Archived Information NATIONAL EDUCATION RESEARCH INSTITUTES

Goal: Conduct high-quality research and development that contribute to educational improvement.

Relationship of Program to Volume 1, Department-wide Objectives: The National Education Research Institutes support Objective 4.3 (an up-to-date knowledge base is available from education research to support education reform and equity) by funding the conduct and distribution of rigorous research that addresses critical education issues.

FY 2000—\$84,782,000

FY 2001—Funds for the National Education Research Institutes program are being requested under the Research, Development, and Dissemination Program. The total FY 2001 request is \$198,567,000.

OBJECTIVE 1: THE FINDINGS AND PRODUCTS OF EDUCATION RESEARCH ARE USEFUL TO POLICY MAKERS AND PRACTITIONERS IN ADDRESSING THEIR HIGH-PRIORITY NEEDS.

Indicator 1.1 Usefulness: Education policy makers and practitioners find research products supported by the Office of Educational Research and Improvement

indicator 1.1 Osefulness: Education policy makers and practitioners find research products supported by the Office of Educational Research and Improvement						
(OERI) to be useful in addressing their high-priority needs.						
Targets and Performance Data			Assessment of Progress	Sources and Data Quality		
As a part of the renewal process, an expert review panel found the scope and focus			Status: Available data do not allow us to	Source: OERI staff are developing an inventory		
of the next 5	years of work proposed by the Ce	nter for Research on the Education of	actually measure progress. Indicators have been	from which to draw a sample of products. A		
Students Pla	iced At Risk to be useful in addres.	sing the high-priority needs of at-risk	revised to develop targets and performance data	contractor will develop and draw the sample.		
students			that more accurately reflect and measure	Reviewers will be drawn from a variety of		
Year	Actual Performance	Performance Targets	progress.	education organizations, states, and school		
1999:	No data available	No target set		districts.		
2000:		Baseline data will be established	Explanation: Beginning this year, a	Frequency: Annually.		
2001:		Will be based on FY 2000 baseline	representative group of education policy makers	Next Update: December 2000.		
		data	and practitioners will judge a sample of products			
			supported by research funds from OERI on their	Validation Procedure: No data to validate.		
			responsiveness and usefulness. Baseline data			
			and performance goals will be established by the	Limitations of Data and Planned		
			next report.	Improvements: Available data do not measure		
				progress. Revised strategies and data sources are		
				explained below.		

Indicator 1.2 OERI-supported research findings are published in periodicals for education practitioners and policy makers. Targets and Performance Data Assessment of Progress Four key findings from the National Center for Early Development and Learning's national survey of kindergarten teachers were recently published by Elementary School Journal. The September 1999 article was titled "Kindergarten Teachers' Practices Related to Transition to School: Results of a National Survey."

In the November 1999 edition of School Administrator a project director at the Center for Research on Evaluation, Standards and Student Testing offered guidance on key considerations for schools in crafting accountability report cards. The article "Creating School Accountability Reports" included findings from the center's examination of different report card representations.

Each edition of Change magazine, published by the American Association for Higher Education, includes a special pullout section about research on higher education being conducted by the National Center for Postsecondary Improvement. This prominent and regular feature has covered a wide range of vital subjects, such as student assessment policies and practices, improvement of postsecondary remediation, the market structure of higher education, and transitions from college to work.

Year	Actual Performance	Performance Targets
FY 1999:	No data available	No target set
FY 2000:		Baseline data will be established
FY 2001:		Will be based on FY 2000 baseline
		data

Status: Available data do not allow us to actually measure progress. Indicators have been revised to develop targets and performance data that more accurately reflect and measure progress.

Explanation: Beginning this year, OERI will track the publication of OERI-supported research findings in a select set of periodicals for education practitioners and policy makers. Baseline data and performance goals will be established by the next report.

Source: A contractor will be employed to help OERI staff identify a set of publications and to review those publications for OERI-supported

Sources and Data Quality

Frequency: Annually.

research findings.

Next Update: December 2000.

Validation Procedure: No data to validate.

Limitations of Data and Planned

Improvements: Available data do not measure progress. Revised strategies and data sources are explained below.

ODJECTIVE 2. EDUCATION DESEADOU DESI ECTS A COEDTED STANDADOS OF TECHNICAL MEDIT AND EVIDENCE

OBJECTIVE	OBJECTIVE 2: EDUCATION RESEARCH REFLECTS ACCEPTED STANDARDS OF TECHNICAL MERIT AND EVIDENCE.						
Indicator 2.1 Technical Merit: Review panels find a sample of OERI-supported research products to reflect research of a fully acceptable scientific quality.							
Targets and Performance Data			Assessment of Progress	Sources and Data Quality			
	assessment of each of the 10 inter		Status: Available data do not allow us to	Source: OERI staff is developing an inventory			
		praised the leadership of centers, the	actually measure progress. Indicators have been	from which to draw a sample of products. A			
overall high	quality of center research, and an	intellectual climate that promotes	revised to develop targets and performance data	contractor will develop and draw the sample.			
successful w	ork.		that more accurately reflect and measure	Reviewers will be drawn from a variety of			
			progress.	research organizations, colleges, and universities.			
	the renewal process, an expert rev			Frequency: Annually.			
		Education of Students Placed At Risk	Explanation: Beginning this year, a panel of	Next Update: December 2000.			
		eptable scientific quality. The panel	researchers will judge a sample of OERI-				
also made some recommendations that are being incorporated into the scope of			supported research products on the scientific	Validation Procedure: No data to validate.			
work.			quality. Baseline data and performance goals				
Year	Actual Performance	Performance Targets	will be established by next report.	Limitations of Data and Planned			
1999:	No data available	No target set		Improvements: Available data do not measure			
2000:		Baseline data will be established		progress. Revised strategies and data sources are			
2001:		Will be based on FY 2000 baseline		explained below.			
		data					

Indicator 2.2 OERI-supported research is published in scholarly research journals.						
Targets and Performance Data			Assessment of Progress	Sources and Data Quality		
The article "S	The article "School Membership in the National Network of Partnership Schools:		Status: Available data do not allow us to	Source: A contractor will be employed to help		
		I findings from research conducted at	actually measure progress. Indicators have been	OERI staff identify a set of publications and to		
the Center for	r Research on the Education of St	tudents Placed At Risk. It was	revised to develop targets and performance data	review those publications for OERI-supported		
published in	a 1999 edition of The Journal Of	Educational Research.	that more accurately reflect and measure	research findings.		
1			progress.	Frequency: Annually.		
The Center for	or Research on Evaluation, Standa	ards, and Student Testing and the		Next Update: December 2000.		
National Cen	ter on Increasing the Effectivenes	ss of State and Local Education	Explanation: Beginning this year, OERI will			
Reform Effor	rts at the Consortium for Policy R	esearch in Education were used as	track the publication of OERI-supported research	Validation Procedure: No data to validate.		
sources in the	e Education Writers Association I	Backgrounder 9 on state standards.	findings in a set of rigorously peer-reviewed			
			research journals. Baseline data and	Limitations of Data and Planned		
The January 1999 edition of the Early Education and Development journal included			performance goals will be established by next	Improvements: Available data do not measure		
		ng Developmental Patterns," written	report.	progress. Revised strategies and data sources are		
by a principal investigator at the National Center for Early Development and				explained below.		
Learning.						
		ppan was devoted to issues concerning				
gifted and talented education. The work of the National Research Center on the						
Gifted and Talented was used as a resource for the six articles in the magazine.						
Year	Actual Performance	Performance Targets				
FY 1999:	No data available	No target set				
FY 2000:		Baseline data will be established				
FY 2001:		Will be based on FY 2000 baseline				

KEY STRATEGIES

Strategies Continued from 1999

- The Office of Educational Research and Improvement (OERI) will continue to expand partnerships with other agencies such as the National Science Foundation and the National Institute of Child Health and Human Development.
- The Office of Educational Research and Improvement will strengthen internal research capacity by continuing to recruit visiting scholars through the National Academy of Sciences.
- * External and internal quality reviews of products and activities of grantees and contractors following The Office of Educational Research and Improvement's Phase III performance standards will provide ongoing formative evaluation and adjustments to current programs of research.
- The Office of Educational Research and Improvement continues to work with its National Educational Research Policy and Priorities Board on the development and implementation of a long-term research agenda.
- Accessible, up-to-date inventories of education research activities and products will be maintained.

data

New or Strengthened Strategies

- The Office of Educational Research and Improvement will work closely with the National Research Council to develop a plan for an expanded research program of large-scale, systemic experimentation and demonstration focused on strategic education issues.
- To ensure that the Office of Educational Research and Improvement continues to improve the usefulness of its research, it will pilot the use of external expert panels of prominent researchers to develop recommendations for a research agenda with a program/problem orientation that builds on and expands cumulative research-based knowledge.
- The Office of Educational Research and Improvement will undertake new activities to maximize the Federal investment in research and development related to comprehensive school reform by building more productive working relationships among Office of Educational Research and Improvement research staff and research and development contractors designed to foster collaborative and crosscutting research and evaluation activities.

HOW THIS PROGRAM COORDINATES WITH OTHER FEDERAL ACTIVITIES

To assist in improving the scientific quality of the Office of Educational Research and Improvement's research, the agency has developed partnerships with other agencies to develop, conduct, and manage grant and contracts programs addressing mutual high priority issues. The Office of Educational Research and Improvement and the National Institute of Child Health and Human Development have undertaken a new initiative to build a knowledge base for improving the literacy learning of English Language Learning Students. The Interagency Education Research Initiative (IERI) is an ongoing partnership between the Office of Educational Research and Improvement, the National Science Foundation, and the National Institutes of Health designed to develop knowledge and experimental methods that will allow for the implementation and evaluation of large-scale educational interventions, which will, in turn, inform educational policy and practice. In order to improve math and science teaching and learning, the Office of Educational Research and Improvement is coordinating the replication of the Third International Mathematics and Science Study of eighth graders. The Office of Educational Research and Improvement is partnering with the National Science Foundation, as well as the 13 states and 14 school districts and consortia of districts that participated in the study as separate entities, to facilitate improved use of data and empirically based improvement strategies.

CHALLENGES TO ACHIEVING PROGRAM GOAL

None.

INDICATOR CHANGES

From FY 1999 Annual Plan (two years old)

Adjusted

The goal for the National Education Research Institutes was adjusted to focus more clearly on the actual work the agency manages. Both objectives were revised to reflect a more specific focus on outcome measures, and all indicators were adjusted to include more specific information about the sources to be used.

Dropped—None.

From FY 2000 Annual Plan (last year's)

Adjusted

- In order to better quantify the measurement of progress, last years' Objective 1 has been revised to focus more specifically on "the findings and products of education research" rather than the more general "education research." For the same purpose, last years' Indicator 2.1 has been revised to indicate that panels will review "a sample of OERI supported research products," rather than "OERI supported research."
- * Indicator 1.1 has been adjusted to address usefulness as well as high-priority needs. Further, it specifies that "education policy makers and practitioners" will judge the usefulness of the Office of Educational Research and Improvement's research products, as opposed to an "expert panel."
- The use of periodical and journal citations as measurements has been dropped from both Indicator 1.2 and Indicator 2.2 of last year's plan. The Office of Educational Research and Improvement has determined that the universal counting of citations does not represent the usefulness or technical merit of research findings as effectively as the measurement of actual articles printed in a select group of publications.

Dropped

Objective 3 (the findings and products of education research are useful to policy makers and practitioners) and Indicator 3.1 (expert panels find the Office of Educational Research and Improvement supported research to be useful to policy makers and practitioners) have been incorporated into this year's Objective 1, Indicator 1.1 (education policy makers and practitioners find research products supported by the Office of Educational Research and Improvement [OERI] to be useful in addressing their high-priority needs).

New

Objective 3 addressing the usefulness of the Office of Educational Research and Improvement's findings and products to policy makers and practitioners was added.

INDICATOR CHANGES (CONTINUED)

From FY 2000 Annual Plan (last year's)

Explanation/Comments

- For each of the indicators, the target, performance measures and/or data sources have been revised to some extent. These revisions are based upon both the Office of Educational Research and Improvement's experience in unsuccessfully attempting to quantify performance with the previous measures, and upon a careful reconsideration of how best to both measure and improve the most critical aspects of the Office of Educational Research and Improvement's performance.
- The Office of Educational Research and Improvement's experience this year has shown that many of the performance measures and data sources currently used will not, in fact, allow it to measure adequately progress toward meeting its critical objectives. The Office of Educational Research and Improvement's new system of expert panels did provide an overall assessment of the quality of the Office of Educational Research and Improvement's research program and recommendations for improvements, but that system does not provide measures for gauging progress over time. Similarly, the more narrowly focused review panels employed to conduct interim reviews of the Office of Educational Research and Improvement's Research and Development Centers did not provide data useful for the purposes of this report. Those reviews, formative in nature, are not conducted annually and do not provide measurement data. The usefulness of both the new system of expert panels and the more traditional review panels are therefore limited as measures in an ongoing performance based indicator system.
- After careful consideration, the Office of Educational Research and Improvement has determined that a revised set of performance measures is needed to provide useful information on the most critical aspects of its work. For example, the analysis of publications based upon Office of Educational Research and Improvement supported research has been revised to include only articles and citations printed in a pre-selected group of periodicals and journals which are known to employ rigorous peer review processes. This better represents measurement of progress toward a high standard of quality as opposed to measurement of the volume of publications. Similarly, the Office of Educational Research and Improvement will begin to employ panels of experts to assess its work products as a central means for judging the quality and effectiveness of its investments. Such data will provide important feedback to guide the Office of Educational Research and Improvement's future improvement efforts, while also giving both the agency and the Congress meaningful indicators for measuring progress over time.