



Issue Date: 23 November 2004.....

In The Matter of:

RONALD A. ROGANTI,
Complainant,

Case No. 2004-SOX-5

v.

METLIFE FINANCIAL SERVICES,
Respondent.
.....

ORDER DISMISSING CLAIM

Complainant, Ronald A. Roganti, stated by letter dated June 28, 2004 that he has decided to pursue this matter filed under Section 806 of the Sarbanes-Oxley Act before an arbitration panel at the NASD. His letter further stated that if the NASD should decline jurisdiction then he requests the opportunity to reinstate the matter before the undersigned Administrative Law Judge.

By letter dated July 1, 2004, the undersigned responded to complainant's request by acknowledging receipt of the request and referencing an earlier telephone conference call where the complainant stated that he intended to withdraw his claim. The letter also advised the complainant that the undersigned was not aware of any procedure that would allow the complainant to reinstate his complaint once it was withdrawn. The letter asked complainant, in light of the advise that the complaint could not be reinstated, to respond within fifteen days of whether he still intended to withdraw his complaint, and instructed complainant that if he did not respond, it would be assumed that the complainant still intended to withdraw his complaint, and the complaint would be dismissed.

Complainant did not respond to the July 1, 2004 letter.

ORDER

In consideration of the aforesaid, complainant's withdrawal is acknowledged, and it is hereby **ORDERED** that, his complaint is dismissed and the docket is marked as closed and discontinued.

A
Thomas M. Burke

Associate Chief Administrative Law Judge