

Minimum Investment Report Review and Evaluation Checklist

10 CFR Part 905 (Energy Planning and Management Program) requires that customers provide certain information in their Integrated Resource Plans (IRP).

Western has developed the following checklist to help customers comply with IRP requirements. Western may reject an IRP if not all checklist items are reasonably addressed.

Customers may submit a request to prepare a Minimum Investment Report (MIR) instead of an IRP. MIRs may be submitted by Member Based Associations (MBA) on behalf of MBA or its members, and by IRP cooperatives on behalf of its participants.

The minimum investment must be either (a) a mandatory set percentage of customer gross revenues or other specific minimum investment in DSM and/or renewable energy mandated by a State, Tribal, or Federal Government with jurisdictional authority; or (b) a required public benefits charge, including charges to be collected for and spent on DSM; renewable energy; efficiency and alternative energy-related research and development (905.16(b) (1&2)).

Customers with service territories lying in more than one State or Tribal jurisdiction, and where at least one of the States or Tribal jurisdictions has a mandated minimum investment requirement, to meet this alternative, customers must use the highest requirement from the State or Tribe within the customer's service territory and additionally, apply it to all members in those States or Tribal jurisdictions in which there is no requirement. Alternatively, if each State or Tribe has a requirement, customers may satisfy Western's requirement by reporting on compliance with each applicable minimum investment requirement.

Requests to submit MIRs must include data on the following:

1. The source of the minimum investment requirement including the number, title, date, and jurisdiction of law (905.16 (a) (1)).
2. The initial, annual, and other reporting requirements of the mandate, if any (905.16(a) (2)).
3. The mandated minimum level of investment or public benefits charge for DSM and /or renewable energy (905.16(a) (3)).

Western will respond to requests to accept MIRs within 30 days of receiving the request. 905.16(d)

CHECKLIST

1. Does the MIR contain the name, address, phone number, email, and Web site if applicable, and contact person (905.16(e) (1))?
2. Does the MIR identify the authority or requirement to undertake a minimum investment, including the source of the minimum investment requirement such as number, title, date, and jurisdiction of law or regulation (905.16(e) (2))?
3. Does the MIR include a description of the minimum investment including the minimum percentage or other minimum requirement for DSM and/or renewable energy, including any charges to be collected for and spent on DSM, renewable energy, efficiency or alternative energy-related research and development, low-income energy assistance, and any other applicable public benefits categories (905.16(e) (3) (i))?
4. Does the MIR include actual or estimated energy and/or capacity savings resulting from minimum investments in DSM, if known (905.16(e) (3) (ii))?
5. Does the MIR include actual or estimated energy and/or capacity resulting from minimum investments in renewable energy, if known (905.16(e) (3) (iii))?
6. Does the MIR include a description of the DSM and/or renewable activities to be undertaken over the next 2 years as a result of the requirement for minimum investment, if known (905.16 (e) (3) (iv))?

Maintaining Minimum Investment Report Status

1. Every year on the anniversary of Western's approval of the first MIR, customers choosing this option must submit a letter to Western verifying that they remain in compliance with the minimum investment requirement (905.16(h) (1)).
2. The letter must contain summary information identifying annual energy and capacity savings associated with minimum investments in DSM, if known (905.16(h) (1)).
3. The letter must contain summary information identifying annual energy and capacity savings associated with minimum investments in renewable energy, if known (905.16(h) (1)).
4. The letter must include a revised description of customer DSM and /or renewable energy activities if the description from the MIR has changed or expired (905.16(h) (1)).