Exhibit 300 FY2010

RITAX008: BTS Mid Tier Server/Airline Reporting and Data Information System

Part I: Summary Information And Justification (All Capital Assets)
Description: In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

I.A. Overview (All Capital Assets)
Description: The following series of questions are to be completed for all investments.

I.A.1. Date of Submission:	2008-08-20
I.A.2. Agency:	021
I.A.3. Bureau:	53
I.A.4. Name of this Capital Asset: Description: (Up to 250 characters)	RITAX008: BTS Mid Tier Server/Airline Reporting and Data Information System (previously BTSXX008)
I.A.5. Unique Project (Investment) Identifier: Description: For IT investment only, see section 53. For all other, use agency ID system.	021-53-01-14-01-1090-00
I.A.6. What kind of investment will this be in FY2010? Description: Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.	Operations and Maintenance

I.A.8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:
Description: (Up to 2500 characters)

The RITA-Bureau of Transportation Statistics is responsible for DOT's aviation statistics program as managed by the Office of Airline Information (OAI). OAI compiles aviation statistics for government use and report compilations and for distribution as publicly available data. The aviation statistics program provides consistent and comprehensive air carrier financial, traffic, and operational/performance statistics that portray the results of air carrier operations in the air transportation industry in support of DOT decision-makers in OST and the FAA. The "Performance Gap" that the ARDIS investment was designed to fill includes automated data collection and validation of airline data in support of the major DOT aviation programs in OST and the FAA. The investment provides tools for reporting on the quality of the airline data so that the Data Administration team can use this information to evaluate the data and assist reporting carriers with any data issues. This investment provides the DOT with reliable airline data that is used in determining international air service agreements, evaluating the initial and continuing fitness of air carriers to provide public air transportation services, determining the allocation and distribution of airport improvement funds under a congressional appropriation, and the monitoring of the overall economic health of the air transportation industry. The ARDIS investment provides the tools necessary for the Office of Airline Information to fulfill the RITA-BTS mission for airline industry data through: (1) the management of the on-going airline data collection program; (2) collecting, validating, compiling, analyzing, and publishing a comprehensive set of transportation statistics; (3) the issuance of guidelines, interpretations, and directives to facilitate the collection of comparable and accurate airline industry data; (4) the identification of information that is needed, but which is not being collected; and (5) the exchange of data with airline industry organizations such as the International Civil Aviation Organization (ICAO).

I.A.9. Did the Agency's Executive/Investment Committee approve this request?	yes
I.A.9.a. If "yes," what was the date of this approval?	2006-09-01
I.A.10. Did the Project Manager review this Exhibit?	yes
I.A.12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	no
I.A.12.a. Will this investment include electronic assets (including computers)?	no
I.A.12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	no
I.A.12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?	
I.A.12.b.2. If "yes," will this investment meet sustainable design principles?	
I.A.12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
I.A.13. Does this investment directly support any of the PMA initiatives?	no
I.A.13.a. If "yes," select all that apply:	
I.A.13.b. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	

Description: (Up to 500 characters)	
I.A.14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? Description: (For more information about the PART, visit www.whitehouse.gov/omb/part.)	yes
I.A.14.a. If "yes," does this investment address a weakness found during a PART review?	yes
I.A.14.b. If "yes," what is the name of the PARTed program?	10004012 - Bureau of Transportation Statistics
I.A.14.c. If "yes," what rating did the PART receive?	Moderately Effective
I.A.15. Is this investment for information technology?	yes
I.A.16 What is the level of the IT Project? (per CIO Council PM Guidance) Description: Level 1 - Projects with low-to-moderate complexity and risk. Example: Bureau-level project such as a stand-alone information system that has low- to-moderate complexity and risk. Level 2 - Projects with high complexity and/or risk which are critical to the mission of the organization. Examples: Projects that are part of a portfolio of projects/systems that impact each other and/or impact mission activities. Department-wide projects that impact cross-organizational missions, such as an agency-wide system integration that includes large scale Enterprise Resource Planning (e.g., the DoD Business Mgmt Modernization Program). Level 3 - Projects that have high complexity, and/or risk, and have government-wide impact. Examples: Government-wide initiative (E-GOV, President's Management Agenda). High interest projects with Congress, GAO, OMB, or the general public. Cross-cutting initiative (Homeland Security).	Level 1
I.A.17. In addition to the answer in 1.A.11.d, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
I.A.18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report? (per OMB Memorandum M-05-23)	no
I.A.19. Is this a financial management system?	no
I.A.19.a. If "yes," does this investment address a FFMIA compliance area?	
I.A.19.a.1. If "yes," which compliance area: Description: (Up to 250 characters)	
I.A.19.a.2. If "no," what does it address? Description: (Up to 500 characters)	
I.A.19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 Description: (Up to 2500 characters)	
I.A.20. What is the percentage breakout for the total FY2010 funding request for the following? Description: (This should total 100%)	
I.A.20.a. Hardware	0
I.A.20.b. Software	43
I.A.20.c. Services	57
I.A.20.d. Other	0
I.A.21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	yes
I.A.23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	yes
I.A.24. Does this investment directly support one of the GAO High Risk Areas?	no

I.B. Summary of Spending (All Capital Assets)

I.B.1 Summary of Spending Table
Description: Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long-term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

I.B.1.a. Summary of Spending for Project Phases

	PY-1 and earlier	PY 2008	CY 2009	BY 2010
Planning	$0.020	$0.000	$0.000	$0.000
Acquisition	$3.100	$0.000	$0.000	$0.000
Subtotal Planning and Acquisition	$3.120	$0.000	$0.000	$0.000
Operations and Maintenance	$4.778	$0.247	$0.257	$0.267
TOTAL	$7.898	$0.247	$0.257	$0.267
Government FTE Costs	$1.624	$0.412	$0.100	$0.103

I.B.1.b. Summary of Spending for Project Phases (Government FTE Costs Only)

	PY-1 and earlier	PY 2008	CY 2009	BY 2010
Number of FTE represented by cost	14	2	0	0

I.B.2. Will this project require the agency to hire additional FTE's?	no
I.B.2.a. If "yes," How many and in what year? Description: (Up to 500 characters)	

I.B.3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:
Description: (Up to 2500 characters)

Changes to report for the ARDIS steady-state IT investment show a recalculation of the Government FTE's as directed by the RITA Budget and Finance Office, to be $100K beginning BY09 and increasing 3% each year after. Spending now shows this adjustment consisting of $257K for direct IT contract services plus about $100K of allocated FTE's for OAI staff who interface with the IT contract team in support of the applications that are used to validated the airline data, for a total of $357K for FY09. Additional Information per OMB-M-06-19: No weaknesses identified by IG or GAO.

I.D. Performance Information (All Capital Assets)

I.D.1. Performance Information Table
Description: In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator
2007	Organizational Excellence	Customer Results	Customer Impact or Burden	New Measure to collect Customer Feedback, is currently being developed and will be in place by 30-SEP-2008 for FY2009
2007	Organizational Excellence	Mission and Business Results	Air Transportation	Total Days behind schedule, for all releases, of each product
2007	Organizational Excellence	Processes and Activities	Complaints	Validated Customer Complaints per Quarter
2007	Organizational Excellence	Technology	Availability	Percentage of days(M-F) the system is available for collection and dissemination activities, per quarter(65 days per quarter)
2008	Organizational Excellence	Customer Results	Customer Impact or Burden	New Measure, to collect Customer Feedback, is currently being developed and will be in place by 30-SEP-2008 for FY2009
2008	Organizational Excellence	Mission and Business Results	Air Transportation	Total Days behind schedule, for

				all releases, of each product
2008	Organizational Excellence	Processes and Activities	Complaints	Validated Customer Complaints per Quarter
2008	Organizational Excellence	Technology	Availability	Percentage of days(M-F) the system is available for collection and dissemination activities, per quarter(65 days per quarter)
2009	Organizational Excellence	Customer Results	Customer Impact or Burden	New Measure, to collect Customer Feedback, is currently being developed and will be in place by 30-SEP-2008 for FY2009
2009	Organizational Excellence	Mission and Business Results	Air Transportation	Total Days behind schedule, for all releases, of each product
2009	Organizational Excellence	Processes and Activities	Complaints	Validated Customer Complaints per Quarter
2009	Organizational Excellence	Technology	Availability	Percentage of days(M-F) the system is available for collection and dissemination activities, per quarter(65 days per quarter)
2010	Organizational Excellence	Customer Results	Customer Impact or Burden	New Measure, to collect Customer Feedback, is currently being developed and will be in place by 30-SEP-2008 for FY2009
2010	Organizational Excellence	Mission and Business Results	Air Transportation	Total Days behind schedule, for all releases, of each product
2010	Organizational Excellence	Processes and Activities	Complaints	Validated Customer Complaints per Quarter
2010	Organizational Excellence	Technology	Availability	Percentage of days(M-F) the system is available for collection and dissemination activities, per quarter(65 days per quarter)
2011	Organizational Excellence	Customer Results	Customer Impact or Burden	New Measure, to collect Customer Feedback, is currently being developed and will be in place by 30-SEP-2008 for FY2009
2011	Organizational Excellence	Mission and Business Results	Air Transportation	Total Days behind schedule, for all releases, of each product
2011	Organizational Excellence	Processes and Activities	Complaints	Validated Customer Complaints per Quarter
2011	Organizational Excellence	Technology	Availability	Percentage of days(M-F) the system is available for collection and dissemination activities, per quarter(65 days per quarter)

I.F. Enterprise Architecture (EA) (IT Capital Assets only)

Description: In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1. Is this investment included in your agency's target enterprise architecture?	yes
I.F.1.a. If "no," please explain why? Description: (Up to 2500 characters)	
I.F.2. Is this investment included in the agency's EA Transition Strategy?	yes
I.F.2.a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Description: (Up to 500 characters)	RITAx008-Airline Data & Reporting System
I.F.2.b. If "no," please explain why? Description: (Up to 2500 characters)	
I.F.3. Is this investment identified in a completed and approved segment architecture?	yes
I.F.3.a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to	214-000

http://www.egov.gov.
Description: (In the format "XXX-000")

I.F.4. Service Component Reference Model (SRM) Table

Description: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov.

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in this column can, but are not required to, add up to 100%.

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused - Component Name (b)
ARDIS	Custom validation of airline data is performed per business rules that are tailored to the various types of data being reported.	Data Management	Data Cleansing	
ARDIS	The ARDIS Oracle data base respository is used and available for data quality analysis and preparation of press releases. ARDIS Oracle is used by OAI staff for on demand, custom, ad hoc airline data queries, in response to various requests from OST, FAA, DHS, Congress, the airlines, the media, etc.	Data Management	Data Mart	

I.F.5. Technical Reference Model (TRM) Table

Description: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.
b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Data Mart	Component Framework	User Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Data Mart	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Data Mart	Service Access and Delivery	Delivery Channels	Intranet	
Data Mart	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Cleansing	Service Interface and Integration	Interoperability	Data Transformation	
Data Mart	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Data Cleansing	Service Platform and Infrastructure	Delivery Servers	Application Servers	SAS
Data Mart	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache
Data Mart	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Data Cleansing	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server

I.F.6. Will the application leverage existing components and/or applications across the Government (e.g. USA.gov, Pay.gov, etc.)?	no
I.F.6.a. If "yes," please describe. Description: (Up to 2500 characters)	

Part IV: Planning for "Multi-Agency Collaboration" ONLY

Description: Part IV should be completed only for investments identified as an E-Gov initiative, a Line of Business (LOB) Initiative, or a Multi-Agency Collaboration effort. The "Multi-Agency Collaboration" choice should be selected in response to Question 6 in Part I, Section A above. Investments identified as "Multi-Agency Collaboration" will complete only Parts I and IV of the exhibit 300.

IV.A. Multi-Agency Collaboration Oversight (All Capital Assets)

Description: Multi-agency Collaborations, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

IV.A.1. Stakeholder Table Description: As a joint exhibit 300, please identify all the agency stakeholders (all participating agencies, this should not be limited to agencies with financial commitment). All agency stakeholders should be listed regardless of approval. If the partner agency has approved this joint exhibit 300 please provide the date of approval.	
IV.A.9. Will the selected alternative replace a legacy system in-part or in-whole?	
IV.A.9.a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?	
IV.A.9.b. If "yes," please provide the following information:	