

promote improved management, service quality improvement, and compliance with HIPAA requirements.

d. Applicants must include plans to introduce web-based information technology to improve State mental health agency management, planning, and performance measurement. Both the data standards and the information technology of Decision Support 2000+ (DS2000+) shall be considered in developing State and local data systems.

e. Applicants must describe plans for data collection, management, analysis, interpretation and reporting. Data collection instruments/interview protocols should be included in "Appendix 2."

### 1.3 In "Section C: Staff, Management, and Relevant Experience:"

Applicants must identify required project staff, including one Principal Investigator who is a State data representative and a co-Principal Investigator who is a State planner representative.

### 1.4 In "Section D: Evaluation and Data:"

Applicants must indicate how the project will be evaluated, including evaluation by stakeholder groups in "Section D: Evaluation and Data" of their applications.

In addition, all SAMHSA grantees are required to collect and report certain data, so that SAMHSA can meet its obligation under the Government Performance and Results Act (GPRA). Grantees of the State Mental Health Data Infrastructure Grants for Quality Improvement program will be required to report URS data (see Appendix A to this NOFA). SAMHSA will assess grantee performance by measuring State progress in reporting the URS data.

2. *Review and Selection Process:* Information about the review and selection process is available in the INF-04 PA (MOD) in Section V-2.

## VI. Award Administration Information

Award administration information, including award notices, administrative and national policy requirements, and reporting requirements are available in the INF-04 PA (MOD) in Section VI. SAMHSA's standard terms and conditions are available at [http://www.samhsa.gov/grants/2004/useful\\_info.asp](http://www.samhsa.gov/grants/2004/useful_info.asp).

## VII. Agency Contact for Additional Information

For questions concerning program issues, contact: Olinda González, Ph.D., Center for Mental Health Services, 5600 Fishers Lane, Room 15C-04, Rockville,

MD 20857; 301-443-2849; e-mail: [ogonzale@samhsa.gov](mailto:ogonzale@samhsa.gov). For questions on grants management issues, contact: Gwendolyn Simpson, SAMHSA/ Division of Grants Management, 5600 Fishers Lane, Room 13-103, Rockville, MD 20857; 301-443-4456; e-mail: [gsimpson@samhsa.gov](mailto:gsimpson@samhsa.gov).

## Appendix A: CMHS Uniform Reporting System (URS) Measures for the Mental Health Block Grant Program

### Basic Measures (existing)

Table 1. Profile of the State Population by Diagnosis

Source: Center for Mental Health Services

Table 2. Profile of Clients Served, All Programs, by Age, Gender and Race/Ethnicity

Source: Administrative Data Systems (Core Measure)

Table 3A. Profile of Clients Served in Community Mental Health Settings by Homeless Status

Source: Administrative Data Systems

Table 3B. Profile of Clients Served in State Psychiatric Hospitals and Other Inpatient Settings

Source: State Hospital or Other Inpatient Administrative Data Systems

Table 4. Profile of Adult Clients by Employment Status

Source: Administrative Data Systems (Core Measure)

Table 5. Profile of Clients by Type of Funding Support (Medicaid/Non-Medicaid)

Source: Administrative Data Systems

Table 6. Profile of Client Turnover

Source: Administrative Data Systems

Table 7. Profile of State Mental Health Agency Service Expenditures and Sources of Funding

Source: National Association of Mental Health Program Directors' Research Institute

Table 8. Profile of Community Mental Health Block Grant Expenditures for Non-Direct Service Activities

Source: State Mental Health Agency Fiscal Systems

Table 9. Public Mental Health Service System Inventory Checklist

Source: State Mental Health Agency

Table 10. Profile of Agencies Receiving Block Grant Funds Directly from the State Mental Health Authority

Source: State Mental Health Agency

Table 11. Summary Profile of Client Evaluation of Care

Source: State Mental Health agency Statewide Surveys

### State PPG Core and OMB PART Measure

Table 12. State Mental Health agency Profile

Source: Administrative Data Systems

### Developmental Measures (measures under development)

Table 13. Profile of Unmet and

Inappropriately Treated Needs of the State Population

Source: Center for Mental Health Services

Table 14. Profile of Clients Served with Serious Mental Illness (SMI) and Serious Emotional Disturbance (SED), All Programs by Age, Gender, and Race/Ethnicity

Source: Administrative Data Systems

Table 15. Profile of Clients' Living Situation in Institutional and Non-Institutional Settings

Source: Administrative Data Systems (Core Measure)

Table 16. Profile of Clients with Serious Mental Illness (SMI) and Clients with Serious Emotional Disturbance (SED) receiving Evidence-based Services (Supported Housing, Supported Employment, Assertive Community Treatment-Adults, and Therapeutic Foster Care-Children)

Source: Administrative Data Systems (Core Measure)

Table 17. Profile of Adult Clients with Serious Mental Illness (SMI) receiving Evidence-Based Services of Family Psychoeducation, Integrated Treatment for Co-occurring Disorders, and Illness Management and Recovery Skills

Source: Administrative Data Systems (Core Measure)

Table 18. Profile of Adults with Schizophrenia receiving New Generation of Medications

Source: Administrative Data Systems

Table 19. Summary Profile of Client Outcomes for Children with Increased Level of School Attendance, Children who have had Contact with the Juvenile Justice System, and Adults who have had Contact with the Criminal Justice System

Source: Administrative Data Systems (Core Measure)

Table 20. Rate of Readmission to State Psychiatric Hospitals within 30 days and 180 days.

Source: State Hospital Administrative Data Systems (Core Measure)

Dated: March 29, 2004.

### Margaret Gilliam,

*Acting Director, Office of Policy, Planning and Budget, Substance Abuse and Mental Health Services Administration.*

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## DEPARTMENT OF HOMELAND SECURITY

### Transportation Security Administration

### Notice of Intent To Request Approval From the Office of Management and Budget (OMB) for a New Public Collection of Information; Transportation Worker Identification Credential (TWIC) National Survey

AGENCY: Transportation Security Administration (TSA), DHS.

ACTION: Notice.

SUMMARY: TSA invites public comment on the new information collection requirement abstracted below that will

be submitted to OMB in compliance with the Paperwork Reduction Act.

**DATES:** Send your comments by June 4, 2004.

**ADDRESSES:** Comments may be mailed or delivered to Lolie Kull, TWIC Program Office, TSA Headquarters, East Tower, Floor 8, TSA-19, 601 South 12th Street, Arlington, VA 22202-4220.

**FOR FURTHER INFORMATION CONTACT:** Conrad Huygen, Office of Information Management Programs, TSA Headquarters, West Tower, Floor 4, TSA-17, 601 South 12th Street, Arlington, VA 22202-4220; telephone (571) 227-1954; facsimile (571) 227-2912.

**SUPPLEMENTARY INFORMATION:** In accordance with the Paperwork Reduction Act of 1995, (44 U.S.C. 3501 *et seq.*), an agency may not conduct or sponsor, and a person is not required to respond to a collection of information, unless it displays a valid OMB control number. Therefore, in preparation for submission of the specified information collection, TSA solicits comments in order to—

(1) Evaluate whether the proposed information requirement is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;

(2) evaluate the accuracy of the agency's estimate of the burden;

(3) enhance the quality, utility, and clarity of the information to be collected; and

(4) minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology where appropriate.

#### **Purpose of Data Collection**

TSA is in the process of testing the TWIC Program concept, which, if approved, will provide for a single, uniform credential nationwide for transportation workers who require access to secure transportation areas. In the Technology Evaluation phase of the TWIC Program, TSA evaluated five card technologies in many types of physical and logical access transactions, and in the Prototype phase, it intends to evaluate a broad range of business processes as they relate to credentialing, identity, and identity management.

The information collected for the TWIC National Survey differs from these pilot programs in that it will be used as a means to develop a predictive model of the current access control technology infrastructure at

transportation sites across the nation, should the TWIC be approved for implementation. The bulk of the information to be collected in the National Survey pertains to the facility (*i.e.*, number of access points, badged population, etc.), not to individuals. This information will be used to help determine implementation approaches for the TWIC Program at transportation facilities and modes across the country that differ by type and size (*e.g.*, aviation, rail, maritime, and pipeline).

#### **Description of Data Collection**

TSA will administer a data collection tool (*e.g.*, interviews and/or a web-based survey) to be used at selected transportation facilities. Participation by stakeholders will be voluntary. Selection will be based on interest/willingness to participate, site size, and mode, so as to survey a representative sample of sites nationwide. The survey will have a list of questions designed to collect the following information: (a) Facility name, (b) facility access control technology and infrastructure information (*e.g.*, number of access points, number of worker credentials issued annually, defined secure areas, etc.), (c) facility contact information (*e.g.*, phone number and e-mail address), and (d) company, organization, or affiliation. The respondents who choose to participate in the surveys will be asked to return the completed survey within two weeks of receipt. TSA estimates a total of up to 300 respondents and, based on an estimated two-hour burden per respondent, a maximum program-wide burden of approximately 600 hours.

TSA intends to collect data via the following instruments:

(1) *Site Surveys.* TSA intends to conduct site surveys at transportation sites nationwide. The surveys will be administered using an interview methodology, in which the TSA representative will ask site Security Directors, or their designee, questions pertaining to the site's access control technology infrastructure. While at the site, the TSA representative will also tour the facility to gain a thorough understanding of the site's layout.

(2) *Web-based Survey.* After a thorough understanding of site infrastructures is gained through site surveys, the National Survey will be available as a web-based survey to selected sites. These surveys will be filled out by site Security Directors, or their designee, and be retrieved by a TSA representative. The results of these surveys will serve to further contribute to the data that will be analyzed to develop the predictive model.

#### **Use of Results**

The targeted outcome of this data collection will be used to create a predictive model that will aid the TWIC Program in determining the level of effort and capital investment needed to implement the TWIC Program at sites based on their respective site-specific information.

Issued in Arlington, Virginia, on March 26, 2004.

**Susan T. Tracey,**

*Chief Administrative Officer.*

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## **DEPARTMENT OF HOMELAND SECURITY**

### **Transportation Security Administration**

#### **Notice of Intent To Request Approval From the Office of Management and Budget (OMB) for Three New Public Collections of Information; Transportation Worker Identification Credential (TWIC) Prototype; Transportation Worker Survey; Lead Stakeholder Port Security Interviews**

**AGENCY:** Transportation Security Administration (TSA), DHS.

**ACTION:** Notice.

**SUMMARY:** TSA invites public comment on three new information collection requirements abstracted below that will be submitted to OMB in compliance with the Paperwork Reduction Act.

**DATES:** Send your comments by June 4, 2004.

**ADDRESSES:** Comments may be mailed or delivered to Lolie Kull, TWIC Program Office, TSA Headquarters, East Tower, Floor 8, TSA-19, 601 South 12th Street, Arlington, VA 22202-4220.

**FOR FURTHER INFORMATION CONTACT:** Conrad Huygen, Office of Information Management Programs, TSA Headquarters, West Tower, Floor 4, TSA-17, 601 South 12th Street, Arlington, VA 22202-4220; telephone (571) 227-1954; facsimile (571) 227-2912.

**SUPPLEMENTARY INFORMATION:** In accordance with the Paperwork Reduction Act of 1995, (44 U.S.C. 3501 *et seq.*), an agency may not conduct or sponsor, and a person is not required to respond to a collection of information, unless it displays a valid OMB control number. Therefore, in preparation for submission of the specified information collection, TSA solicits comments in order to—

(1) Evaluate whether the proposed information requirement is necessary for