
Final Rule Amendment to Confer Additional Agency Confidential Report Filing Extension Authority	11/05/01	66 FR 55871	
Final Rule Amendment to Clarify OGE Intent on Sole & Exclusive Agency Authority Conferred Under Reg.	03/00/02		
Proposed Certificates of Divestiture Revisions (Subpart J)	07/00/02		
Final Action	12/00/02		
Regulatory Flexibility Analysis			

Required: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209-AA00

Final Rule Stage

3895. STANDARDS OF ETHICAL CONDUCT FOR EMPLOYEES OF THE EXECUTIVE BRANCH

Priority: Other Significant

Legal Authority: 5 USC 7301; 5 USC 7351; 5 USC 7353; 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731

CFR Citation: 5 CFR 2635

Legal Deadline: None

Abstract: Regulation implementing certain provisions of E.O. 12674 on Principles of Ethical Conduct, as modified by E.O. 12731, and the Ethics Reform Act of 1989 (Pub. L. 101-194), as amended. Effective February 3, 1993. this ethical conduct standards regulation generally superseded executive branch employee conduct and gifts rules, as well as pertinent portions of agency-specific conduct regulations issued under prior E.O. 11222. For certain existing agency regulatory standards setting forth financial interest prohibitions and prior approval for outside employment/activities, an extended grace period was in effect until November 1, 1996, or until individual concerned agencies issued supplemental regulations, with Office of Government Ethics concurrence.

Timetable:

Action	Date	FR Cite
NPRM	07/23/91	56 FR 33778
NPRM Comment	09/20/91	
Period End		
Final Overall	08/07/92	57 FR 35006
Regulation on		
Standards of Ethical		
Conduct		
Correction		57 FR 48557
Correction		57 FR 52583
5 CFR 2635.403(a)	02/02/94	59 FR 4779
and 2635.803 Grace		
Period Extension	00/00/05	
Additional 5 CFR	02/02/95	60 FR 6390
2635.403(a) and 2635.803 Grace		
Period Extension		
NPRM on Widely	06/15/95	60 FR 31415
Attended	00/10/00	0011(01410
Gatherings		
Minor Correction to	10/03/95	60 FR 51667
One Citation		
Further 5 CFR	12/27/95	60 FR 66857
2635.403(a) and		
2635.803 Grace		
Period Extension		
Further Additional 5	08/07/96	61 FR 40950
CFR 2635.403(a)		
and 2635.803 Grace		
Period Extension		

Action	Data	
Action	Date	FR Cite
Final Rule on Widely Attended Gatherings		61 FR 42965
Correction to Final Rule on Widely Attended Gatherings	09/16/96	61 FR 48733
Interim Rule on Gifts From Political Organizations	09/27/96	61 FR 50689
Final Rule on Gifts From Political Organizations	03/17/97	62 FR 12531
Updating Amendments to Part 2635	09/17/97	62 FR 48746
Proposed Amendments Re: Gifts (Subpart B)	08/04/98	63 FR 41476
Further Minor Proposed Amendments to Part 2635 / Seeking Employment and Outside Activities	08/26/98	63 FR 45415
Amendments to Subpart B Gifts From Outside Sources	12/18/98	63 FR 69992
Technical Corrections to Part 2635	01/14/99	64 FR 2421
Final Amendments to Part 2635 / Seeking Employment and Outside Activities	03/17/99	64 FR 13063
Interim Rule on Travel Expenses for Certain Unofficial Teaching, Speaking and Writing	09/05/00	65 FR 53650
Correction Final Technical Amendment to Nonsponsor Widely Attended Gathering Gift Dollar Ceiling	09/12/00 11/20/00	
Final Rule on Travel Expenses for Certain Unofficial Teaching, Speaking and Writing	11/00/01	
Final Rule Amendment to Clarify OGE Intent on Sole & Exclusive Agency Authority Conferred Under Reg.	03/00/02	

Regulatory Flexibility Analysis Reguired: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209–AA04

3896. LIMITATIONS ON OUTSIDE EARNED INCOME, EMPLOYMENT AND AFFILIATIONS FOR CERTAIN NONCAREER EMPLOYEES

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 28 USC 2461 note; PL 101-410; PL 104-134, sec 31001

CFR Citation: 5 CFR 2636

Legal Deadline: None

Abstract: The regulation had, in pertinent part, implemented, effective January 1, 1991, the prohibition on honoraria (now declared unconstitutional by the courts as to most rank and file executive branch employees and hence determined to be eviscerated as to all other Federal employees by the Office of Legal Counsel of the Justice Department). In addition, the regulation continues to implement the limitation on outside employment as provided in the 1989 Ethics Reform Act revisions to the Ethics in Government Act, as amended. The regulation has now been amended in light of the above-noted litigation and other pertinent developments.

Timetable:

Action	Date	FR Cite
Interim Final Rule	01/17/91	56 FR 1721
Deferral of Effective Date of 5 CFR 2636.205	05/10/91	56 FR 21589
Additional Deferral of Effective Date of 5 CFR 2636.205	10/11/91	56 FR 51319
Revision of Honorarium Definition in 5 CFR 2636.203(a)	01/08/92	57 FR 601
Further Deferral of Effective Date of 5 CFR 2636.205	02/14/92	57 FR 5369
Technical Correction to Interim Final Rule	12/30/93	58 FR 69176
Technical (Paperwork) Amendments to Interim Final Rule	07/07/94	59 FR 34755
Removal of Subpart B of Part 2636	08/12/98	63 FR 43067
Technical Corrections to Part 2636	01/14/99	64 FR 2421

Final Rule Stage

Date	FR Cite
08/30/99	64 FR 47095
03/00/02	
06/00/02	
	08/30/99

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: William E. Gressman, Senior Associate General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209–AA13

3897. EXECUTIVE AGENCY ETHICS TRAINING PROGRAMS

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731

CFR Citation: 5 CFR 2638.701 to 2638.704

Legal Deadline: None

Abstract: Regulation specifying the responsibilities of executive branch agencies and their designated agency ethics officials to develop and implement orientation and annual ethics training courses and to distribute appropriate guidance materials to their employees under section 301(b) and (c) of E.O. 12674, as modified by E.O. 12731. The Office of Government Ethics is rewriting the entire training regulation in "plain language."

Timetable:

Action	Date	FR Cite
NPRM	09/18/90	55 FR 38335
NPRM Comment Period End	11/17/90	
Final Rule on Executive Agency Ethics Training Programs	04/07/92	57 FR 11886
Correction	04/27/92	57 FR 15219
Amendment Correction		57 FR 58399 57 FR 61612

Date	FR Cite
/16/94	59 FR 12145
/16/94	
/12/97	62 FR 11307
/19/97	62 FR 13213
/27/97	62 FR 14737
/11/97	62 FR 11307
/10/97	62 FR 13213
/14/00	65 FR 7275
/00/02	
/00/02	
	Analı

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: Barbara A. Mullen-Roth, Associate Director for Education and Program Services, Office of Government Ethics, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209–AA07

3898. INTERPRETATION, EXEMPTIONS, AND WAIVER GUIDANCE CONCERNING 18 U.S.C. 208 (ACTS AFFECTING A PERSONAL FINANCIAL INTEREST)

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 208

CFR Citation: 5 CFR 2640

Legal Deadline: None

Abstract: This regulation interprets section 208(a) of title 18, U.S. Code, which prohibits officers and employees of the executive branch from officially participating personally and substantially in a particular matter in which there is a personal financial interest or a financial interest of a related person or organization (absent a waiver or exemption under 18 U.S.C. 208(b)). It also lists and describes interests considered too remote or too inconsequential to affect the integrity of the services of executive branch officers or employees, in accordance with 18 U.S.C. 208(b)(2). Such interests are exempt from the restrictions of 18 U.S.C. 208(a), generally requiring disqualification. The regulation also provides guidance to executive branch agencies as to the kinds of interests that are not so substantial as to be deemed likely to affect the integrity of the services the Government may expect from an employee and which, therefore, may be waived from the general rule of disqualification under 18 U.S.C. 208(a), pursuant to 18 U.S.C. 208(b)(1). In addition, guidance is provided concerning the issuance of waivers to special Government employee advisory committee members, pursuant to 18 U.S.C. 208(b)(3). A separate interim final rule, published in 1995 exempting certain financial interests arising from Federal Government executive branch employment as well as from Social Security or veterans' benefits from the general prohibition in section 208(a), was incorporated in the overall final rule. A set of additional exemptions is now under consideration.

Timetable:

Action	Date	FR Cite
Govt. Interests and Social Security and Veterans' Benefits Exemptions Interim Final Rule	08/28/95	60 FR 44706
Overall NPRM	09/11/95	60 FR 47208

Final Rule Stage

Action	Date	FR Cite
Overall NPRM Comment Period End	11/13/95	
Overall Final Rule	12/18/96	61 FR 66830
Correction	01/09/97	62 FR 1361
Overall Final Rule Effective	01/17/97	
Correction	04/29/97	62 FR 23127
Interim Census Exemption Amendment	03/29/00	65 FR 16511
Final Census Exemption Amendment	08/04/00	65 FR 47830
Proposed Additional Exemption Amendments	09/06/00	65 FR 53942
Proposed Amendments Comment Period End	12/05/00	
Final Additional Exemption Amendments	12/00/01	
Final Rule Amendment to Clarify OGE Intent on Sole & Exclusive Agency Authority Conferred Under Reg.	03/00/02	

Regulatory Flexibility Analysis Required: No

Government Levels Affected: Federal

Agency Contact: Richard M. Thomas, Associate General Counsel, Office of Government Ethics, 1201 New York Avenue NW., Washington, DC 20005-3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037 RIN: 3209–AA09

3899. POST-EMPLOYMENT CONFLICT OF INTEREST RESTRICTIONS

Priority: Other Significant

Legal Authority: 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 18 USC 207

CFR Citation: 5 CFR 2641; 5 CFR 2637 (Continuation)

Legal Deadline: None

Abstract: Interpretive regulation giving guidance for the executive branch on the various substantive restrictions of the current version of the post-Government employment conflict of interest statute 18 U.S.C. 207, which became effective January 1, 1991, pursuant to title I of the Ethics Reform

Act of 1989 (Pub. L. 101-194), as amended. Parts of the regulation, dealing with procedures for waivers by the Office of Government Ethics of certain senior official constraints (based on executive agency hardship), designated senior employees, and separate agency designations, have already been published in the Federal Register; such designations are periodically updated by the Office of Government Ethics. The Office of Government Ethics also has continued to publish in the CFR the interpretive regulation, 5 CFR part 2637 (but now without the no-longer-effective former Senior Employee and old agency component designation listings), under the lifetime bar of the prior version of the post-employment law which still applies to executive branch employees who left the Government before January 1, 1991. Once a complete current postemployment law final regulation is published, the old part 2637 regulation will be removed from the CFR.

Timetable: Action Date **FR Cite** Interim Final Rule for 02/01/91 56 FR 3951 One-Year Restriction of Section 207(c) Designation of Senior 01/28/92 57 FR 3115 Employees and Separate Agency Components Designation of 04/07/92 57 FR 11673 Additional Separate Agency Component Amendment to Prior 12/31/92 57 FR 6246 Post-Employment Regulation (Part 2637) Amendment to Interim 06/21/93 58 FR 33755 Final Rule (Part 2641) Technical Amendment 12/30/93 58 FR 69176 to Authority Citation of 5 CFR Part 2637 Technical 07/07/94 59 FR 3475 Amendments to Interim Final Rule Exempted Senior 05/16/97 62 FR 2691 Employee and Agency Separate Component Designation Update Correction: Post-06/11/97 62 FR 31865 Employment Reg. Update

Final Rule Stage

	Agency Separate Component Designation Update	02/05/99	64 FR 5709
	Agency Separate Component Designation Update Final Rule	12/00/01	
5	Final Rule Amendment to Clarify OGE Intent on Sole & Exclusive	03/00/02	
3	Agency Authority Conferred Under Reg.		
57	Overall NPRM	03/00/02	
5	Overall NPRM Comment Period End	06/00/02	
<i>'</i> 6	Regulatory Flexibil Required: No	lity Analy	ysis
Ū	Government Levels	s Affecte	ed: Federal
5	Agency Contact: R Associate General (Counsel,	Office of
5	Government Ethics Avenue NW., Wash		

3917 Phone: 202 208-8000 TDD Phone: 202 208-8025 Fax: 202 208-8037

RIN: 3209–AA14

Long-Term Actions

Office of Government Ethics (OGE)

3900. EXECUTIVE AGENCY SUPPLEMENTAL STANDARDS OF ETHICAL CONDUCT REGULATIONS ISSUED JOINTLY WITH THE CONCURRENCE OF THE OFFICE OF GOVERNMENT ETHICS

Priority: Substantive, Nonsignificant

Legal Authority: 5 USC 7301; 5 USC app, Ethics in Government Act of 1978; EO 12674; EO 12731; 5 CFR 2635.105

CFR Citation: 5 CFR 2635; 5 CFR ch XXI et seq

Legal Deadline: None

Abstract: The supplemental executive agency standards of ethical conduct regulations, once issued jointly with the concurrence of the Office of Government Ethics (OGE) at agency expense in new chapters at the end of 5 CFR, after OGE approval, supplement for each individual agency concerned the OGE executive branch standards of ethical conduct, as codified at 5 CFR part 2635. The supplemental regulations, as they are issued in accordance with sections 201(a) and 301(a) of E.O. 12674, as modified by E.O. 12731, set forth those agencyspecific provisions under applicable law and regulation, such as regulatory restrictions on holding certain financial interests, designation of subunits and prior approval for outside employment, which are needed for certain agencies to round out the executive branchwide ethical standards for their employees.

Timetable:

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Action	Date	FR Cite
FTC Employees (5 CFR Ch. XLVII) Interim Final Rule	05/27/93	58 FR 30695
OPIC Employees (5 CFR Ch. XXXIII) Interim Final Rule	06/17/93	58 FR 33319
Treasury Employees (5 CFR Ch. XXI) NPRM	08/03/93	58 FR 41193
ICC Employees (5 CFR Ch. XL) Final Rule	08/06/93	58 FR 41989

Action	Date	FR Cite
PRC Employees (5 CFR Ch. XLVI) Final Rule	08/12/93	58 FR 42839
DoD Employees (5 CFR Ch. XXVI) Interim Final Rule	09/10/93	58 FR 47619
CFTC Employees (5 CFR Ch. XLI) Final Rule	10/12/93	58 FR 52637
IAF Employees (5 CFR Ch. LXIII) Final Rule	01/27/94	59 FR 3771
5 CFR 2635.403(a) and 2635.803 Grace Period Extension (by OGE)	02/02/94	59 FR 4779
NRC Employees (5 CFR Ch. XLVIII) Final Rule	04/13/94	59 FR 17457
FDIC Employees (5 CFR Ch. XXII) NPRM	07/12/94	59 FR 35480
NASA Employees (5 CFR Ch. LIX)	09/28/94	59 FR 49335
FRTIB Employees (5 CFR Ch. LXXIV)	10/06/94	59 FR 50816

Long-Term Actions

Action	Date	FR Cite	Action	Date	FR Cite	Action	Date	FR Cite
Education Employees (5 CFR Ch. LIII)	01/30/95	60 FR 5816	HUD Employees (5 CFR Ch. LXV)	07/09/96	61 FR 36246	OPM Employees (5 CFR Ch. XXXV)	08/11/97	62 FR 42897
Additional 5 CFR 2635.403(a) and	02/02/95	60 FR 6390	OPM Employees (5 CFR Ch. XXXV)	07/16/96	61 FR 36993	Final Rule DOI Employees (5	10/16/97	62 FR 53713
2635.803 Grace Period Extension			HHS Employees (5 CFR Ch. XLV)	07/30/96	61 FR 39756	CFR Ch. XXV) DOI Employees (5	04/15/98	63 FR 18501
(by OGE) OMB Employees (5 CFR Ch. LXXVII)	03/07/95	60 FR 12396	FMSHRC Employees (5 CFR Ch. LXXIV)	07/31/96	61 FR 39869	CFR Ch. XXV) Interim Final Rule Correction		
Final Rule USPS Employees (5	03/27/95	60 FR 15700	DOT Employees (5 CFR Ch. L)	07/31/96	61 FR 39901	DOI Employees (5	04/24/98	63 FR 20447
CFR Ch. LX) Eximbank Employees		60 FR 17625	EPA Employees (5 CFR Ch. LIV)	08/02/96	61 FR 40500	CFR Ch. XXV) Interim Final Rule		
(5 CFR Ch. LII) FDIC Employees (5		60 FR 20171	NARA Employees (5 CFR Ch. LXVI)	08/05/96	61 FR 40505	Correction DOI Employees (5		63 FR 34258
CFR Ch. XXII) Treasury Employees		60 FR 22249	Further Additional 5 CFR 2635.403(a)	08/07/96	61 FR 40950	CFR Ch. XXV) Final Rule		
(5 CFR Ch. XXI) Final Rule			and 2635.803 Grace Period Extension			FTC Employees (5 CFR Ch. XLVII) Final Rule	08/12/98	63 FR 43069
FCSIC Employees (5 CFR Ch. XXX)		60 FR 30773	(by OGE) FERC Employees (5	08/23/96	61 FR 43411	Amendments DOL Employees (5	12/30/99	64 FR 73852
FCA Employees (5 CFR Ch. XXXI)		60 FR 30778	CFR Ch. XXIV) BGFRS Employees (5	10/16/96	61 FR 53827	CFR Ch. XLII) Final Rule		
HUD Employees (5 CFR Ch. LXV)		60 FR 34420	CFR Ch. LVIII) Final Rule			USDA Employees (5 CFR Ch. LXXIII)	03/24/00	65 FR 15825
OPIC Employees (5 CFR Ch. XXXIII)	07/21/95	60 FR 37555	FCC Employees (5 CFR Ch. XXIX)	10/31/96	61 FR 56109	Interim Final Rule USDA Employees (5	04/20/00	65 FR 21239
Final Rule USPS Employees (5 CFR Ch. LX) Final	09/11/95	60 FR 47240	GSA Employees (5 CFR Ch. LVII)	11/01/96	61 FR 56399	CFR Ch. LXXIII)		
Rule FCA Employees (5	00/12/05	60 FR 47453	DOL Employees (5 CFR Ch. XLII)	11/06/96	61 FR 57281	Correction USDA Employees (5	10/02/00	65 FR 58635
CFR Ch. XXXI) Final Rule	09/10/90	0011(47455	DOJ Employees (5 CFR Ch. XXVIII)	11/25/96	61 FR 59811	CFR Ch. LXXIII) Final Rule		
OGE Concurrence in FCA Employees (5	12/06/95	60 FR 62319	NSF Employees (5 CFR Ch. XLIII)	11/25/96	61 FR 59815	Treasury Employees (5 CFR Ch. XXI)	02/01/01	66 FR 8505
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BGFRS Employees (5 CFR Ch. LVIII)	12/19/95	60 FR 65249	FDIC Employees (5 CFR Ch. XXII)	01/27/97	62 FR 3771	Final Action	12/00/03	
Further 5 CFR 2635.403(a) and 2635.803 Grace	12/27/95	60 FR 66857	Amendment NLRB Employees (5	02/12/97	62 FR 6445	Regulatory Flexibi Required: No	iity Anai	y 515
Period Extension (by OGE)			CFR Ch. LXI) DOJ Employees (5	05/02/97	62 FR 23941	Government Level		
FCSIC Employees (5 CFR Ch. XXX) Final	02/06/96	61 FR 4349	CFR Ch. XXVIII) Final Rule			Agency Contact: W Senior Associate G	eneral Co	ounsel,
Rule EEOC Employees (5	02/26/96	61 FR 7065	DOJ Employees (5 CFR Ch. XXVIII) Final Rule	06/11/97	62 FR 31865	Office of Governme 1201 New York Av	enue NV	V.,
CFR Ch. LXII) TVA Employees (15	05/06/96	61 FR 20117	Correction OPM Employees (5	06/17/07	62 FR 32859	Washington, DC 20 Phone: 202 208-800		7
CFR Ch. LXIX) DOE Employees (5		61 FR 35085	CFR Ch. XXXV) Interim Rule	50/17/37	521102009	TDD Phone: 202 20 Fax: 202 208-8037	08-8025	
CFR Ch. XXIII) FDIC Employees (5	07/09/96	61 FR 35915	Correction EEOC Employees (5	07/08/97	62 FR 36447	RIN: 3209–AA15		
CFR Ch. XXII) Amendment			CFR Ch. LXII) Final Rule	5.,00,01		[FR Doc. 01–25314 Fi BILLING CODE 6341–01–S	led 11–30	–01; 8:45 am]