

2a Employer's name and address (Address should include room or suite no.)

1) Name

Name Continued

2) C / O

3) Street

4) City

5) State Zip Code

6) Foreign Routing Code 2c Employer's telephone number

7) Foreign Country 2b Employer Identification Number (EIN) (Do not enter your Social Security Number)

8) D/B/A 2d Business code (see instructions)

9) Location Address if different than Street

Location Address if different than 4) or 5)

3a Plan administrator's name and address (If same as employer, enter "Same")

1) Name

Name Continued

2) C / O

3) Street

4) City

5) State Zip Code

6) Foreign Routing Code

7) Foreign Country

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the employer has changed since the last return filed for this plan, enter the name, EIN and the plan number from the last return below:

a Employer's name

b EIN

c PN

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5 Preparer information (optional)

a Name (including firm name, if applicable) and address

1) Name

Name Continued

2) Street

3) City

4) State Zip Code

5) Foreign Routing Code

6) Foreign Country

b EIN

c Telephone number

- 6 Type of plan:
- (a) Defined benefit pension plan (other than a plan described in Code section 412(e)(3))
 - (b) Defined benefit pension plan described in Code section 412(e)(3)
 - (c) Money purchase pension plan
 - (d) Profit-sharing plan
 - (e) Stock bonus plan
 - (f) ESOP plan

7a If this is a master/prototype, or regional prototype plan, enter the opinion/notification letter number ▶

b Check if this plan covers:

- (1) Self-employed individuals, (2) Partner(s) in a partnership, or (3) 100% owner of corporation

8a Enter the number of qualified pension benefit plans maintained by the employer (including this plan) ▶

b Check here if you have more than one plan and the total assets of all plans are more than \$250,000 (see instructions) ▶

9 Enter the number of participants in each category listed below:

	Number
a Under age 59 1/2 at the end of the plan year	<input type="text"/>
b Age 59 1/2 or older at the end of the plan year, but under age 70 1/2 at the beginning of the plan year	<input type="text"/>
c Age 70 1/2 or older at the beginning of the plan year	<input type="text"/>



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12 **Specific Assets:** If the plan held assets at any time during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Otherwise, check "No."

	Yes	No	Amount
a Partnership/joint venture interests	<input type="checkbox"/>	<input type="checkbox"/>00
b Employer real property	<input type="checkbox"/>	<input type="checkbox"/>00
c Real estate (other than employer real property)	<input type="checkbox"/>	<input type="checkbox"/>00
d Employer securities	<input type="checkbox"/>	<input type="checkbox"/>00
e Participant loans (see instructions)	<input type="checkbox"/>	<input type="checkbox"/>00
f Loans (other than to participants)	<input type="checkbox"/>	<input type="checkbox"/>00
g Tangible personal property	<input type="checkbox"/>	<input type="checkbox"/>00

13 Check "Yes" and enter amount involved if any of the following transactions took place between the plan and a disqualified person during this plan year. Otherwise, check "No."

	Yes	No	Amount
a Sale, exchange, or lease of property	<input type="checkbox"/>	<input type="checkbox"/>00
b Payment by the plan for services	<input type="checkbox"/>	<input type="checkbox"/>00
c Acquisition or holding of employer securities	<input type="checkbox"/>	<input type="checkbox"/>00
d Loan or extension of credit	<input type="checkbox"/>	<input type="checkbox"/>00

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14a Does your business have any employees other than you and your spouse (and your partners and their spouses)?

Yes No

If line 14a is "No," do not complete line 14b or line 14c. See the specific instructions for line 14b and line 14c.

b Total number of employees (including you and your spouse and your partners and their spouses)

c Does this plan meet the coverage requirements of Code section 410(b)?

15a Did the plan distribute any annuity contracts this plan year?

b During this plan year, did the plan make distributions to a married participant in a form other than a qualified joint and survivor annuity or were any distributions on account of the death of a married participant made to beneficiaries other than the spouse of that participant?

c During this plan year, did the plan make loans to married participants?

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