

## Exhibit 300 FY2010

### DOTXX071: DOT eGrants Consolidation

#### Part I: Summary Information And Justification (All Capital Assets)

Description: In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

#### I.A. Overview (All Capital Assets)

Description: The following series of questions are to be completed for all investments.

I.A.1. Date of Submission:	2006-09-11
I.A.2. Agency:	021
I.A.3. Bureau:	04
I.A.4. Name of this Capital Asset: Description: (Up to 250 characters)	DOTXX071: DOT eGrants Consolidation
I.A.5. Unique Project (Investment) Identifier: Description: For IT investment only, see section 53. For all other, use agency ID system.	021-04-04-00-01-1326-00
I.A.6. What kind of investment will this be in FY2010? Description: Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.	Mixed Life Cycle
I.A.8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: Description: (Up to 2500 characters)	<p>The DOT eGrants efforts will address OMB's GMLoB initiative as well as PL 106-107 to streamline Federal grant making through automating of paper-based grant programs, providing complete grants management capabilities, increasing efficiency of grants management and reporting, and reducing life cycle costs of grants management. Currently, many grant program offices create their own spreadsheets to track grant applications, awards and spending. Some spend 25% of their time checking payments. Also, while many of DOT systems address various needs of the grants programs, none provide complete end-to-end grants management capabilities. Two DOT grant agencies are entirely paper based. Paper grant processes will be at risk with A-123 (Internal Controls) and legislation (HR5060, S2590) for a public facing grant reporting website. DOT has chosen Health &amp; Human Services' Administration for Children &amp; Families' (ACF) OMB-approved service, GrantSolutions, as showing the best potential for servicing DOT needs in the most cost-effective manner. With the assistance of ACF, DOT will identify opportunities to standardize grants management processes and procedures and thus, provide the opportunity to migrate to GrantSolutions. Also, through the DOT/ACF partnership, an interface will be developed between GrantSolutions and the DOT FMLoB - Delphi to leverage the capabilities of both COEs.</p>
I.A.9. Did the Agency's Executive/Investment Committee approve this request?	yes
I.A.9.a. If "yes," what was the date of this approval?	2008-04-24
I.A.10. Did the Project Manager review this Exhibit?	yes
I.A.12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?	yes
I.A.12.a. Will this investment include electronic assets (including computers)?	yes
I.A.12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	no
I.A.12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?	
I.A.12.b.2. If "yes," will this investment meet sustainable design principles?	
I.A.12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?	
I.A.13. Does this investment directly support any of the PMA initiatives?	yes
I.A.13.a. If "yes," select all that apply:	<ul style="list-style-type: none"> <li>Budget Performance Integration</li> <li>Eliminating Improper Payments</li> <li>Expanded E-Government</li> <li>Financial Performance</li> <li>R and D Investment Criteria</li> </ul>
I.A.13.b. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?) Description: (Up to 500 characters)	

The consolidated eGrants project will address OMB's GMLoB initiative as well as PL 106-107 to streamline Federal grant making. Automation of paper processes improves efficiency, accountability and financial management. GMLoB CoE partnership with ACF will cross level grants expertise and IT resources to minimize development expenses. The ACF CoE, GrantSolutions, will be integrated with the DOT FMLoB CoE - Delphi, to leverage both capabilities.	
I.A.14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? Description: (For more information about the PART, visit <a href="http://www.whitehouse.gov/omb/part">www.whitehouse.gov/omb/part</a> .)	yes
I.A.14.a. If "yes," does this investment address a weakness found during a PART review?	yes
I.A.14.b. If "yes," what is the name of the PARTed program?	10000414 - National Highway Traffic Safety Administration Grant Program
I.A.14.c. If "yes," what rating did the PART receive?	Effective
I.A.15. Is this investment for information technology?	yes
I.A.16 What is the level of the IT Project? (per CIO Council PM Guidance) Description: Level 1 - Projects with low-to-moderate complexity and risk. Example: Bureau-level project such as a stand-alone information system that has low- to-moderate complexity and risk. Level 2 - Projects with high complexity and/or risk which are critical to the mission of the organization. Examples: Projects that are part of a portfolio of projects/systems that impact each other and/or impact mission activities. Department-wide projects that impact cross-organizational missions, such as an agency-wide system integration that includes large scale Enterprise Resource Planning (e.g., the DoD Business Mgmt Modernization Program). Level 3 - Projects that have high complexity, and/or risk, and have government-wide impact. Examples: Government-wide initiative (E-GOV, President's Management Agenda). High interest projects with Congress, GAO, OMB, or the general public. Cross-cutting initiative (Homeland Security).	Level 3
I.A.17. In addition to the answer in 1.A.11.d, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
I.A.18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report? (per OMB Memorandum M-05-23)	no
I.A.19. Is this a financial management system?	yes
I.A.19.a. If "yes," does this investment address a FFMI compliance area?	yes
I.A.19.a.1. If "yes," which compliance area: Description: (Up to 250 characters)	Sections 2 and 4
I.A.19.a.2. If "no," what does it address? Description: (Up to 500 characters)	
I.A.19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 Description: (Up to 2500 characters)	FTA: TEAM - Transportation Electronic Award Management system DOTS - Delphi Online Transaction System DELPHI (FMLoB CoE) ECHO - Electronic Clearing House Operation system FHWA: FMIS - Fiscal Management Information System DELPHI (FMLoB CoE)
I.A.20. What is the percentage breakout for the total FY2010 funding request for the following? Description: (This should total 100%)	
I.A.20.a. Hardware	2
I.A.20.b. Software	8
I.A.20.c. Services	90
I.A.20.d. Other	0
I.A.21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	yes
I.A.23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	no
I.A.24. Does this investment directly support one of the GAO High Risk Areas?	no
<b>I.B. Summary of Spending (All Capital Assets)</b>	
I.B.1 Summary of Spending Table Description: Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and	

"Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long-term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

I.B.1.a. Summary of Spending for Project Phases

	PY-1 and earlier	PY 2008	CY 2009	BY 2010
Planning	\$0.000	\$1.082	\$0.890	\$0.822
Acquisition	\$11.480	\$0.772	\$0.000	\$0.000
Subtotal Planning and Acquisition	\$11.480	\$1.854	\$0.890	\$0.822
Operations and Maintenance	\$41.696	\$7.805	\$10.265	\$9.894
TOTAL	\$53.176	\$9.659	\$11.155	\$10.716
Government FTE Costs	\$3.855	\$0.567	\$0.658	\$0.674

I.B.1.b. Summary of Spending for Project Phases (Government FTE Costs Only)

	PY-1 and earlier	PY 2008	CY 2009	BY 2010
Number of FTE represented by cost	27	8	8	9

I.B.2. Will this project require the agency to hire additional FTE's?  yes

I.B.2.a. If "yes," How many and in what year?

Description: (Up to 500 characters)

2010 - These FTEs will be required to support the migration of Grant functionality of the legacy systems to an E-grants COE while keeping non-grant functions in house in a legacy scaled down system. The IT operations of both systems plus the enhancements of airport project management, and GIS based interface and the additional oversight required by executive boards will necessitate the additional FTEs.

I.B.3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes:

Description: (Up to 2500 characters)

Since initial submission of the FY2008 Exhibit 300, DOT has signed an MOU with ACF to explore whether DOT should migrate to the GrantSolutions .gov Consortium, and if the decision is made to go forward with the ACF solution, to follow on with the appropriate migration efforts. Since this reflects a change of scope for DOT, spending has changed from budgeting for a mixed lifecycle (building a new consolidated system while maintaining existing prior to consolidation), to migration analysis and planning.

**I.D. Performance Information (All Capital Assets)**

I.D.1. Performance Information Table

Description: In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator
2007	Organizational Excellence	Processes and Activities	Financial Management	FMIS: Percentage reduction of unexpended balance
2007	Organizational Excellence	Processes and Activities	Compliance	FTA: Consolidation of operations of TEAM system in new Headquarters building
2007	Safety	Technology	Availability	FTA: Increase COOP requirements
2007	Organizational Excellence	Technology	Reliability	FTA: Port to new hardware
2007	Safety	Customer Results	Delivery Time	NHTSA: Days to make Grant Funds available
2007	Safety	Mission and Business Results	Ground Transportation	NHTSA: Number of grantees

				for Motorcycle Safety Programs
2007	Safety	Processes and Activities	Security	NHTSA: Renew Security Accreditation
2007	Safety	Technology	User Requirements	NHTSA: System Usage by Grantees
2008	Organizational Excellence	Customer Results	Customer Satisfaction	FMIS: Increase the number of reports available in spreadsheet format to FMIS users.
2008	Organizational Excellence	Mission and Business Results	Facilities, Fleet, And Equipment Management	FTA: Grants.gov application portal services to other Agencies
2008	Organizational Excellence	Customer Results	Customer Training	FTA: Increase training success
2008	Organizational Excellence	Customer Results	Accuracy of Service or Product Delivered	FTA: Upgrade database software
2008	Safety	Processes and Activities	Complaints	NHTSA: Ability to resolve all user complaints.
2008	Safety	Customer Results	Delivery Time	NHTSA: Days to make Grant Funds available
2008	Safety	Mission and Business Results	Ground Transportation	NHTSA: Number of grantees for Motorcycle Safety Programs
2008	Safety	Technology	Availability	NHTSA: System usage by grantees
2009	Safety	Processes and Activities	Complaints	NHTSA: Ability to resolve all user complaints.
2009	Safety	Customer Results	Delivery Time	NHTSA: Days to make Grant Funds available
2009	Safety	Processes and Activities	Complaints	NHTSA: Investigating Reported Problems
2009	Safety	Mission and Business Results	Ground Transportation	NHTSA: Number of grantees for Motorcycle Safety Programs
2009	Safety	Technology	Availability	NHTSA: System Usage by grantees
2010	Organizational Excellence	Customer Results	Customer Satisfaction	FMIS: Increase the number of reports available in spreadsheet format to FMIS users.
2010	Organizational Excellence	Mission and Business Results	Facilities, Fleet, And Equipment Management	FTA: Grants.gov application portal services to other Agencies
2010	Organizational Excellence	Customer Results	Customer Training	FTA: Increase training success
2010	Safety	Processes and Activities	Complaints	NHTSA: Ability to resolve all user complaints.
2010	Safety	Customer Results	Delivery Time	NHTSA: Days to make Grant Funds available
2010	Safety	Processes and Activities	Complaints	NHTSA: Investigating Reported Problems
2010	Safety	Mission and Business Results	Ground Transportation	NHTSA: Number of grantees for Motorcycle Safety Programs
2010	Safety	Processes and Activities	Security	NHTSA: Renew Security Accreditation
2010	Safety	Technology	User Requirements	NHTSA: System Usage by Grantees

### I.F. Enterprise Architecture (EA) (IT Capital Assets only)

**Description:** In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I.F.1. Is this investment included in your agency's target enterprise architecture?	yes
I.F.1.a. If "no," please explain why? Description: (Up to 2500 characters)	
I.F.2. Is this investment included in the agency's EA Transition Strategy?	yes
I.F.2.a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Description: (Up to 500 characters)	DOT consolidated eGrants and Grants Management Line of Business
I.F.2.b. If "no," please explain why? Description: (Up to 2500 characters)	
I.F.3. Is this investment identified in a completed and approved segment architecture?	yes

I.F.3.a. If "yes," provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect. For detailed guidance regarding segment architecture codes, please refer to <http://www.egov.gov>.  
Description: (In the format "XXX-000")

207-000

**I.F.4. Service Component Reference Model (SRM) Table**

Description: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

- a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.
- b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
- c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
- d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in this column can, but are not required to, add up to 100%.

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused - Component Name (b)
Data Classification	Defines the set of capabilities that allow the classification of data.	Data Management	Data Classification	
Data Exchange	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Data Management	Data Exchange	
Data Mart	Defines the set of capabilities that support a subset of a data warehouse for a single department or function within an organization.	Data Management	Data Mart	
Data Recovery	Defines the set of capabilities that support the restoration and stabilization of data sets to a consistent, desired state.	Data Management	Data Recovery	
Data Warehouse	Defines the set of capabilities that support the archiving and storage of large volumes of data.	Data Management	Data Warehouse	
Data Extraction and Transformation	Defines the set of capabilities that support the manipulation and change of data.	Data Management	Extraction and Transformation	
Activity-Based Management	Defines the set of capabilities that support a defined, specific set of finance-related tasks for a given objective.	Financial Management	Activity-Based Management	
Auditing	Defines the set of capabilities that support the examination and verification of records for accuracy.	Financial Management	Auditing	
Billing and Accounting	Defines the set of capabilities that support the charging, collection and reporting of an organization's accounts.	Financial Management	Billing and Accounting	
Financial Reporting	Defines the set of capabilities that support the structured dissemination of financial data and information in both physical format and electronic media.	Financial Management	NEW	
Predictive/Forecasting	Defines the set of capabilities that support the forecasting of something in advance by the use of data.	Analysis and Statistics	NEW	
Data Mining	Defines the set of capabilities that support the exploring and analyzing of detailed business transactions to uncover patterns and relationships within the business activity and history.	Business Intelligence	NEW	
Ad Hoc Reporting	Defines the set of capabilities that support the use of dynamic reports on an as needed basis.	Reporting	Ad Hoc	
Standardized Reporting	Defines the set of capabilities	Reporting	Standardized / Canned	

	that support the use of templates or pre-conceived/pre-written reports.			
Performance Management	Defines the set of capabilities for measuring the effectiveness of an organization's financial assets and capital.	Investment Management	Performance Management	
Portfolio Management	Defines the set of capabilities that support the administration of a group of investments held by an organization.	Investment Management	Portfolio Management	
Strategic Planning and Management	Defines the set of capabilities that supports the determination of long-term goals and the identification of the best approach for achieving those goals.	Investment Management	Strategic Planning and Mgmt	
Change Management	Defines the set of capabilities that control the process for updates or modifications to the existing documents, software or business processes of an organization.	Management of Processes	Change Management	
Configuration Management	Defines the set of capabilities that control the hardware and software environments, as well as documents of an organization.	Management of Processes	Configuration Management	
Governance/Policy Management	Defines the set of capabilities intended to influence and determine decisions, actions, business rules and other matters within an organization.	Management of Processes	Governance / Policy Management	
Program/Project Management	Defines the set of capabilities for the management and control of a particular effort of an organization.	Management of Processes	Program / Project Management	
Quality Management	Defines the set of capabilities intended to help determine the level that a product or service satisfies certain requirements.	Management of Processes	Quality Management	
Requirements Management	Defines the set of capabilities for gathering, analyzing and fulfilling the needs and prerequisites of an organization's efforts.	Management of Processes	Requirements Management	
Risk Management	Defines the set of capabilities that support the identification; assessment of impact and probabilities or chances of hazards as they relate to a task, decision or long-term goal; and mitigation of these risks.	Management of Processes	Risk Management	
Workgroup/Groupware	Defines the set of capabilities that support multiple users working on related tasks.	Organizational Management	Workgroup / Groupware	
Online Help	Defines the set of capabilities that provide an electronic interface to customer assistance.	Customer Initiated Assistance	Online Help	
Personalization/Profile Management	Defines the set of capabilities that allow for the maintenance and modification of a customer's account information related to their profile.	Customer Preferences	Personalization	
Call Center Management	Defines the set of capabilities that handle telephone sales and/or service to the end customer.	Customer Relationship Management	Call Center Management	
Customer / Account Management	Defines the set of capabilities that support the retention and delivery of a service or product to an organization's clients.	Customer Relationship Management	Customer / Account Management	
Customer Feedback	Defines the set of capabilities that are used to collect, analyze and handle comments and feedback from an organization's customers.	Customer Relationship Management	Customer Feedback	
Partner Relationship Management	Defines the set of capabilities that are used to plan and	Customer Relationship Management	Partner Relationship Management	

	control the activities between an organization, its stakeholders and business partners, including third parties that support services to an organization's stakeholders.			
Product Management	Defines the set of capabilities that facilitate the creation and maintenance of products and services.	Customer Relationship Management	Product Management	
Knowledge Distribution and Delivery	Defines the set of capabilities that support the transfer of knowledge to the end customer.	Knowledge Management	Knowledge Distribution and Delivery	
Conflict Resolution	Defines the set of capabilities that support the conclusion of contention or differences within the business cycle.	Tracking and Workflow	Conflict Resolution	
Process Tracking	Defines the set of capabilities to allow the monitoring of activities within the business cycle.	Tracking and Workflow	Process Tracking	
Classification	Defines the set of capabilities that support selection and retrieval of records organized by shared characteristics in content or context.	Search	Classification	
Pattern Matching	Defines the set of capabilities that support retrieval of records generated from a data source by imputing characteristics based on patterns in the content or context.	Search	Pattern Matching	
Query	Defines the set of capabilities that support retrieval of records that satisfy specific query selection criteria.	Search	Query	
Intrusion Prevention	Defines the set of capabilities that support the prevention of illegal entrance into a computer system.	Security Management	Intrusion Prevention	
GTS	Business Rule Mgmt	Management of Processes	Business Rule Management	
Review module	Allows PHMSA staff to review the performance of each state pipeline program to determine amount of funding	Tracking and Workflow	Process Tracking	
Application status	Monitors that all tasks have been completed for both grantees and PHMSA staff	Collaboration	Task Management	
Notifications	Notifies applicants and managers that a task must be completed	Customer Initiated Assistance	Alerts and Notifications	
Standards library	Provides States easy access to the pipeline standards that are referenced in PHMSA's regulations	Knowledge Management	Knowledge Distribution and Delivery	

#### I.F.5. Technical Reference Model (TRM) Table

Description: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

- a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.
- b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Information Retrieval	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database	Oracle Enterprise Server with Secure Client
Extraction and Transformation	Component Framework	Business Logic	Platform Independent Technologies	JavaScript
Network Management	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Standardized / Canned	Component Framework	User Presentation / Interface	Content Rendering	Cascading Style Sheets (CSS)
Standardized / Canned	Component Framework	User Presentation / Interface	Content Rendering	Dynamic HTML (DHTML)

Standardized / Canned	Component Framework	User Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)
Standardized / Canned	Component Framework	User Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Intrusion Prevention	Component Framework	Security	Certificates / Digital Signatures	Digital Certificate Authentication
Intrusion Prevention	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Knowledge Distribution and Delivery	Service Access and Delivery	Access Channels	Collaboration / Communications	Electronic Mail (E-mail)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	System to System
Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Knowledge Distribution and Delivery	Service Access and Delivery	Access Channels	Web Browser	Netscape Communicator
Quality Management	Service Access and Delivery	Service Requirements	Hosting	Internal (within Agency)
Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Authentication
Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Requirements Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Configuration Management	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Configuration Management	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Configuration Management	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Configuration Management	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Configuration Management	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)
Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: ISQL/w
Data Exchange	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Configuration Management	Service Platform and Infrastructure	Database / Storage	Database	Oracle
Configuration Management	Service Platform and Infrastructure	Database / Storage	Storage	Storage Area Network (SAN)
Network Management	Service Platform and Infrastructure	Delivery Servers	Media Servers	Oracle Application Server
Network Management	Service Platform and Infrastructure	Delivery Servers	Web Servers	Internet Information Server
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Hard Disk Drive
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Microprocessor
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Random Access Memory (RAM)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Printer
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Enterprise Server
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Change Management
Quality Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Defect Tracking
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Deployment Management
Requirements Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Requirements Management and Traceability
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Task Management
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Version Management
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Business Cycle Testing
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Configuration Testing
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Functional Testing
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Reliability Testing
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Security and Access Control Testing
Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Usability Testing (508 Testing)
Extraction and Transformation	Service Platform and	Support Platforms	Independent Platform	Java 2 Platform Enterprise



	Infrastructure			Edition (J2EE)
Process Tracking	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio
Task Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio
Alerts and Notifications	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Visual Studio
Knowledge Distribution and Delivery	Service Platform and Infrastructure	Delivery Servers	Web Servers	IIS
Task Management	Service Platform and Infrastructure	Database / Storage	Database	Sequel Server 2005
Process Tracking	Service Platform and Infrastructure	Database / Storage	Database	Sequel Server 2005
Task Management	Service Platform and Infrastructure	Database / Storage	Database	Paradox
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	Crystal Reports
Task Management	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Delphi

I.F.6. Will the application leverage existing components and/or applications across the Government (e.g. USA.gov, Pay.gov, etc.)? yes

I.F.6.a. If "yes," please describe.  
Description: (Up to 2500 characters)

The DOT eGrants solution leverages the capabilities HHS' Administration for Children & Families that currently serves as an OMB-approved Center of Excellence. As part of this effort, DOT and ACF is also exploring the development of an interface between ACF's GrantSolutions and leverage DOT Financial Center of Excellence, Delphi, for grant payments.

## Part IV: Planning for "Multi-Agency Collaboration" ONLY

Description: Part IV should be completed only for investments identified as an E-Gov initiative, a Line of Business (LOB) Initiative, or a Multi-Agency Collaboration effort. The "Multi-Agency Collaboration" choice should be selected in response to Question 6 in Part I, Section A above. Investments identified as "Multi-Agency Collaboration" will complete only Parts I and IV of the exhibit 300.

### IV.A. Multi-Agency Collaboration Oversight (All Capital Assets)

Description: Multi-agency Collaborations, such as E-Gov and LOB initiatives, should develop a joint exhibit 300.

#### IV.A.1. Stakeholder Table

Description: As a joint exhibit 300, please identify all the agency stakeholders (all participating agencies, this should not be limited to agencies with financial commitment). All agency stakeholders should be listed regardless of approval. If the partner agency has approved this joint exhibit 300 please provide the date of approval.

IV.A.9. Will the selected alternative replace a legacy system in-part or in-whole?

IV.A.9.a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment?

IV.A.9.b. If "yes," please provide the following information: