

§ 240.1

- 240.113 Individual's duty to furnish data on prior safety conduct as an employee of a different railroad.
- 240.115 Criteria for consideration of prior safety conduct as a motor vehicle operator.
- 240.117 Criteria for consideration of operating rules compliance data.
- 240.119 Criteria for consideration of data on substance abuse disorders and alcohol/drug rules compliance.
- 240.121 Criteria for vision and hearing acuity data.
- 240.123 Criteria for initial and continuing education.
- 240.125 Criteria for testing knowledge.
- 240.127 Criteria for examining skill performance.
- 240.129 Criteria for monitoring operational performance of certified engineers.

Subpart C—Implementation of the Certification Process

- 240.201 Schedule for implementation.
- 240.203 Determinations required as a prerequisite to certification.
- 240.205 Procedures for determining eligibility based on prior safety conduct.
- 240.207 Procedures for making the determination on vision and hearing acuity.
- 240.209 Procedures for making the determination on knowledge.
- 240.211 Procedures for making the determination on performance skills.
- 240.213 Procedures for making the determination on completion of training program.
- 240.215 Retaining information supporting determinations.
- 240.217 Time limitations for making determinations.
- 240.219 Denial of certification.
- 240.221 Identification of qualified persons.
- 240.223 Criteria for the certificate.
- 240.225 Reliance on qualification determinations made by other railroads.
- 240.227 Reliance on qualification requirements of other countries.
- 240.229 Requirements for joint operations territory.
- 240.231 Requirements for locomotive engineers unfamiliar with physical characteristics in other than joint operations.

Subpart D—Administration of the Certification Programs

- 240.301 Replacement of certificates.
- 240.303 Operational monitoring requirements.
- 240.305 Prohibited conduct.
- 240.307 Revocation of certification.
- 240.309 Railroad oversight responsibilities.

Subpart E—Dispute Resolution Procedures

- 240.401 Review board established.

49 CFR Ch. II (10–1–02 Edition)

- 240.403 Petition requirements.
- 240.405 Processing qualification review petitions.
- 240.407 Request for a hearing.
- 240.409 Hearings.
- 240.411 Appeals.

APPENDIX A TO PART 240—SCHEDULE OF CIVIL PENALTIES

APPENDIX B TO PART 240—PROCEDURES FOR SUBMISSION AND APPROVAL OF LOCOMOTIVE ENGINEER QUALIFICATION PROGRAMS

APPENDIX C TO PART 240—PROCEDURES FOR OBTAINING AND EVALUATING MOTOR VEHICLE DRIVING RECORD DATA

APPENDIX D TO PART 240—IDENTIFICATION OF STATE AGENCIES THAT PERFORM NATIONAL DRIVER REGISTER CHECKS

APPENDIX E TO PART 240—RECOMMENDED PROCEDURES FOR CONDUCTING SKILL PERFORMANCE TESTS

APPENDIX F TO PART 240—MEDICAL STANDARDS GUIDELINES

AUTHORITY: 49 U.S.C. 20103, 20107, 20135; 49 CFR 1.49.

SOURCE: 56 FR 28254, June 19, 1991, unless otherwise noted.

Subpart A—General

§ 240.1 Purpose and scope.

(a) The purpose of this part is to ensure that only qualified persons operate a locomotive or train.

(b) This part prescribes minimum Federal safety standards for the eligibility, training, testing, certification and monitoring of all locomotive engineers to whom it applies. This part does not restrict a railroad from adopting and enforcing additional or more stringent requirements not inconsistent with this part.

(c) The qualifications for locomotive engineers prescribed in this part are pertinent to any person who operates a locomotive, unless that person is specifically excluded by a provision of this part, regardless of the fact that a person may have a job classification title other than that of locomotive engineer.

[56 FR 28254, June 19, 1991, as amended at 64 FR 60988, Nov. 8, 1999]

§ 240.3 Application and responsibility for compliance.

(a) Except as provided in paragraph (b) of this section, this part applies to all railroads.

(b) This part does not apply to—

Federal Railroad Administration, DOT

§ 240.7

(1) A railroad that operates only on track inside an installation that is not part of the general railroad system of transportation; or

(2) Rapid transit operations in an urban area that are not connected to the general railroad system of transportation.

(c) Although the duties imposed by this part are generally stated in terms of the duty of a railroad, each person, including a contractor for a railroad, who performs any function covered by this part must perform that function in accordance with this part.

[64 FR 60988, Nov. 8, 1999]

§ 240.5 Preemptive effect and construction.

(a) Under 49 U.S.C. 20106, issuance of the regulations in this part preempts any State law, regulation, or order covering the same subject matter, except an additional or more stringent law, regulation, or order that is necessary to eliminate or reduce an essentially local safety hazard; is not incompatible with a law, regulation, or order of the United States Government; and does not impose an unreasonable burden on interstate commerce.

(b) FRA does not intend by issuance of these regulations to preempt provisions of State criminal law that impose sanctions for reckless conduct that leads to actual loss of life, injury, or damage to property, whether such provisions apply specifically to railroad employees or generally to the public at large.

(c) FRA does not intend, by use of the term *locomotive engineer* in this part, to preempt or otherwise alter the terms, conditions, or interpretation of existing collective bargaining agreements that employ other job classification titles when identifying persons authorized by a railroad to operate a locomotive.

(d) FRA does not intend by issuance of these regulations to preempt or otherwise alter the authority of a railroad to initiate disciplinary sanctions against its employees, including managers and supervisors, in the normal and customary manner, including those contained in its collective bargaining agreements.

(e) Nothing in this part shall be construed to create or prohibit an eligibility or entitlement to employment in other service for the railroad as a result of denial, suspension, or revocation of certification under this part.

(f) Nothing in this part shall be deemed to abridge any additional procedural rights or remedies not inconsistent with this part that are available to the employee under a collective bargaining agreement, the Railway Labor Act, or (with respect to employment at will) at common law with respect to removal from service or other adverse action taken as a consequence of this part.

[56 FR 28254, June 19, 1991, as amended at 58 FR 19002, Apr. 9, 1993; 64 FR 60988, Nov. 8, 1999]

§ 240.7 Definitions.

As used in this part—

Administrator means the Administrator of the Federal Railroad Administration or the Administrator's delegate.

Alcohol means ethyl alcohol (ethanol) and includes use or possession of any beverage, mixture, or preparation containing ethyl alcohol.

Controlled Substance has the meaning assigned by 21 U.S.C. 802 and includes all substances listed on Schedules I through V as they may be revised from time to time (21 CFR parts 1301–1316).

Current Employee is any employee with at least one year of experience in transportation service on a railroad.

Designated Supervisor of Locomotive Engineers is a person designated as such by a railroad in accordance with the provisions of § 240.105 of this part.

Drug means any substance (other than alcohol) that has known mind or function-altering effects on a human subject, specifically including any psychoactive substance and including, but not limited to, controlled substances.

Dual purpose vehicle means a piece of on-track equipment that is capable of moving railroad rolling stock and may also function as roadway maintenance equipment.

EAP Counselor means a person qualified by experience, education, or training to counsel people affected by substance abuse problems and to evaluate