

## **AmeriCorps State and National**

## Additional Information Forms for Hard Copy Applications

Deadline January 8, 2008

OMB Control #: 3045-0047 Expiration Date: 4/30/2009

## **IMPORTANT NOTICE**

These application instructions conform to the Corporation for National and Community Service's (the Corporation) online grant application system, eGrants. The eGrants system is designed to serve the Corporation's applicants and grantees. All Corporation funding announcements are posted on our web site <u>www.cns.gov</u> and at <u>www.grants.gov</u>.

**Public Burden Statement**: The Paperwork Reduction Act of 1995 requires the Corporation to inform all potential persons who are to respond to this collection of information that such persons are not required to respond unless it displays a currently valid OMB control number. (See 5 CFR 1320.5(b)(2)(i)).

**Time Burden**: The time required to complete this collection of information is estimated to average 24 hours per applicant, including the time to review instructions; search existing data resources; gather the data needed; and complete and review the information collection before submitting.

**Use of Information**: The information collected constitutes an application to the Corporation for grant funding. The Corporation evaluates the application and makes funding decisions through the Corporation's grant review and selection process.

**Effects of Non-Disclosure**: Providing this information is voluntary; however, failure to provide the information would not allow the Corporation to assess the applicant's request for funding. Therefore it would not be possible to consider granting funds to the applicant.

**Privacy Act:** Information provided for this collection may be shared with federal, state, and local agencies for law enforcement purposes.

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## **SECTION A:** Facesheet Instructions (Applicant Information and Application Information Sections)

Modified Standard Form 424 (Rev. 11/02 to conform to the Corporation's eGrants system)

This form is required for applications submitted for federal assistance.

### Item #

- 1. Filled in for your convenience.
- 2. Self-explanatory.
- 3. 3. a. and 3. b. are for state use only (if applicable).
- 4. Item 4. a: Leave blank.

Item 4. b: If you are a recipient in year 2 or 3 of an already-awarded grant, enter the grant number, otherwise, leave blank.

- 5. Enter the following information:
  - a. The complete name of the organization that will be legally responsible for the grant, not the name of the organizational unit within the legally responsible organization. (For example, indicate "National University" instead of "Liberal Arts Department.")
  - b. Your organization's DUNS number (received from Dun and Bradstreet).
  - c. The name of the primary organizational unit that will undertake the assistance activity, if different from 5. a.
  - d. Your organization's complete address with the 5 digit ZIP code. The four-digit extension is optional.
  - e. The name and contact information of the project director or other person to contact on matters related to this application.
- 6. Enter your Employer Identification Number (EIN) as assigned by the Internal Revenue Service.
- 7. Item 7. a.: Enter the appropriate letter in the box.Item 7. b.: Please enter the characteristic(s) that best describe your organization.

#### K-12 Education

- 1 School (K-12)
- 2 Local Education Agency
- 3 State Education Agency

#### **Higher Education**

- 4 Vocational/Technical College
- 5 Community College
- 6 2-year College
- 7 4-year College
- 8 Hispanic Serving College or University
- 9 Historically Black College or University
- 10 Tribally Controlled College or University

#### Government

- 23 Local Government-Municipal
- 24 Health Department
- 25 Law Enforcement Agency
- 26 Governor's Office
- 27 State Commission/Alternative Administrative Entity

#### Non-Profit Organizations

- 11 Community-Based Organization
- 12 Faith-Based Organization
- 13 Chamber of Commerce/ Business Association
- 14 Community Action Agency/ Program
- 15 Service/Civic Organization
- 16 Volunteer Management Organization
- 17 Self-Incorporated Senior Corps Project
- 18 Statewide Association
- 19 National Non-Profit (Multistate)
- 20 Local Affiliate of National Organization
- 21 Tribal Organization (Non-government)
- 22 Other Native American Organization
- 28 Other State Government
- 29 Tribal Government Entity
- 30 Area Agency on Aging
- 31 U.S. Territory

- 8. Check the appropriate box for type of application and enter the appropriate letter(s) in the lower boxes:
  - Check "New" if your organization has never held an AmeriCorps State program grant before.
  - Check "New Application/Previous Grantee" if your organization has held an AmeriCorps State and Territory Competitive program grant in the past and the application is for a new grant.
  - Check "Continuation" if you are a grantee applying for an additional year of funding within an existing multi-year grant project period. AmeriCorps State program grants are typically awarded for three year periods.
  - Check "Amendment" if you are a grantee proposing any measurable change in an existing grant award; e.g., a budget amendment, extension, changes in the program scope or goals, etc.

If you are proposing an amendment to your grant, check the type of revision you are submitting.

- A. Select "Augmentation" if you are an AmeriCorps State and Territory Competitive grantee submitting a revised budget to incorporate a Corporation-authorized increase.
- B. Select "Budget Revision" to make a change in the grant budget, including slots.
- C. Select "No-cost Extension" to request an extension of the grant period, then enter the extension date requested in the blank following the checkbox. No-cost extensions can be requested only in the third year of the 3-year grant cycle and must be requested before the project period ends.
- D. Select "Other," as applicable, and specify in the blank provided.
- 9. Filled in for your convenience.
- 10. Use the following list of CFDA (Catalog of Federal Domestic Assistance) numbers for the applicable program listing, or other source if so instructed in the *Notice*: 94.006 AmeriCorps State and National.
- 11. Enter the project title.
  - a. When applying for a "Continuation" or "Amendment" applicants should use the same title as used for their existing grant program. When applying as a "New Applicant/Previous Grantee" if the application is for re-funding of a previous grant program, use the same title as was used in the prior grant program if appropriate (i.e., if the program is unchanged).
  - b. Enter the name of the Corporation's program initiative, if any, as provided in the instructions corresponding to the *Notice* for which you are applying; otherwise, leave blank.
- 12. List only the largest political entities affected (e.g., counties, and cities).
- 13. (See item 8) "New" application or "New application/previous grantee:" Enter the dates for the proposed project period. "Continuation" or "Amendment" application: Enter the dates of the approved project period.

Performance Period: this appears only in eGrants, and is for the use of staff only.

- 14. Leave blank, staff use only.
- 15. Estimated Funding. Check the appropriate box to indicate the grant year for which funding is being requested. Enter the amount requested or to be contributed <u>during this budget period</u> on each appropriate line, as shown below. The value of in-kind contributions should be included in these amounts, as applicable. For revisions (See item 8), if the action will result in a dollar

change to an existing award, include <u>only</u> the amount of the change. For decreases, enclose the amounts in parentheses.

- **a.** Federal The total amount of federal funds being requested in the budget.
- **b. Applicant** The total amount of the applicant share as entered in the budget.
- **c. State** The amount of the applicant share that is coming from state sources.
- **d. Local** The amount of the applicant share that is coming from local governmental sources (e.g., city, county and other municipal sources).
- e. Other The amount of the applicant share that is coming from non-governmental sources.
- f. Program<br/>IncomeThe amount of the applicant share that is coming from income generated by<br/>programmatic activities (i.e., use of the additive option where program<br/>income is used to increase the size of the program).
- **g. Total** The applicant's estimate of the total funding amount for the agreement.
- 16. This program is excluded from coverage by State Executive Order 12372. Please check 16. b., "No."
- 17. Check the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit allowances, loans, and taxes. If Yes, attach an explanation.
- 18. The person who signs this form must be the applicant's authorized representative. A copy of the governing body's authorization for this official representative to sign must be on file in the applicant's office.
- Note: Falsification or concealment of a material fact, or submission of false, fictitious or fraudulent statements or representations to any department or agency of the United States Government may result in a fine of not more than \$10,000 or imprisonment for not more than five (5) years, or both. (18 U.S. Code Section 1001

	EDERAL ASSISTANCE	E	1. TYPE OF SUBMISSION:		
Standard Form 424 (Rev. 9-2003) Prescribe	-				
2. a. DATE SUBMITTED:	3. a. DATE RECEIVED BY STA	ATE:	3. b. STATE APPLICATION IDENTIFIER:		
2. b. APPLICATION IDENTIFIER:	4. a. DATE RECEIVED BY FEI AGENCY:	DERAL	4. b. FEDERAL IDENTIFIER: (Staff Only)		
5. APPLICANT INFORMATION					
		5. e. NAME	AND TELEPHONE NUMBER OF PERSON TO BE CONTACTED	ON	
5. a. LEGAL NAME:		MATTERS I	NVOLVING THIS APPLICATION (give area code):		
5. b. ORGANIZATIONAL DUNS:					
5. c. ORGANIZATIONAL UNIT (DEPAR'	,				
5. d ADDRESS (give street address, city,	county, state and zip code):	NAME:			
STREET:		TELEPHON	e NUMBER: ( ) –		
CITY: COUNTY:					
STATE: COUNTRY:		FAX NUMB	ER: ( ) – EMAIL:		
		A. State B. Count C. Munia D. Town E. Inters F. Intern G. Specia O. Federa Q. State I	7. a. TYPE OF APPLICANT: (enter appropriate letter in box)         A. State       H. Independent School District         B. County       I. State Controlled Institution of Higher Learning         C. Municipal       J. Private University         D. Township       K. Indian Tribe         E. Interstate       L. Individual         F. Intermunicipal       M. Profit Organization         G. Special District       N. Private Non-Profit Organization         O. Federal Government       P. HQ Internal Organizations         Q. State Education Agency       R. Territory         S. Other (specify)       Territory		
A. AUGMENTATION B. BUI	DGET REVISION:	7. b. CNCS A	7. b. CNCS APPLICANT CHARACTERISTICS Enter appropriate codes:		
C. NO COST EXTENSION to <u>(enter date)</u>					
E. OTHER (specify below)			OF FEDERAL AGENCY: for National and Community Service		
		Corporation	for reactional and community service		
10. CATALOG OF FEDERAL DOMES	STIC ASSISTANCE NUMBER:	11. a. DESC	CRIPTIVE TITLE OF APPLICANT'S PROJECT:		
12. AREAS AFFECTED BY PROJECT	f (List Cities, Counties, States, etc.):	11.b. CNCS	PROGRAM INITIATIVE (IF ANY):		
13. PROPOSED PROJECT: START DA	FE: ENDING DATE:	14. Perform	ance Period (Staff Use Only_		
15. ESTIMATED FUNDING: Check app	licable box: Yr 1: 🗌 Yr.2: 🔲 Yr. 3: 🗌	16. IS	S APPLICATION SUBJECT TO REVIEW BY STATE EXECUT	IVE	
a. FEDERAL			PRDER 12372 PROCESS?		
\$		a.	YES. THIS PREAPPLICATION/APPLICATION WAS	MADE	
b. APPLICANT \$ c. STATE		AVAII	LABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESSS FO	R	
d. LOCAL			REVIEW ON: DATE		
e. OTHER		b. N	0. 🛛 PROGRAM IS NOT COVERED BY E.O. 12372		
s					
f. PROGRAM INCOME \$		17. IS	THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?		
g. TOTAL \$			YES If "Yes," attach an explanation.		
			PLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS B NT WILL COMPLY WITH THE ATTACHED ASSURANCES IF		
a. TYPED NAME OF AUTHORIZED REP	PRESENTATIVE: b. TITLE:	:	c. TELEPHONE NUMBER:		
d. SIGNATURE OF AUTHORIZED REPR	ESENTATIVE:		e. DATE SIGNED:		

## **SECTION B:** Program Model, Design, Location, and Focus (Applicant Information Section)

## SECTION I: PROGRAM MODEL

Directions: Choose one primary and one secondary program model, if applicable.

$\checkmark$	Section I: Project Models (select one for primary and another for secondary)					
	Youth Corps	A full-time year-round youth corps program or full-time summer youth corps program, such as a conservation corps or youth service corps that undertakes meaningful service projects with visible public benefits; includes as participants youths and young adults between the ages of 16 and 25 inclusive, including out-of-school youths and other disadvantaged youths.				
	Community CorpsA community corps program that meets unmet human, education environmental, or public safety needs and promotes greater cor- unity through the use of organized teams of participants of vari and economic backgrounds, skill levels, physical and developm capabilities, ages, ethnic backgrounds, or genders.A campus-based program that is designed to provide substantial					
Campus-basedA campus-based program that is designed to provide substantial in a community during the school term and during summer or o vacation periods through the use of students who are attending a institution of higher education.						
Pre-Professional CorpsA pre-professional training program in which studer institution of higher education receive training in sp may include classes containing service-learning; per to such training outside the classroom during the sch summer and other vacation periods; and agree to pro graduation to meet unmet human, educational, envir safety needs related to such training.Professional CorpsA professional corps program that recruits and place participants to meet unmet human, educational, envir public safety needs in communities with an inadeque		A pre-professional training program in which students enrolled in an institution of higher education receive training in specified fields, which may include classes containing service-learning; perform service related to such training outside the classroom during the school term and during summer and other vacation periods; and agree to provide service upon graduation to meet unmet human, educational, environmental, or public safety needs related to such training.				
		A professional corps program that recruits and places qualified participants to meet unmet human, educational, environmental, or public safety needs in communities with an inadequate number of such professionals.				
	Entrepreneur Corps	A national service entrepreneur program that identifies, recruits, and trains gifted young adults of all backgrounds and assists them in designing solutions to community problems.				
	Intergenerational Program	An intergenerational program that combines students, out-of-school youths, and older adults as participants to provide needed community services, including an intergenerational component for other national service programs described in this subsection.				
Service- Learning Program		A program that provides specialized training to individuals in service-learning and places the individuals after such training in positions, including positions as service-learning coordinators to facilitate service-learning in programs eligible for funding under Learn and Serve America School-Based and Community-Based Grants.				
	Rural Corps	A program designed to meet the needs of rural communities, using teams or individual placements to address the development needs of rural communities and to combat rural poverty, including health care, education, and job training.				
	Hunger Elimination Program	A program that seeks to eliminate hunger in communities and rural areas through service in projects involving food banks, food pantries, and nonprofit organizations that provide food during emergencies.				

## SECTION II: PROGRAM DESIGN

## Directions: Choose one or more project designs.

$\checkmark$	Section II: Program	n Design			
	<b>Team-Based</b> A program where members regularly function as a team during t service week.				
	Individual Placement /Scattered SiteA program that places one or two members at sites in a variety of locations.				
	Intermediary Organization	Intermediary organizations provide the mechanism by which a number of community or faith-based organizations or grassroots groups may access AmeriCorps and other Corporation resources. We define intermediaries as national, regional, state, or local organizations that agree to provide the technical and financial support to assist community or faith-based organizations that do not have the capacity to perform these functions. Intermediaries serve as the legal applicant for a Corporation grant, thereby ensuring that the systems to manage a federal grant are in place.			
	Statewide	A program that operates throughout the state and may or may not			
	Initiative	have a single issue focus.			

### SECTION III: PROGRAM LOCATION

## Directions: Please enter your program's location information.

$\checkmark$	Geography (please check one)					
	Urban	A program designed to meet the needs of urban communities.				
	Rural	A program designed to meet the needs of rural communities.				
	A program designed to meet the needs of both urban and rural communities.					
		Areas of Need Identification: Check all that apply (optional)				
	Areas Affected by Military Downsizing	Areas adversely impacted by reductions in defense spending or the closure or realignment of military installations.				
	Empowerment Zones or Redevelopment Areas	Communities designated as empowerment zones or redevelopment areas that are targeted for special economic incentives, or otherwise identifiable as having high concentrations of low-income people.				
	Environmentally Distressed Areas	Areas that are environmentally distressed.				
		Areas adversely affected by federal actions related to the management of federal lands that result in significant regional job losses and economic dislocation.				
	Areas with High Unemployment RatesAreas that have an unemployment rate greater than the national ave unemployment for the most recent 12 months for which satisfactory data are available.					

Di	Directions: Choose one or more program focus areas from below.						
$\checkmark$	Section IV: Program						
	African American community	Pre-school Children	At-Risk Youth				
	Asian American community	K-12 Students	Children of Prisoners				
	Latin American community	Young Adults (17-24)	Foster Children				
	Native American community	College Students					
	Families/Parents	Incarcerated Individuals and Ex- Offenders	Seniors				
	Homeless	Low-Income Community	Unemployed				
	Homeless Veterans	Low-Income Housing Residents	Veterans				
	Immigrants	Mentally/Physically Challenged Persons with HIV/AIDS	Victims/Potential Victims of Crime				
	Asset Accumulation	lationCommunity and faith-based organizations that conduct activities that empower the poor through asset accumulation programs including home ownership, individual development accounts, and financial literacy.Community and faith-based organizations that conduct activities that strengthen families to break the intergenerational cycle of poverty.					
	Strengthening Families						

## SECTION IV: PROGRAM FOCUS

## **APPENDIX C: Issue Areas and Service Categories** (Performance Measures Section)

In this section you will select service categories that describe your program activities. First select an issue area, and then choose one or more service categories. When you have selected all applicable service categories, indicate which service category is the primary one by entering a 1 next to the check box, and which is the secondary by entering a 2 next to the checkbox. Only one service category can be indicated as the primary, and one as the secondary.

#### Issue Areas and Service Categories (Issue Areas in Bold)

Community and Economic Development	Special Education
Community-based Volunteer Programs	Tutoring & Child Literacy—Elementary
Community Revitalization/Improvement	Tutoring & Child Literacy—High School
Consumer Education	Tutoring & Child Literacy—Middle School
Cooperatives/Credit Unions	Vocational Education
Food Production/Community Gardens/Farming	Vouth Leadership/Development
Job Development/Placement	
Management Consulting	Environment
Micro Enterprise	Clean Air
Other Economic and Community Development	Clean and Safe Water
Public Safety	Community Restoration/Clean Up
Regional/State/City Planning	Energy Conservation
Small/Minority Business Development	Environmental Awareness
Social Services Planning & Delivery	Indoor Environment
Systems/Community Organization	Other Environment
Tax Counseling/Counseling	Toxic Waste Management
Technology Access	Waste Reduction, Management, and Recycling
Thrift Store	Wildlife, Land & Vegetation Protection or
Transportation Services	Restoration
Welfare to Work	Restoration
Disaster Recovery/Relief	Health/Nutrition
Disaster Mitigation	Boarder Babies
Disaster Preparedness	
Disaster Recovery	Congregate Meals
Disaster Response	Delivery of Health Services
Other Disaster	Food Distribution/Collection
	Health Education
Education	Health Screening
Adult Education and Literacy	Hospice/Terminally Ill
After School Programs	
America Reads	In-Home Care
Computer Literacy	Maternal/Child Health Services
Cultural Heritage	Mental Health
	Mental Retardation
Elementary Education	Other Health/Nutrition
GED/Dropouts	Physical Disabilities Programs
	Substance Abuse
Head Start/School Preparedness	
Job Preparedness/School to Work	Unmaland Scourity
Library Services	Homeland Security
Other Education	Disaster Preparedness/Relief
Pre-Elementary Day Care	Public Health
Secondary Education	Other Homeland Security
Service-Learning	Public Safet

Human Needs	Tenant Organizing
Adoption	Transitional Housing
Adult Day Care/Senior Center	
Companionship/Outreach	Public Safety
Crisis Intervention	Adult Offender/Ex-Offender
Intensive Mentoring (at least 1 hour weekly for	Services/Rehabilitation
at least 9 months)	Child Abuse/Neglect
Mentoring	Children & Youth Safety Programs
Other Human Needs	Community Policing/Community Patrol
Respite	Conflict Resolution/Mediation
Senior Center Program (Non Residential)	Crime Awareness/Crime Avoidance
Senior Citizen Assistance	Elder Abuse/Neglect
Teen Pregnancy/Abstinence/Parent Support	Family Violence
	Improvement of Household Security
Housing	Juvenile Justice, Delinquency, Gangs
Home Management Support/Education	Legal Assistance
Homeless	Neighborhood Watch/Block Watch
Housing Referrals/Relocation/Other	Other Public Safety
Housing Rehabilitation/Construction	Safe Havens
Independent Living—Disabled	Safety/Fire Prevention/Accident Prevention
Independent Living—Seniors	Sexual Abuse/Rape
Other Housing	Victim/Witness Assistance

## **APPENDIX D: Performance Measure Worksheet** (Performance Measures Section)

Please fill in the performance measure information for each section. **General Info** Performance Measurement Title: Measure Category (choose one): Needs and Service Activities Participant Development **Strengthening Communities** Service Category addressed by this Performance Measure Worksheet (see Appendix A, Service Categories): **Needs and Activities** Briefly describe the need to be addressed (4,000 characters or less): Briefly describe how you will achieve this result (4,000 characters or less): How many AmeriCorps members will be participating in this activity? How many days per week (on average) will this activity occur? How many hours per day (on average) will this activity occur? When does this activity begin? When does this activity end? Results The outputs and outcomes you intend to track for a particular activity: **Result Type Outputs** are counts of the amount of service members or volunteers have completed, but do not provide information on benefits to or other changes in the lives of members and/or beneficiaries. Intermediate-outcomes specify changes that have occurred in the lives of members and/or beneficiaries, but are short of a significant benefit for them. End-outcomes specify changes that have occurred in the lives of members and/or beneficiaries that are significant. **Result: Output Result Statement:** 1-2 sentences stating the expected result. **Indicator:** A specific, measurable item of information that specifies progress toward achieving a result. Indicator: Other Indicator:

## Targets Target Description: # (number) or % (percent): Instruments: Specific tool to collect information (e.g. behavior checklist, tally sheet, attitude questionnaire, interview protocol). **Result: Intermediate Outcome Result Statement:** 1-2 sentences stating the expected result. Indicator: A specific, measurable item of information that specifies progress toward achieving a result. Indicator: Other Indicator: **Targets** Target Description: # (number) or % (percent): Instruments: Specific tool to collect information (e.g. behavior checklist, tally sheet, attitude questionnaire, interview protocol). **Result: End Outcome Result Statement:** 1-2 sentences stating the expected result.

**Indicator:** A specific, measurable item of information that specifies progress toward achieving a result.

### Indicator:

Other Indicator:

## Targets

Target Description:

# (number) or % (percent):
Instruments: Specific tool to collect information (e.g. behavior checklist, tally sheet, attitude questionnaire, interview protocol).

## **Performance Measure Statement (summary)**

Combine expected results and targets into a sentence:

## **SECTION E:** Assurances and Certifications (Review, Authorize and Submit Section)

#### Instructions

By signing and submitting this application, as the duly authorized representative of the applicant, you certify that the applicant will comply with the Assurances and Certifications described below.

#### a) Inability to certify

Your inability to provide the assurances and certifications listed below will not necessarily result in denial of a grant. You must submit an explanation of why you cannot do so. We will consider your explanation in determining whether to enter into this transaction. However, your failure to furnish an explanation will disqualify your application.

#### b) Erroneous certification or assurance

The assurances and certifications are material representations of fact upon which we rely in determining whether to enter into this transaction. If we later determine that you knowingly submitted an erroneous certification or assurance, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

#### c) Notice of error in certification or assurance

You must provide immediate written notice to us if at any time you learn that a certification or assurance was erroneous when submitted or has become erroneous because of changed circumstances.

#### d) Definitions

The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded" as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. An applicant shall be considered a "prospective primary participant in a covered transaction" as defined in the rules implementing Executive Order 12549. You may contact us for assistance in obtaining a copy of those regulations.

#### e) Assurance requirement for subgrant agreements

You agree by submitting this proposal that if we approve your application you shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by us.

#### f) Assurance inclusion in subgrant agreements

You agree by submitting this proposal that you will obtain an assurance from prospective participants in all lower tier covered transactions and in all solicitations for lower tier covered transactions that the participants are not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction.

#### g) Assurance of subgrant principals

You may rely upon an assurance of a prospective participant in a lower-tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless you know that the assurance is erroneous. You may decide the method and frequency by which you determine the eligibility of your principals. You may, but are not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

#### h) Non-assurance in subgrant agreements

If you knowingly enter into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

#### i) Prudent person standard

Nothing contained in the aforementioned may be construed to require establishment of a system of records in order to render in good faith the assurances and certifications required. Your knowledge and information is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

### **ASSURANCES**

As the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that the applicant:

- Has the legal authority to apply for federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 CFR 900, Subpart F).
- Will comply with all federal statutes relating to nondiscrimination. These include but are not limited to: Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686). which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of disability (d) The Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290dd-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the National and Community Service Act of 1990, as amended; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C 276a and 276a-77), the Copeland Act (40 U.S.C 276c and 18 U.S.C. 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for Federally assisted construction sub-agreements.
- Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires the recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C 1451 et seq.); (f) conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16U.S.C. 469a-l et seq.).
- Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984, as amended, and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations.
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, application guidelines, and policies governing this program.

#### For AmeriCorps\*State and National Direct Applicants ONLY

If you are not applying for a grant through AmeriCorps, you may ignore this section.

- Will comply with all rules regarding prohibited activities, including those stated in applicable Notice, grant provisions, and program regulations, and will ensure that no assistance made available by the Corporation will be used to support any such prohibited activities.
- Will comply with the nondiscrimination provisions in the national service laws, which provide that an individual with
  responsibility for the operation of a project or program that receives assistance under the national service laws shall not
  discriminate against a participant in, or member of the staff of, such project or program on the basis of race, color,
  national origin, sex, age, political affiliation, disability, or on the basis of religion (except that the prohibition on
  religious discrimination does not apply to the employment of any staff member paid with non-Corporation funds or
  paid with Corporation funds but employed with the organization operating the project on the date the grant was
  awarded.
- Will comply with all other federal statutes relating to nondiscrimination, including any self-evaluation requirements. These include but are not limited to: (a)Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686). which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of handicaps (d) The Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) The Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) The Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; and (i) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- Will provide, in the design, recruitment, and operation of any AmeriCorps program, for broad-based input from (1) the community served and potential participants in the program; and (2) community-based agencies with a demonstrated record of experience in providing services and local labor organizations representing employees of service sponsors, if these entities exist in the area to be served by the program;
- Will, prior to the placement of participants, consult with the appropriate local labor organization, if any, representing employees in the area who are engaged in the same or similar work as that proposed to be carried out by an AmeriCorps program, to ensure compliance with the nondisplacement requirements specified in section 177 of the NCSA;
- Will, in the case of an AmeriCorps program that is not funded through a State, consult with and coordinate activities with the state commission for the state in which the program operates.

- Will ensure that any national service program carried out by the applicant using assistance provided under section 121 of the National and Community Service Act of 1990 and any national service program supported by a grant made by the applicant using such assistance will address unmet human, educational, environmental, or public safety needs through services that provide a direct benefit to the community in which the service is performed;
- Will comply with the nonduplication and nondisplacement requirements set out in section 177 of the National and Community Service Act of 1990, and in the Corporation's regulations at § 2540.100;
- Will comply with the grievance procedure requirements as set out in section 176(f) of the National and Community Service Act of 1990 and in the Corporation's regulations at 45 CFR § 2540.230;
- Will provide participants in the national service program with the training, skills, and knowledge necessary for the projects that participants are called upon to perform;
- Will provide support services to participants, such as information regarding G.E.D. attainment and post-service employment, and, if appropriate, opportunities for participants to reflect on their service experiences;
- Will arrange for an independent evaluation of any national service program carried out using assistance provided to the applicant under section 121 of the National and Community Service Act of 1990 or, with the approval of the Corporation, conduct an internal evaluation of the program;
- Will apply measurable performance goals and evaluation methods, which are to be used as part of such evaluation to determine the program's impact on communities and persons served by the program, on participants who take part in the projects, and in other such areas as required by the Corporation;
- Will ensure the provision of a living allowance and other benefits to participants as required by the Corporation;
- If a state applicant, will ensure that the State sub-grants will be used to support national service programs that were selected by the State on a competitive basis;
- If a state applicant, will seek to ensure an equitable allocation within the State of assistance and approved national service positions, taking into consideration such factors as the locations of the programs, population density, and economic distress;
- If a state applicant, will ensure that not less than 60% of the assistance will be used to make grants to support national service programs other than those carried out by a State agency, unless the Corporation approves otherwise.

### **CERTIFICATIONS**

#### Certification - Debarment, Suspension, and Other Responsibility Matters

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, Section 85.510, *Participants' responsibilities*.

- A. As the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that neither the applicant nor its principals:
  - Is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency.
  - Has, within a three-year period preceding this application, been convicted of, or had an adverse civil judgment entered in connection with, fraud or other criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction or records, making false statements, or receiving stolen property.
  - Is presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification, and
  - Has not, within a three-year period preceding this application, had one or more public transactions (federal, state or local) terminated for cause or default;
- B. If you are unable to certify to any of the statements in this certification, you must attach an explanation to this application.

#### **Certification - Drug-Free Workplace**

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988, 34 CFR Part 85, Subpart F. The regulations require certification by grantees, prior to award, that they will maintain a drug-free workplace. The certification set out below is a material representation of fact upon which reliance will be placed when the agency determines to award the grant. False certification or violation of the certification may be grounds for suspension of payments, suspension or termination of grants, or government-wide suspension or debarment (see 34 CFR Part 85, Section 85.615 and 85.620).

As the duly authorized representative of the grantee, I certify, to the best of my knowledge and belief, that the grantee will provide a drug-free workplace by:

- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- B. Establishing a drug-free awareness program to inform employees about-
  - the dangers of drug abuse in the workplace,
  - the grantee's policy of maintaining a drug-free workplace.
  - any available drug counseling, rehabilitation, and employee assistance programs, and
  - the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- C. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (A);
- D. Notifying the employee in the statement required by paragraph (A) that, as a condition of employment under the grant, the employee will:
  - abide by the terms of the statement, and
  - notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction.
- E. Notifying us within ten days after receiving notice under subparagraph (D) from an employee or otherwise receiving actual notice of such conviction;
- F. Taking one of the following actions, within 30 days of receiving notice under subparagraph (D), with respect to any employee who is so convicted-
  - Taking appropriate personnel action against such an employee, up to and including termination; or
  - Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency;
- G. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (A) through (F).

#### **Certification - Lobbying Activities**

As required by Section 1352, Title 31 of the U.S. Code, as the duly authorized representative of the applicant, I certify, to the best of my knowledge and belief, that:

- No federal appropriated funds have been paid or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer of Congress in connection with the awarding of any federal contract, the making of any federal loan, the entering into of any cooperative agreement, or modification of any federal contract, grant, loan, or cooperative agreement;
- If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the applicant will submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- The applicant will require that the language of this certification be included in the award documents for all subcontracts at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients will certify and disclose accordingly.

#### Certification - Grant Review Process (State Commissions Only)

I certify that in conducting our review process, we have ensured compliance with the National and Community Service Act of 1990 as amended, the Corporation's peer review requirements, and all state laws and conflict of interest rules.

#### For AmeriCorps\*State and National Direct Applicants ONLY

If you are not applying for a grant through AmeriCorps, you may ignore this section.

#### Erroneous certification or assurance

The assurances and certifications are material representations of fact upon which we rely in determining whether to enter into this transaction. If we later determine that you knowingly submitted an erroneous certification or assurance, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

#### Notice of error in certification or assurance

You must provide immediate written notice to us if at any time you learn that a certification or assurance was erroneous when submitted or has become erroneous because of changed circumstances.

#### Definitions

The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded" as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. An applicant shall be considered a "prospective primary participant in a covered transaction" as defined in the rules implementing Executive Order 12549. You may contact us for assistance in obtaining a copy of those regulations.

#### Assurance requirement for subgrant agreements

You agree by submitting this proposal that if we approve your application you shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by us.

#### Assurance inclusion in subgrant agreements

You agree by submitting this proposal that you will obtain an assurance from prospective participants in all lower tier covered transactions and in all solicitations for lower tier covered transactions that the participants are not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction.

#### Assurance of subgrant principals

You may rely upon an assurance of a prospective participant in a lower-tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless you know that the assurance is erroneous. You may decide the method and frequency by which you determine the eligibility of your principals. You may, but are not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

#### Non-assurance in subgrant agreements

If you knowingly enter into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the federal government, we may terminate this transaction for cause or default.

#### Prudent person standard

Nothing contained in the aforementioned may be construed to require establishment of a system of records in order to render in good faith the assurances and certifications required. Your knowledge and information is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

## ASSURANCES AND CERTIFICATIONS ASSURANCE SIGNATURE: NOTE: Sign this form and include in the application.

	SIGNATURE:	By signing this assurances page, you certify that you agree to perform all actions and support all intentions in the Assurances section.
Organization Name:		
Program Name:		
Name and Title of Author	rized Representative:	
Signature:		
Date:		
CERTIFICATION SIG	NATURE: NOTE:	Sign this form and include in the application.
	SIGNATURE:	By signing this certification page, you certify
		<ul><li>that you agree to perform all actions and support all intentions in the Certification sections of this application. The three Certifications are:</li><li>O Certification: Debarment, Suspension and</li></ul>
		Other Responsibility Matters O Certification: Drug-Free Workplace
		O Certification: Lobbying Activities
Organization Name:		
Program Name:		
Signature:	-	
Date:		

# SECTION F: Budget Worksheet (Budget Section) Section I. Program Operating Costs

#### **Personnel Expenses** A.

Position/Title/Description	Qty	Annual Salary	% Time	Total Amount	CNCS Share	Grantee Share
Totals			Totals			

#### В. **Personnel Fringe Benefits**

Purpose/Description	Calculation	Total Amount	CNCS Share	Grantee Share

#### C.1. Staff Travel

Purpose	Calculation	Total Amount	CNCS Share	Grantee Share

#### C. 2. **Member Travel**

Purpose	Calculation	Total Amount	CNCS Share	Grantee Share

#### Equipment D.

Item/ Purpose/Justification	Qty	Unit Cost	Total Amount	CNCS Share	Grantee Share

#### E. **Supplies**

Purpose	Calculation	Total Amount	CNCS Share	Grantee Share

## F. Contractual and Consultant Services

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

## G.1. Staff Training

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

## G.2. Member Training

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

## H. Evaluation

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

## I. Other Program Operating Costs

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

Subtotal Section I:	Total Amount	CNCS Share	Grantee Share
Subtotal Section 1.			

## Section II. Member Costs

## A. Living Allowance

Item	# Mbrs	Allowance Rate	# w/o Allowance	Total Amount	CNCS Share	Grantee Share
Full Time (1700 hrs)						
Half Time (900 hrs)						
1st Year of 2-Year Half Time						
2 <sup>nd</sup> Year of 2-Year Half Time						
Reduced Half Time (675 hrs)						
Quarter Time (450 hrs)						
Minimum Time (300 hrs)						
Totals						

## B. Member Support Costs

Purpose	Calculation	Daily Rate	Total Amount	CNCS Share	Grantee Share
Totals					

Subtotal Section II:	Total Amount	CNCS Share	Grantee Share
Subtotal Sections I + II:			

## Section III. Administrative/Indirect Costs

Purpose	Calculation	Total Amount	CNCS Share	Grantee Share
	Totals			

## A. Corporation Fixed Percentage Method

## B. Federally Approved Indirect Cost Rate Method

Cost Type	Cost Basis	Calculation	Rate	Rate Claimed	Total Amount	CNCS Share	Grantee Share

Total Sections I + II + III:	Total Amount	CNCS Share	Grantee Share

Budget Total: Validate this budget Required Match Percentages:	Total Amount	CNCS Share	Grantee Share

## **SECTION G: Budget Worksheet for EAPs (Budget Section)**

This worksheet applies only if you are applying for State and National EAP funding.

## **Member Positions**

ltem	# Mbrs	Allowance Rate	# w/o Allow	Total Amount	CNCS Share	Grantee Share	edit	del
Full Time (1700 hrs)								
1-Year Half Time (900 hrs)								
2-Year Half Time (1 <sup>st</sup> Year)								
2-Year Half Time (2 <sup>nd</sup> Year)								
Reduced Half Time (675 hrs)								
Quarter Time (450 hrs)								
Minimum Time (300 hrs)								
Subtotal							MSY	Cost/MSY
								\$400

## **Fixed Award**

Purpose	Calculation	Total Amount	CNCS Share	Grantee Share	edit	del
Program Grant Request					edit	
Subtotal						

## SECTION H: National Operating Site Worksheet (Subapplication Section)

The following information is required for each operating site.

Legal Name	
Employer Identification Number (EIN)	
DUNS Number	
Organization Type*	
Organization Characteristic*	
Contact Name	
Address	
City/ State/ Zip	
Phone	
Fax	
Email	
Service Categories**	

Project Title	
Address	
City/ State/ Zip	
Phone	
Fax	
Email	

See Appendix A See Appendix C \*

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## SECTION I: SURVEY ON ENSURING EQUAL OPPORTUNITY FOR APPLICANTS

OMB NO 1890-0014 EXP 2/28/2009

**Purpose:** The Federal government is committed to ensuring that all qualified applicants, small or large, non-religious or faith-based, have an equal opportunity to compete for Federal funding. In order for us to better understand the population of applicants for Federal funds, we are asking nonprofit private organizations (not including private universities) to fill out this survey.

Upon receipt, the survey will be separated from the application. Information provided on the survey will not be considered in any way in making funding decisions and will not be included in the Federal grants database. While your help in this data collection process is greatly appreciated, completion of this survey is voluntary.

**Instructions for Submitting the Survey:** If you are applying using a hard copy application, please place the completed survey in an envelope labeled "Applicant Survey." Seal the envelope and include it along with your application package. If you are applying electronically, please submit this survey along with your application.

Applicant's (Organization) Name:					
Applicant's DUNS Number:					
Federal Program:	CFDA Number:				
1. Has the applicant ever received a grant or contract from the Federal government?	6. How many full-time equivalent employees does the applicant have? ( <i>Check only one box</i> ).				
<ul> <li>Yes</li> <li>No</li> <li>Is the applicant a faith-based organization?</li> <li>Yes</li> <li>No</li> <li>Is the applicant secular organization?</li> <li>Yes</li> <li>No</li> <li>Does the applicant have 501(c)(3) status?</li> <li>Yes</li> <li>No</li> <li>Is the applicant a local affiliate of a national organization?</li> </ul>	<ul> <li>3 or Fewer</li> <li>15-50</li> <li>4-5</li> <li>51-100</li> <li>6-14</li> <li>over 100</li> <li>7. What is the size of the applicant's annual budget? (Check only one box.)</li> <li>Less Than \$150,000</li> <li>\$150,000 - \$299,999</li> <li>\$300,000 - \$499,999</li> <li>\$500,000 - \$999,999</li> </ul>				
Yes No	\$1,000,000 - \$4,999,999 \$5,000,000 or more				

## Survey Instructions on Ensuring Equal Opportunity for Applicant

Provide the applicant's (organization) name and DUNS number and the grant name and CFDA number.

- 1. Self-explanatory.
- 2. Self-identify.
- 3. Self-identify.
- 501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c)(3) status. Other grant programs do not.
- 5. Self-explanatory.
- 6. For example, two part-time employees who each work half-time equal one fulltime equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
- 7. Annual budget means the amount of money your organization spends each year on all of its activities.

### **Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1890-**0014**. The time required to complete this information collection is estimated to average five (5) minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: Amy Borgstrom, Corporation for National and Community Service, 1201 New York Avenue, NW, Washington, D.C. 20525.

## **SECTION J:** Beale Codes and County-Level Economic Data

## **Rural Community**

**Beale codes** are published by the U.S. Department of Agriculture and are used to classify counties as being more urban or more rural. Counties are designated on a scale from one to nine according to the following descriptions:

	2003 Beale Codes					
Code#	Metropolitan	Description				
	Туре					
1	Metropolitan	Counties in metro areas of 1 million population or more				
2	Metropolitan	Counties in metro areas of 250,000 to 1 million				
3	Metropolitan	Counties in metro areas of fewer than 250,000				
4	Non-metro	Urban population of 20,000 or more, adjacent to a metropolitan				
4 Non-metro		area				
5	Non-metro	Urban population of 20,000 or more, not adjacent to a				
5	Non-metro	metropolitan area				
6	Non-metro	Urban population of 2,500 to 19,999, adjacent to a metropolitan				
		area				
7	Non-metro	Urban population of 2,500 to 19,999, not adjacent to a				
1	Non-metro	metropolitan area				
8	Non-metro	Completely rural or less than 2,500 urban population, adjacent to				
0	inon-metro	a metropolitan area				
9	Non matro	Completely rural or less than 2,500 urban population, not				
9	Non-metro	adjacent to a metropolitan area				

Any program located in a county with a Beale code of 6, 7, 8, or 9 is eligible *to apply* for the alternative match.

## Severely Economically Distressed Community

The following table provides the website addresses where the publicly available information on county-level economic data including per capita income, poverty rate, and unemployment levels can be found.

WEBSITE ADDRESS	EXPLANATION
www.econdata.net	<b>Econdata.Net</b> : This site Links to a variety of social and economic data by states, counties and metro areas.
www.bea.doc.gov/bea/regional/rei	<b>Bureau of Economic Analysis' Regional</b> <b>Economic Information System (REIS):</b> Provides data on per capita income by county for all states except Puerto Rico.
www.census.gov/hhes/www/saipe/index.html	<b>Census Bureau's Small Area Poverty</b> <b>Estimates</b> : Provides data on poverty and population estimates by county for all states except Puerto Rico.
www.census.gov/main/www/cen2000.html	<b>Census Bureau's American Fact-finder</b> : Provides all 1990 and 2000 census data including estimates on poverty, per capita income and unemployment by counties, states, and metro areas including Puerto Rico.
www.bls.gov/lau/home.htm	<b>Bureau of Labor Statistics' Local Area</b> <b>Unemployment Statistics (LAUS):</b> Provides data on annual and monthly employment and unemployment by counties for all states including Puerto Rico.
http://www.ers.usda.gov/Data/RuralUrbanContinu umCodes/	US Department of Agriculture's Rural- Urban Continuum Codes (Beale codes): Provides urban rural code for all counties in US.