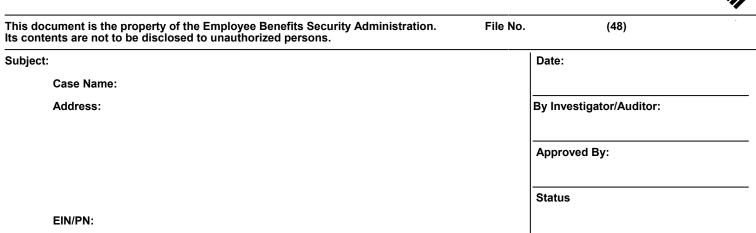
U.S. Department of Labor

Employee Benefits Security Administration



I. Predication (State the reason for the case opening and for conducting an investigation.)

II. Background

Plan Sponsor:					
Plan Type:					
As of / / : Ass	ets:Partic	ipants:			
1	estigation: / / to	> / /			
III. Areas Examined					
Bonding Rptg. & Disclosure Contributions Stocks & Bonds Real Estate Mortgages Other:	<pre> Other Loans ER Sec./Real Prop. Ptrships/Jt. Venture Other Investments Receivables/Payables Liabilities</pre>	Income/Earnings Expenses Valuations Cash Insurance Benefits			

IV. Records Reviewed (For each item checked, supporting documentation obtained during the investigation should be retained in the case file.)

Trustee/Corp Minutes Correspondence Files Service Prov Contracts	Forms 5500 Financial Stmts Rcpts/Disbs	<pre> RealEstate/Mortgage Appraisals/Valuations Partnership/Joint</pre>
	Devels / Trace, Obwert of	Venture
Insurance Contracts	Bank/Inv Stmts	Stock Records
Plan/Trust Documents	Loan Records	Participant Records
Bond	Liability Ins.	SPD/SAR
Other:		

V. Interviews Conducted (Supporting documentation for each interview conducted should be retained in the case file.)

__Trustee(s) __ Plan Administrator __ Plan Accountant __Corporate Personnel __ Plan Attorney

VI. Issues Identified & Resolution (Provide a brief description of the issues identified and the facts showing that the allegations/issues were not violations. In cases where violations are found, cite the facts showing that VC was achieved, including monetary results, if any, or that other dispositive action was taken.)

VII. Civil Penalties

Assessed:	Yes	Amount	502(1):
			502(i):
	<u>No</u>	Why not	