



Issue Date: 07 September 2006

Case No.: 2006-SOX-00096

In the Matter of:

CURTIS HELM,
Complainant

v.

ROCKWELL AUTOMATION,
Respondent

DECISION AND ORDER APPROVING SETTLEMENT

This case arose under the whistle-blower protection section of the Sarbanes Oxley Act or 2002 (SOX), Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, 18 U.S.C. § 1514A, et. seq. In accordance with 20 C.F.R. § 18.9, the parties have notified the undersigned that they have reached a settlement, and request that the terms of this settlement remain confidential. Complainant has also made a request to withdraw his objections to the Secretary's findings and moves for the case to be dismissed with prejudice.

I have carefully considered the facts of this case and the terms of the settlement, and upon careful evaluation of the same conclude that the settlement is fair and in the best interest of both parties. Moreover, I find that the agreement was arrived at without duress and only after full exploration by the parties of all issues in dispute and the difficult legal and factual questions involved.

Accordingly, Complainant's Motion for Approval of the Confidential Settlement Agreement and Motion to Dismiss the Case with Prejudice are hereby GRANTED.

A

PAUL H. TEITLER
Administrative Law Judge

Cherry Hill, New Jersey