

I.A.: OVERVIEW BY10

Descriptive Information BY10

Template Name	BY2010
Investment Name	ETA - FLC Automated Systems (formerly DFCL Automated Systems)
Investment Revision Number	10
Is this investment a consolidated business case?	No
Point of Contact	Wilson, David
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	Employment and Training Administration
Name of this Capital Asset	ETA - FLC Automated Systems (formerly DFCL Automated Systems)
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	A Prepared Workforce
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
OMB Short Description	This initiative addresses the needs of the Employment and Training Administration's Office of Foreign Labor Certification, supporting Foreign Labor Certification (FLC) programs.

Screening Questions BY10

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Office of Foreign Labor Certification (OFLC) provides national leadership and policy guidance to carry out the responsibilities of the Secretary of Labor under the Immigration and Nationality Act, concerning foreign workers seeking admission to the United States for employment. OFLC provides labor certifications to employers seeking to bring foreign workers into the United States. Certification may be obtained in cases where it can be demonstrated that there are insufficient qualified U.S. workers available and willing to perform the work at wages that meet or exceed the prevailing wage paid for the occupation in the area of intended employment. This initiative addresses the automation and technical support needed for various FLC programs that comply with different regulatory requirements and are subjected to possible changes with relatively short notice.

This investment supports DOL's strategic goal 2, A Competitive Workforce. The system supports four different programs areas: H-1B (Non-immigrants in Specialty Occupations), H-2A (Temporary Agricultural Employment and Services of a Temporary or Seasonal Nature), PERM (Permanent Immigration) and H-2B (Temporary Non-Agricultural Employment). The investment will be in Operations & Maintenance in FY10 and Mixed Life Cycle in FY 09. This will help DOL to develop the "front-end common portal" of an IT system to support H-2A and H-2B regulatory reforms. The wage system will be designed as a universal system to serve all OFLC programs (allowing use of fee revenue). ETA collaborates with the Departments of Homeland Security and State (DOS) on ways to reduce fraud in these programs. An ETA-DHS subgroup meets monthly to confer and strategize about fraud investigations in progress. FLC will collaborate with the Department of Treasury to implement the fee module using PAY.GOV.

The Department currently maintains four case management systems that are all utilized by the same staff at the ETA National Processing Centers. This has resulted in substantial administrative inefficiencies and a lack of communication among the systems to combat fraud and abuse. A seamless system will improve efficiency in case processing, provide greater flexibility in reducing workload, improve departmental response to Congressional and Customer inquires and substantially reduce administrative waste and inefficiencies. Applicants/immigration lawyers will be able to reduce time by reusing common data that is applicable across all system.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/6/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT	No

assets only)

If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	This System provides on-line labor condition applications for employers to be able to complete and register forms electronically (with field and cross-field edits), re-use parts for applications (pre-filled data fields), and get online status updates for the review process. Supports the PMA with the focus on E-government by high quality customer service, reducing expense and difficulty of doing business, reducing government operating costs, making government more transparent and accountable.

IT Screening Questions BY10

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	Yes
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B.: SUMMARY OF SPENDING BY10

Summary of Spending BY10

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY10: ETA - FLC Automated Systems (formerly ...

SUMMARY OF SPENDING FOR PROJECT STAGES

* Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	1210	0	0	0	0	0	2000	0	0
Acquisition									
Budgetary Resources	2550	640	0	0	0	0	4503.085	4134.266	0
Subtotal Planning & Acquisition									
Budgetary Resources	3760	640	0	0	0	0	6503.085	4134.266	0
Operations & Maintenance									
Budgetary Resources	550	690	940	940	940	4043.739	1733	1712.891	1642
TOTAL									
Budgetary Resources	4310	1330	940	940	940	4043.739	8236.085	5847.157	1642
Government FTE Costs									
Budgetary Resources	0	0	0	0	125	150	158	161	165
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	0	0	0	125	150	158	161	165

Full time Equivalent BY10

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security	0	0	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0	0	0
Financial Management	0	0	0	0	0	0	0	0	0
Program Management	0	0	0	0	1	1	1	1	1
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	0	0	1	1	1	1	1

I.C.: ACQUISITION/CONTRACT STRATEGY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.E.: SECURITY AND PRIVACY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.F.: ENTERPRISE ARCHITECTURE (EA) BY10

General EA Questions BY10

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?
It hasn't been developed yet.

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.
The application will incorporate Pay.Gov as a mechanism to collect fees for processing Permanent Certification applications. This mechanism will use Pay.Gov to certify that a payment has been made and upon notification from Pay.gov, the application will then process a Perm application.

II.A.: ALTERNATIVES ANALYSIS BY10

Analysis Background BY10

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this investment? Yes

II.B.: RISK MANAGEMENT BY10

Risk Management Plan BY10

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

REDACTED EXHIBIT 300 DME/Mixed BY10: ETA - FLC Automated Systems (formerly ...
What is the date of the risk management plan? 9/30/2008

II.C.: COST AND SCHEDULE PERFORMANCE BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *