

**Forecasting the dynamics of a coastal fishery species using a coupled climate-population model.**

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1 **Abstract**

2 Marine fisheries management strives to maintain sustainable populations while allowing  
3 exploitation. However, well-intentioned management plans may not meet this balance  
4 since most do not include the effect of climate change. Ocean temperatures are expected  
5 to increase through the 21<sup>st</sup> century, which will have far-reaching and complex impacts  
6 on marine fisheries. To quantify these impacts for one coastal fishery along the east coast  
7 of the United States, we develop a coupled climate-population model for Atlantic croaker  
8 (*Micropogonias undulatus*). The model is based on a mechanistic hypothesis: recruitment  
9 is determined by temperature-driven, overwinter mortality of juveniles in their estuarine  
10 habitats. Temperature forecasts were obtained from two global climate models simulating  
11 three standard climate scenarios. The coupled climate-population model demonstrates  
12 that both exploitation and climate change will significantly affect abundance and  
13 distribution of Atlantic croaker in the future. At current levels of fishing, the average  
14 (2010-2100) spawning biomass of the population is forecast to increase by 60-100%.  
15 Similarly, the center of the population is forecast to shift 50-100 km northwards. A yield  
16 analysis, which is used to calculate benchmarks for fishery management, indicates that  
17 the maximum sustainable yield will increase by 20-100%. Our results demonstrate that to  
18 achieve optimum exploitation of fishery resources in the face of changing climate, it is  
19 imperative that climate effects on fisheries are identified, understood, and incorporated  
20 into the scientific advice provided to managers.

21

22 **KEYWORDS:** Climate change, fishery management, population dynamics, fishery  
23 benchmarks, population abundance, population distribution

24

## 25 **Introduction**

26 Overexploitation results in dramatic declines in marine population abundance and  
27 affects overall marine ecosystem structure. Fishing is often the dominant source of  
28 mortality for exploited species causing direct reductions in population abundance (Myers  
29 et al. 1997, Christensen et al. 2003). Most fishing practices also truncate the age and size  
30 distribution through increased mortality and size-selectivity, which reduces reproductive  
31 potential of the population since larger females produce more and higher quality  
32 offspring (O'Farrell and Botsford 2006, Scott et al. 2006). Fishing also impacts marine  
33 ecosystems that support fisheries both directly, through the effects of fishing gear on  
34 habitats (Barnes and Thomas 2005, Reed et al. 2007), and indirectly, with the alteration  
35 of trophic pathways through the selective removal of species as targeted catch or bycatch  
36 (Jackson et al. 2001, Frank et al. 2005). Fisheries management strives to balance the  
37 exploitation of a select group of species against the sustainability of marine species and  
38 marine ecosystems, as well as the communities and economic activity that fisheries  
39 support (Hilborn et al. 2003).

40 Environmental variability and climate change also impact marine fisheries (Koster et  
41 al. 2003). Recruitment - the process by which young fish join the adult or exploited  
42 population - is highly variable in most marine fish populations, largely as a result of  
43 environmental variability (Rothschild 1986). Growth and maturity rates are also affected

44 by environmental variability including abiotic (e.g., temperature) and biotic (e.g.,  
45 availability of food) factors (Brander 1995, Godø 2003). Yet, most fisheries stock  
46 assessments, which form the scientific basis for fisheries management, do not include the  
47 effect of the environment on populations; there is an implicit assumption that  
48 environmental effects in the future will be the same as in the past and are already  
49 reflected in the biological characteristics of the population (Richards and Maguire 1998,  
50 Hilborn and Walters 2004).

51 Climate change is resulting in long-term increases in temperature, changes in wind  
52 patterns, changes in freshwater runoff, and acidification of the ocean (IPCC 2007b,  
53 Doney et al. 2009). These changes are impacting the abundance, distribution, and  
54 productivity of fishery species directly (e.g. temperature affects on growth) and indirectly  
55 (e.g., changes in ocean productivity) (Stenseth et al. 2002, Perry et al. 2005). Long-term  
56 environmental change creates problems for fisheries stock assessment since the future  
57 environment will be different than the past. Previous estimates of population rates  
58 (growth, reproduction, recruitment) may not be appropriate for the future and thus, even  
59 well-intentioned fisheries management plans may fail because they do not account for  
60 climate-driven changes in the characteristics of exploited populations (Kell et al. 2005,  
61 Kaje and Huppert 2007, Mackenzie et al. 2007, Rockmann et al. 2007).

62 Incorporating environmental effects in models for exploited fishery populations is not  
63 new (Hilborn and Walters 2004), but numerous studies have indicated that to use such  
64 models in forecasting (predicting the status of the population in the future based on  
65 environmental predictions), requires a mechanistic understanding between environmental

66 forcing and population dynamics (Myers 1998, Krebs and Berteaux 2006). In the context  
67 of climate change, environment-population models have been developed for fisheries; for  
68 example Atlantic cod abundance in the North Sea and the Gulf of Maine in the future is  
69 likely to be lower than currently assessed raising the possibility of overexploitation even  
70 under management strategies designed to prevent overfishing (Clark et al. 2003, Cook  
71 and Heath 2005, Fogarty et al. 2007). These studies demonstrate that climate effects on  
72 fisheries have important consequences for the long-term sustainability of exploited  
73 populations.

74 Here we examine the effect of climate change on Atlantic croaker (*Micropogonias*  
75 *undulatus*, Pisces: Sciaenidae) based on a mechanistic recruitment hypothesis. Atlantic  
76 croaker is a coastal marine fish inhabiting the east coast of the United States (Murdy et al.  
77 1997) that supports a fishery of approximately 9,000 metric tons with a value of  
78 approximately 8 million dollars (National Marine Fisheries Service 2008). Atlantic  
79 croaker spawn pelagic eggs (~ 1mm in diameter) in the coastal ocean during late-  
80 summer, fall, and winter. Late-larvae enter estuaries (e.g., Delaware Bay, Chesapeake  
81 Bay, Pamlico Sound) after 30-60 days in the plankton (Warlen 1982), and juveniles  
82 spend their first winter in estuarine nursery habitats (Able and Fahay 1998). Juvenile  
83 survival through the winter is determined by estuarine water temperatures; cold water  
84 leads to low survival, which in turn decreases recruitment to the population. This  
85 mechanistic recruitment hypothesis is supported by laboratory results (Lankford and  
86 Targett 2001a, b) and field observations (Norcross and Austin 1981, Hare and Able  
87 2007).

88 We incorporate this hypothesis into a population model with recruitment as a function  
89 of spawning stock biomass and minimum winter temperature. We then couple this  
90 population model with forecasts of minimum winter temperature from global climate  
91 models based on three standard CO<sub>2</sub> emission scenarios. We model the abundance,  
92 distribution and yield of the population under different climate change scenarios and  
93 different fishing rates. We find that both climate and fishing affect the dynamics of the  
94 population and conclude that climate change will have major consequences for the  
95 Atlantic croaker population of the east coast of the United States in the coming decades.

96

## 97 **Materials and Methods**

98 *Climate Models* - The Fourth Assessment Report of the Intergovernmental Panel on  
99 Climate Change (IPCC) (IPCC 2007b) included simulations from 23 different global  
100 climate models all run with standardized CO<sub>2</sub> emission scenarios. Here we use two of  
101 these models (GFDL Climate Model 2.1 and NCAR Community Climate System Model  
102 3.0, (Delworth et al. 2006, Meehl et al. 2007)) and three emission scenarios (commitment  
103 scenario in which atmospheric CO<sub>2</sub> is fixed at 350 ppm through the 21st century, and the  
104 B1 and A1B scenarios in which CO<sub>2</sub> increases to 550 ppm, and 720 ppm, respectively,  
105 by the end of the 21st century (IPCC 2007b)). A comparison of climate model hindcasts  
106 and observed minimum winter air temperatures is included in Section 1 of the online  
107 Appendix. Results from both the GFDL and NCAR model were qualitatively similar, so  
108 only results from the GFDL model are shown; results of the NCAR model are provided  
109 in Section 4 of the online Appendix.

110 Air temperature, which is forecast in global climate models, is a good proxy for  
111 estuarine water temperatures owing to the efficient ocean-atmosphere heat exchange in  
112 estuarine systems (Roelofs and Bumpus 1953, Hare and Able 2007). Winter air  
113 temperature is also strongly coherent along the U.S. east coast (Joyce 2002) and one  
114 location can be used as a proxy for a larger area (see Section 1 of online Appendix).  
115 Thus, minimum winter air temperature in the Chesapeake Bay region is used as the  
116 climate input into the coupled climate-population model. The Chesapeake Bay region  
117 was chosen since this estuary is a major Atlantic croaker overwintering nursery (Murdy  
118 et al. 1997, Able and Fahay 1998).

119

120 *Population Model* – A finite time step population model (Fogarty 1998, ASMFC 2005)  
121 was developed for the population of Atlantic croaker along the mid-Atlantic coast of the  
122 United States. Spawning stock biomass ( $S$ ) in a given year was calculated as the sum of  
123 the number of individuals ( $N$ ) at each age ( $A$ ) in that year ( $y$ ) multiplied by a constant  
124 weight-at-age ( $W_A$ ), a constant percent mature at age ( $M_A$ ), and a constant sex ratio  
125 ( $SR=0.5$ ).

$$126 \quad S_y = \sum_A N_{Ay} \cdot W_A \cdot M_A \cdot SR \quad (1)$$

127 The values for  $W_A$ ,  $M_A$ , and  $SR$  were taken from the most recent Atlantic croaker stock  
128 assessment (Table 1).

129 The mechanistic hypothesis that recruitment is determined by winter water  
130 temperatures affecting mortality during the juvenile stages was incorporated into the  
131 model using an environmentally explicit stock recruitment relationship. In the model,

132 numbers-at-age 1 in year  $y$  ( $N_{1y}$ ) equaled recruitment in year  $y$  ( $R_y$ ). Recruitment in year  $y$   
 133 was calculated based on spawning stock biomass in year  $y-1$  ( $S_{y-1}$ ) with the addition of the  
 134 term for minimum winter temperature during year  $y-1$  (Dec) and year  $y$  (Jan, Feb, and  
 135 Mar) (denoted  $T_y$ ).

$$136 \quad N_{1y} = R_y = aS_{y-1}e^{(-b \cdot S_{y-1} + c \cdot T_y + \varepsilon)} \quad (2)$$

137 This form of the stock-recruitment relationship was used on the basis that it provided the  
 138 best fit to observed data (see Section 2 of the online Appendix). The climate effects on  
 139 the population entered the model through the temperature term ( $T$ ). Error in the stock  
 140 recruitment relationship ( $\varepsilon$ ) was formally included in the model as a normally distributed  
 141 random variable parameterized from the fit of the model to data.

142 Number-at-age in a given year ( $N_{Ay}$ ) was calculated from number at the prior age in  
 143 the prior year ( $N_{A-1, y-1}$ ) discounted by mortality, which was spilt into two components:  
 144 fishing mortality ( $F$ ) and natural mortality ( $M$ ). Fishing mortality is an instantaneous rate  
 145 used to calculate how many fish are removed from a population through fishing over a  
 146 period of time. Natural mortality is similar but used to calculate how many fish are  
 147 removed from a population through natural causes (e.g., predation, disease) over a period  
 148 of time. Fishing mortality was multiplied by an age-dependent selectivity coefficient ( $s_A$ ,  
 149 Table 1) (ASMFC 2005), since younger ages are less susceptible to capture in the fishery  
 150 compared to older individuals.

$$151 \quad N_{Ay} = N_{(A-1)(y-1)}e^{-(Fs_A + M)} \quad (3)$$

152 The model was implemented for 1900 to 2100 using blended observed (1900-  
 153 2007) and simulated (2008-2100) minimum winter air temperatures. Natural mortality



154 ( $M$ ) was assumed to be constant with a normally distributed random component ( $\mu=0.3$ ,  
155  $\sigma=0.05$ ); this value was taken from the recent stock assessment (ASMFC 2005). For  
156 model hindcasts, historical fishing mortality rates ( $F$ ) were set to levels consistent with  
157 the history of the fishery (Table 2). For model forecasts, rates of fishing ( $F$ ) ranged from  
158 0 to 1 with a random component ( $\mu=0$ ,  $\sigma=0.02$ ). For each climate scenario, 100  
159 population simulations were calculated to include the variability associated with  
160 stochasticity in natural mortality ( $M$ ), fishing mortality ( $F$ ), and the unexplained  
161 variability in recruitment ( $\varepsilon$ ). The outputs from the coupled model were averaged over  
162 time (2010-2100), since global climate models do not produce annual predictions. Thus,  
163 our results represent the mean response of the Atlantic croaker population to several  
164 climate change scenarios over the 21<sup>st</sup> century.

165

166 *Distribution Model* – The mid-Atlantic croaker stock makes annual south-to north  
167 migrations from wintering grounds off the Carolinas to summering grounds from North  
168 Carolina to New Jersey (Murdy et al. 1997). Atlantic croaker also exhibit onshore-  
169 offshore migrations from nearshore and estuarine areas in summer to coastal and shelf  
170 areas in fall (Murdy et al. 1997). We used a multiple-regression approach to model the  
171 mean distance and northern extent of the population as a function of spawning stock  
172 biomass and the previous year's minimum winter temperature. Mean distance and  
173 northern extent estimates were calculated from data collected by the autumn trawl survey  
174 of the National Marine Fisheries Service (Azarovitz 1981). The survey is based on a

175 random stratified design, with multiple randomly located trawl stations in each strata,  
176 which are defined by along-shelf regions and bathymetric zones (Azarovitz 1981).

177 Since the northeast U.S. shelf is non-linear, a curvilinear grid of distance from  
178 Cape Hatteras, North Carolina was developed; the grid approximately followed the 10 m  
179 isobath. This grid was then used to convert each strata average location (latitude and  
180 longitude) to a strata average along-shelf distance from Cape Hatteras. Using average  
181 catch in each strata and average distance to each strata, we calculated a weighted-mean  
182 distance for Atlantic croaker in each year. We also calculated weighted standard  
183 deviation of distance. Based on the idea that range expands at higher population sizes  
184 (MacCall 1990) and the suggestion that summer distribution may be influenced by  
185 temperatures during the previous winter (Murdy et al. 1997), we developed an empirical  
186 model for mean location ( $dist_{\mu}$ ) and its standard deviation ( $dist_{\sigma}$ ), based on spawning  
187 stock biomass ( $S$ ) and temperature ( $T$ ).

$$188 \quad dist_{\mu Y} = a_u + b_u S_Y + c_u T_Y + d_{\mu} S_Y^2 + e_u T_Y^2 \quad (4)$$

$$189 \quad dist_{\sigma Y} = a_{\sigma} + b_{\sigma} S_Y + c_{\sigma} T_Y + d_{\sigma} S_Y^2 + e_{\sigma} T_Y^2 \quad (5)$$

190 All potential variations of the above models were fit ( $y=a+bS$ ;  $y=a+cT$ ;  $y=a+bS+cT$ ; etc)  
191 and compared using the Akaike Information Criteria. Evaluation of Akaike weights  
192 indicated that several models were equally supported and thus, we choose to use a multi-  
193 model inference procedure (Burnham and Anderson 1998) to determine the parameters of  
194 the statistical model (a, b, c, d, and e). The final empirical model explained 31% and 37%  
195 of the variability the annual center and northern extent of the population. A logistic

196 regression approach also was developed (see Section 3 of the online Appendix); the  
197 results were similar so we only present the results of the multiple regression model.

198 For distribution forecasts, spawning stock biomass estimates from the coupled  
199 climate-population model were combined with minimum winter temperature estimates  
200 from the global climate model scenarios. The outputs from the distribution model were  
201 averaged over the period of 2010-2100, similar to the results of the population model. In  
202 addition to mean center of the distribution and mean northern extent, the frequency of  
203 years with the northern extent past the New York apex were quantified; historically this is  
204 near the absolute northern limit of the population.

205 Using data from the autumn trawl survey is potentially biased by the timing of the  
206 fall migration; as waters cool, adult Atlantic croaker move south (Murdy et al. 1997, Able  
207 and Fahay 1998). Thus, the timing of the survey relative to the timing of the fall  
208 migration confounds the ability to compare distribution among years. Assuming the fall  
209 migration is triggered by temperature, we screened the shelf temperatures observed  
210 during each annual survey. There were several years where temperatures off New Jersey  
211 were cooler than most other years (e.g.,  $<17^{\circ}\text{C}$ ) and these years were removed from the  
212 analysis in an attempt to compare the distribution of Atlantic croaker at the same point in  
213 the seasonal cycle.

214

215 *Yield Analysis* - We estimated the fishing rate threshold and yield target under current  
216 conditions and under the three climate scenarios based on the temperature-dependent  
217 recruitment model. The purpose was to calculate the management benchmarks for the

218 population under the different climate change scenarios. The environmentally explicit  
 219 stock-recruitment relationship (equation 2), can be linearized:

$$220 \quad \log_e \left[ \frac{R}{S} \right] = \log_e a - bS + cT \quad (6)$$

221 Solving for spawning stock biomass ( $S$ ) results in:

$$222 \quad S = \frac{1}{b} \left\{ \log_e \left[ a \left( \frac{S}{R} \right) \right] + cT \right\} \quad (7)$$

223 Note that the expression inside the brackets includes spawning biomass-per-recruit ( $S/R$ ).

224 Given estimates of the parameters of the recruitment models and standard yield and  
 225 spawning biomass-per-recruit analyses (Quinn and Desiro 1999), estimates of  $S/R$  are  
 226 substituted for different levels of fishing mortality [here designated as  $(S/R)_F$ ] to  
 227 determine the total spawning biomass for each fishing mortality rate. Once the total  
 228 spawning biomass corresponding to a particular level of fishing mortality ( $S_F$ ) was  
 229 determined, the corresponding recruitment was obtained by the simple identity.

$$230 \quad R_F = \frac{S_F}{(S/R)_F} \quad (8)$$

231 The equilibrium yield for each level of fishing mortality was obtained by  
 232 combining the yield per recruit at each level of fishing mortality with this predicted  
 233 recruitment level to obtain an estimate of the total yield at each level of fishing mortality:

$$234 \quad Y_F = (Y/R)_F R_F \quad (9)$$

235 The fishing rate at maximum sustainable yield ( $F_{MSY}$ ) is defined as the  $F$  resulting in the  
 236 maximum sustainable yield ( $MSY = \max(Y_F)$ ). These equations were applied to the

237 average  $S$  and  $R$  forecasts for each climate scenario resulting is  $MSY$  and  $F_{MSY}$  for each  
238 climate scenario.

239

## 240 **Results**

241 *Environmentally Explicit Stock Recruitment Relationship* - Observed recruitment of  
242 Atlantic croaker in the mid-Atlantic region is significantly correlated to minimum winter  
243 air temperature (Fig. 1A,  $r=0.68$ ,  $p<0.001$ ), strongly supporting the mechanistic  
244 recruitment hypothesis. Including a temperature term in the stock recruitment model  
245 provides a significantly better fit compared to including spawning stock biomass alone  
246 (Table A2 in the online Appendix), and explains 61% of the variance in recruitment (Fig.  
247 1B). Using the coupled climate-population model and historical temperatures shows that  
248 simulated recruitment and spawning stock biomass largely overlapped with spawning  
249 stock biomass and recruitment from the stock assessment (ASMFC 2005) providing  
250 confidence that the model captures the dynamics of the population (Fig. 1C and 1D).

251

252 *Minimum winter temperatures* - As the level of atmospheric  $CO_2$  increases, the  
253 Geophysical Fluid Dynamics Laboratory (GFDL) Climate Model 2.1 predicts that  
254 minimum winter temperatures in the Chesapeake Bay region of the United States will  
255 increase. Under the commit scenario ( $CO_2$  constant at 350 ppm), the GFDL model  
256 predicts little trend in minimum winter temperatures; fluctuations are dominated by  
257 natural variability within the climate system (Fig. 2). In contrast, under the B1 ( $CO_2$   
258 increasing to 550 ppm by 2100) and A1B ( $CO_2$  increasing to 720 ppm by 2100)

259 scenarios, the GFDL model predicts increasing minimum winter air temperatures with  
260 values higher than observed during the 20<sup>th</sup> century (Fig. 2).

261

262 *Population abundance* - With increasing minimum winter temperatures, the coupled  
263 climate-population model predicts that Atlantic croaker abundance will increase (Fig.  
264 3A). Increased temperatures result in higher recruitment, which leads to higher spawning  
265 stock biomass. Comparing historical levels (1973-2004) to projected levels of spawning  
266 stock biomass, the coupled climate-population model predicts increases of 62%, 85% and  
267 108% under the commit, B1, and A1B scenarios, assuming fishing mortality remains  
268 constant in the future. This result is intuitive based on the structure of the model and the  
269 relationship between temperature and recruitment. However, the model allows the effect  
270 of climate change on population dynamics to be quantified relative to the effect of fishing  
271 through comparison of the partial derivatives of spawning stock biomass ( $S$ ) relative to  
272 temperature ( $T$ ) ( $\frac{\partial S}{\partial T}$ , the difference is  $S$  among climate scenarios) and fishing ( $F$ ) ( $\frac{\partial S}{\partial F}$ ,  
273 the difference in  $S$  over a range of fishing mortality rates). As fishing mortality rate  
274 increases,  $\frac{\partial S}{\partial F}$  decreases (Fig 3A). In contrast,  $\frac{\partial S}{\partial T}$  remains relatively constant over the  
275 range of fishing mortality rates (Fig. 3A). As a result, at lower fishing mortality rates, the  
276 effect of climate is 10-20% of the effect of fishing, while at higher fishing mortality rates,  
277 the effect of climate is 20-30% of the effect of fishing (Fig 3B). In other words, a 1°C  
278 increase in minimum winter air temperature is approximately equivalent to 0.2 decrease

279 in fishing mortality rate. This is a substantial effect given that the estimated range of  
280 fishing rate on Atlantic croaker was 0.03 to 0.49 from 1973-2002 (ASMFC 2005).

281

282 *Population distribution* - An empirical distribution model predicts that with increasing  
283 minimum winter air temperatures, the range of Atlantic croaker will expand northward  
284 (Fig. 3C, D, E). Fishing also has a strong effect on distribution, since fishing mortality  
285 affects spawning stock biomass (Fig 3A & B). Yet, if fishing rate remains near its  
286 previous 10-year average, the population is predicted to move 50-100 km northward  
287 during the 21<sup>st</sup> century and the northern limit of the population is predicted to shift 75-  
288 175 km northward. Further, interannual variability is predicted to extend the northern  
289 limit of the population past New York in 10%-30% of the years from 2010 to 2100. In the  
290 past 5-7 years Atlantic croaker has become a regular fishery species in Delaware Bay and  
291 coastal New Jersey, and our results indicate that this trend will continue and that Atlantic  
292 croaker will be observed more frequently in waters of southern New England in the  
293 coming decades.

294

295 *Population Yield* - A yield analysis based on the coupled climate-population model  
296 estimates that management benchmarks for Atlantic croaker in the mid-Atlantic region  
297 will change dramatically with increasing minimum winter air temperatures. Fishery  
298 benchmarks are biological reference points based on exploitation characteristics of the  
299 population that are used for guidance in developing fishery management strategies  
300 (Restrepo et al. 1998). For Atlantic croaker, thresholds and targets for fishing rate and

301 spawning stock biomass have been defined relative to an estimated maximum sustainable  
302 yield ( $MSY$ ) and to the fishing mortality rate ( $F_{MSY}$ ) which, if applied constantly, would  
303 result in  $MSY$  (ASMFC 2005). Under all three climate scenarios,  $F_{MSY}$  and  $MSY$  increase  
304 compared to estimates based on average minimum winter air temperatures over the past  
305 30 years (Fig. 4). The yield curve flattens at higher temperatures, so comparing  $F_{MSY}$  is  
306 somewhat arbitrary (a range of  $F$ 's result in similar yields), but forecasted  $MSY$ 's are  
307 28%, 60%, and 106% higher under the commit, B1, and A1B climate scenarios compared  
308 to the estimated  $MSY$  based on observed minimum winter temperatures over the past 30  
309 years (Table 3).

310

## 311 **Discussion**

312 We conclude that both fishing and climate change impact the abundance and  
313 distribution of Atlantic croaker along the mid-Atlantic coast of the United States. Climate  
314 change also affects benchmarks used in fisheries management;  $MSY$  and  $F_{MSY}$  increase  
315 with increasing temperatures and thus, benchmarks for the mid-Atlantic stock of Atlantic  
316 croaker set without consideration of climate change would be precautionary (Restrepo et  
317 al. 1998). The mid-Atlantic region represents the northern limit of the species and we  
318 forecast that climate change will have positive effects on the species in this region  
319 (increased abundance and range). For species with populations at the southern end of the  
320 distribution, similar modeling has forecast opposite results. For example, in this same  
321 ecosystem, Atlantic cod is predicted to shift northwards becoming expatriated from the  
322 southern New England shelf. Further, the productivity of the cod fishery in the Gulf of



323 Maine is predicted to decrease (Fogarty et al. 2007). In the instance of Atlantic cod,  
324 benchmarks used in management may be set too high and this may lead unknowingly to  
325 unsustainable management practices even under stringent rebuilding plans (Fogarty et al.  
326 2007). This contrast illustrates that in any region, some species will be positively affected  
327 by climate change, while others will be negatively affected. Further, climate change will  
328 affect the benchmarks used in fisheries management. Understanding and quantifying the  
329 effect of climate change on populations in combination with the effect of exploitation is a  
330 major challenge to rebuilding and maintaining sustainable fisheries in the coming  
331 decades.

332 The coupled climate-population model developed here does not include all the  
333 potential climatic effects on Atlantic croaker. The weight-at-age and maturity-at-age  
334 schedules could be linked to temperature (Brander 1995, Godø 2003). The model is a  
335 single-species model, and certainly species interactions will affect the population and  
336 could be included in future modeling efforts (Overholtz and Link 2007). Also, we are  
337 dealing only with the northern stock of Atlantic croaker along the east coast of the United  
338 States (ASMFC 2005); climate effects on the population along the southeast U.S. coast  
339 and in the Gulf of Mexico are likely, but not considered. Although our model does not  
340 include all the potential complexities, it is based on a mechanistic recruitment hypothesis  
341 that is supported by both laboratory (Lankford and Targett 2001a, b) and field work  
342 (Norcross and Austin 1981, Hare and Able 2007). Further, the model is consistent with  
343 current fishery population models (Hilborn and Walters 2004) and represents one of the

344 first attempts to include climate change in a forecasting model for use in fisheries  
345 management.

346 Our forecasts are long-term, average projections for the mid-Atlantic croaker  
347 population. It is important to realize that there is substantial interannual variability in  
348 historical and forecasted temperatures, as well as in Atlantic croaker recruitment. Our  
349 longer-term forecasts could be complemented by shorter-term forecasts. The climate  
350 modeling community is focusing great effort on developing decadal scale forecasts that  
351 include both externally forced changes (e.g., CO<sub>2</sub> emissions) and internal variability (e.g.,  
352 Atlantic meridional overturning circulation, El-Niño Southern Oscillation) (Smith et al.  
353 2007, Keenlyside et al. 2008). In the future, a range of climate forecasts of the status of  
354 fish populations (5-20 years, 20-50 years, 50-100 years) could be provided to scientists,  
355 managers, and fishers. However, as our work shows, these forecasts need to include both  
356 the effect of fishing and the effect on climate on population dynamics.

357 This work demonstrates that quantitative coupled climate-population models for  
358 fishery species are tractable under certain circumstances. In the specific example, the  
359 climate-population link (survival of overwintering juveniles in shallow estuarine systems)  
360 is direct and well-reproduced by current climate models. Winter temperature is an  
361 important regulatory factor in many fish populations (Hurst 2007) and the effort here  
362 could be easily extended to some of these species. Climate-population links for many  
363 other species will be complicated and involve processes that cannot be indexed by air  
364 temperature. To develop climate-population models in these instances, climate models  
365 need to represent mechanistic hypotheses linking the regional oceanic environment to

366 population dynamics, and ultimately include the interactions between populations and  
367 species (Winder and Schindler 2004, Helmuth et al. 2006, Cury et al. 2008). The  
368 development of such coupled models will contribute to the goal of providing the best  
369 scientific advice for managing fisheries in a future of changing climate, as well as to  
370 future assessments of the effect of climate change on regional resources, ecosystems, and  
371 economies (IPCC 2007a).

372

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377

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536

537

538 Table 1. Age-specific parameters used in the population model: weight-at-age ( $W_A$ ),  
 539 proportion mature-at-age ( $M_A$ ), and proportional availability to fishing-at-age ( $s_A$ ). These  
 540 values were taken from the most recent stock assessment (ASMFC 2005).

Parameter	Age										
	0	1	2	3	4	5	6	7	8	9	10+
$W_A$ (kg)	0.05	0.12	0.22	0.32	0.43	0.52	0.61	0.68	0.74	0.79	0.83
$M_A$ (proportion)	0	0.9	1	1	1	1	1	1	1	1	1
$s_A$ (proportion)	0.06	0.50	0.67	0.83	0.97	0.97	0.97	0.97	0.97	0.97	0.97
$N_{1900}$	3.4e8	7.5e7	6.8e7	1.3e8	9.2e7	2.7e7	5.6e6	1.7e7	1.1e7	8.2e6	1.7e7

541

542 Table 2. Time specific fishing mortality rates used in the coupled climate-population  
 543 model. Values from 1900-2005 were used in the hindcasting portion of the model and  
 544 values from 2006 to 2100 were used in the forecasting portion of the model.

Years	F
1900-1934	0.2
1935-1944	0.3
1945-1954	1.3
1955-1964	0.8
1965-1982	0.6
1983-2005	0.2
2006-2015	linear between 0.2 and 2016 level
2016-2100	fixed at a level from 0 to 1 (0.1 step) with random annual component ( $\mu=0$ , $\sigma=0.02$ )

545

546

547 Table 3. Maximum sustainable yield (*MSY*) and fishing rate at maximum sustainable  
 548 yield ( $F_{MSY}$ ) based on three CO<sub>2</sub> emission scenarios simulated with the GFDL CSM 2.1.  
 549 Also, provided are the values based on the most recent stock assessment; the values  
 550 presented here are slightly different than those presented in the assessment for Atlantic  
 551 croaker (37) because the model form used here (an environmentally-explicit Ricker  
 552 stock-recruitment function) is different than that used in the stock assessment (a standard  
 553 Beverton-Holt function).

554

---

Scenario	$F_{MSY}$	Yield ( <i>MSY</i> ) (kg)
A1B	0.92	$3.77 \times 10^7$
B1	0.73	$3.04 \times 10^7$
Commit	0.60	$2.43 \times 10^7$
Observed	0.48	$1.87 \times 10^7$

---

555

556

557

558 **Figure legends**

559 Fig. 1. Relationship between Atlantic croaker recruitment and minimum winter air  
560 temperature and comparison of observed recruitment and spawning stock biomass with  
561 hindcasts developed from a coupled climate-population model. A) Relationship between  
562 minimum winter air temperature in Virginia and recruitment of Atlantic croaker ( $r=0.68$ ,  
563  $p<0.001$ ). B) Environmental stock-recruitment relationship for Atlantic croaker ( $r^2=$   
564  $0.61$ ,  $p<0.001$ ). Estimates of recruitment are shown for three fixed temperatures. C and  
565 D) Comparison of observed and modeled recruitment and spawning stock biomass from  
566 1973 to 2003 based on the coupled climate-population model. Observed values (black  
567 lines) are from the stock assessment (29). Modeled values are shown as the mean  $\pm$   
568 standard deviation of 100 runs of the coupled climate-population model.

569

570 Fig. 2. Observations and global climate model projections of minimum winter air  
571 temperature in Virginia, U.S. from 1900 to 2100. Results from three CO<sub>2</sub> emission  
572 scenarios from the GFDL CM2.1 model are shown. Long-term trends in temperature are  
573 represented by a 40 point lowess smoother fit to the annual series; these smoothed trends  
574 included a combination of observed and modeled temperatures so the divergence between  
575 observations and models occurs prior to the end of the observations.

576

577 Fig. 3. Forecasts of the effects of climate change on Atlantic croaker abundance and  
578 distribution along the mid-Atlantic coast of the United States. A) Forecast mean  
579 spawning stock biomass (2010 to 2100) for three climate scenarios (commit, B1, and

580 A1B) and a range of fishing mortality rates. Spawning stock biomasses are significantly  
581 different among climate scenarios at most levels of fishing mortality rate. B) Contours of  
582  $\frac{\partial S}{\partial T} / \frac{\partial S}{\partial F}$ , which is a measure of the relative effect of climate compared to fishing. The  
583 average minimum winter air temperature from 2010 to 2100 for climate model scenario is  
584 shown by the colored triangles on the left of panel B. C) Forecasts of mean population  
585 location, D) northern extent of the range (mean + 2 standard deviations), and E) percent  
586 of years when northern extent of the population is north of the New York apex (distance  
587 600 km). Inset shows location of various distance marks along the continental shelf. The  
588 historical values (1972-2004) of mean location (~240 km), northern extent (~420 km),  
589 and proportion of years with the measure of northern extent exceeding 600 km (0.09) are  
590 shown as grey contours in C, D and E. Arrows along the x-axis indicate the level of  
591 current fishing mortality rate. The average minimum winter air temperature from 2010 to  
592 2100 for climate model scenario is shown by the colored triangles on the left of panel E.  
593

594 Fig. 4. Fishery yield as a function of fishing mortality rate based on the temperature-  
595 dependent stock recruitment model (see Fig 1B) and three climate scenarios (commit, B1,  
596 and A1B). Yield curves are presented as lines; maximum sustainable yields (MSY) and  
597 fishing rates at maximum sustainable yields (FMSY) are indicated by triangles. Actual  
598 values of MSY and  $F_{MSY}$  are presented in Table A5 in the online Appendix.  
599

Figure 1

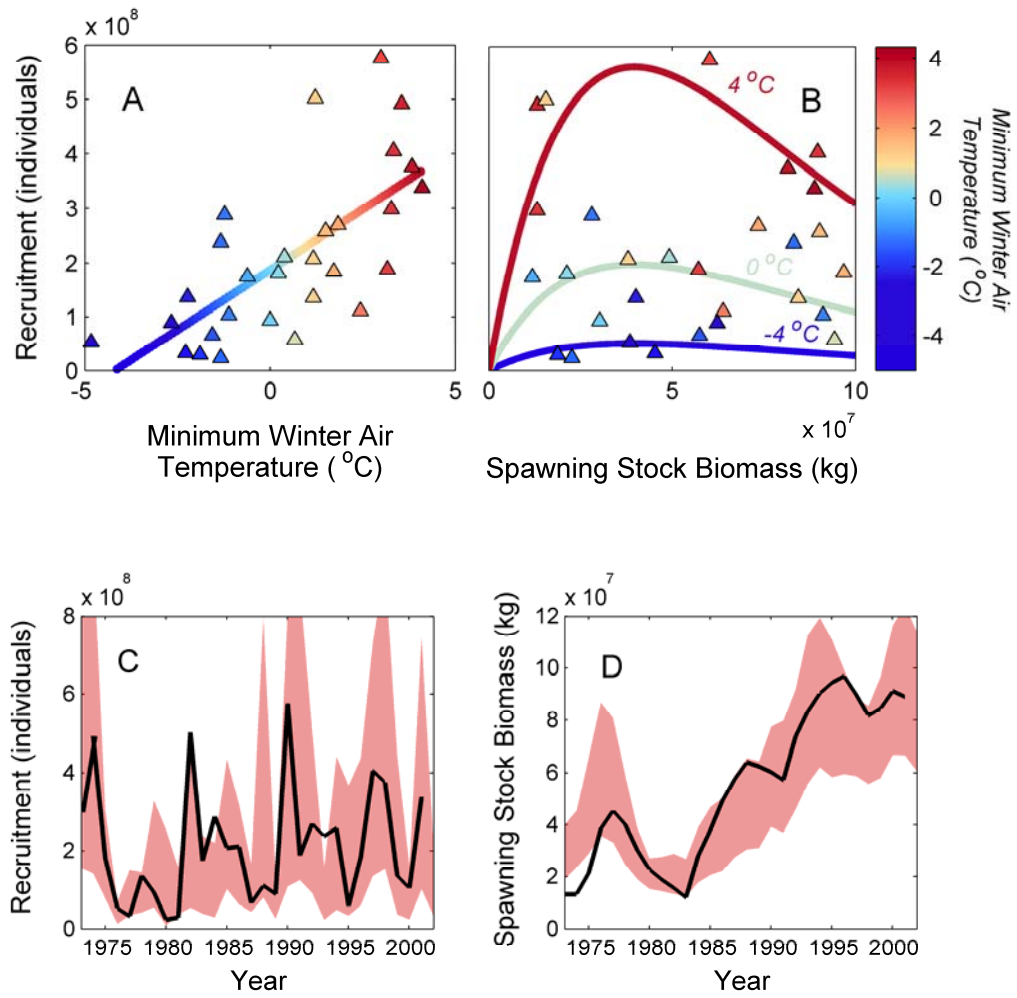




Figure 2

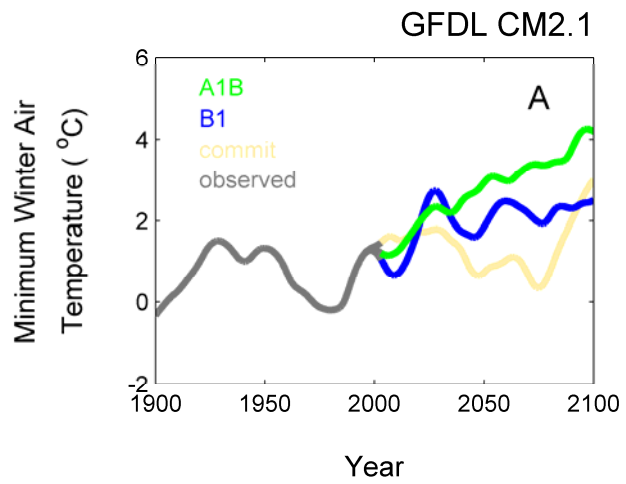


Figure 3

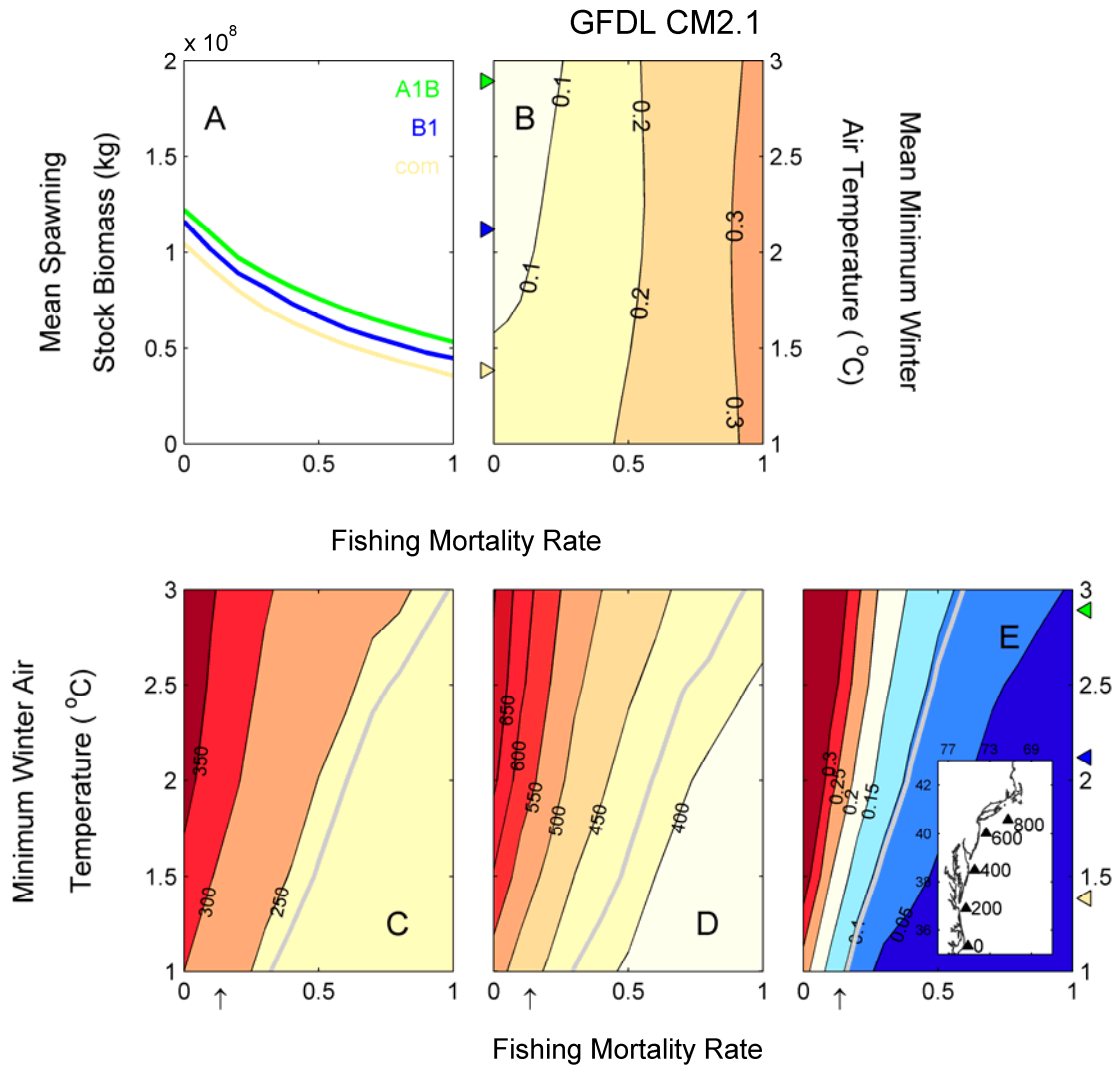


Figure 4

