

Penalties in Number and Dollar Value in the U.S. Side of the Border Region		Type of indicator
Figure 23		Response
		Goal and Objective: 6.3
Description of the INDICATOR		
<i>Definition</i>	Number of penalties and total U.S. dollar amounts by year for all U.S. side of the border region, 2001-2004.	
<i>Importance of the indicator/purpose</i>		
<i>Units of measure</i>	Number of penalties and total dollar amount of penalties.	
<i>Concepts and definitions</i>	Penalties - Monetary assessments paid by a regulated entity in response to a violation or noncompliance. Not all enforcement actions require a penalty and may require other remedies. Penalties act as deterrence to violating the law, and an incentive for staying in compliance with the environmental statutes and regulations. Penalties are designed to recover the economic benefit of noncompliance as well as to account for the seriousness of the violation.	
<i>Coverage</i>	2001 to 2004. U.S. side of the border region.	
<i>Calculation</i>	Take the reported pounds of pollution reduced for each state and plot by year. Also total the amount across all states and report the total on the graph by year.	
<i>Sources of information</i>	The data were submitted to EPA by state and federal enforcement programs as part of their routine reporting. They were extracted for the border region based on a search of EPA's Integrated Data for Enforcement Analysis (IDEA) System including EPA's Air Facility System (AFS); Permit Compliance System (PCS); Resource Conservation and Recovery Act Information System (RCRAInfo). November 2005 Refresh. The search results reported by Abt Associates are summarized in Table 21-1.	
<i>References (additional information)</i>	The data were submitted to EPA by state and federal enforcement programs as part of their routine reporting. They were extracted for the border region based on a search of EPA's Integrated Data for Enforcement Analysis (IDEA) System including EPA's Air Facility System (AFS); Permit Compliance System (PCS); Resource Conservation and Recovery Act Information System (RCRAInfo). November 2005 Refresh. The search results reported by Abt Associates are summarized in Table 21-1.	
<i>Limitations of the indicator</i>		