

**Exhibit 300: Capital Asset Plan and Business Case Summary**

**Part I: Summary Information And Justification (All Capital Assets)**

**Section A: Overview (All Capital Assets)**

**1. Date of Submission:**

9/10/2007

**2. Agency:**

Social Security Administration

**3. Bureau:**

Systems

**4. Name of this Capital Asset:**

Infrastructure BY09

**5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)**

016-00-02-00-01-2210-00

**6. What kind of investment will this be in FY2009? (Please NOTE: Investments moving to O&M in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)**

Mixed Life Cycle

**7. What was the first budget year this investment was submitted to OMB?**

FY2004

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:**

Infrastructure consists of Telecom, Office Automation and Data Center Operations. Additional detail available on request.

Telecom addresses telephone service (SSA's National 800 Number Network), SSA's wide area network and video teleconferencing systems. This initiative allows SSA to continue enhancements, refreshments of equipment and provide new installations for telecommunication services that improve connectivity and bandwidth for data, voice and video communications to advance the economic security of the American people through these services and deliver high-quality, citizen-centered service.

Office Automation focuses on building and maintaining the environment needed for SSA's applications and resources. This initiative will provide necessary funding to upgrade existing hardware and software to eliminate costly, obsolete technology. IT hardware and software must be continually maintained to provide the most up-to-date products available, and the necessary support personnel must be readily available to maintain these products.

DataCenter provides funding to ensure the availability, changeability, stability, and securability of SSA's IT infrastructure. DataCenter provides IT hardware and software, which comprise the IT infrastructure for SSA's national telecommunications network, and computer facilities. DataCenter directly supports the electronic transfer of mission critical information between agency data repositories and end users. It provides funding for database capacity and general storage growth needed to sustain increased database usage via E-Gov initiatives and agency backup and recovery efforts.

These investments are essential to process beneficiary claims in an effective, efficient, economical and secure manner. Mainframe computing investments (i.e. mainframe computer hardware and software, system monitoring, management tools, related support services), storage investments (i.e. direct access storage, automated tape library systems, storage area networks, capacity management), Web services (i.e. data exchange architecture, enterprise servers, client/server software, Web software, Internet applications, ongoing Website enhancements) and enterprise security investments provide ongoing support and enhancement for the Agency's IT security infrastructure. Infrastructure supports all of the Agency's strategic goals and the President's Management Agenda goals, with an emphasis on Expanded Electronic Government.

**9. Did the Agency's Executive/Investment Committee approve this request?**

Yes

**a. If "yes," what was the date of this approval?**

7/23/2007

**10. Did the Project Manager review this Exhibit?**

Yes

**11. Removed**

**a. What is the current FAC-P/PM certification level of the project/program manager?**

TBD

**12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?**

Yes

**a. Will this investment include electronic assets (including computers)?**

Yes

**b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)**

No

**1. If "yes," is an ESPC or UESC being used to help fund this investment?**

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2. If "yes," will this investment meet sustainable design principles?
3. If "yes," is it designed to be 30% more energy efficient than relevant code?

**13. Does this investment directly support one of the PMA initiatives?**

Yes

**If "yes," check all that apply:**

Expanded E-Government  
Eliminating Improper Payments  
Human Capital

**a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)**

This ensures reliable infrastructure to provide fast services to the public via the Internet for eGov and provides the necessary tools to increase productivity and improve job satisfaction, thus developing a high-performing workforce. It provides the ability to reduce the number of erroneous payments and supports the Financial Accounting Systems (FACTS) and Program Assessment Rating Tool (PARTS).

**14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit [www.whitehouse.gov/omb/part](http://www.whitehouse.gov/omb/part).)**

Yes

**a. If "yes," does this investment address a weakness found during a PART review?**

Yes

**b. If "yes," what is the name of the PARTed program?**

See FY 05 DI PART (e-Dib reference) Q 3.4, 3.7; See FY 06 SSI PART (e-DIB reference) Q 2.1, 2.6, 3.4, 3.7; See FY06 OASI PART Q 3.4

**c. If "yes," what rating did the PART receive?**

Moderately Effective

**15. Is this investment for information technology?**

Yes

**If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.**

For information technology investments only:

**16. What is the level of the IT Project? (per CIO Council PM Guidance)**

Level 3

**17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)**

(1) Project manager has been validated as qualified for this investment

**18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2007 agency high risk report (per OMB Memorandum M-05-23)**

No

**19. Is this a financial management system?**

No

**a. If "yes," does this investment address a FFIA compliance area?**

**1. If "yes," which compliance area:**

**2. If "no," what does it address?**

**b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52**

**20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)**

**Hardware**

26.000000

**Software**

52.000000

**Services**

2.000000

**Other**

20.000000

**21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?**

N/A

**22. Removed**

**23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?**

Yes

**Question 24 must be answered by all Investments:**

**24. Does this investment directly support one of the GAO High Risk Areas?**

No

**Section B: Summary of Spending (All Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

**Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES  
(REPORTED IN MILLIONS)**

| (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) |                  |         |         |         |
|--|------------------|---------|---------|---------|
|  | PY-1 and earlier | PY 2007 | CY 2008 | BY 2009 |
| Planning:  | 0                | 0       | 0       | 0       |
| Acquisition:   | 521.568          | 51.715  | 53.375  | 49.273  |
| Subtotal Planning & Acquisition:   | 521.568          | 51.715  | 53.375  | 49.273  |
| Operations & Maintenance:  | 693.286          | 362.632 | 388.984 | 385.165 |
| TOTAL:   | 1214.854         | 414.347 | 442.359 | 434.438 |
| Government FTE Costs   | 259.093          | 104.492 | 103.409 | 95.809  |
| Number of FTE represented by Costs:  | 1183             | 934     | 870     | 766     |

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

a. If "yes," How many and in what year?

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes:

**Section C: Acquisition/Contract Strategy (All Capital Assets)**

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

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Contracts/Task Orders Table:

| Contract or Task Order Number | Type of Contract/ Task Order  | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition ? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) |
|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| 0440-02-42005                 | Firm Fixed Price (FFP)  | Yes                                 | 12/6/2001  | 12/6/2001                          | 12/5/2011                        | 41.614                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-02-42009                 | Blanket Purchase Agreement (BPA)/Enterprise License Agreement (ELA) | Yes                                 | 1/24/2002  | 1/24/2002                          | 1/23/2009                        | 77.71717                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-02-42023                 | Blanket Purchase Agreement (BPA)/Enterprise License Agreement (ELA) | Yes                                 | 9/4/2002   | 9/4/2002                           | 9/3/2008                         | 28.118241                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-02-42028                 | Blanket Purchase Agreement (BPA)                                    | Yes                                 | 9/24/2002  | 9/26/2002                          | 11/30/2008                       | 8.371581                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-02-51191                 | Purchase Order  | Yes                                 | 3/1/2007   | 3/1/2007                           | 2/29/2008                        | 0.384908                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-02-52766                 | Delivery/Task Order   | Yes                                 | 9/30/2002  | 9/30/2002                          | 11/30/2007                       | 14.382376                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-42000                 | Blanket Purchase Agreement (BPA)                                    | Yes                                 | 10/1/2002  | 10/1/2002                          | 9/30/2007                        | 321.499874                                | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-42001                 | Firm Fixed Price (FFP)  | Yes                                 | 10/1/2002  | 10/1/2002                          | 9/30/2007                        | 0.005833                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-42023                 | Blanket Purchase Agreement (BPA)                                    | Yes                                 | 6/27/2003  | 6/27/2003                          | 7/11/2010                        | 11.931852                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-42033                 | Blanket Purchase Agreement (BPA)/Enterprise License Agreement (ELA) | Yes                                 | 7/30/2003  | 7/30/2003                          | 5/1/2008                         | 4.931777                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-4225A                 | Blanket Purchase Agreement (BPA)                                    | Yes                                 | 6/13/2003  | 6/13/2003                          | 7/15/2008                        | 42.125102                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |

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|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| 0440-03-4225B                 | Blanket Purchase Agreement (BPA)                | Yes                                 | 6/13/2003  | 6/13/2003                          | 6/30/2008                        | 26.518                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-50220                 | Delivery/Task Order                             | Yes                                 | 12/4/2002  | 12/4/2002                          | 12/13/2007                       | 4.276548                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-50252                 | Delivery/Task Order                             | Yes                                 | 12/13/2002   | 12/15/2002                         | 12/15/2007                       | 0.648157                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-50665                 | Purchase Order                                  | Yes                                 | 6/30/2003  | 6/30/2003                          | 6/29/2008                        | 0.458483                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-50903                 | Firm Fixed Price (FFP)                          | Yes                                 | 9/28/2007  | 9/28/2007                          | 9/27/2008                        | 0.69496                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-51086                 | Delivery/Task Order                             | Yes                                 | 4/1/2003   | 5/16/2003                          | 3/31/2008                        | 2.509215                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-52698                 | Delivery/Task Order                             | Yes                                 | 9/30/2003  | 9/30/2003                          | 10/22/2007                       | 149.245925                                | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-03-53689                 | Firm Fixed Price (FFP)                          | Yes                                 | 10/23/2006   | 10/23/2006                         | 10/22/2008                       | 81.293921                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0440-99-38058                 | Firm Fixed Price (FFP)                          | Yes                                 | 8/27/1999  | 8/27/1999                          | 2/16/2008                        | 1.568528                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0600-02-60007                 | Firm Fixed Price (FFP)                          | Yes                                 | 8/1/2002   | 9/1/2002                           | 9/30/2007                        | 51.797317                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 0600-03-60086                 | Firm Fixed Price (FFP)                          | Yes                                 | 9/29/2003  | 9/29/2003                          | 9/28/2007                        | 9.829631                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| 25248/GSA-06-17               | Inter Agency Agreement (IAA)/with 4 options yrs | Yes                                 | 9/18/2006  | 9/19/2006                          | 9/18/2007                        | 2.22546                                   | Yes  | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-30071                 | Delivery/Task Order                             | Yes                                 | 11/1/2007  | 11/1/2007                          | 10/31/2009                       | 13.101803                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-30169                 | Delivery/Task Order                             | Yes                                 | 12/12/2003   | 12/12/2003                         | 12/11/2008                       | 2.944859                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-30919                 | Delivery/Task Order                             | Yes                                 | 8/11/2006  | 8/11/2006                          | 8/10/2009                        | 1.10665                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-31004                 | Firm Fixed Price (FFP)                          | Yes                                 | 9/6/2007   | 9/6/2007                           | 9/5/2009                         | 0.234298                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-31130                 | Delivery/Task Order                             | Yes                                 | 9/26/2007  | 9/26/2007                          | 9/25/2009                        | 0.17389                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40002                 | Blanket Purchase Agreement (BPA)                | Yes                                 | 3/23/2004  | 3/23/2004                          | 5/22/2010                        | 6.369936                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40004                 | Blanket Purchase                                | Yes                                 | 12/23/2003   | 12/23/2003                         | 10/15/2007                       | 39.423083                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |

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|-------------------------------|----------------------------------|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
|                               | Agreement (BPA)                  |                                     |  |                                    |                                  |   |  |                                |                              |  |                               |  |
| SS00-04-40007                 | Blanket Purchase Agreement (BPA) | Yes                                 | 3/23/2004  | 3/23/2004                          | 5/22/2010                        | 8.793016                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40012                 | Blanket Purchase Agreement (BPA) | Yes                                 | 2/17/2004  | 2/17/2004                          | 10/19/2012                       | 18.51725                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40016                 | Blanket Purchase Agreement (BPA) | Yes                                 | 9/14/2004  | 9/14/2004                          | 9/13/2009                        | 34.125168                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-4002                  | Firm Fixed Price (FFP)           | Yes                                 | 4/1/2006   | 4/1/2006                           | 3/31/2008                        | 5.553967                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40024                 | Blanket Purchase Agreement (BPA) | Yes                                 | 6/24/2004  | 6/24/2004                          | 6/23/2010                        | 49.161634                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40030                 | Blanket Purchase Agreement (BPA) | Yes                                 | 8/25/2007  | 8/25/2007                          | 8/24/2008                        | 0.954192                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40034                 | Blanket Purchase Agreement (BPA) | Yes                                 | 9/8/2004   | 9/8/2004                           | 9/7/2009                         | 3.898394                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40037                 | Blanket Purchase Agreement (BPA) | Yes                                 | 9/28/2004  | 9/28/2004                          | 9/27/2010                        | 0.35517                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-40039                 | Blanket Purchase Agreement (BPA) | Yes                                 | 9/22/2005  | 9/22/2005                          | 9/21/2007                        | 0.938372                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-50006                 | Purchase Order                   | Yes                                 | 10/1/2003  | 10/1/2003                          | 9/30/2007                        | 0.656825                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-04-60102                 | Firm Fixed Price (FFP)           | Yes                                 | 9/29/2004  | 9/29/2004                          | 9/28/2009                        | 5.278625                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-30356                 | Firm Fixed Price (FFP)           | Yes                                 | 12/21/2007   | 12/21/2007                         | 12/20/2010                       | 0.132702                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-30410                 | Delivery/Task Order              | Yes                                 | 10/1/2005  | 10/1/2005                          | 9/30/2009                        | 11.342457                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-                      | Blanket                          | Yes                                 | 6/1/2007   | 6/1/2007                           | 5/31/2010                        | 0.83719                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |

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|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| 30734                         | Purchase Agreement (BPA)/Enterprise License Agreement (ELA) |                                     |  |                                    |                                  |   |  |                                |                              |  |                               |  |
| SS00-05-30778                 | Delivery/Task Order   | Yes                                 | 5/15/2007  | 5/15/2007                          | 5/14/2010                        | 2.204838                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-31187                 | Delivery/Task Order   | Yes                                 | 8/11/2005  | 8/11/2005                          | 2/28/2010                        | 0.086914                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40002                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 12/1/2006  | 12/1/2006                          | 11/30/2009                       | 1.135171                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40007                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 2/10/2005  | 2/10/2005                          | 2/9/2009                         | 0.50745                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40008                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 4/8/2005   | 4/8/2005                           | 3/31/2010                        | 2.841148                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40015                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 9/28/2005  | 9/28/2005                          | 9/27/2012                        | 64.393627                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40020                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 9/28/2005  | 9/27/2005                          | 9/27/2010                        | 30.030773                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40026                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 9/23/2005  | 9/23/2005                          | 9/22/2011                        | 1.352019                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-40029                 | Blanket Purchase Agreement (BPA)                            | Yes                                 | 9/27/2005  | 9/27/2005                          | 9/26/2011                        | 6.974936                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-60022                 | Firm Fixed Price (FFP)                                      | Yes                                 | 3/29/2005  | 3/29/2005                          | 3/28/2010                        | 1.848076                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-60073                 | Firm Fixed Price (FFP)                                      | Yes                                 | 7/1/2006   | 7/1/2006                           | 6/30/2010                        | 4.330508                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-60086                 | Firm Fixed Price (FFP)                                      | Yes                                 | 5/18/2006  | 5/18/2006                          | 10/31/2013                       | 0.996907                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30898                 | Delivery/Task Order   | Yes                                 | 10/24/2006   | 10/24/2006                         | 10/31/2011                       | 0.734029                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |

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|-------------------------------|----------------------------------|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| SS00-06-60012                 | Firm Fixed Price (FFP)           | Yes                                 | 11/3/2005  | 11/4/2005                          | 11/3/2009                        | 1.266652                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-40020                 | Blanket Purchase Agreement (BPA) | Yes                                 | 7/20/2006  | 7/20/2006                          | 10/19/2013                       | 0.860938                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50143                 | Blanket Purchase Agreement (BPA) | Yes                                 | 4/24/2007  | 4/26/2007                          | 4/25/2008                        | 0.650797                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30024                 | Delivery/Task Order              | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.78251                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30117                 | Blanket Purchase Agreement (BPA) | Yes                                 | 10/31/2006   | 10/31/2006                         | 10/30/2007                       | 0.321647                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30463                 | Firm Fixed Price (FFP)           | Yes                                 | 4/5/2007   | 4/5/2007                           | 4/4/2008                         | 0.359051                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30037                 | Firm Fixed Price (FFP)           | Yes                                 | 1/1/2006   | 1/1/2006                           | 9/30/2007                        | 0.30983                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30017                 | Blanket Purchase Agreement (BPA) | Yes                                 | 10/4/2005  | 10/4/2005                          | 10/3/2007                        | 0.245383                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-31027                 | Firm Fixed Price (FFP)           | Yes                                 | 9/29/2006  | 9/29/2006                          | 9/28/2007                        | 0.281036                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30122                 | Blanket Purchase Agreement (BPA) | Yes                                 | 12/1/2006  | 12/1/2006                          | 11/30/2011                       | 2.718426                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30806                 | Firm Fixed Price (FFP)           | Yes                                 | 8/21/2006  | 8/21/2006                          | 9/30/2007                        | 0.4223                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-60086                 | Delivery/Task Order              | Yes                                 | 5/18/2006  | 5/18/2006                          | 5/17/2013                        | 0.4223                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30006                 | Firm Fixed Price (FFP)           | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 15.47818                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50135                 | Firm Fixed Price (FFP)           | Yes                                 | 5/7/2007   | 5/7/2007                           | 5/6/2008                         | 0.261375                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-60106                 | Firm Fixed Price (FFP)           | Yes                                 | 6/22/2007  | 6/22/2007                          | 6/21/2008                        | 0.121157                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50014                 | Firm Fixed Price (FFP)           | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.0225                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30006                 | GSA Schedule Delivery            | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 15.47818                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |



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| Contract or Task Order Number | Type of Contract/ Task Order  | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition ? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) |
|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
|                               | Order   |                                     |  |                                    |                                  |   |  |                                |                              |  |                               |  |
| SS00-07-30252                 | Firm Fixed Price (FFP)  | Yes                                 | 1/1/2007   | 1/1/2007                           | 9/30/2007                        | 14.85916                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-60010                 | Firm Fixed Price (FFP)  | Yes                                 | 1/5/2007   | 1/5/2007                           | 1/6/2010                         | 0.233988                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30491                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 4/17/2007  | 4/17/2007                          | 4/16/2008                        | 0.761387                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-40000                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2011                        | 12  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50083                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 2/1/2007   | 2/1/2007                           | 1/31/2008                        | 0.141602                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30377                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 2/20/2007  | 2/20/2007                          | 2/19/2008                        | 0.965158                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30009                 | Firm Fixed Price (FFP)  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.245383                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50055                 | Firm Fixed Price (FFP)  | Yes                                 | 11/10/2006   | 11/10/2006                         | 11/9/2007                        | 0.185831                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50055                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 9/28/2005  | 9/28/2005                          | 9/27/2010                        | 3.260748                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30018                 | Firm Fixed Price (FFP)  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2008                        | 0.594033                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30019                 | Firm Fixed Price (FFP)  | Yes                                 | 10/2/2006  | 10/2/2006                          | 9/30/2008                        | 0.157349                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-05-50385                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 9/28/2005  | 9/28/2005                          | 9/27/2008                        | 0.412156                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-                      | Firm Fixed  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.147794                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Contract or Task Order Number | Type of Contract/ Task Order  | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition ? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) |
|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| 30015                         | Price (FFP)   |                                     |  |                                    |                                  |   |  |                                |                              |  |                               |  |
| SS00-07-30010                 | Firm Fixed Price (FFP)  | Yes                                 | 10/7/2006  | 10/7/2006                          | 9/30/2007                        | 0.302791                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30156                 | Firm Fixed Price (FFP)  | Yes                                 | 12/30/2006   | 12/30/2006                         | 12/29/2007                       | 0.112332                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50042                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 11/30/2006   | 11/30/2006                         | 11/29/2007                       | 0.118494                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30065                 | Firm Fixed Price (FFP)  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.192416                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-31234                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 9/29/2006  | 9/29/2006                          | 9/28/2011                        | 5.748299                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-60010                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 1/7/2007   | 1/7/2007                           | 1/6/2010                         | 0.701964                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-60052                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 6/21/2007  | 6/21/2007                          | 6/20/2010                        | 11.339348                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30144                 | Firm Fixed Price (FFP)  | Yes                                 | 12/20/2006   | 12/20/2006                         | 12/19/2007                       | 0.186279                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30092                 | Firm Fixed Price (FFP)  | Yes                                 | 10/30/2006   | 10/30/2006                         | 10/29/2007                       | 0.137232                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30681                 | Firm Fixed Price (FFP)  | Yes                                 | 7/1/2007   | 7/1/2007                           | 6/30/2008                        | 0.137514                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-                      | Firm Fixed  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.568                                     | No   | No                             | Yes                          | NA   | No                            | Yes  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Contract or Task Order Number | Type of Contract/ Task Order  | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition ? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) |
|-------------------------------|---|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| 30808                         | Price (FFP)   |                                     |  |                                    |                                  |   |  |                                |                              |  |                               |  |
| SS00-07-30683                 | Firm Fixed Price (FFP)  | Yes                                 | 8/1/2007   | 8/1/2007                           | 7/31/2008                        | 0.195623                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30533                 | Firm Fixed Price (FFP)  | Yes                                 | 6/30/2007  | 6/30/2007                          | 6/29/2008                        | 0.178933                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30338                 | Firm Fixed Price (FFP)  | Yes                                 | 2/1/2007   | 2/1/2007                           | 1/31/2008                        | 0.151644                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30322                 | Firm Fixed Price (FFP)  | Yes                                 | 1/1/2007   | 1/1/2007                           | 12/31/2007                       | 0.1588                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30960                 | Firm Fixed Price (FFP)  | Yes                                 | 8/11/2006  | 8/11/2006                          | 9/29/2008                        | 0.15                                      | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-60009                 | Blanket Purchase Agreement (BPA) / Enterprise License Agreement (ELA) | Yes                                 | 12/31/2006   | 12/31/2006                         | 9/30/2013                        | 159                                       | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50017                 | Firm Fixed Price (FFP)  | Yes                                 | 10/1/2006  | 10/1/2006                          | 9/30/2007                        | 0.102918                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30067                 | Firm Fixed Price (FFP)  | Yes                                 | 11/3/2006  | 11/3/2006                          | 11/2/2007                        | 0.176122                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30336                 | Firm Fixed Price (FFP)  | Yes                                 | 2/1/2007   | 2/1/2007                           | 1/31/2008                        | 0.120018                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-30268                 | Firm Fixed Price (FFP)  | Yes                                 | 2/1/2007   | 2/1/2007                           | 1/31/2008                        | 0.18184                                   | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-30836                 | Firm Fixed Price (FFP)  | Yes                                 | 12/13/2003   | 12/13/2003                         | 12/12/2007                       | 2.944859                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-31028                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 2/20/2004  | 2/20/2004                          | 2/19/2012                        | 18.864147                                 | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-50300                 | Firm Fixed Price (FFP)  | Yes                                 | 9/1/2007   | 9/12/2007                          | 8/31/2011                        | 0.025                                     | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-50075                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 12/12/2005   | 12/1/2007                          | 11/30/2011                       | 0.080301                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50035                 | Purchase Order  | Yes                                 | 10/14/2006   | 10/14/2006                         | 10/13/2007                       | 0.107147                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-06-40002                 | Blanket Purchase Agreement (BPA)                                      | Yes                                 | 12/21/2005   | 12/24/2007                         | 12/23/2008                       | 8.098317                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Contract or Task Order Number | Type of Contract/ Task Order | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/ Task Order | End date of Contract/ Task Order | Total Value of Contract/ Task Order (\$M) | Is this an Interagency Acquisition ? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) | Does the contract include the required security & privacy clauses? (Y/N) |
|-------------------------------|------------------------------|-------------------------------------|--|------------------------------------|----------------------------------|---|--|--------------------------------|------------------------------|--|-------------------------------|--|
| SS00-06-30013                 | GSA Schedule Delivery Order  | Yes                                 | 9/20/2002  | 9/20/2002                          | 9/30/2007                        | 0.0574                                    | No   | No                             | Yes                          | NA   | No                            | Yes  |
| TBA                           | GSA Schedule Delivery Order  | Yes                                 | 10/1/2007  | 10/1/2007                          | 9/30/2013                        | 116                                       | No   | No                             | Yes                          | NA   | No                            | Yes  |
| SS00-07-50083                 | Firm Fixed Price (FFP)       | Yes                                 | 2/1/2007   | 2/1/2007                           | 1/31/2008                        | 0.141603                                  | No   | No                             | Yes                          | NA   | No                            | Yes  |

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

SSA's earned value management (EVM) policy has been certified as consistent with OMB guidance and the ANSI standards defining a compliant EVM. The inclusion of earned value in SSA contracts is based on the type of contract let, the services performed, and the date when the contract was let. Earned value management requirements are applied to SSA contractors in two ways. The first is to require the contractor to satisfy requirements utilizing their own earned value management system (EVMS). The second is for the contractor to provide necessary data directly into SSA's in-house EVMS. For example, this is the case for the Lockheed Martin (LM) Agency Wide Support Services Contract (AWSSC) where LM provides SSA with roughly 700 fte of IT support. SSA realizes efficiency advantages by mandating LM utilize SSA's EVMS, including better tracking of project costs.

**3. Do the contracts ensure Section 508 compliance?**

Yes

a. Explain why:

All requisitions for Electronic Information Technology (EIT), including GSA administered contracts, must be processed by the contracting office with a form entitled, "Determination of Section 508 Compliance for Purchase Requests." No EIT requisitions will be processed without an approved form. GSA is responsible for administering the contracts they provide and for assuring that all EIT incorporated into the contracts is Section 508 compliant. SSA is simply a mandated user of these contracts.

**4. Is there an acquisition plan which has been approved in accordance with agency requirements?**

Yes

a. If "yes," what is the date?

9/7/2007

b. If "no," will an acquisition plan be developed?

1. If "no," briefly explain why:

**Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond FY 2009.

**Performance Information Table**

| Fiscal Year | Strategic Goal(s) Supported                                 | Measurement Area | Measurement Category  | Measurement Grouping  | Measurement Indicator   | Baseline                  | Target      | Actual Results |
|-------------|---|------------------|-----------------------|-----------------------|---|---------------------------|-------------|----------------|
| 2007        | Service - To deliver high-quality, citizen-centered service | Customer Results | Customer Benefit      | Customer Satisfaction | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good" | 82%                       | 83%         | 81%            |
| 2007        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Accessibility | Access                | Improve service to the public by optimizing the speed in answering 800-number calls                               | 2006 actual - 278 seconds | 330 seconds | 250 seconds    |
| 2007        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Accessibility | Access                | Improve service to the public by optimizing the 800-number busy rate for calls offered to agents                  | 2006 actual - 12%         | 10%         | 8%             |
| 2007        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Coverage      | Service Efficiency    | Minimize average processing time for initial disability claims to provide timely decisions                        | 116 Days                  | 116 days    |                |
| 2007        | Stewardship - To ensure superior stewardship of             | Customer Results | Service Coverage      | Service Efficiency    | Issue annual SSA-initiated Social Security  | 100.0%                    | 100.0%      | 100%           |

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| Fiscal Year | Strategic Goal(s) Supported   | Measurement Area             | Measurement Category   | Measurement Grouping                     | Measurement Indicator  | Baseline                        | Target                         | Actual Results                                |
|-------------|---|------------------------------|------------------------|--|--|---------------------------------|--------------------------------|---|
|             | Social Security programs and resource   |                              |                        |  | Statements to eligible individuals age 25 and older  |                                 |                                |   |
| 2007        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 96%                             | 97%                            | 97%   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.7% O/P and 99.9% U/P         | 99.8% O/P 99.8% U/P            | Actual results will be available in June 2008 |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of original Social Security Numbers issued that are free of critical error                                     | FY 2006 - Estimated 98%         | 98.0%                          | Actual results will be available in FY 2008   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error                | 92.1% O/P and 97.8% U/P         | 95.7% O/P 98.8% U/P            | Actual results will be available in June 2008 |
| 2007        | Service - To deliver high-quality, citizen-centered service                           | Mission and Business Results | Controls and Oversight | Program Evaluation                       | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 96%                             | 97%                            | 97%   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight | Program Evaluation                       | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.7% O/P and 99.9% U/P         | 99.8% O/P 99.8% U/P            | Actual results will be available in FY 2008   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight | Program Evaluation                       | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error                | 92.1% O/P and 97.8% U/P         | 95.7% O/P 98.8% U/P            | Actual results will be available in FY 2008   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight | Program Monitoring                       | Percent of original Social Security Numbers issued that are free of critical error                                     | FY 2006 - Estimated 98%         | 98.0%                          | Actual results will be available in FY 2008   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Financial Management   | Accounting                               | Receive an unqualified opinion on SSA's financial statements from the auditors   | Received an unqualified opinion | Receive an unqualified opinion | Actual results will be available in FY 2008   |
| 2007        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Income Security        | General Retirement and Disability        | Percent of Social Security Number receipts processed up to the budgeted level  | 17259110                        | 96% (17,280,000)               | 96% (17,280,000)                              |
| 2007        | Solvency - To achieve sustainable solvency and ensure Social Security programs meet   | Mission and Business Results | Legislative Relations  | Legislation Tracking                     | Issue annual SSA-initiated Social Security Statements to eligible individuals age 25 and older                         | 100%                            | 100%                           | 100%  |

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| Fiscal Year | Strategic Goal(s) Supported                                 | Measurement Area         | Measurement Category         | Measurement Grouping      | Measurement Indicator  | Baseline   | Target   | Actual Results                              |
|-------------|---|--------------------------|------------------------------|---------------------------|--|--|--|---|
|             | the needs of current and future generations                 |                          |                              |                           |  |  |  |   |
| 2007        | Service - To deliver high-quality, citizen-centered service | Processes and Activities | Cycle Time and Resource Time | Timeliness                | Minimize average processing time for initial disability claims to provide timely decisions   | 116 Days   | 116 days   |   |
| 2007        | Service - To deliver high-quality, citizen-centered service | Processes and Activities | Quality                      | Complaints                | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"  | 82%  | 83%  | 81%   |
| 2007        | Service - To deliver high-quality, citizen-centered service | Processes and Activities | Quality                      | Errors                    | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.  | 96%  | 97%  | 97%   |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology               | Efficiency                   | Improvement               | Upgrade the Microsoft (MS) Windows Operating System of all IWS/LAN workstations to Windows Vista (VISSA)   | Existing operating system is Windows XP (Xpress) | VISSA RTAP: Image built with Microsoft's Release to Manufacturing (RTM) code. Developer ready applications added to image as they become available. 12/2006-04/2008  | Actual results will be available in FY2008  |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology               | Efficiency                   | Improvement               | Upgrade the Microsoft (MS) Windows Operating System of all IWS/LAN workstations to Windows Vista (VISSA)   | Existing operating system is Windows XP (Xpress) | FTAP (Final TAP) -- Final test image built with latest RTM code and all developer applications submitted for testing and certification. VISSA pilot. 05/2008 - 10/2008   | Actual results will be available in FY 2009 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology               | Efficiency                   | Improvement               | Upgrade the Microsoft (MS) Windows Operating System of all IWS/LAN workstations to Windows Vista (VISSA)   | Existing operating system is Windows XP (Xpress) | VISSA Deployment of SSA's Production Ready Vista Desktop Image- 11/2008 - 06/2009.   | Actual results will be available in FY 2010 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology               | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled technology refreshment, by June 30, 2008 | Protocol IPv4 is currently used at SSA           | Integrate IPv6 in the system0 (non-production) lab and test its functionality from a network and IP application standpoint. Conduct IPv6 test in the System0 lab testing various designs and implementation. Look at maintenance processes | Actual results will be available in FY 2008 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology               | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned  | Internet Protocol IPv4 is currently used at SSA  | Initiate dual-stack testing with Verizon in an isolated lab environment  | Actual results will be available in FY 2008 |

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| Fiscal Year | Strategic Goal(s) Supported                                 | Measurement Area | Measurement Category         | Measurement Grouping      | Measurement Indicator  | Baseline  | Target   | Actual Results                              |
|-------------|---|------------------|------------------------------|---------------------------|--|---|--|---|
|             |   |                  |                              |                           | and scheduled technology refreshment, by June 30, 2008   |   |  |   |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology       | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled technology refreshment, by June 30, 2008 | Internet Protocol IPv4 is currently used at SSA | Finalize network IPv6 addressing strategies and plans  | Actual results will be available in FY 2008 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology       | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled technology refreshment, by June 30, 2008 | Internet Protocol IPv4 is currently used at SSA | Continue with ongoing refresh and/or upgrade of SSANet backbone, as required, to provide for IPv6 capability | Actual results will be available in FY 2008 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology       | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled technology refreshment, by June 30, 2008 | Internet Protocol IPv4 is currently used at SSA | Provide quarterly status reports to OMB  | Actual results will be available in FY 2008 |
| 2007        | Service - To deliver high-quality, citizen-centered service | Technology       | Reliability and Availability | Availability              | Maintain a 97% or higher availability of Computer Telephony Integration (CTI) applications   | 97%   | 97% or Higher Availability   | Actual results will be available in FY2008  |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Customer Benefit             | Customer Satisfaction     | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"  | Fy 2007 actual 81%                              | 83%  |   |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Accessibility        | Access                    | Improve service to the public by optimizing the speed in answering 800-number calls  | FY 2007 actual - 250 seconds                    | 330 seconds  |   |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Accessibility        | Access                    | Improve service to the public by optimizing the 800-number busy rate for calls offered to agents   | FY 2007 actual - 8%                             | 10%  |   |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Coverage             | Service Efficiency        | Achieve target percentage of hearing level cases pending over 365 days   | 50%   | 56%  |   |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Coverage             | Service Efficiency        | Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days  | 20%   | 28%  |   |
| 2008        | Service - To deliver high-quality, citizen-centered service | Customer Results | Service Coverage             | Service Efficiency        | Minimize average processing time for initial disability claims to provide timely decisions   | 116 Days  | 107 Days   |   |



Exhibit 300: Infrastructure BY09 (Revision 6)

| Fiscal Year | Strategic Goal(s) Supported   | Measurement Area             | Measurement Category                  | Measurement Grouping                     | Measurement Indicator  | Baseline                        | Target                         | Actual Results                              |
|-------------|---|------------------------------|---------------------------------------|--|--|---------------------------------|--------------------------------|---|
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Coverage                      | Service Efficiency                       | Issue annual SSA-initiated Social Security Statements to eligible individuals age 25 and older                         | 100.0%                          | 100.0%                         |   |
| 2008        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Quality                       | Accuracy of Service or Product Delivered | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 97%                             | 97%                            |   |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality                       | Accuracy of Service or Product Delivered | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.8% O/P and 99.8% U/P         | 99.8% O/P 99.8% U/P            |   |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality                       | Accuracy of Service or Product Delivered | Percent of original Social Security Numbers issued that are free of critical error                                     | 98%                             | 95%                            |   |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality                       | Accuracy of Service or Product Delivered | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error                | 95.7% O/P and 98.8% U/P         | 96.0% O/P 98.8% U/P            | A   |
| 2008        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Timeliness and Responsiveness         | Delivery Time                            | Minimize average processing time for initial disability claims to provide timely decisions                             | 116 Days                        | 107 Days                       |   |
| 2008        | Service - To deliver high-quality, citizen-centered service                           | Mission and Business Results | Controls and Oversight                | Program Evaluation                       | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 97%                             | 97%                            |   |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight                | Program Evaluation                       | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.7% O/P and 99.9% U/P         | 99.8% O/P 99.8% U/P            | Actual results will be available in FY 2009 |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight                | Program Evaluation                       | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error                | 95.7% O/P and 98.8% U/P         | 96.0% O/P 98.8% U/P            | Actual results will be available in FY 2009 |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight                | Program Monitoring                       | Percent of original Social Security Numbers issued that are free of critical error                                     | 98%                             | 95%                            | Actual results will be available in FY 2009 |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Financial Management                  | Accounting                               | Receive an unqualified opinion on SSA's financial statements from the auditors   | Received an unqualified opinion | Receive an unqualified opinion | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-   | Mission and Business Results | Information and Technology Management | Information Systems Security             | Successful Audits performed  |                                 | 100%                           | Actual results will be available in FY2009  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Fiscal Year | Strategic Goal(s) Supported   | Measurement Area             | Measurement Category         | Measurement Grouping      | Measurement Indicator  | Baseline  | Target   | Actual Results                              |
|-------------|---|------------------------------|------------------------------|---------------------------|--|---|--|---|
|             | centered service  |                              |                              |                           |  |   |  |   |
| 2008        | Solvency - To achieve sustainable solvency and ensure Social Security programs meet the needs of current and future generations | Mission and Business Results | Legislative Relations        | Legislation Tracking      | Issue annual SSA-initiated Social Security Statements to eligible individuals age 25 and older   | 100%  | 100%   | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time | Timeliness                | Achieve target percentage of hearing level cases pending over 365 days   | 50%   | 56%  | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time | Timeliness                | Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days  | 20%   | 28%  | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time | Timeliness                | Minimize average processing time for initial disability claims to provide timely decisions   | 107 Days  | 103 Days   | Actual results will be available in FY 2009 |
| 2008        | Stewardship - To ensure superior stewardship of Social Security programs and resource   | Processes and Activities     | Productivity and Efficiency  | Productivity              | Percent of Social Security Number receipts processed up to the budgeted level  | 96% (17,280,000)                                | 96% - (18,240,000)   | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Quality                      | Complaints                | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"  | 32%   | 83%  | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Quality                      | Errors                    | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.  | 96%   | 97%  | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Technology                   | Quality                      | Compliance and Deviations | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled technology refreshment, by June 30, 2008 | Internet Protocol IPv4 is currently used at SSA | All SSA infrastructures (network backbones) will be using IPv6. SSA's network will interface with this infrastructure. SSA will be in compliance with the guidelines issued by OMB | Actual results will be available in FY 2009 |
| 2008        | Service - To deliver high-quality, citizen-centered service   | Technology                   | Reliability and Availability | Availability              | Maintain a 97% or higher availability of Computer Telephony Integration (CTI) applications   | 97%   | 97% or Higher Availability   | Actual results will be available in FY2009  |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Customer Results             | Customer Benefit             | Customer Satisfaction     | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"  | 83%   | 83%  | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-   | Customer Results             | Service Accessibility        | Access                    | Improve service to the public by optimizing the  | 330 seconds                                     | 330 seconds  | Actual results will be available in FY2010  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Fiscal Year | Strategic Goal(s) Supported   | Measurement Area             | Measurement Category   | Measurement Grouping                     | Measurement Indicator  | Baseline                | Target              | Actual Results                              |
|-------------|---|------------------------------|------------------------|--|--|-------------------------|---------------------|---|
|             | centered service  |                              |                        |  | speed in answering 800-number calls  |                         |                     |   |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Accessibility  | Access                                   | Improve service to the public by optimizing the 800-number busy rate for calls offered to agents                       | 8%                      | 8%                  | Actual Results will be available in 2010    |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Coverage       | Service Efficiency                       | Achieve target percentage of hearing level cases pending over 365 days   | 56%                     | 50%                 | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Coverage       | Service Efficiency                       | Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days.                       | 28%                     | 27%                 | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Coverage       | Service Efficiency                       | Minimize average processing time for initial disability claims to provide timely decisions                             | 107 Days                | 103 Days            | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Coverage       | Service Efficiency                       | Issue annual SSA-initiated Social Security Statements to eligible individuals age 25 and older                         | 100.0%                  | 100.0%              | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 97%                     | 97%                 | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.8% O/P and 99.8% U/P | 99.8% O/P 99.8% U/P | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of original Social Security Numbers issued that are free of critical error                                     | 95%                     | 95%                 | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Customer Results             | Service Quality        | Accuracy of Service or Product Delivered | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error                | 96.0% O/P and 98.8% U/P | 96.0% O/P 98.8% U/P | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service                           | Mission and Business Results | Controls and Oversight | Program Evaluation                       | Disability Determination Services net accuracy rate for combined initial disability allowances and denials.            | 97%                     | 97%                 | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource | Mission and Business Results | Controls and Oversight | Program Evaluation                       | Percent of Old-Age, Survivors and Disability Insurance payments free of overpayment (O/P) and underpayment (U/P) error | 99.8% O/P and 99.8% U/P | 99.8% O/P 99.8% U/P | Actual results will be available in FY 2010 |

Exhibit 300: Infrastructure BY09 (Revision 6)

| Fiscal Year | Strategic Goal(s) Supported   | Measurement Area             | Measurement Category                  | Measurement Grouping              | Measurement Indicator   | Baseline  | Target   | Actual Results                              |
|-------------|---|------------------------------|---------------------------------------|-----------------------------------|---|---|--|---|
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource   | Mission and Business Results | Controls and Oversight                | Program Evaluation                | Percent of Supplemental Security Income payments free of overpayment (O/P) and underpayment (U/P) error             | 96.0% O/P and 98.8% U/P                         | 96.0% O/P 98.8% U/P  | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource   | Mission and Business Results | Controls and Oversight                | Program Monitoring                | Percent of original Social Security Numbers issued that are free of critical error                                  | 95%   | 95%  | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource   | Mission and Business Results | Financial Management                  | Accounting                        | Receive an unqualified opinion on SSA's financial statements from the auditors                                      | Received an unqualified opinion                 | Receive an unqualified opinion   | Actual results will be available in FY 2010 |
| 2009        | Stewardship - To ensure superior stewardship of Social Security programs and resource   | Mission and Business Results | Income Security                       | General Retirement and Disability | Percent of Social Security Number receipts processed up to the budgeted level                                       | 96% (18,240,000)                                | 96% (19,200,000)   | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Mission and Business Results | Information and Technology Management | Information Systems Security      | Successful Audits performed   |   | 100%   | Actual results will be available in FY 2010 |
| 2009        | Solvency - To achieve sustainable solvency and ensure Social Security programs meet the needs of current and future generations | Mission and Business Results | Legislative Relations                 | Legislation Tracking              | Issue annual SSA-initiated Social Security Statements to eligible individuals age 25 and older                      | 100%  | 100%   | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time          | Timeliness                        | Achieve target percentage of hearing level cases pending over 365 days  | 56%   | 50%  | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time          | Timeliness                        | Decrease the number of pending requests for review (appeals of hearing decisions) over 365 days                     | 28%   | 27%  | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Cycle Time and Resource Time          | Timeliness                        | Minimize average processing time for initial disability claims to provide timely decisions                          | 107 Days  | 103 Days   | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Quality                               | Complaints                        | Percent of individuals who do business with SSA rating the overall service as "excellent," "very good," or "good"   | 82%   | 83%  | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Processes and Activities     | Quality                               | Errors                            | Disability Determination Services net accuracy rate for combined initial disability allowances and denials          | 97%   | 97%  | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service   | Technology                   | Quality                               | Compliance and Deviations         | Accomplish the network migration from SSA's current Internet Protocol (IPv4) to IPv6, through planned and scheduled | Internet Protocol IPv4 is currently used at SSA | All SSA infrastructures (network backbones) will be using IPv6. SSA's network will interface with this | Actual results will be available in FY 2010 |

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| Fiscal Year | Strategic Goal(s) Supported                                 | Measurement Area | Measurement Category         | Measurement Grouping | Measurement Indicator   | Baseline | Target  | Actual Results                              |
|-------------|---|------------------|------------------------------|----------------------|---|----------|---|---|
|             |   |                  |                              |                      | technology refreshment, by June 30, 2008  |          | infrastructure. SSA will be in compliance with the guidelines issued by OMB |   |
| 2009        | Service - To deliver high-quality, citizen-centered service | Technology       | Reliability and Availability | Availability         | Increase the percent of employee reports (W-2 forms) filed electronically and processed to completion | 75%      | 82%   | Actual results will be available in FY 2010 |
| 2009        | Service - To deliver high-quality, citizen-centered service | Technology       | Reliability and Availability | Availability         | Maintain a 97% or higher availability of Computer Telephony Integration (CTI) applications            | 97%      | 97% or Higher Availability  | Actual results will be available in FY2010  |

**Section E: Security and Privacy (IT Capital Assets only)**

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

For existing Mixed-Life Cycle investments where enhancement, development, and/or modernization is planned, include the investment in both the "Systems in Planning" table (Table 3) and the "Operational Systems" table (Table 4). Systems which are already operational, but have enhancement, development, and/or modernization activity, should be included in both Table 3 and Table 4. Table 3 should reflect the planned date for the system changes to be complete and operational, and the planned date for the associated C&A update. Table 4 should reflect the current status of the requirements listed. In this context, information contained within Table 3 should characterize what updates to testing and documentation will occur before implementing the enhancements; and Table 4 should characterize the current state of the materials associated with the existing system.

All systems listed in the two security tables should be identified in the privacy table. The list of systems in the "Name of System" column of the privacy table (Table 8) should match the systems listed in columns titled "Name of System" in the security tables (Tables 3 and 4). For the Privacy table, it is possible that there may not be a one-to-one ratio between the list of systems and the related privacy documents. For example, one PIA could cover multiple systems. If this is the case, a working link to the PIA may be listed in column (d) of the privacy table more than once (for each system covered by the PIA).

The questions asking whether there is a PIA which covers the system and whether a SORN is required for the system are discrete from the narrative fields. The narrative column provides an opportunity for free text explanation why a working link is not provided. For example, a SORN may be required for the system, but the system is not yet operational. In this circumstance, answer "yes" for column (e) and in the narrative in column (f), explain that because the system is not operational the SORN is not yet required to be published.

Please respond to the questions below and verify the system owner took the following actions:

**1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:**

Yes

**a. If "yes," provide the "Percentage IT Security" for the budget year:**

2.56

**2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.**

Yes

**3. Systems in Planning and Undergoing Enhancement(s), Development, and/or Modernization - Security Table(s):**

| Name of System                                   | Agency/ or Contractor Operated System? | Planned Operational Date | Date of Planned C&A update (for existing mixed life cycle systems) or Planned Completion Date (for new systems) |
|--|--|--------------------------|---|
| Enterprise Wide Area Network and Services System | Government Only                        | 10/1/2007                | 10/01/2007  |

**4. Operational Systems - Security Table:**

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| Name of System                                   | Agency/ or Contractor Operated System? | NIST FIPS 199 Risk Impact level (High, Moderate, Low) | Has C&A been Completed, using NIST 800-37? (Y/N) | Date Completed: C&A | What standards were used for the Security Controls tests? (FIPS 200/NIST 800-53, Other, N/A) | Date Complete(d): Security Control Testing | Date the contingency plan tested |
|--|--|---|--|---------------------|--|--|----------------------------------|
| Enterprise Wide Area Network and Services System | Government Only                        | Moderate  | Yes  | 7/18/2006           | FIPS 200 / NIST 800-53   | 7/13/2007                                  | 1/18/2007                        |

5. Have any weaknesses, not yet remediated, related to any of the systems part of or supporting this investment been identified by the agency or IG?

Yes

a. If "yes," have those weaknesses been incorporated into the agency's plan of action and milestone process?

Yes

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

No

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, and validated by the agency for the contractor systems above?

This is not a contractor system.

8. Planning & Operational Systems - Privacy Table:

| (a) Name of System                               | (b) Is this a new system? (Y/N) | (c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N) | (d) Internet Link or Explanation  | (e) Is a System of Records Notice (SORN) required for this system? (Y/N) | (f) Internet Link or Explanation                   |
|--|---------------------------------|---|---|--|--|
| Enterprise Wide Area Network and Services System | No                              | No  | The system does not contain, process, or transmit personal identifying information. | No   | The system is not a Privacy Act system of records. |

Details for Text Options:

Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.

Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.

Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.

**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy?

Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

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b. If "no," please explain why?

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

a. If "yes," provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

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4. Service Component Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name | Agency Component Description         | FEA SRM Service Domain | FEA SRM Service Type | FEA SRM Component (a) | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|-----------------------|--------------------------------------|------------------------|----------------------|-----------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
| Connect: Direct       | Connect: Direct automates the secure | Back Office Services   | Data Management      | Data Exchange         | Data Exchange                     |                                  | Internal                        | 1                         |

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Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name | Agency Component Description  | FEA SRM Service Domain       | FEA SRM Service Type        | FEA SRM Component (a)       | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|-----------------------|---|------------------------------|-----------------------------|-----------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
|                       | movement of large volumes of data between distributed applications within and between enterprises.  |                              |                             |                             |                                   |                                  |                                 |                           |
| RAID                  | Redundant Array of Independent Disks. This disk subsystem architecture uses multiple hard drives to write data to achieving redundancy and enhancing fault resilience.  | Back Office Services         | Data Management             | Data Recovery               | Data Recovery                     |                                  | Internal                        | 1                         |
| RMF                   | RMF (Resource Measurement Facility) operates exclusively on IBM's Multiple Virtual Space (MVS) operating systems. RMF measures performance, utilization, resource consumption, and workload levels for MVS systems. | Back Office Services         | Data Management             | Data Recovery               | Data Recovery                     |                                  | Internal                        | 1                         |
| CA Repository         | Computer Associates repository for metadata management.   | Back Office Services         | Data Management             | Meta Data Management        | Meta Data Management              |                                  | Internal                        | 1                         |
| PA I/O Driver         | Performance Associates software used to generate transaction traffic in an effort to simulate higher volume workloads for testing of throughput thresholds.   | Back Office Services         | Development and Integration | Instrumentation and Testing | Instrumentation and Testing       |                                  | Internal                        | 1                         |
| DMA                   | The Document Management Architecture (DMA) is the part of the Electronic Folder (EF) that provides the capability to hold images, documents, and forms that previously have been housed in paper folders.           | Business Analytical Services | Visualization               | Imagery                     | Imagery                           |                                  | Internal                        | 1                         |
| QA2                   | QA2 enforces the completion of an System Release Certification through its interface with the online and batch release processes.   | Business Management Services | Management of Processes     | Configuration Management    | Configuration Management          | 016-00-01-04-02-2132-00          | Internal                        | 0                         |
| Omegamon              | IBM Tivoli Monitoring is an enterprise-class,   | Business Management Services | Organizational Management   | Network Management          | Network Management                |                                  | Internal                        | 1                         |

Exhibit 300: Infrastructure BY09 (Revision 6)

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name                        | Agency Component Description   | FEA SRM Service Domain       | FEA SRM Service Type    | FEA SRM Component (a)    | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|--|--|------------------------------|-------------------------|--------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
|  | easy-to-use solution that optimizes the performance and availability of your entire IT infrastructure. Through a single customizable workspace portal, you can proactively manage the health and availability of your IT infrastructure, end-to-end, including operating systems, databases and servers, across distributed and host environments. |                              |                         |                          |                                   |                                  |                                 |                           |
| SSASy  | SSA's Streamlined Acquisition System (SSASy) is a paperless, electronic tool used to prepare, submit and process purchase requests.  | Business Management Services | Supply Chain Management | Ordering / Purchasing    | Ordering / Purchasing             | 016-00-01-01-02-2129-00          | Internal                        | 0                         |
| FECS   | The Front-End Capture System (FECS) is the software used to provide the front-end capture capabilities needed to process unstructured data.  | Digital Asset Services       | Document Management     | Document Imaging and OCR | Document Imaging and OCR          |                                  | Internal                        | 1                         |
| CCNS/N8NN                                    | The Call Center Network Solution is the Coponent that allows citizens to converse and conduct Social Security business with agents located in Social Security call centers.  | Support Services             | Communication           | Voice Communications     | Voice Communications              | 016-00-01-02-01-2139-00          | Internal                        | 0                         |
| S/MIME, eTrust, Top Secret, Active Directory | Secure MIME (S/MIME) is an enhanced version of the Multi-purpose Internet Mail Extension (MIME) protocol to provide authentication and confidentiality services. eTrust SSO provides internal SSA end users a login option (leveraging Microsoft Active Directory login) that allows them to more effectively                                      | Support Services             | Security Management     | Access Control           | Access Control                    |                                  | Internal                        | 1                         |



Exhibit 300: Infrastructure BY09 (Revision 6)

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name              | Agency Component Description   | FEA SRM Service Domain | FEA SRM Service Type | FEA SRM Component (a)             | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|------------------------------------|--|------------------------|----------------------|-----------------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
|                                    | manage UserIDs and passwords for multiple applications (Internet, Intranet and/or CISC). Active Directory stores information about users, computers, printers, and network resources.  |                        |                      |                                   |                                   |                                  |                                 |                           |
| Top Secret, iESI                   | TOP SECRET is the security software running on all of SSA's mainframe systems. iESI is Internet/Intranet Enterprise Security Interface.  | Support Services       | Security Management  | Identification and Authentication | Identification and Authentication |                                  | Internal                        | 1                         |
| ACU                                | The Access Control Utility is the security architecture framework for authentication and access control for internet, and automated telephone applications. It manages internally issued credentials, external credentials, exchange credentials and information with outside parties, and defines access rights and their use to categorize and control access to services; and defines how the public will use the credentials to gain access to SSA services. | Support Services       | Security Management  | Identification and Authentication | Identification and Authentication |                                  | Internal                        | 1                         |
| Nokia and Netscreen Firewalls, VPN | Virtual Private Networking (VPN) is a facility that allows a user to access SSA's mainframe computers, Local Area Networks, or e-mail from a remote location. Firewalls are specially-fortified hosts which sit between two networks and control access from one network to another via a set of rules.  | Support Services       | Security Management  | Intrusion Detection               | Intrusion Detection               |                                  | Internal                        | 1                         |
| CAPRS                              | CAPRS is the primary automated system used to log customer calls and report problems   | Support Services       | Systems Management   | Issue Tracking                    | Issue Tracking                    |                                  | Internal                        | 1                         |

Exhibit 300: Infrastructure BY09 (Revision 6)

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name        | Agency Component Description  | FEA SRM Service Domain | FEA SRM Service Type | FEA SRM Component (a)  | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|------------------------------|---|------------------------|----------------------|------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
|                              | associated with SSA's production telecommunications operations. The purpose of CAPRS is to provide a central repository for customer calls/complaints received and tracking related production problems through to resolution. CAPRS is used by all Office of Systems (OS) components to register problems identified in hardware, software, or environmental components supporting production telecommunications operations. |                        |                      |                        |                                   |                                  |                                 |                           |
| Radia                        | Radia is a policy based software and configuration management tool that enables Systems to deploy, manage and maintain software and content.  | Support Services       | Systems Management   | License Management     | License Management                |                                  | Internal                        | 1                         |
| SMS                          | SMS is a policy based software and configuration management tool that enables Systems to deploy, manage and maintain software and content.  | Support Services       | Systems Management   | License Management     | License Management                |                                  | Internal                        | 1                         |
| SSASy                        | SSA's Streamlined Acquisition System (SSASy) is a paperless, electronic tool used to prepare, submit and process purchase requests.   | Support Services       | Systems Management   | License Management     | License Management                | 016-00-01-01-02-2129-00          | Internal                        | 0                         |
| Omegamon, Directory Services | Active Directory (Directory Services) is a place (database) to store information about business and organizational assets such as applications, files, printers, and users. It provides a consistent method for naming, describing, locating, accessing,  | Support Services       | Systems Management   | Remote Systems Control | Remote Systems Control            |                                  | Internal                        | 1                         |

**Exhibit 300: Infrastructure BY09 (Revision 6)**

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.egov.gov>.

| Agency Component Name | Agency Component Description   | FEA SRM Service Domain | FEA SRM Service Type | FEA SRM Component (a)      | Service Component Reused Name (b) | Service Component Reused UPI (b) | Internal or External Reuse? (c) | BY Funding Percentage (d) |
|-----------------------|--|------------------------|----------------------|----------------------------|-----------------------------------|----------------------------------|---------------------------------|---------------------------|
|                       | managing, and securing information about the resources   |                        |                      |                            |                                   |                                  |                                 |                           |
| Radia                 | Radia is a policy based software and configuration management tool that enables Systems to deploy, manage and maintain software and content.   | Support Services       | Systems Management   | Software Distribution      | Software Distribution             |                                  | Internal                        | 1                         |
| SMS                   | SMS is a policy based software and configuration management tool that enables Systems to deploy, manage and maintain software and content.   | Support Services       | Systems Management   | Software Distribution      | Software Distribution             |                                  | Internal                        | 1                         |
| Omegamon              | IBM Tivoli Monitoring is an enterprise-class, easy-to-use solution that optimizes the performance and availability of your entire IT infrastructure. Through a single customizable workspace portal, you can proactively manage the health and availability of your IT infrastructure, end-to-end, including operating systems, databases and servers, across distributed and host environments. | Support Services       | Systems Management   | System Resource Monitoring | System Resource Monitoring        |                                  | Internal                        | 1                         |

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

**5. Technical Reference Model (TRM) Table:**

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

| FEA SRM Component (a)    | FEA TRM Service Area | FEA TRM Service Category | FEA TRM Service Standard | Service Specification (b) (i.e., vendor and product name) |
|--------------------------|----------------------|--------------------------|--------------------------|---|
| Configuration Management | Component Framework  | Business Logic           | Platform Dependent       | Visual Basis .Net (VB.Net)                                |
| Data Exchange            | Component Framework  | Data Interchange         | Data Exchange            | Connect:Direct  |
| Configuration Management | Component Framework  | Data Management          | Database Connectivity    | Active Data Objects .Net                                  |

Exhibit 300: Infrastructure BY09 (Revision 6)

| To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment. |                                     |                           |                                 |  |
|--|-------------------------------------|---------------------------|---------------------------------|--|
| FEA SRM Component (a)  | FEA TRM Service Area                | FEA TRM Service Category  | FEA TRM Service Standard        | Service Specification (b)<br>(i.e., vendor and product name) |
|  |                                     |                           |                                 | (ADO.Net)  |
| Imagery  | Component Framework                 | Data Management           | Database Connectivity           | Java Database Connectivity (JDBC)                            |
| Configuration Management   | Component Framework                 | Data Management           | Database Connectivity           | Open Database Connectivity (ODBC)                            |
| Configuration Management   | Component Framework                 | Presentation / Interface  | Dynamic Server-Side Display     | Active Server Pages .Net (ASP.Net)                           |
| Document Imaging and OCR   | Component Framework                 | Security                  | Supporting Security Services    | Secure Multipurpose Internet Mail Extensions (S/MIME)        |
| Access Control   | Component Framework                 | Security                  | Supporting Security Services    | Secure Multipurpose Internet Mail Extensions (S/MIME)        |
| Access Control   | Component Framework                 | Security                  | Supporting Security Services    | TopSecret  |
| Identification and Authentication  | Component Framework                 | Security                  | Supporting Security Services    | TopSecret  |
| Document Imaging and OCR   | Component Framework                 | Security                  | Supporting Security Services    | Transport Layer Security (TLS)                               |
| Document Imaging and OCR   | Service Access and Delivery         | Access Channels           | Collaboration / Communications  | Electronic Mail (E-mail)                                     |
| Document Imaging and OCR   | Service Access and Delivery         | Access Channels           | Collaboration / Communications  | Facsimile (Fax)  |
| Access Control   | Service Access and Delivery         | Access Channels           | Other Electronic Channels       | System to System   |
| Instrumentation and Testing  | Service Access and Delivery         | Access Channels           | Other Electronic Channels       | System to System   |
| Imagery  | Service Access and Delivery         | Access Channels           | Other Electronic Channels       | Web Service  |
| Access Control   | Service Access and Delivery         | Service Requirements      | Authentication / Single Sign-on |  |
| Imagery  | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Document Imaging and OCR   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Access Control   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Issue Tracking   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Remote Systems Control   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Intrusion Detection  | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| System Resource Monitoring   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Instrumentation and Testing  | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| License Management   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Software Distribution  | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Ordering / Purchasing  | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Network Management   | Service Access and Delivery         | Service Requirements      | Hosting                         | Internal (within Agency)                                     |
| Access Control   | Service Access and Delivery         | Service Requirements      | Legislative / Compliance        | Security   |
| Identification and Authentication  | Service Access and Delivery         | Service Requirements      | Legislative / Compliance        | Security   |
| Voice Communications   | Service Access and Delivery         | Service Transport         | Service Transport               | Call Center Network Services                                 |
| Document Imaging and OCR   | Service Access and Delivery         | Service Transport         | Service Transport               | File Transfer Protocol (FTP)                                 |
| Voice Communications   | Service Access and Delivery         | Service Transport         | Service Transport               | National 800 Number Network                                  |
| Voice Communications   | Service Access and Delivery         | Service Transport         | Service Transport               | Verizon Business   |
| Document Imaging and OCR   | Service Access and Delivery         | Service Transport         | Supporting Network Services     | Multipurpose Internet Mail Extensions (MIME)                 |
| Document Imaging and OCR   | Service Access and Delivery         | Service Transport         | Supporting Network Services     | Simple Mail Transfer Protocol (SMTP)                         |
| Access Control   | Service Interface and Integration   | Integration               | Middleware                      | CICS   |
| Identification and Authentication  | Service Interface and Integration   | Integration               | Middleware                      | CICS   |
| Imagery  | Service Platform and Infrastructure | Database / Storage        | Database                        | Content Manager  |
| Meta Data Management   | Service Platform and Infrastructure | Database / Storage        | Database                        | Database 2 (DB2)   |
| Issue Tracking   | Service Platform and Infrastructure | Delivery Servers          | Application Servers             |  |
| Ordering / Purchasing  | Service Platform and Infrastructure | Delivery Servers          | Application Servers             |  |
| Issue Tracking   | Service Platform and Infrastructure | Hardware / Infrastructure | Embedded Technology Devices     | Hard Disk Drive  |
| Ordering / Purchasing  | Service Platform and Infrastructure | Hardware / Infrastructure | Embedded Technology Devices     | Hard Disk Drive  |
| Data Recovery  | Service Platform and Infrastructure | Hardware / Infrastructure | Embedded Technology Devices     | Redundant Array of Independent Disks (RAID)                  |
| Intrusion Detection  | Service Platform and Infrastructure | Hardware / Infrastructure | Network Devices / Standards     | Firewall   |
| Imagery  | Service Platform and Infrastructure | Hardware / Infrastructure | Peripherals                     | Direct Access Storage Device (DASD)                          |
| Instrumentation and Testing  | Service Platform and                | Hardware / Infrastructure | Peripherals                     | Direct Access Storage Device                                 |

**Exhibit 300: Infrastructure BY09 (Revision 6)**

| To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment. |                                     |                           |                          |  |
|--|-------------------------------------|---------------------------|--------------------------|--|
| FEA SRM Component (a)  | FEA TRM Service Area                | FEA TRM Service Category  | FEA TRM Service Standard | Service Specification (b)<br>(i.e., vendor and product name) |
|  | Infrastructure                      |                           |                          | (DASD)   |
| Identification and Authentication  | Service Platform and Infrastructure | Hardware / Infrastructure | Peripherals              | Direct Access Storage Device (DASD)                          |
| Document Imaging and OCR   | Service Platform and Infrastructure | Hardware / Infrastructure | Peripherals              | Scanner  |
| Access Control   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| Remote Systems Control   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| System Resource Monitoring   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| License Management   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| Software Distribution  | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| Network Management   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Enterprise Server  |
| Remote Systems Control   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| System Resource Monitoring   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| Instrumentation and Testing  | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| Access Control   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| Identification and Authentication  | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| Network Management   | Service Platform and Infrastructure | Hardware / Infrastructure | Servers / Computers      | Mainframe  |
| Network Management   | Service Platform and Infrastructure | Hardware / Infrastructure | Wide Area Network (WAN)  | Frame Relay  |
| Instrumentation and Testing  | Service Platform and Infrastructure | Software Engineering      | Test Management          | Configuration Testing  |
| Instrumentation and Testing  | Service Platform and Infrastructure | Software Engineering      | Test Management          | Installation Testing   |
| Instrumentation and Testing  | Service Platform and Infrastructure | Software Engineering      | Test Management          | Load/Stress/Volume Testing                                   |
| Instrumentation and Testing  | Service Platform and Infrastructure | Software Engineering      | Test Management          | Performance Profiling  |
| Instrumentation and Testing  | Service Platform and Infrastructure | Software Engineering      | Test Management          | Reliability Testing  |
| Imagery  | Service Platform and Infrastructure | Support Platforms         | Platform Independent     | Java 2 Platform Enterprise Edition (J2EE)                    |

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

**6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?**

No

a. If "yes," please describe.

## **Exhibit 300: Part II: Planning, Acquisition and Performance Information**

### **Section A: Alternatives Analysis (All Capital Assets)**

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above. In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments and the Clinger Cohen Act of 1996 for IT investments to determine the criteria you should use in your Benefit/Cost Analysis.

#### **1. Did you conduct an alternatives analysis for this project?**

Yes

##### **a. If "yes," provide the date the analysis was completed?**

7/19/2007

##### **b. If "no," what is the anticipated date this analysis will be completed?**

##### **c. If no analysis is planned, please briefly explain why:**

2. Removed

#### **3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?**

Alternative 1 was chosen. This alternative provides funding for ongoing operations, refreshment, upgrades and maintenance for the Infrastructure investment in support of the American public and expanded workloads due to the aging baby-boomer generation. This alternative includes funding for projects which SSA has identified as providing the best value among the many alternatives evaluated and providing the best ROI. Also, the projects will allow for future costs saving by leveraging these investments to support future applications and efficiencies.

This alternative also provides full funding for SSA's Windows Infrastructure Server Hardware refreshment. Server hardware refreshment is necessary because the Windows Server Hardware Infrastructure is nearing the end of its life expectancy. The Windows Infrastructure Server Hardware refreshment funding provided in this alternative provides the best value among the many alternatives evaluated and it provides the best ROI.

In addition this alternative was selected as the single viable solution to support the new as well as the existing disability hearing process and continue to reduce administrative law judge travel cost and time, allow hearings to be scheduled on a flow basis, enable national workload balancing without travel, reduce claimant cancellations due to reduced travel time and costs, increase expert access, and improving citizen-centered service by improving access. A detailed cost benefit analysis was performed to determine that a six year rollout for the 363 systems returned the best dollar to benefit ratio. A six month rollout was also deemed necessary to allow for the appropriate construction, and network changes required to accommodate the additional systems. Monetary and manpower opportunity cost were also evaluated.

This alternative will facilitate the Agency in achieving its strategic goals and it supports the Presidents Management Agenda.

#### **4. What specific qualitative benefits will be realized?**

SSA's Infrastructure investment is a major Agency initiative consisting of a series of interdependent projects designed to promote the nation's economic security by maintaining, enhancing and protecting the IT environment that administers America's major support payments for older Americans, Americans with disabilities and their dependents. The Infrastructure initiative affects every part of SSA that develops, processes, manages, provides timely service support and pays Americans in need of SSI, Medicare, Black Lung, Railroad, Food Stamps and Medicare & Medicaid services. SSA relies heavily on complex Information Technology (IT) architecture in order to support the mission-critical programmatic and administrative workloads. During FY 2007, SSA delivered numerous major accomplishments that significantly improved the Agency's ability to meet service delivery expectations. By continuing to sustain and strengthen the IT infrastructure, the SSA Infrastructure investment played an integral part in these achievements. The Infrastructure investment is comprised of acquisitions, maintenance and support services for the Data Center, Telecommunications and Office Automation. Collectively, these acquisition and maintenance segments support SSA's mission to service the American public.

State-of-the-Art Infrastructure is essential for ongoing day-to-day operations for maintaining good citizen-centered service to the public in the face of growing workloads. SSA can process more payments and cases per worker through the efficiencies gained from investments in technology. As the Agency's future workloads begin to develop, Infrastructures must be firmly established and contain adequate functionality to allow proper execution of all of the customized applications designed to support those workloads. Refreshments will allow SSA the ability to acquire the equipment necessary to build and maintain the Infrastructure Architecture within Agency's Enterprise Architecture and to provide the maximum availability, changeability, stability and secureability to ensure effective delivery of computing tools and programmatic applications needed to meet the growing automation requirements. Without refreshments, the

unprecedented growth and deployment of commercial-off-the-shelf (COTS) software and SSA-written applications necessary to meet ongoing programmatic requirements could not be accomplished.

5. Will the selected alternative replace a legacy system in-part or in-whole?

No

a. If "yes," are the migration costs associated with the migration to the selected alternative included in this investment, the legacy investment, or in a separate migration investment.

b. If "yes," please provide the following information:

**List of Legacy Investment or Systems**

| Name of the Legacy Investment of Systems | UPI if available | Date of the System Retirement |
|--|------------------|-------------------------------|
|--|------------------|-------------------------------|

**Section B: Risk Management (All Capital Assets)**

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

**1. Does the investment have a Risk Management Plan?**

Yes

a. If "yes," what is the date of the plan?

8/10/2007

b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

c. If "yes," describe any significant changes:

**2. If there currently is no plan, will a plan be developed?**

a. If "yes," what is the planned completion date?

b. If "no," what is the strategy for managing the risks?

**3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:**

SSA's baselines are risk adjusted in terms of both life cycle schedule and resource estimates. Factors considered in determining baseline risk adjustments include: identification of known and types of unknown program and technology risks, the likelihood of occurrence, the impact in the event the risk occurs, and the mitigation strategy adopted to manage each risk. The intent of adopting this strategy is for the program to be able to absorb inevitable risk occurrences and still achieve end cost and schedule objectives. This practice (along with our risk management policies and procedures) has to date been a successful one at SSA. Small management reserves are held at the Deputy Commissioner level in the event they are required.

**Section C: Cost and Schedule Performance (All Capital Assets)**

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

**1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?**

Yes

**2. Is the CV% or SV% greater than +/- 10%? (CV% = CV/EV x 100; SV% = SV/PV x 100)**

No

a. If "yes," was it the CV or SV or both?

b. If "yes," explain the causes of the variance:

c. If "yes," describe the corrective actions:

3. Has the investment re-baselined during the past fiscal year?

No

a. If "yes," when was it approved by the agency head?

4. Removed