



Grant Resources for Solid Waste Activities in Indian Country

WASTE
MANAGEMENT



IN INDIAN COUNTRY



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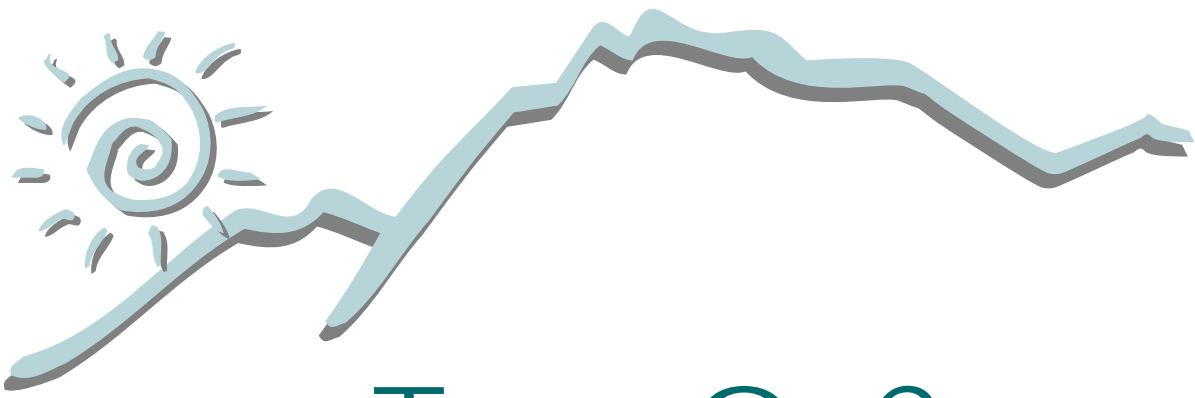
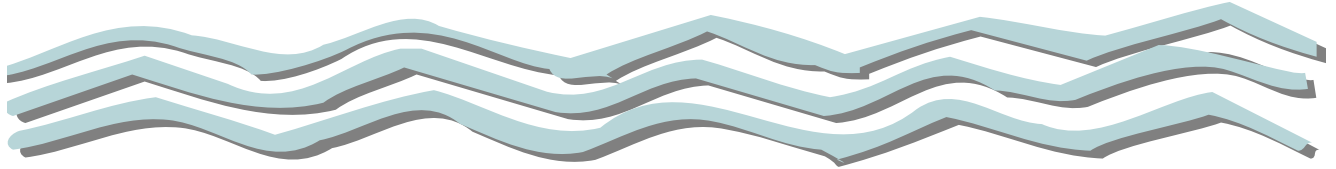


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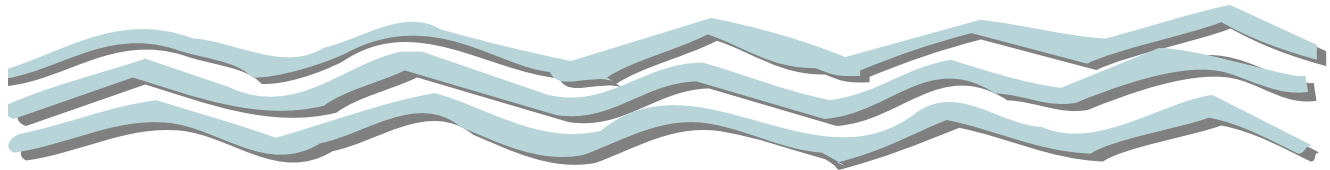
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INTRODUCTION



The U.S. Environmental Protection Agency's (EPA's) Office of Solid Waste (OSW) recognizes that lack of adequate funding might hamper the establishment or continuation of solid waste management activities in Indian Country. For this reason, EPA developed this grant resource guide to help tribes, Alaska native villages, and community and nonprofit organizations identify financial assistance opportunities for their solid waste management programs. It provides information on developing effective grant proposals and describes potential funding sources for solid waste management activities.

The first part of this guide lists federal grant sources for solid waste management activities. The second part describes private grant sources, generally available to nonprofit organizations. Information on each grant's goal, eligibility requirements, application process, fund availability, and previous grants awarded is included. Readers should note that environmental grants are only part of the funding allocated by many of the grant programs listed in this guide. The sources included here indicated that solid waste management is of interest to them, but it might not be the main thrust of their grant programs. It also should be noted that additional sources of funding for solid waste management might be available from organizations or agencies not included in this guide.

Pre-Proposal Preparation

Grants are sums of money awarded to finance a particular activity or facility. Generally, these grants do not need to be paid back. Federal agencies and other organizations sponsor grant programs for various reasons. Before developing a grant proposal, it is vitally important to understand the goals of the particular

federal agency or private organization and of the grant program itself. You can accomplish this through discussions with the information contact listed in each resource description. Through these discussions, you might find that, in order for a particular project to be eligible for funding, the original concept needs to be modified to meet the criteria of the grant program. In allocating funds, grantors base their decisions on your ability to fit your proposed activities within their interest areas.

It is also important for you to become familiar with eligibility requirements and other pertinent criteria set by the organization and grant program from which you seek assistance. Remember that the basic requirements, application forms, information, deadlines, and procedures will vary for each grantor. Since funding information often changes, we strongly encourage you to contact specific funding sources before preparing applications.

Writing the Grant Proposal

Successful grant proposals are thoughtfully planned, well prepared, and concisely packaged. There are ten basic components in a solid proposal package: the proposal summary, the title, the background, the problem statement, the goals, the activities, the methodology for measuring success, the timeline, the sustainability, and the budget detail.

1. Proposal Summary

Present a brief outline of the project for which you seek funding. It can take the form of a cover letter or a separate page, but it should be no longer than two or three paragraphs. Write your summary after preparing the rest of the proposal, so that all key points and objectives of the project are sure to be included. This summary provides an initial impression of your tribe, village, and/or organization. It may be the only part of the application that the grantor carefully reviews before deciding whether to consider your project.

2. Title

Provide a descriptive name for your project.

3. Background

Include information about your tribe, village, and/or organization and a general description of the project. Some features to consider are a brief history of your tribe, village, and/or organization; resumes of key staff members; goals, philosophy, and track record with other grantors; and any success stories.

4. Problem Statement

Provide a clear, concise, and well-supported statement of the problem that will be overcome using the grant funds.

5. Goals

Describe in detail the goals of your project and how the requested funds will help you accomplish them. Describe the expected results and benefits for each objective, list the specific criteria of the grant program, and describe how the proposal meets each criterion.

6. Activities

Provide a step-by-step list of tasks that meet the goals of your project. Break larger tasks into smaller ones and present them in a timeline. This breakdown provides an opportunity to consider the personnel, material, and other resource needs of the project.

7. Methodology for Measuring Success

Describe what the project will accomplish and how you will measure the progress made.

8. Timeline

Describe approximately when each activity will be completed in terms of the lifespan of the funded project (e.g., Month 1 or Month 24) rather than using specific dates.

9. Sustainability

List anticipated sources of continuing funding to be used when the proposed grant ends. You also may be required to list other current sources and amounts of funding obtained.

10. Budget Detail

Demonstrate how funds will be spent. At a minimum, divide items into the following categories: personnel, fringe benefits, travel, equipment, supplies, and other. "Other" can be subdivided into telephone, rent, printing, and mailing costs, etc. If the grantor requires applicants to provide matching funds or in-kind contributions, use separate columns for requested funds, matching funds, and total project costs.

Characteristics of a Strong Proposal

- ✓ The proposal clearly describes how the project will meet the criteria outlined for the particular grant program.
- ✓ There is a clear identification and background description of the population to be served by the grant.
- ✓ The proposal mentions other groups with whom the tribe will partner. The application includes letters of commitment from these groups.
- ✓ The proposal adequately outlines the problem and the approach to solving it.
- ✓ The proposal clearly links the goals of this particular project to those of the agency or organization providing the funding.
- ✓ The application identifies specific tasks, objectives, and timeframes.
- ✓ The methods for evaluating or measuring the success of the project are clear, and success is achievable.
- ✓ The project can be completed in the specified funding period.
- ✓ The budget figures add up.
- ✓ The basic requirements (e.g., correct number of pages and copies, etc.) have been met.
- ✓ The application includes all the information specifically requested.
- ✓ The proposal has been checked for spelling, grammar, and typographical errors, as well as for format consistency.

Becoming a Nonprofit Organization

Most private resources restrict their grant-making to nonprofit organizations. Nonprofit organizations can receive tax-exempt status from the U.S. Internal Revenue Service (IRS). IRS Publication 557, *Tax-Exempt Status for Your Organization* provides complete information on application, approval, and appeal procedures; filing requirements and required disclosures; 501(c)(3) organizations; and getting more information.

To obtain a copy of Publication 557, contact the IRS Forms and Distribution Center by phone at 800 829-3676 or at any one of the following addresses:

(AK, AZ, CA, CO, HI, ID, MT, NV, NM, OR, UT, WA, WY)

U.S. Internal Revenue Service
Western Area Distribution Center
3041 Sunrise Boulevard
Rancho Cordova, CA 95742

(AL, AR, IL, IN, IA, KS, KY, LA, MI, MN, MS, MO, NE, ND, OH, OK, SD, TN, TX, WI)

U.S. Internal Revenue Service
Central Area Distribution Center
2042 East Empire Street
Bloomington, IL 61799

(CT, DE, DC, FL, GA, ME, MD, MA, NH, NJ, NY, NC, PA, RI, SC, VT, VA, or WV)

U.S. Internal Revenue Service
Eastern Area Distribution Center
P.O. Box 85074
Richmond, VA 23261-5074

The publication is also available on the Internet at: www.irs.ustreas.gov/prod/forms_pubs/pubs/p557toc.htm.

Other IRS forms and publications useful in applying for tax-exempt status include:

Package 1023, *Application for Recognition of Exemption Under Section 501(c)(3)*

Package 1024, *Application for Recognition of Exemption Under Section 501(a)*

Publication 578, *Tax Information for Private Foundations and Foundation Managers*

Publication 598, *Tax on Unrelated Business Income of Exempt Organizations*

Publication 15, *Employer's Tax Guide*



FEDERAL SOURCES

U.S. Department of Agriculture (USDA)

Rural Development Grants

Federal Agency: USDA, Rural Business-Cooperative Service (RBCS)

Purpose: To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

Eligibility:

Applicant Eligibility: Applicants eligible for Rural Development Grants are public bodies and nonprofit corporations serving rural areas such as states, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts, and Indian tribes on federal and state reservations that will serve rural areas. Applicants eligible for Television Development Grants (TDG) are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as all territories of a state, that is not within the outer boundary of any city having a population of 50,000 or more, according to the latest decennial census of the United States. Priority for such grants will be given to areas having a population of not more than 25,000.

Beneficiary Eligibility: Generally any private business that will employ 50 or fewer new employees and has less than \$1 million in projected gross revenue and has or will utilize technological innovation and commercialization of new products that can be used in

rural areas and new processes that can be used in such production.

Credentials/Documentation: This program requires evidence of legal capacity, economic feasibility and financial responsibility for the proposed activity. This program is excluded from coverage under Office of Management and Budget (OMB) Circular No. A-87.

Types of Support: Project grants: Television Development Grants and Rural Business Enterprise Grants (RBEG).

Uses and Use Restrictions: RBEG funds may be used to establish revolving funds; provide operating capital and finance industrial sites in rural areas, including the acquisition and development of land and construction, conversion, enlargement, repair or modernization of buildings, plants, machinery, equipment, access streets and roads, parking areas, transportation serving the site, utility extensions, water supply and waste disposal facilities, pollution control and abatement incidental to site development; provide technical assistance; pay fees; refinance obligations. TDG funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents.

Financial Information:

Account Identification: 12-2065-0-1-452; 12-0400-0-1-452.

Obligations: (Grants) FY96 \$45,000,000; FY98 est. \$40,375,000.

Range and Average of Financial Assistance: \$4,000 to \$1,500,000; \$196,000.

Sample of Previous Awards:

Program Accomplishments: In FY96, 332 grants were made.

Examples of Funded Projects: Grant funds were used to assist rural communities in developing small industrial parks or sites by acquiring land; purchasing equipment; constructing buildings; providing water and waste disposal facilities; and paying for the cost of streets, parking areas, and access roads. Grant funds also were utilized to establish and capitalize revolving loan funds to assist in the development of small and emerging private business enterprises in rural areas, and provide technical assistance to qualifying small businesses in rural areas.

Deadline: None.

Range of Approval/Disapproval Time: 30 to 90 days.

Appeals: If an application is rejected, the reasons for rejection are fully stated. Applicant may request a review of this decision from the next higher management level of RBCS.

Renewals: Not applicable.

Application:

Preapplication Coordination: The standard application forms as furnished by the federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: File preapplication form SF-424 at the Rural Development (RD) Service District Office. The standard application forms as furnished by the federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program.

Award Procedure: After the preapplication is reviewed by the RD District Office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the District Office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Criteria for Selecting Proposals: Priority shall be given to communities having a population of less than 25,000. Projects selected for funding should, as much as practical, adhere to the following priorities: 1) projects which will be located in largely low-income communities, 2) projects which will save existing

jobs, 3) projects which will create jobs, and 4) projects located in areas of high unemployment.

Assistance Considerations:

Formula and Matching Requirements: Funds are allocated to states based on rural population and percent of nonmetropolitan per-capita income. On occasion, the allocation to states might not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the national office.

Length and Time Phasing of Assistance: Not applicable.

Post-Assistance Requirements:

Reports: Periodic reports are made to FmHA.

Audits: Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," tribes that receive financial assistance of \$100,000 or more within the fiscal year shall have an audit made for that year. Tribes that receive between \$25,000 and \$100,000 within the fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate. Nonprofit organizations shall have audits made in accordance with OMB Circular No. A-133, "Audits of Institutions of Higher Learning and other Non-Profit Institutions."

Records: The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for the RD District Office number. If there is no listing, contact the appropriate RD State Office.

Headquarters Office:

Director
Specialty Lenders Division
Rural Business-Cooperative Service
U.S. Department of Agriculture
Washington, DC 20250-3222
Phone: 202 720-1400

Related Programs: Community Facilities Loans;
Business and Industrial Loans.

Solid Waste Management Grants

Federal Agency: USDA, Rural Utilities Service (RUS)

Purpose: To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

Eligibility:

Applicant Eligibility: Nonprofit organizations including private nonprofit organizations that have been granted tax exempt status by IRS, and public bodies including local governmental-based, multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility: Municipalities, counties, districts, authorities, and other political subdivisions of a state; organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations; Indian tribes on federal and state reservations; and other federally recognized Indian tribes.

Credentials/Documentation: This program is subject to the provisions of OMB Circulars Nos. A-87, and A-122.

Types of Support: Project grants.

Uses and Use Restrictions:

Funds may be used to: evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas that are closed or will be closed in the near future with the development and implementation of closure plans, future land use plans, safety and maintenance plans, and closure scheduling within permit requirements.

Grant funds may not be used to: recruit preapplications or applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplicate current services; replace or

substitute support previously provided, such as that performed by an association's consultant in developing a project; fund political activities; pay for capital assets or the purchase of real estate or vehicles; improve and renovate office space or repair and maintain privately owned property; pay for construction or operation and maintenance costs of water and waste facilities; or pay costs incurred prior to the effective date of grants awarded.

Financial Information:

Account Identification: 12-2045-0-1-304.

Obligations: (Grants) FY96 \$2,170,900; FY97 \$2,444,720; and FY98 est. \$2,752,838.

Range and Average of Financial Assistance: \$21,000 to \$582,000; \$79,310.

Sample of Previous Awards:

Program Accomplishments: In FY1997, 21 grants were made.

Examples of Funded Projects: Recipients of this program received funds to administer technical assistance in the area of solid waste management to small communities.

Deadline: Preapplications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time: Form AD-622, "Notice of Pre-Application Review Action," will normally be issued within 45 days after December 31 of each year. Form SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of the USDA.

Renewals: Not applicable.

Application:

Preapplication Coordination: This program is excluded from coverage under Executive Order 12372. An environmental impact assessment is required for this program.

Application Procedure: This program is subject to the provisions of OMB Circulars Nos. A-87, A-102, A-110, and A-122. Preapplication form SF-424.1 will be

filed with the appropriate RD, formerly Farmers Home Administration (FmHA), state or RUS national office between October 1 and December 31 of each fiscal year.

Award Procedure: Preapplications received by an RD State Office will be reviewed and forwarded with any written comments within 7 working days to the RUS approval official.

Criteria for Selecting Proposals: Grant funds will be directed to those applicants who: 1) have demonstrated ability to provide technical assistance on a regional basis to rural communities, 2) propose to assist small, low-income communities, 3) propose to serve multistate, regional or nationwide areas, 4) propose cost-effective projects, and 5) meet the objectives of RUS Water and Waste Disposal Program.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or fewer.

Post-Assistance Requirements:

Reports: All grantees will submit quarterly reports to the RUS approval official.

Audits: The grantee will provide an audit report prepared in accordance with OMB Circulars Nos. A-102 or A-133 after project completion.

Records: Financial records, supporting documents, statistical records, and all other records pertaining to the grant must be retained for a period of at least 3 years after closing.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for the RD District Office number. If there is no listing, get in touch with the appropriate RD State Office.

Headquarters Office:

Acting Assistant Administrator
Water and Waste Rural Utilities Service
U.S. Department of Agriculture
Washington, DC 20250
Phone: 202 690-2670.

Related Programs: None.

Technical Assistance and Training Grants

Federal Agency: USDA, RUS

Purpose: To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

Eligibility:

Applicant Eligibility: Private nonprofit organizations that have been granted tax exempt status by IRS. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

Beneficiary Eligibility: Municipalities, counties, districts, authorities, and other political subdivisions of a state; organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations; Indian tribes on federal and state reservations; and other federally recognized Indian tribes.

Credentials/Documentation: This program is subject to the provisions of OMB Circulars Nos. A-110 and A-122.

Types of Support: Project grants.

Uses and Use Restrictions: Funds may be used to pay expenses associated with providing technical assistance and/or training to identify and evaluate solutions to water source, storage, treatment, and distribution problems; identify and evaluate waste disposal collection, treatment, and disposal problems; assist applicants who have filed a preapplication with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation, and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications; duplicate current services, such as those performed by a consultant in developing a project; fund political activities; pay for capital assets; purchase real estate or vehicles; improve or renovate office space or repair or maintain privately owned property; pay construction or operation and maintenance costs; or pay costs incurred prior to the effective date of grants made.

Financial Information:

Account Identification: 12-2066-0-1-452.

Obligations: (Grants included in program 10.760, Water and Waste Disposal Systems for Rural Communities) FY96 \$9,088,000; FY97 \$11,700,000; and FY98 est. \$15,000,000.

Range and Average of Financial Assistance: \$86,700 to \$5,195,040; \$1,817,600 (FY97).

Sample of Previous Awards:

Program Accomplishments: In FY97, eight grants were made.

Examples of Funded Projects: Recipients of this program received funds to administer technical assistance and training to small communities experiencing water and waste disposal problems.

Deadline: Preapplications must be filed between October 1 and December 31 of each fiscal year.

Range of Approval/Disapproval Time: Form AD-622 “Notice of Preapplication Review Action” will normally be issued within 45 days after December 31 of each year. SF-424.1 “Application for Federal Assistance (for Non-construction),” will be submitted by the applicant upon notification of funding eligibility.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals: Not applicable.

Application:

Preapplication Coordination: This program is excluded from coverage under Executive Order 12372. An environmental impact assessment is required for this program.

Application Procedure: This program is subject to the provisions of OMB Circulars Nos. A-110 and A-122. Preapplication form SF-424.1 will be filed with the appropriate RD, formerly FmHA, state or RUS national office between October 1 and December 31 each fiscal year.

Award Procedure: Preapplications received by an RD State office will be reviewed and forwarded with any written comments within 7 working days to the RUS National Office, Washington, DC.

Criteria for Selecting Proposals: Assistance will be directed to those applicants who 1) have demonstrated ability to provide technical assistance and/or training to rural associations, 2) propose to serve multistate, regional, or nationwide areas, 3) maximize use of grant funds for direct staffing of activities that are delivered to the associations, and 4) serve low-income populations.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula or matching requirements. The administrative rule reference can be found in the Code of Federal Regulations (CFR) at 7 CFR 1940 subpart L, not less than 1 percent or more than 3 percent of appropriated water and waste disposal grant funds may be used for technical assistance and/or training grants. Nonprofit organizations with proven ability to provide technical assistance and/or training are eligible.

Length and Time Phasing of Assistance: A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or fewer.

Post-Assistance Requirements:

Reports: All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office.

Audits: The grantee will provide an audit report prepared in accordance with OMB Circular No. A-133, after project completion.

Records: Financial records, supporting documents, statistical records, and all other records pertaining to the grant must be retained for a period of at least 3 years after closing.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for the RD district office number. If there is no listing, contact the appropriate RD State Office.

Headquarters Office:

Assistant Administrator
Water and Waste Rural Utilities Service
U.S. Department of Agriculture
Washington, DC 20250
Phone: 202 690-2670.

Related Programs: None.

Water and Waste Disposal Systems for Rural Communities

Federal Agency: USDA, RUS

Purpose: To provide basic human amenities, alleviate health hazards, and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

Eligibility:

Applicant Eligibility: Municipalities, counties, and other political subdivisions of a state, such as districts and authorities; associations; cooperatives; corporations operated on a not-for-profit basis; Indian tribes on federal and state reservations, and other federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The applicant must: 1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms, and 2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the proposed loan. Plans and specifications must be developed to comply with state/tribal and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefitted user charges to a reasonable level.

Beneficiary Eligibility: Farmers, ranchers, rural residents, rural businesses, and other users in eligible applicant areas.

Credentials/Documentation: This program requires evidence of legal capacity, economic feasibility, and financial responsibility for the proposed activity. Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Types of Support: Project grants, direct loans, guaranteed and insured loans.

Uses and Use Restrictions:

Funds may be used for: the installation, repair, improvement, or expansion of a rural waste disposal facility, including the collection and treatment of sanitary,

storm, and solid wastes, and the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and the costs related thereto.

Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or to acquire or refinance an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. No repayment period, however, will exceed any statutory limitation or the organization's borrowing authority nor the useful life of the improvement or facility to be financed.

Financial Information:

Account Identification: (Loans) 12-4155-0-3-452; 12-1980-0-1-452; (Grants) 12-2066-0-1-452.

Obligations: (Direct Loans) FY96 \$603,205,083; FY97 \$833,319,000; and FY98 est. \$734,088,000. (Guaranteed Loans) FY96 \$59,069,100; FY97 \$70,000,000; and FY98 est. \$75,000,000. (Grants) FY96 \$392,443,622; FY97 \$500,235,000; and FY98 est. \$483,581,788

Range and Average of Financial Assistance: (FY96) (Direct Loans) \$3,000 to \$8,590,000; \$837,211; (Grants) \$5,000 to \$6,054,000; \$644,040.

Sample of Previous Awards:

Program Accomplishments: There were 980 direct loans, 712 grants, and 9 guaranteed loans made in FY97. It is estimated that 1,068 direct, 10 guaranteed loans, and 850 grants will be made in FY98.

Examples of Funded Projects: Construction of a new water system consisting of water lines, pumping stations, wells, storage tanks, and treatment plants; water system improvements consisting of additional water lines, a new water treatment facility and booster pump; renovation of an existing water system, which includes new distribution lines, wells, and pressure tanks; construction of new waste water collection and treatment systems; replacement of a waste water treatment plant and improvement of waste water collection lines; rehabilitation of waste water collection lines and construction of a lift station; purchase of a site for a landfill; and purchase of trucks and equipment for solid waste disposal.

Deadline: None.

Range of Approval/Disapproval Time: From 30 to 90 days.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a

review of this decision at the RD or RUS national level.

Renewals: Not applicable.

Application:

Preapplication Coordination: The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under Executive Order 12372, “Intergovernmental Review of Federal Programs.”

Application Procedure: The RD administers the program on the local level. Preapplication form SF-424 is filed at the local RD office from which assistance can be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: After the application is reviewed by the RD District Director, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan or grant approval, funds are made available to the RD District Director for delivery.

Criteria for Selecting Proposals: In selecting applications for funding, the agency cooperates with appropriate state agencies. RUS assistance for Water and Waste Disposal projects is directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as population, low-income unemployment, and health and sanitary problems. RUS-financed facilities will be consistent with all development plans of the state, multijurisdictional area, county, or municipality in which the proposed project is located.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula. The administrative rule reference is 7 CFR 1940 Subpart L. Funds are allocated to states based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements.

Length and Time Phasing of Assistance: A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as-needed basis by the RUS to cover expenses for a 30-day period.

Post-Assistance Requirements:

Reports: Periodic reports are to be made to the RD District Director.

Audits: Periodic audits should be made as part of the recipient’s system of financial management and internal control to meet terms and conditions of loans and grants and other agreements. Audits should be completed in accordance with either the requirements of OMB Circulars A-128, “Audits of State and Local Governments,” A-133, “Audits of Institutions of Higher Learning and Other Nonprofit Institutions,” or RUS requirements, whichever apply.

Records: Records and accounts are required to reflect the operations of the facility.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for the RD County or District Office number. If there is no listing, contact the appropriate RD State Office.

Headquarters Office:

Assistant Administrator
Water and Environmental Programs Rural Utilities
Service
U.S. Department of Agriculture
Washington, DC 20250
Phone: 202 690-2670.

Related Programs: Economic Development Grants for Public Works and Infrastructure Development; Community Development Block Grants/Entitlement Grants.

U.S. Department Of Housing and Urban Development (HUD)

Community Development Block Grants (CDBG) and Technical Assistance Special Purpose Grant (TASPG) Programs

Federal Agency: HUD, Community Planning and Development

Purpose: To help states, units of general local government, Indian tribes, and areawide planning organizations to plan, develop, and administer local CDBG programs. The primary objective is the development of viable Indian and Alaska native village communities, including decent housing, a suitable living environment, and economic opportunities, principally for persons with low and moderate incomes.

Eligibility:

Applicant Eligibility: States, units of general local government, Indian tribes, areawide planning organizations, and groups designated by such governmental units to assist them in carrying out assistance under Title I of Public Law 93-383. Specifications for respondents to competitive request for proposals are stated in the request documents.

Beneficiary Eligibility: States, units of general local government, Indian tribes and Alaska native villages, and entities participating or actively intending to participate in the planning, developing, or administering of CDBG programs.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Types of Support: Project grants (cooperative agreements) and direct payments for specified use.

Uses and Use Restrictions: Assistance may be used to transfer skills and knowledge in planning, developing, and administering the CDBG programs from knowledgeable individuals and institutions to eligible block

grant entities and affiliated CDBG participants that need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. Project activities may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops, or training sessions.

Financial Information:

Account Identification: 86-0162-0-1-451.

Obligations: FY95 \$10,500,000; FY98 est. \$6,600,000. These numbers represent allocations of new budget authority.

Range and Average of Financial Assistance: Not available.

Sample of Previous Awards:

Program Accomplishments: Not available.

Examples of Funded Projects: Not available.

Deadline: None.

Range of Approval/Disapproval Time: From 60 to 90 days.

Appeals: None.

Renewals: A new application procedure is initiated for each renewal.

Application:

Preapplication Coordination: None. This program is excluded from coverage under Executive Order 12372.

Application Procedure: Proposals may be submitted in response to competitions and solicitations. The *Federal Register (FR)* publication detailing each competition spells out the submission requirements. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: The Secretary has final approval authority; applicants are notified of acceptance or rejection.

Criteria for Selecting Proposals: Applications are reviewed according to criteria set forth in 24 CFR 570-402.

Assistance Considerations:

Formula and Matching Requirements: None specified by statute.

Length and Time Phasing of Assistance: Generally, projects will be funded to operate for 1 to 2 years. Assistance is released by quarterly reimbursement or a letter of credit.

Post-Assistance Requirements:

Reports: For the TASP Program, quarterly and final financial and technical progress reports.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," tribes that receive financial assistance of \$100,000 or more within the fiscal year shall have an audit made for that year. Tribes that receive between \$25,000 and \$100,000 within the fiscal year shall have an audit made in accordance with the Single Audit Act of 1984, or 24 CFR Part 44, which implements the Single Audit Act.

Records: All records applicable to the assistance project must be kept for 3 years following the submission of the final expenditure report or until all audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate HUD Field Office.

Headquarters Office:

Office of Management and Technical Assistance,
Community Planning and Development
U.S. Department of Housing and Urban Development,
451 Seventh Street, SW
Washington, DC 20410
Phone: 202 708-3176.

Related Programs: CDBG/Entitlement Grants; CDBG/Small Cities Program; CDBG/States Program.

Indian Community Development Block Grant Program

Federal Agency: HUD, Public and Indian Housing

Purpose: To provide assistance to Indian tribes and Alaska native villages in the development of viable Indian communities.

Eligibility:

Applicant Eligibility: Any Indian tribe, band, group, or nation, including Alaskan Indians, Aleuts, and Eskimos, and any Alaska native village, that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or that had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility: The principal beneficiaries of CDBG funds are low- and moderate-income persons. For metropolitan areas, low and moderate income is generally defined as a member of a family having an income equal to or less than the Section 8 low-income limit established by HUD. For nonmetropolitan areas, low and moderate income is generally defined as 80 percent of the median income for nonmetropolitan areas of the state, as adjusted by family size.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments.

Types of Support: Project grants.

Uses and Use Restrictions:

Grants may be used to: improve the housing stock, provide community facilities, improve infrastructure, and expand job opportunities by supporting community economic development. Activities eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate home ownership among low- and moderate-income persons, construction of tribal and other facilities for single or multiuse, streets and other public facilities, and economic development projects, particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project.

Grant Resources for Solid Waste Activities in Indian Country

Grants may not be used to: construct or improve governmental facilities; purchase equipment; or cover general government expenses, operating and maintenance expenses, political activities, new housing construction (except through subrecipients), or income payments.

Financial Information:

Account Identification: 86-0162-0-1-451.

Obligations: (Allocations) FY96 \$50,000,000; FY 98 est. \$67,000,000.

Range and Average of Financial Assistance: Average grant in FY96: approximately \$475,728.

Sample of Previous Awards:

Program Accomplishments: In FY96, the Indian CDBG program received 231 applications for 272 separate projects and approved 85 applications for 103 projects.

Examples of Funded Projects: Fire station, housing rehabilitation grant program, cooperative store development, water lines, and a storage facility.

Deadline: Differs each year. Dates are published in a Notice of Funding Availability (NOFA) in the *FR*. Applications are due in the appropriate Office of Native American Programs (ONAP) office 75 days from the date of publication of the NOFA.

Range of Approval/Disapproval Time: From 75 to 110 days.

Appeals: None.

Renewals: None.

Application:

Preapplication Coordination: No preapplication required. Prior to submitting application, applicant must allow for citizen participation in application development. An environmental assessment is required for this program. This program is excluded from coverage under Executive Order 12372.

Application Procedure: Applicants must file applications on forms prescribed by HUD that describe the community development needs and how those needs will be addressed by the proposed projects. Applications must provide sufficient information for the projects to be rated against selection criteria.

Award Procedure: The ONAP Field Office is responsible for rating and approving applications and for notifying applicants of the results.

Criteria for Selecting Proposals: An application can be submitted by any eligible tribe that has the capacity to administer a grant. Applications are rated against factors that measure impact and quality.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance: Assistance is available until project completion, usually within 2 years.

Post-Assistance Requirements:

Reports: Annual performance reports.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," as implemented by 24 CFR 953, tribes that receive financial assistance of \$100,000 or more within the fiscal year shall have an audit made for that year. Tribes that receive between \$25,000 and \$100,000 within the fiscal year shall have an audit made in accordance with the Single Audit Act of 1984 or 24 CFR Part 44, which implements the Single Audit Act.

Records: All records applicable to the assistance project must be kept for 3 to 5 years following the submission of the final expenditure report or until all audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate HUD ONAP Field Office.

Headquarters Office:
Office of Native American Programs
U.S. Department of Housing and Urban Development,
P.O. Box 90
1999 North Broadway, Suite 3990
Denver, CO 80202
Phone: 303 675-1600

Related Programs: Public and Indian Housing; Indian Loans Economic Development; Indian Housing Assistance; and Native American Programs.

U.S. Department of Health and Human Services (HHS)

Indian Environmental Regulatory Enhancement Projects

Federal Agency: HHS, Administration for Native Americans (ANA), Administration for Children and Families

Purpose: The mission of ANA is to promote the goal of social and economic self-sufficiency for American Indians, Alaska native villagers, Native Hawaiians, and other Native American Pacific Islanders.

Eligibility: Federally recognized Indian tribes, incorporated nonfederally recognized Indian tribes, Alaska native villages, and other tribal or village organizations or consortia.

Uses and Use Restrictions: Environmental regulatory enhancement includes (but is not limited to) the planning, development, and application of laws, training, monitoring, and enforcement procedures, tribal courts, environmental laboratories and other facilities, and associated regulatory activities to strengthen tribal governments' capacity to enhance the quality of reservation life as measured by the reduction of pollutants in the air, water, soil, food, and materials encountered by tribes and villages. Progress toward the goal of environmental regulatory enhancement would include the strengthening of tribal environmental laws, providing for the training and education of those employees responsible for ensuring compliance with and enforcement of these laws, and the development of programs to conduct compliance and enforcement functions.

Financial Information: Approximately \$3 million of financial assistance is anticipated to be available for environmental regulatory enhancement projects. ANA expects to award approximately 35 grants. The funding level for a budget period of 12 months will be up to \$250,000.

Sample of Previous Awards:

Examples of Funded Projects: Financial assistance provided by ANA is available for developmental projects designed to assist tribes:

- Develop or enhance the tribal environmental regulatory infrastructure required to support a tribal environmental program and to regulate and enforce environmental activities on Indian lands pursuant to federal and Indian law.
- Develop regulations, ordinances, and laws to protect the environment.
- Develop the technical and program capacity to carry out a comprehensive tribal environmental program and perform essential environmental program functions.
- Promote environmental training and education of tribal employees.
- Develop technical and program capability to meet tribal and federal regulatory requirements and to monitor compliance and enforcement of tribal environmental regulations, ordinances, and laws.
- Ensure the tribal court system enforcement requirements are developed in concert with and in support of the tribe's comprehensive environmental program.

Deadline: Call or mail for deadline. Usually in early March.

Criteria for Selecting Proposals: A proposed project should reflect the environmental regulatory purposes stated. The evaluation criteria are closely related to each other and are considered as a whole in judging the overall quality of an application. Proposed projects will be reviewed on a competitive basis using the following evaluation criteria: 1) long-range goals and available resources; 2) organizational capabilities and qualifications; 3) project objectives, approach, and activities; 4) results or benefits expected; and 5) explanation of budget.

Assistance Considerations:

Formula and Matching Requirements: Grantees must provide at least 20 percent of the total approved cost of the project.

Information Contact:

Sharon McCully
Department of Health and Human Services
Administration for Children and Families
Administration for Native Americans
200 Independence Avenue, SW, Room 348-F
Washington, DC 20201-0001
Phone: 202 690-5780

Application kits containing the necessary forms and instructions to apply for a grant may be obtained from: U.S. Department of Health and Human Services, Administration for Children and Families, Administration for Native Americans, 200 Independence Avenue, SW., Room 348F, Washington DC 20201-0001, Attention: 93612-971. Phone: 202 690-7776.

Indian Health Service, HHS Cooperative Agreements

Federal Agency: HHS, Indian Health Service

Purpose: Activities conducted by Indian Health Service (IHS) to assist American Indians and Alaska native villagers with solid waste management including:

- Surveying solid waste management needs.
- Developing solid waste management plans.
- Designing solid waste collection and disposal facilities.
- Funding solid waste collection and disposal facilities.
- Assisting in identifying and obtaining funds from other sources.
- Training and technical assistance in operation of solid waste management programs.
- Surveying solid waste disposal sites.

Types of Support: IHS funding for solid waste management projects is provided under Public Law 86-121 through cooperative agreements with tribes or communities. Project priority lists are established for all sanitation facility needs (i.e., water, sewer, and solid waste) and available funds are used to fund the top priority projects. Sanitation facilities construction funds may be used for: 1) engineering studies, 2) design, 3) development of solid waste disposal sites, 4) equipment (i.e., for collection, storage, and dispos-

al), 5) initial community educational programs and worker training, and 6) closure of existing sites.

Information Contact: Contact the Sanitation Facilities Construction Program Managers at the IHS area or field offices to request assistance and information about fund availability.

U.S. Environmental Protection Agency (EPA)

Climate Change Action Plan

Federal Agency: EPA

Purpose: Provides funding for source reduction, recycling, and composting projects that bring about a significant reduction in emission of greenhouse gases. Emphasis is placed on the measurability of projects, in terms of volumes of waste reduced to be translated into greenhouse gas reductions.

Eligibility: State, tribal, and local government agencies; universities; and private nonprofit agencies. Joint projects between nonprofit and for-profit entities are encouraged.

Financial Information: The funding level is subject to Congressional allocation.

Application: Please contact U.S. EPA regional offices for information on release of solicitations.

Information Contact:

Contact the appropriate EPA Regional office or:
OSW—Municipal and Industrial Solid Waste Division
U.S. Environmental Protection Agency
Mail Code: 5306W
401 M Street, SW
Washington, DC 20460

Consolidated Grants Program Support (Consolidated Program Support Grants)

Federal Agency: EPA, Office of Administration

Purpose: The consolidated program support grant allows an agency responsible for continuing pollution

control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations.

Eligibility:

Applicant Eligibility: Any agency eligible to receive and administer funds for more than one pollution control program. See the appropriate program descriptions for specific applicant eligibility.

Beneficiary Eligibility: State, tribe, and local agencies.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87.

Types of Support: Formula grants.

Uses and Use Restrictions:

The following pollution control programs are eligible for consolidation: Air Pollution Control Program Support; Water Pollution Control State and Interstate Program Support; State Public Water System Supervision; State Underground Water Source Protection; Construction Management Assistance; Water Quality Management Planning; Pesticides Enforcement Program; and Hazardous Waste Management State Program Support.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY97 \$20,564,134; FY98 est. \$20,000,000.

Range and Average of Financial Assistance: \$805,866 to \$3,517,402; \$1,869,467 (FY97).

Sample of Previous Awards:

Program Accomplishments: There were 11 consolidated grant awards in FY96 for a total of \$20,564,134. There is not a separate consolidated grant appropriation. The funds identified here, therefore, are the aggregate of the individual program funds awarded through consolidated grants.

Examples of Funded Projects: Projects have been funded which combined as few as two and as many as four of the eligible pollution control programs.

Deadline: 60 days before beginning of proposed budget period.

Range of Approval/Disapproval Time: Up to 90 days.

Appeals: As described in 40 CFR Part 31, Subpart F

Renewals: None.

Application:

Preapplication Coordination: Preapplication communications should be directed to the appropriate EPA Regional Administrator. Deviations from procedures and requirements of EPA assistance regulations that impede the development and administration of a consolidated grant may be approved by the Director of the Grants Administration Division at EPA Headquarters. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 and 40 CFR 31.10 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in the nearest state for more information on the grant process.

Application Procedure: The application should be submitted to the appropriate EPA Regional Office. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Grantees will be notified of federal assistance awards through the Federal Assistance Awards Data System.

Criteria for Selecting Proposals: Applications are approved if funds are available, if the application satisfies the requirements of 40 CFR Parts 31 and 35 and applicable statutes, and if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance.

Assistance Considerations:

Formula and Matching Requirements: See applicable program descriptions for the formula and matching requirements of each.

Length and Time Phasing of Assistance: Assistance is usually awarded for a 1-year period. The Accelerated Clearing House System is the preferred payment method.

Post-Assistance Requirements:

Reports: Progress reporting by the agency and performance monitoring by EPA will be the minimum necessary for sound program management. The minimum might vary from agency to agency depending upon the specific agency's demonstrated management abilities. There should be at least one progress report midway through the budget period. This may be either written or unwritten at the discretion of the Regional Office. A financial status report is required within 90 days of the end of the budget period.

Audits: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," published in the FR on June 30, 1997. Circular No. A-133 implements the Single Audit Act amendments of 1996. The circular requires nonfederal entities that expend more than \$300,000 in federal award dollars to have an audit conducted in accordance with the circular's provisions.

Records: All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If any litigation, claim, or audit is started before the end of the 3-year period, the records must be retained until the litigations, claims, or audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate EPA Regional Office.

Headquarters Office:

Linda Yancey
Grants Administration Division, PM 3903F
U.S. Environmental Protection Agency
401 M Street, SW.
Washington, DC 20460
Phone: 202 260-5264.

(Headquarters Office listed for applicable programs. See the individual program descriptions for program office contacts.)

Related Programs: Air Pollution Control Program Support; Water Pollution Control State and Interstate Program Support; Water Quality Management Planning; State Public Water System Supervision; State Underground Water Source Protection; Consolidated Pesticide Enforcement Cooperative Agreements; and Hazardous Waste Management State Program Support.

Environmental Education Grants Program

Federal Agency: EPA

Purpose: Supports projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and training.

Eligibility: Local education agencies, colleges, or universities; state or tribal education agencies or environmental agencies; nonprofit organizations; and noncommercial educational broadcasting entities.

Financial Information: \$3,000,000 in grants for FY97. Twenty-five percent of all funds obligated under this program are for grants of not more than \$5,000.

Application: Application availability is usually announced in early winter and applications are due in November. Applicants should contact their EPA Regional Office or Headquarters Office for a copy of the solicitation notice.

Information Contact:

Diane Berger
Environmental Education Grant Program
Environmental Education Division
United States Environmental Protection Agency
Mail Code: 1707
401 M Street, SW.
Washington, DC 20460
Phone: 202 260-8619

Environmental Justice Community/University Partnership Grants Program

Federal Agency: EPA, Office of Environmental Justice

Purpose: To provide financial assistance to community-based groups and tribal governments to support projects that seek to effectively address local environmental justice issues through active partnerships with any institution of higher education. The universities and/or colleges shall support community groups and American Indian tribes who engage in or plan to carry out projects that address environmental justice issues. The main objective of this grant program is to link community residents, organizations, and tribes with neighboring or affiliated academic institutions to forge

partnerships to address local environmental and public health concerns.

Eligibility:

Applicant Eligibility: Participation is limited to institutions of higher education, including tribal colleges; institutions serving low-income communities or tribes that have formal partnerships (i.e., a signed memorandum of agreement) with any affected party that is eligible under applicable statutory authorities (i.e., community-based and grassroots organizations, churches, schools, or other nonprofit community organizations, etc.) and tribal governments. Preference will be given to university or community groups who have not previously been recipients of a Community/University Partnership (CUP) award.

Beneficiary Eligibility: General public, community groups, public nonprofit institutions and/or organizations, and federally recognized tribal governments.

Credentials/Documentation: Costs will be determined in accordance with OMB Circulars No. A-87 for state and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations.

Types of Support: Project grants.

Uses and Use Restrictions: Grant funds can be used to support projects, programs, or activities that promote the development of partnerships to enhance environmental justice or community-based environmental protection. Projects may be designed to ensure that the partners: 1) are aware of basic environmental regulations, laws, concepts, issues, and resources; 2) understand their role in identifying and defining problems and monitoring contaminants; 3) are included in the dialogue that results in shaping future policies, guidance, and approaches to environmental and public-health problem solving; and 4) are encouraged to be active partners in developing responses and setting priorities for intervention in environmental concerns.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations (Grants): FY97 \$1,750,000; FY98 est. \$2,000,000.

Range and Average of Financial Assistance: Individual grants awarded under this program are not to exceed \$250,000.

Deadline: For FY99, the date for applications is March 7, 1999.

Range of Approval/Disapproval Time: About 120 days.

Appeals: Appeals are subject to the provisions of 40 CFR Part 30.63 and Part 31, Subpart F.

Renewals: EPA shall review and evaluate environmental justice grants to determine whether the quality of the program operated by the grantee warrants continued support. Lower priority, however, is given to projects that have been funded under the CUP program.

Application:

Application Procedure: Applications describing the planned program, the means for executing the program, and budget information must be submitted to the EPA Headquarters Office of Environmental Justice during the open period of the solicitation.

Award Procedure: This program is subject to the provisions of OMB Circular No. A-110. An entity must comply with the state's intergovernmental review process. See 40 CFR Part 29.

Criteria for Selecting Proposals: Factors important in the evaluation process include: 1) the applicant's ability to manage the grant in compliance with EPA grant regulations; 2) the degree to which the applicant addresses the priority environmental and public health concerns of an impacted minority or low-income community; 3) the applicant's ability to inform others in the community of the information gathered; and 4) the extent to which affected groups are involved in the partnership developed to address community concerns. In general, the applicant must demonstrate that it is aware of the time commitment, resources, and dedication needed to successfully manage a grant.

Assistance Considerations:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: The project grant can be requested for 1 to 3 years. Activities must be completed within the time frame of the project's budget period.

Post-Assistance Requirements:

Reports: Grantees are expected to submit final reports for EPA approval prior to receipt of the balance of grant funds.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representa-

tive. OMB Circular No. A-133, “Audits of States, Local Governments, and Non-Profit Organizations,” applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained for 3 years after submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate EPA Regional Office.

Headquarters Office: Director
Office of Environmental Justice (2201A)
US Environmental Protection Agency
401 M Street SW
Washington, DC 20460
Phone: 202 564-2515

Environmental Justice Hotline: 1-800-962-6215.

Related Programs: Environmental Justice Grants to Small Community Groups and Environmental Education Grants.

Environmental Justice Small Grants Program

Federal Agency: EPA, Office of Environmental Justice

Purpose: To provide financial assistance to grassroots community-based groups to support projects to design, demonstrate, or disseminate environmental justice practices, methods, or techniques. Specifically, EPA will grant funding to be used for:

- Environmental justice education and awareness programs.
- Environmental justice programs (for example, river monitoring and pollution prevention programs).
- Technical assistance in gathering and interpreting existing environmental justice data.
- Technical assistance to access available public information.

Eligibility:

Applicant Eligibility: Community-based grassroots organizations, other incorporated nonprofit organizations, and federally recognized tribal governments are eligible. Individuals may have their organizations, institutions, government, or association apply. Organizations must be incorporated to receive funds.

Beneficiary Eligibility: General public, community groups, public nonprofit institutions or organizations, and federally recognized tribal governments.

Credentials/Documentation: Costs will be determined in accordance with OMB Circulars No. A-87 for state and local governments, No. A-21 for educational institutions, and No. A-122 for nonprofit organizations.

Types of Support: Project grants.

Uses and Use Restrictions:

Grant funds can be used to: support projects, programs, or activities that promote environmental justice. Projects may include: 1) design, demonstration, or dissemination of environmental justice curricula, including development of educational tools and materials; 2) design and demonstration of field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and public health problems; 3) identification, assessment, or improvement of a specific local environmental justice issue or a specific environmental problem; and 4) provision of environmental justice training or related education for teachers, faculty, or similar personnel in a specific geographic area or region. Priority will be given to community-based or grassroots organizations, tribes, and organizations whose projects will help improve the environmental quality of affected communities by developing an environmental justice project, activity, method, or technique that has wide application; enhancing the community’s skills in addressing environmental justice issues and problems; and establishing or expanding environmental and public health information systems for local communities.

Grant funds cannot be used for: the acquisition of real property (including buildings) or the construction or substantial modification of any building. Grant funds also are not available for use in lobbying or for underwriting legal actions including the preparation of court testimony and hiring of expert witnesses.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: FY96 \$3,000,000; FY98 est. \$2,500,000. Individual grants awarded under this program are not to exceed \$20,000.

Range and Average of Financial Assistance: Not available.

Sample of Previous Awards:

Program Accomplishments: In FY97, 71 grants were awarded with over \$500,000 provided to community-based nonprofit organizations and tribal governments. In FY96, 175 grants were awarded totalling \$3,000,000.

Examples of Funded Projects: Not available.

Deadline: Applications are usually due in March.

Range of Approval/Disapproval Time: About 120 days.

Appeals: Appeals are subject to the provisions of 40 CFR Part 31, Subpart F and 40 CFR Part 30.63.

Renewals: EPA shall establish procedures for a careful and detailed review and evaluation of the environmental justice grants to determine whether the quality of the program being operated by the grantee warrants continued support.

Application:

Preapplication Coordination: Applications describing the planned program, the means for executing the program, and preliminary budget information must be submitted to the EPA Regional Environmental Justice Offices. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: Potential applicants are to submit a preproposal to their respective EPA Environmental Justice Offices.

Award Procedure: This program is subject to the provisions of OMB Circulars Nos. A-102 and A-110.

Criteria for Selecting Proposals: Factors important in the evaluation process include: 1) the applicant's ability to manage the grant in compliance with EPA grant regulations; 2) the degree to which the applicant groups' members health, economic well-being, and environment are adversely affected by environmental hazards; 3) the applicant's ability to inform others in the community of the information gathered; and 4)

the involvement of affected groups and individuals in the community. In general, the applicant must demonstrate that it is aware of the time commitment, resources, and dedication needed to successfully manage a grant.

Assistance Considerations:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: The project grant may be requested for 1 year. Activities must be completed within the time frame of the project's budget period.

Post-Assistance Requirements:

Reports: Grantees are expected to submit final reports for EPA approval prior to receipt of the balance of grant funds.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained for 3 years after submission of a financial status report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate EPA Regional office.

Headquarters Office:

Director
Office of Compliance Assurance, (2201A)
Environmental Protection Agency
401 M Street SW.
Washington, DC 20460
Phone: 202 564-2515.
Environmental Justice Hotline: 800 962-6215.

Related Programs: Environmental Education and Training Program; Environmental Education Grants.

Environmental Justice Through Pollution Prevention (EJP2)

Federal Agency: EPA, Office of Pollution Prevention and Toxics

Purpose: The primary purpose of this grant program is to provide financial assistance to tribal governments for projects that address environmental justice and use pollution prevention (P2) activities as the proposed solution. This grant program is designed to fund projects that have a direct impact on affected communities. EPA is seeking proposals for projects that will encourage institutionalization and innovative use of P2 as the preferred approach for addressing environmental justice issues and whose activities and products can be applied to other communities. The Agency also encourages cooperative efforts with business and industry to address common P2 goals.

Projects funded under this grant program may involve public education, training, demonstrations, public and private partnerships, or approaches to develop, evaluate, and demonstrate nonregulatory strategies and technologies.

Eligibility: Eligible applicants include any federally recognized tribal government. No applicant can have two grants for the same project at one time under the EJP2 grant program. EPA will consider only one proposal for a given project. Applicants may submit more than one application as long as the applications are for separate and distinct projects. No organization, however, will receive more than one grant per EPA region per year under the EJP2 grant program.

All awardees must also comply with the requirements of 40 CFR Part 31 “Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments” or 40 CFR Part 30 “General Regulation for Assistance Program for other than State and Local Governments.”

Uses and Use Restrictions: The purpose of the EJP2 grant program is to support the use of P2 solutions to address the environmental problems of minority and low-income communities and tribes. Funds awarded must be used to support P2 projects in minority and low-income communities on tribal lands. Priority will be given to those projects that will improve the environmental quality of affected communities using P2 as the primary solution.

Financial Information: Tribes seeking EJP2 grants can request up to \$100,000 for local projects, and up to \$250,000 for projects that involve multiple communities located in more than one EPA Region, or projects that are national in scope.

Assistance Considerations:

Formula and Matching Requirements: None

Information Contact:

To obtain copies of the EJP2 grant program guidance and application package, or to obtain more information regarding the EJP2 program, call 703 841-0483 or e-mail <ejp2@erg.com>. A complete, electronic copy of the EJP2 grant program guidance and application package is also available on the EPA web site on the Internet, located at <www.epa.gov/opptintr/ejp2>.

Environmental Protection Consolidated Research

Federal Agency: EPA, Office of Research and Development

Purpose: To support research to determine the environmental effects and, therefore, the control requirements associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides and: 1) to identify, develop, and demonstrate pollution control techniques; 2) to evaluate the economic and social consequences of alternative strategies for pollution control of energy systems; and 3) to support research to explore and develop strategies and mechanisms for economic, social, governmental, and environmental systems personnel to use in environmental management. To support the STAR Program (Science To Achieve Results) by funding the highest quality research in the following seven priority areas: 1) drinking water; 2) air quality, including particulate matter and associated pollutants; 3) human health protection; 4) ecosystem protection; 5) endocrine disruptors; 6) contaminated sediments; and 7) P2 and new technologies. Other, more specialized scientific areas may be solicited via joint requests for applications (RFAs) with other entities. To support STAR's Exploratory Research Grants Program by funding investigator-initiated grants in broad areas, such as environmental chemistry and physics, social service and environmental engineering, and the health and ecological effects of pollution not covered by the RFAs. To support STAR's Graduate Fellowship Program which provides funding for mas-

ter's and doctoral degree students in environmental sciences and engineering. To support STAR's Environment Research Centers Program which supports universities, or consortia of universities that focus on long-term, multidisciplinary research. Funds also may be available to support activities including but not limited to research, demonstration, development, experiments, surveys, studies, investigations, public education programs, monitoring, training, and fellowships where authorized by specific statutes.

Eligibility:

Applicant Eligibility: This program is available to each state, tribe, public and private state universities and colleges, hospitals, laboratories, state and local government departments, other public or private non-profit institutions, and individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility: State, tribal, and local governments, universities and colleges, hospitals, and individuals.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.

Types of Support: Project Grants.

Uses and Use Restrictions: Available for allowable direct cost expenditures incident to research performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of the EPA. Projects that include research, but also contain other types of grant assistance, such as training, planning, etc., may be funded through the Environmental Protection Consolidated Grants-Program Support.

Financial Information:

Account Identification: 68-0107-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY96 \$148,608,191; FY 98 est. \$194,677,000.

Range and Average of Financial Assistance: \$5,748 to \$2,000,000; \$258,820 (FY96).

Sample of Previous Awards:

Program Accomplishments: In FY96, 421 awards were made. In FY97, 295 grants were made. Detailed pro-

gram information is available in the Office of Research and Development (ORD) Program Guide.

Examples of Funded Projects: See EPA's web page for previously funded grants at <www.epa.gov/ncercqa>.

Deadline: None.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: As described in 40 CFR Part 30.63, and Part 31, Subpart F.

Renewals: None. Standard grant application should be prepared and submitted that will be reviewed in the same manner as a new application and will compete for available funds.

Application:

Preapplication Coordination: Discussion with the ORD laboratories and national centers is advisable for research cooperative agreement applications. No preapplication assistance is available for research grant applications. An environmental impact assessment is required for this program. The standard application forms as furnished by the federal agency and required by OMB Circulars Nos. A-102 and A-110 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: Requests for application forms and completed applications must be submitted to the U.S. Environmental Protection Agency, Grants Administration Division, 3903R, 401 M. Street, SW., Washington, DC 20460. This program is subject to the provisions of OMB Circulars Nos. A-102 and A-110.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and to program evaluation; technical and extramural review determines the merit and relevance of the project.

Criteria for Selecting Proposals: A proposal is judged for: 1) scientific merit in terms of: a) strengths and weaknesses of the project; b) adequacy of overall project design; c) competency of proposed staff; d) suitability of applicant's available resources; e) appropriateness of the proposed project period and budget; and f) probability that the project will accomplish stated objectives; and for 2) program interest in terms of: a) the need for the proposed research; and b) relationship to objective(s) in an approved work plan.

Assistance Considerations:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Grants are normally funded on a 12-month basis (yearly). Total approved project period may not exceed 5 years.

Post-Assistance Requirements:

Reports: Quarterly interims and final progress, expenditure, equipment, and invention reports.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. OMB Circular No. A-133 applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years after submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional office.

Headquarters Office: For information on grant applications and procedures, contact the U.S. Environmental Protection Agency, Grants Administration Division, 3903F, 401 M Street, SW., Washington, DC 20460. For program information, contact the National Center for Environmental Research and Quality Assurance, (8701), U.S. Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Phone: 800 490-9194. To obtain further information about programs and application requests via the Internet, see <www.epa.gov/ncerqa>.

Related Programs: None.

Indian Environmental General Assistance Program (General Assistance Program for Tribes)

Federal Agency: EPA, American Indian Environmental Office

Purpose: To provide Indian tribal governments and intertribal consortia with general assistance grants to build capacity to administer environmental regulatory programs on Indian lands, and technical assistance in the development of multimedia programs to address environmental issues on Indian lands.

Eligibility:

Applicant Eligibility: Indian tribal governments and intertribal consortia. An Indian tribal government is any federally recognized tribe, band, nation, or other organized group or community, including any Alaska native village or regional or village corporation. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program.

Beneficiary Eligibility: Federally recognized Indian tribal governments.

Credentials/Documentation: This program is subject to the provisions of OMB Circular No. A-87.

Types of Support: Project grants.

Uses and Use Restrictions: Activities eligible for funding under this program include planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructure, as well as to conduct assessments, monitoring, planning, and other actions within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of core environmental programs, such as developing grant proposals and managing grant work; fostering compliance with federal environmental statutes by developing tribal environmental programs, ordinances, and services; and establishing ties with federal, state, local, and other tribal environmental officials.

Restrictions: The principal focus of this program is on the development of general tribal environmental capability. No single grant awarded under this program may be for an amount exceeding 10 percent of the total annual funds appropriated for all the grants.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY96 \$15,000,000; FY 98 est. \$28,000,000.

Range and Average of Financial Assistance: The statutory minimum grant is \$75,000. As the term of the grant can extend up to 4 years, however, the annual range and average financial assistance is \$22,000 to \$150,000; \$70,000.

Sample of Previous Awards:

Program Accomplishments: Funded activities have included environmental inventories, infrastructure and technical assessment of capability needs, and planning and development activities to establish tribal environmental programs.

Examples of Funded Projects: Establishment of a water quality assessment program; completion of an environmental assessment inventory; establishment of an environmental code; establishment of a multimedia program to address water quality, air quality, safe drinking water, solid waste, hazardous waste, and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; establishment of a program to address underground storage tanks, Superfund Amendments and Reauthorization Act (SARA) Title III, and radon.

Deadline: Contact the nearest EPA Regional Office for application deadlines.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: 40 CFR Part 31, Subpart F

Renewals: In order to receive renewals, significant progress must be demonstrated.

Application:

Preapplication Coordination: The applicant should consult the Regional Indian Program Coordinator designated as the single point of contact for more information. This program is excluded from coverage under Executive Order 12372 but subject to provisions of OMB Circular No. A-102.

Application Procedure: Applicants must use SF-424, "Application for Federal Assistance: State and Local Non-Construction Programs."

Award Procedure: Applications are reviewed by the appropriate regional office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official.

Criteria for Selecting Proposals: Proposals are approved by the individual EPA regional offices according to the applicant's ability to achieve objectives outlined in Public Law 102-497, Section 11, the interim final regulation for programs implementation in the FR on December 2, 1993, 40 CFR Part 35, Indian Tribes; General Assistance Grants for Environmental Protection Programs: Interim Final Rule, and the relevance to the uses described above. Proposals should contain schedules for progress reports that will contribute toward development of environmental management capacity building; provide for ongoing coordination and problem resolution; and show adequate ability to meet the proposed objectives, record keeping, and reporting requirements.

Assistance Considerations:

Formula and Matching Requirements: None

Length and Time Phasing of Assistance: Award amount remains available until expended in accordance with the term of the award. No award term may exceed 4 years.

Post-Assistance Requirements:

Reports: Procedures for accounting, auditing, evaluating, and reviewing general assistance grants are governed by regulations at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," with reports as required by the specific terms of the agreement.

Audits: OMB Circular No. A-133 applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be maintained for 3 years after submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Applicants are encouraged to communicate with their Regional Indian Program Coordinator.

Headquarters Office:

American Indian Environmental Office (4104)
U.S. Environmental Protection Agency
401 M Street, SW
Washington, DC 20460
Phone: 202 260-7939.

Related Programs: None.

Jobs Through Recycling (JTR)

Federal Agency: EPA

Purpose: To stimulate development of recycling and reuse businesses that accomplish the following:

- Increase the use of recyclable or reusable materials (recovered materials) that would otherwise be landfilled or combusted.
- Contribute to economic development as well as job creation and/or retention.

Eligibility: States, Native American tribes, and multi-state and multitribe organizations.

Uses and Use Restrictions: JTR grants should be used to accomplish the following:

- Increase intermediate processing and end-use manufacturing capacity (not collection programs.)
- Develop working partnerships with industry groups, financial institutions, local governments, universities, and other involved entities.

Financial Information: Grants are awarded up to \$200,000.

Formula and Matching Requirements: EPA will require nonfederal matching funds of at least 25 percent of the federal funding requested. This matching share of project costs may be provided in cash, in-kind contributions, or other noncash support.

Sample of Previous Awards:

Program Accomplishments: Since launching the JTR program in 1994, EPA has awarded more than \$7.2 million in grant funding to 36 states, 5 tribes, and 3

multistate organizations. Types of grants funded include Recycling Economic Development Advocates (REDAs) and Recycling Business Assistance Centers (RBACs), commodity-specific demonstration projects, and Recycling Investment Forums.

A review of four well-established programs shows that each investment of \$1 million in JTR grants has helped businesses create more than 1,700 jobs and \$290 million in capital investment.

Examples of Funded Projects: The Quechan Tribe of the Fort Yuma Indian Reservation in Arizona will create a craft paper-making business. The tribe will handcraft writing paper from postconsumer paper diverted from the local waste stream. The tribe will sell this art product to the 750,000 winter residents of Yuma. This cottage industry will provide specialized training and employment to tribal members who currently face 60 percent unemployment on the reservation.

Deadline: The proposal process relies extensively on direct communications (in person or by phone, fax, or e-mail) with EPA regional JTR contacts. Parties interested in applying should contact the appropriate JTR contact as soon as possible to discuss project ideas. Any questions regarding the JTR program, eligible costs, and activities should be addressed prior to submitting a proposal outline.

Criteria for Selecting Proposals: Proposals are judged for: 1) description and budget; 2) applicant information and capabilities; 3) waste diversion, market capacity, and climate change impact; 4) economic development as well as job creation and/or retention; 5) measurement methodology; and 6) potential to institutionalize coordination and partnerships and leverage resources. The last four criteria weigh most heavily in the evaluation.

Information Contact:

The EPA JTR request for proposals information packet contains a description of program objectives and eligible activities, application evaluation criteria, and proposal preparation instructions. Visit the JTR Web site at <www.epa.gov/jtr>.

Contact the appropriate EPA Regional office or:

OSW—Municipal and Industrial Solid Waste Division
U.S. Environmental Protection Agency
Mail Code: 5306W
401 M Street, SW
Washington, DC 20460

Municipal Solid Waste Grant Program for Indian Country

Federal Agency: EPA, Office of Solid Waste and Emergency Response

Purpose: To encourage solid waste management practices in Indian Country that protect human health and the environment by building tribal capacity for developing and implementing municipal solid waste activities; developing tribal organization infrastructures; realizing economic sustainability of tribal solid waste programs; and building partnerships among tribes, states, and local communities. Applicants are encouraged to partner with other federally recognized tribes, tribal organizations, surrounding communities, and other organizations. Developing these partnerships will help foster sustainability of solid waste management programs.

Eligibility:

Applicant Eligibility: Federally recognized tribes and Alaska native villages. Also eligible are nonprofit multitribe organizations whose membership consists of federally recognized tribes, Alaska native villages, or partnerships between tribes and states, local governments, or other nontribal organizations, and whose primary focus is on solid waste projects.

Types of Support: Demonstration grants and multi-year grants.

Uses and Use Restrictions: Nonfederal matching funds or in-kind contributions of at least 5 percent of the grant amount are required.

Financial Information:

Range of Financial Assistance: \$50,000 to \$100,000.

Deadline: Consult with EPA regional contact in early January before submitting proposal.

Application:

Preapplication Coordination: The proposal process relies extensively on direct communications (in person or by phone, fax, or e-mail) with EPA regional solid waste contacts. Any questions regarding the Municipal Solid Waste Grant Program for Indian Country and eligible costs and activities should be addressed prior to submitting a proposal outline.

Criteria for Selecting Proposals: EPA will use the following evaluation criteria in ranking proposals on a 100-point scale: project description (15 points); comprehensive integrated solid waste program (25 points); sustainability (20 points); project partners and institutionalization (15 points); measurability of project results (10 points); and technical assistance to others (15 points).

Information Contact:

Contact the appropriate EPA Regional office.

Performance Partnership Grants (PPGs)

Federal Agency: EPA

Purpose: The PPGs are an alternative assistance delivery mechanism and do not represent funding in addition to grants provided under individual authorities. PPGs provide states and tribes with the option to combine funds from two or more categorical grants into one or more PPG. Benefits of these grants include:

- **Flexibility.** States and tribes will have the flexibility to address their highest environmental priorities across all media and establish resource allocations based on those priorities, while continuing to address core program commitments.
- **Improved Environmental Performance.** States and tribes can: 1) more effectively link program activities with environmental goals and program outcomes and 2) develop innovative P2, ecosystem, and community-based strategies.
- **Administrative Savings.** Recipients and EPA can reduce administrative burdens and costs by greatly reducing the numbers of grant applications, budgets, workplans, and reports.
- **Strengthened Partnerships.** EPA will develop partnerships with states and tribes where both parties share the same environmental and program goals and deploy their unique resources and abilities to jointly accomplish those goals.

Eligibility:

Any state or federally recognized Indian tribe currently receiving or eligible to receive more than one of the 16 categorical grants referred to in “Uses and Use Restrictions” below.

Grant Resources for Solid Waste Activities in Indian Country

Credentials/Documentation: Recipients must show compliance with 40 CFR Part 31.

Types of Support: Formula grants and project grants.

Uses and Use Restrictions: Eligible recipients can combine two or more of the following 16 grants into a PPG:

- Air Pollution Control (Clean Air Act [CAA] section 105).
- Water Pollution Control (Clean Water Act [CWA] section 106).
- Nonpoint Source Management (CWA section 319).
- Water Quality Cooperative Agreements (CWA section 104(b)(3)).
- Wetlands Program Development (CWA section 104(b)(3)).
- Public Water System Supervision (the Safe Drinking Water Act [SDWA] sections 1443(a) and 1451(a)(3)).
- Underground Water Source Protection (SDWA section 1443(b)).
- Hazardous Waste Management (Solid Waste Disposal Act [SWDA], section 3011(a)).
- Underground Storage Tank (SWDA, section 2007(f)(2)).
- Radon Assessment and Mitigation (the Toxic Substance Control Act [TSCA] section 306).
- Lead-based Paint Activities (TSCA section 404(g)).
- Toxic Compliance and Monitoring (TSCA section 28).
- Pollution Prevention Incentives for States (the Pollution Prevention Act section 6605).
- Pesticide Enforcement (the Federal Insecticide, Fungicide, and Rodenticide Act [FIFRA] section 23(a)(1)).
- Pesticide Applicator Certification and Training/Pesticide Program (FIFRA section 23(a)(2)).
- General Assistance Grants to Indian Tribes (Indian Environmental General Assistance Program Act of 1992)—only eligible tribes can propose to include these funds in a PPG application.

Recipients may use PPGs to fund activities that are within the cumulative eligibilities of the 16 grants listed above.

Deadline: Applications are due 60 days prior to the beginning of the budget period, normally August 1.

Range of Approval/Disapproval Time: About 60 days.

Appeals: Appeals are in accordance with 40 CFR Part 31, Subpart F

Application:

Preapplication Coordination: Applicants interested in pursuing PPGs should coordinate with their appropriate EPA Regional Office to develop a PPG through joint negotiations. This program is eligible for coverage under Executive Order 12372, “Intergovernmental Review of Federal Programs.”

Application Procedure: Requests for application forms should be made to the appropriate EPA Regional Grants Management Office. Applicants will negotiate the content of their PPG with their EPA Regional Office and submit an application for the PPG based on these negotiations with the region. Applicants for PPGs must submit SF-424, “Application for Federal Assistance: State and Local Non-Construction Programs,” including the required supporting documents.

Award Procedure: Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, awarded by the Regional Administrator.

Criteria for Selecting Proposals: Not available.

Assistance Considerations:

Formula and Matching Requirements: The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed components.

Length and Time Phasing of Assistance: The terms of the grant shall be determined during preapplication negotiations and at the time of the award. Applicants can choose to submit either annual or multiyear work plans. Budget periods will be for 12 months, with the applicant having the flexibility to select, in consultation with the Regional Administrator, the start and end date for the budget period.

Post-Assistance Requirements:

Reports: In accordance with 40 CFR Part 31 and the Performance Partnership Grants for state and Tribal Environmental Programs: Revised Interim Guidance, issued July 1996.

Audits: OMB Circular No. A-133, “Audits of States, Local Governments, and Non-Profit Organizations,” applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be maintained for 3 years after submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Information Contact: Contact the appropriate EPA Regional Office.

Related Programs: None.

Pollution Prevention Grants Program

Federal Agency: EPA, Office of Prevention, Pesticides and Toxic Substances

Purpose: To support the establishment and expansion of state and tribal multimedia pollution prevention programs. EPA specifically seeks to build pollution prevention capabilities or to test innovative pollution prevention approaches and methodologies.

Eligibility:

Applicant Eligibility: States, federally recognized tribes, and any agency or instrumentality of a state or tribe, including state or tribal universities. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible.

Beneficiary Eligibility: Any state, interstate, or intertribal, or local agency, organization, or university; federally recognized Indian tribe; public nonprofit organization and institution; profit organization; private organization; and quasipublic nonprofit organization.

Credentials/Documentation: In cases where applicants are not clearly, by given name, an instrumentality of the state, the applicant must provide proof that the applicant is indeed a state or interstate agency and organization.

Types of Support: Project Grants.

Uses and Use Restrictions:

Funds awarded under the Pollution Prevention Grants Program must be used to support pollution prevention programs that address the transfer and reduction of potentially harmful pollutants across all environmental media: air, water, and land. Programs should reflect comprehensive and coordinated pollution prevention planning and implementation efforts statewide.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY96 \$5,000,000; FY98 est. \$5,000,000.

Range and Average of Financial Assistance: \$20,000 to \$200,000; \$100,000.

Sample of Previous Awards:

Program Accomplishments: During FY97, grants totalling approximately \$5,000,000 were awarded. Fifty-seven state and tribal agencies received grant funds through the Pollution Prevention Incentives for states program.

Examples of Funded Projects: Technical assistance; data collection and dissemination; education for and outreach to business, government, and academic personnel; training; environmental auditing; technology transfer; demonstration projects; and integration of P2 into regulatory programs.

Deadline: Varies according to each EPA Regional Office.

Range of Approval/Disapproval Time: About 120 days.

Appeals: As described in 40 CFR Part 30, Subpart L and part 31, Subpart F.

Renewals: None.

Application:

Preapplication Coordination: SF-424, SF-424A, and other forms as provided by the EPA must be used for this program. This program is eligible for coverage under Executive Order 12372, “Intergovernmental Review of Federal Programs” (and the review requirements of Section 204 of the Demonstration Cities and Metropolitan Development Act).

Application Procedure: Requests for information should be made to the appropriate EPA Regional Office.

Completed applications must be submitted to the EPA Regional Pollution Prevention Programs for consideration for an award. Applications must meet the requirements of the grant regulations and will be reviewed to determine relevancy of the proposed project.

Award Procedure: Procedures for awards are outlined in General Grant Regulation, 40 CFR Part 30 and Part 31.

Criteria for Selecting Proposals: In evaluating grant applications, the Act directs EPA to consider whether the proposed state program will: 1) make technical assistance available to businesses seeking information about source reduction opportunities, including funding for experts to provide onsite technical advice and to assist in the development of source reduction plans; 2) target assistance to businesses for which lack of information is an impediment to source reduction; or 3) provide training in source reduction techniques. Applicants must provide documentation showing they have entered into a partnership agreement with one or more environmental and business assistance providers. They must also demonstrate how the proposed pollution prevention activities will advance state/tribal environmental goals as stated in environmental strategic planning documents. Applicants submitting proposals that do not show a correlation between environmental goals and the proposed pollution prevention activity will not be considered eligible for funding. Applications must include activities the pollution prevention program will undertake to ensure communication and feedback to the regulatory programs showing how pollution prevention is helping to advance multimedia environmental protection.

In summary, in the narrative of the grant application, the following three requirements must be clearly addressed: 1) identify the partnering organization(s) and document the relationship, for example, through a letter of agreement. 2) Describe how the activities proposed in the grant will support the goals and objectives laid out in the state's strategic planning documents. Proposals must include copies of language from strategic planning documents and show directly how pollution prevention will be used to support those goals and objectives. 3) Describe the outreach and communication strategies that will be undertaken to ensure that environmental program staff are made aware of the P2 activities and how those activities are addressing multimedia environmental management problems. Applicants should consult the Pollution Prevention program guidance for more detailed information on the 1998 goals and criteria. Proposals accepted for review under this program must qualify as pollution prevention as defined by EPA.

Assistance Considerations:

Formula and Matching Requirements: Organizations receiving pollution prevention grant funds are required to match federal funds by at least 50 percent. Contributions may include dollars, in-kind goods and services, and/or third party contributions.

Length and Time Phasing of Assistance: Grants are awarded for a project period not to exceed 3 years. Funds are released by a letter of credit.

Post Assistance Requirements:

Reports: Organizations that are awarded grant funds will be required to submit semiannual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report also will be required at the end of the project period. This final report should include a discussion of the prospects for continuation, further development of the pollution prevention effort, project evaluation and future direction.

Audits: Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," applies.

Records: Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be maintained for 3 years after submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Contact appropriate EPA Regional Pollution Prevention Coordinator.

Headquarters Office: For program information:
Pollution Prevention Division
Office of Pollution Prevention and Toxics, MC7409
U.S. Environmental Protection Agency
401 M Street, SW
Washington, DC 20460
Phone: 202 260-3480.

Solid Waste Management Assistance

Federal Agency: EPA, Office of Solid Waste

Purpose: To promote the use of integrated solid waste management systems to solve municipal solid waste generation and management problems at the local, regional, and national levels.

Eligibility:

Applicant Eligibility: Public authorities (i.e., federal, state, interstate, intrastate, and local); public agencies and institutions; nonprofit private organizations, agencies, and institutions; and Indian tribes. Profit-making organizations are not eligible.

Beneficiary Eligibility: State, local, and tribal governments and interstate agencies.

Credentials/Documentation: None.

Types of Support: Project Grants (Cooperative Agreements).

Uses and Use Restrictions: Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies.

Grant funds can be used to: support training, surveys, educational materials and programs, studies, and demonstrations.

Grant funds can not be used for: any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Financial assistance to states, local, regional, and interstate authorities for development and implementation of plans approved by the Administrator under Subtitle D of the Solid Waste Disposal Act is not allowed.

Financial Information:

Account Identification: 68-0107-0-1-999.

Obligations: (Grants and Cooperative Agreements) FY96 \$3,800,000; FY98 est. \$3,800,000.

Range and Average of Financial Assistance: FY95 \$5,000 to \$250,000; \$76,000.

Sample of Previous Awards:

Program Accomplishments: In FY96, 50 projects were awarded funding. The estimate of projects for FY97 is about the same.

Examples of Funded Projects: 1) National Solid Waste Information Clearinghouse: provides information to the nation on solid waste management alternatives; 2) Targeted Media Recycling Outreach: funded television and radio commercials that received over \$80,000,000 in free airplay; 3) Peer Match Program: provides technical assistance to local government by matching local government experts with local governments requesting assistance; and 4) Report on Scrap-Based Manufacturing: funded production of a report to assist communities in attracting scrap-based manufacturing.

Deadline: Varies from region to region. Contact appropriate Regional Office for details.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: As described in 40 CFR Part 31, Subpart F for state and local governments, and Part 30.63 for other recipients.

Renewals: None. A standard grant application should be prepared and submitted and will be reviewed in the same manner as a new application.

Application:

Preapplication Coordination: Discussion with EPA Headquarters or the appropriate EPA regional office is advisable. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: Requests for application forms and submission of completed applications should be directed to U.S. Environmental Protection Agency, Grants Administration Division (3903F), 401 M Street, SW., Washington, DC 20460, or the appropriate EPA Regional Office. This program is subject to the provisions of OMB Circulars Nos. A-110 and A-102.

Award Procedure: EPA reviews each application to determine adequacy in meeting grant regulations and program evaluation criteria, as well as to determine the merit and relevance of the project.

Criteria for Selecting Proposals: A proposal is judged for: 1) technical merit in terms of: a) strengths and weaknesses of the project; b) adequacy of overall project design; c) competency of proposed staff; d) suitability of applicant's available resources; e) appropriateness of the proposed project period and budget; and f) probability that the project will accomplish stated objectives; and for 2) program interest in terms of: a) the need for the proposed project; and b) relationship to program objectives.

Assistance Considerations:

Formula and Matching Requirements: For continuing grants, a minimum of 5 percent cost-sharing is required. For new grants, no sharing is required; 40 CFR Part 30 applies.

Length and Time Phasing of Assistance: Grants will normally be funded on a 12-month basis. The total approved project period may not exceed 3 years.

Post-Assistance Requirements:

Reports: Quarterly interim and final progress, expenditure, equipment, and invention reports.

Audits: Grants are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or by any authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants and other agreements. OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," applies.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be maintained for 3 years after submission of the final expenditure report. If questions still remain, such as those raised during an audit, related records should be retained until the matter is completely resolved.

Information Contacts: For information concerning grant applications and procedures, contact the appropriate EPA regional office or U.S. Environmental Protection Agency, Grants Administration Division, 3903F, 401 M Street, SW., Washington, DC 20460. Phone: 202 260-9266.

Related Programs: Environmental Protection Consolidated Research.

Surveys, Studies, Investigations and Special Purpose Grants

Federal Agency: EPA

Purpose: To 1) support surveys, studies and investigations and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides; 2) identify, develop, and demonstrate pollution control techniques; 3) prevent, reduce, and eliminate pollution; and 4) evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions.

Eligibility:

Applicant Eligibility: States, Indian Tribes, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, individuals, and in some instances, profit-makers, if their projects have significant technical merit and relevance to EPA's mission. Some of EPA's statutes may limit assistance to certain applicants.

Beneficiary Eligibility: State and local governments, Indian tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments and Indian tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit-makers. Applicants might be requested to demonstrate appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications.

Types of Support: Project Grants.

Uses and Use Restrictions: Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable indirect costs, in accordance with established EPA policies and regulations.

Financial Information:

Account Identification: 68-1810-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY96 \$150,000,000. (NOTE: Funds available for this program are determined by discretionary decisions of EPA program offices.)

Range of Financial Assistance: \$1,000 to \$500,000.

Sample of Previous Awards:

Program Accomplishments: EPA made 1,200 awards in FY96.

Examples of Funded Projects: Developing a reporter's guide to climate change, development of solid waste system for Indian tribes, lead-based workshops, and radon information for real estate companies.

Deadline: None, unless applications are submitted in response to calls for proposals or requests for applications which include deadlines.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: EPA does not have a formal appeal process for applicants whose proposals are not funded. Appeals with respect to other issues are described in 40 CFR Part 30.63 and Part 31, Subpart F.

Renewals: None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Application:

Preapplication Coordination: EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on unsolicited proposals and competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. For this reason, we recommend that potential applicants discuss proposed projects with or submit preapplications to staff in the appropriate EPA technical program offices. Individual programs may be subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: EPA requires final applications to be made on SF-424. Requests for application kits must be submitted to the U.S. Environmental Protection Agency, Grants Administration Division,

3903R, 401 M Street, SW., Washington, DC 20460 or through the appropriate EPA Regional Office.

Award Procedure: EPA will evaluate each application to determine the merit and relevance of proposed projects.

Criteria for Selecting Proposals: EPA selects proposed surveys, studies, and investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria.

Assistance Considerations:

Formula and Matching Requirements: As required by EPA statute, regulation, or program guidance, or as negotiated and agreed to between the applicant and the program office.

Length and Time Phasing of Assistance: EPA normally funds grants and cooperative agreements on a 12-month basis. EPA can, however, negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years.

Post Assistance Requirements:

Reports: EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, as well as financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, Part 30 and Part 31.

Audits: Surveys, Studies, and Investigations grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff or any authorized representative of the federal government. If the Government Accounting Office or EPA's Inspector General conducts federal audits, the audits will be made in accordance with OMB Circular No. A-173 to ensure funds have been used efficiently, economically, and effectively. Recipients must conduct periodic audits in accordance with the provisions of OMB Circular No. A-133, "Audits of Institutions of Higher Education and Other Non-Profit Institutions."

Records: Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain for 3 years after

Grant Resources for Solid Waste Activities in Indian Country

submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Information Contacts:

Regional or Local Office: EPA encourages potential applicants to communicate with the appropriate EPA Regional Office, and the Headquarters program contacts listed below.

Headquarters Office: For information on grant applications and procedures, contact: Environmental Protection Agency, Grants Administration Division, 3903F, 401 M Street, SW., Washington, DC 20460. For program information contact: Office of Air and Radiation—Julie Tate, 202 260-9230; Office of Water—Steve Wilson, 202 260-9823; Office of Research and Development—Peter Durant, 202 260-2597; Office of Policy, Planning, and Evaluation—Marilyn Smith Church, 202 260-3630; Office of Solid Waste and Emergency Response—Julianne Edmondson, 202 260-0251; Office of Environmental Justice—Linda Smith, 202 564-2602.

Related Programs: None.

Sustainable Development Challenge Grants

Federal Agency: EPA, Office of Air and Radiation

Purpose: To 1) catalyze community-based and regional projects and other actions that promote sustainable development, thereby improving environmental quality and economic prosperity; 2) leverage significant private and public investments to enhance environmental quality by enabling community sustainability efforts to continue past EPA funding; 3) build partnerships that increase a community's long-term capacity to protect the environment through sustainable development; and 4) enhance EPA's ability to provide assistance to communities and promote sustainable development, through lessons.

Eligibility:

Applicant Eligibility: Community groups and other nonprofit organizations, local governments, universities, tribes, and states.

Beneficiary Eligibility: State and local governments, universities, Indian tribes, and the general public.

Credentials/Documentation: Must supply copy of articles of incorporation of the IRS nonprofit status form.

Types of Support: Project Grants.

Uses and Use Restrictions: For the purposes outlined in the following statutes: CWA, Section 104(b)(3); SDWA, Section 1442(b)(3); Solid Waste Disposal Act, Section 8001(a); CAA, Section 103(b)(3); TSCA, Section 10; FIFRA, Section 20; Marine Protection, Research, and Sanctuaries Act, Section 203; National Environmental Education Act, Section 6; Pollution Prevention Act, Section 6605.

Financial Information:

Account Identification: 68-0107-0-1-304.

Obligations: (Grants) FY96 \$542,000; FY98 est. \$10,000,000.

Range and Average of Financial Assistance: Up to \$250,000 per project.

Sample of Previous Awards:

Examples of Funded Projects: "Mid-City Green Project Building Materials Exchange" will expand its current paint exchange into a full-scale building materials exchange to reduce the amount of discarded construction materials waste in the New Orleans area and encourage urban renewal. This will be accomplished through construction materials recovery, transformation, and low-cost resale; neighborhood rehabilitation promotion; creative reuse; and education.

Deadline: Contact agency for deadlines. See Headquarters contact listed below.

Range of Approval/Disapproval Time: Contact agency for information. See Headquarters contact listed below.

Appeals: As described in 40 CFR Part 31 Subpart F.

Renewals: Contact agency for renewal information. See Headquarters contact listed below.

Application:

Preapplication Coordination: Use the standard application forms as furnished by the federal agency and required by OMB Circular Nos. A-102 and A-110. Costs will be determined in accordance with either OMB Circular Nos. A-87, A-21 or A-122 depending on applicant. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs."

Application Procedure: Contact agency for application procedures. See Headquarters contact listed below.

Award Procedure: Contact agency for the award procedure. See Headquarters contact listed below.

Criteria for Selecting Proposals: Three components: 1) Sustainability: How well does the proposal integrate environmental protection and economic prosperity? What type of sustainable behavior is desired and what type of non-sustainable behavior is being addressed? 2) Community Commitment and Contribution: To what degree do the partners represent those in the community who have an interest in or will be affected by the project? Will the proposal's outcomes and results benefit all affected groups to the maximum extent possible? 3) Measurable Results: To what degree does the proposal include significant achievable short-term (within 3 years) and long-term targets or benchmarks to measure the proposal's contribution to the community's self-sufficiency and sustainability? Does the proposal demonstrate how the work will continue after EPA funds are exhausted, or how it will evolve into or generate other sustainability efforts, either locally or regionally?

Assistance Considerations:

Formula and Matching Requirements: This program is intended to provide seed money to leverage a broader public and private investment in sustainability activities. As a result, the program requires a minimum nonfederal match of at least 20 percent of the total project budget. The match can come from a variety of public and private sources and can include in-kind services. No federal funds, however, can be used as matching funds.

Length and Time Phasing of Assistance: From 1 to 3 years.

Post-Assistance Requirements:

Reports: These may be both quarterly and final reports.

Audits: The OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," applies.

Records: Not available.

Information Contacts:

Regional or Local Office: There will be a point of contact in each region (to be determined). For further

information please contact Pamela Hurt on 202 260-2441.

Headquarters Office:
Office of Air and Radiation
U.S. Environmental Protection Agency
401 M Street, SW
Washington, DC 20460
Program Contact: Pamela Hurt
Phone: 202 260-2441



PRIVATE SOURCES

ARCO Chemical Company

Purpose: Funds environmental issues in communities where ARCO plants are located. Plants are located in Texas, West Virginia, Pennsylvania, and Ohio. The company supports balanced policy studies and action programs on hazardous waste, air emissions, toxicology, ground-water contamination and reclamation, plastics disposal, and similar topics of concern to ARCO and the general public; programs to improve communications and cooperation between local communities and industries; and job-creation and job-training programs through community economic development.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) and Section 501(a)(2) of the Internal Revenue Code (IRC). Contributions to the organization must be deductible under Section 170(b) of the IRC.

Types of Support: Capital campaigns and expenses, computer hardware, equipment, facilities (renovation), general purposes, and scholarships.

Financial Information: Total grants awarded for FY97: more than \$3.5 million.

Sample of Previous Awards (1993):

Awbury Arboretum Association, Philadelphia, PA, \$4,000 for renovation project.

Brandywine Conservancy, Inc., Chadds Ford, PA, \$5,000 for Land Stewardship and Environment Program.

Deadline: None. Apply to local ARCO office.

Application: Concise proposal to include the following:

1. Description of organization, its purpose, and explanation of how program meets company guidelines and priorities.
2. Statement of need for project and description of methods chosen to meet goals.
3. Timeline and method of evaluation.
4. Cost of project, list of other sources of funding, and amount requested.
5. Description of community support for, and involvement in, project and organization.

Include the following support data:

1. Current budget with most recent audited financial statement.
2. Annual report.
3. Copy of IRS tax-exempt status determination letter.
4. List of board of directors with their affiliations.

Information Contact:

Manager, Contributions
ARCO Chemical Company
3801 West Chester Pike
Newtown Square, PA 19073-2387
Phone: 610 359-3189

ARCO Foundation

Purpose: Provides grants to nonprofit organizations in the areas of education, community, arts and humanities, environment, and public information. Priority is given to environmental education, land preservation

efforts, and environmental organizations that support rational land use and natural resource policies.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Awarded primarily in the geographic areas where ARCO facilities and employees are located—the West, the Southwest, Alaska, and the Rocky Mountain Region.

Financial Information: Total environmental grants awarded for 1995 to 1996: \$213,500.

Sample of Previous Awards (1994):

California Living Museum, Bakersfield, CA, \$7,000. Environmental education program for young people in Kern County area.

Alaskans for Litter Prevention and Recycling, Anchorage, AK, \$12,000. Community cleanup program.

Deadline: None.

Application: Brief submission (5 pages) to include:

1. Cover letter stating organization's mission; grant purpose; legal name of organization; amount requested; and list of previous ARCO-supported grants.
2. Proposal consisting of 1-page summary; organization's mission and history; why project is needed given related work by others; project description, goals, objectives, and action plan; expected quantifiable results; method of evaluation; and plan for continuing activity beyond ARCO Foundation support.
3. Attachments including most recent organizational financial statement and income-and-expense budget; other possible sources of funding for the project; most recent completed IRS Form 990; and list of board of directors and their affiliations.

Do not use binders or covers.

Information Contact:

ARCO Foundation
515 South Flower Street
Los Angeles, CA 90071
Phone: 213 486-3342

Web site: <www.arco.com/Corporate/notes/fnd.htm>

AT&T Foundation

Purpose: Provides grants in two environmentally related categories: education and civic and community service. In particular, the foundation is interested in supporting community-based and national projects in the areas of source reduction and recycling, clean air, and clean water.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. AT&T's giving focuses on cities and regions with large concentrations of AT&T employees and business operations. A local contributions program provides funding in communities where AT&T is active.

Types of Support: For specific projects rather than operating costs.

Financial Information: Total grants awarded for FY93: \$37 million.

Sample of Previous Awards (1997):

American Rivers, Washington, DC, \$15,000.

California Association of Local Conservation Corps, Sacramento, CA, \$15,000.

Deadline: None

Application: Call or write for application guidelines before submitting proposal. If your organization and/or project is national in scope, contact the AT&T Foundation directly. If your organization and the scope of your activities are local, you will need to contact an AT&T Regional Contributions Manager

Information Contact:

AT&T Foundation
32 Avenue of the Americas, 24th Floor
New York, NY 10013
Phone: 212 387-4801

Web site: <www.att.com/foundation/>

Mary Reynolds Babcock Foundation, Inc.

Purpose: Supports community building in the Southeast, placing special emphasis on bridging racial and social gaps and investing in human and natural capital over the long term. Areas typically receiving

funding include: organizational development, community problem-solving, and grassroots leadership.

Eligibility: Community coalitions and organizations with nonprofit, tax-exempt status, as defined in Section 501(c)(3) of the IRC. Must be located in the southeastern United States.

Types of Support: Multiyear grants, organizational development, and technical assistance.

Financial Information: Total grants awarded for FY1994: \$334,665.

Sample of Previous Awards (1995):

Environmental Community Action, Atlanta, GA, \$30,000.

Save Our Cumberland Mountains, Lake City, TN, \$40,000.

Deadlines: Proposals for the Community Problem Solving Program and the Opportunity Fund are accepted throughout the year, Organizational Development grant applications are due in February.

Application: Write or call for grant application materials.

Information Contact:

Mary Reynolds Babcock Foundation
Gayle Williams or Sandra Mikush
102 Reynolda Village
Winston-Salem, NC 27106-5123
Phone: 910 748-9222

Web site: <fdncenter.org/2grntmkr/babcock/>

BankAmerica Foundation

Purpose: Provides funding for local environmental organizations in communities where BankAmerica has a strong presence. Targeted areas include land conservation, urban gardens, recycling, and education and training.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Sections 501(c)(3) and 170(b) of the IRC. Organizations should be located in communities where the corporation has a significant presence, except in Washington State, which is supported by Seafirst Foundation.

Types of Support: Operating costs, projects, and single-year grants or 2 to 3 year grants for new organizations.

Financial Information: Total environmental grants for 1996: \$321,000.

Sample of Previous Awards (1994):

Alaskans for Litter Prevention and Recycling, Anchorage, AK, \$2,500 Community Environmental Grant.

Californians Against Waste Foundation, Sacramento, CA, \$20,000 for the development of recovered materials industries.

Deadline: None. Grants awarded quarterly.

Application: Letter to include:

1. Purpose for which grant is requested.
2. Organizational information, including name, address and telephone number of contact.
3. Mission statement.
4. Copy of IRS tax-exempt status determination letter.
5. List of board of directors and affiliations.
6. Financial information for previous 2 years with audited statement if available.
7. Operating budget and project budget if applicable.
8. Population and geographic area served.
9. Amount requested.
10. List of sources and amounts of other funding obtained, pledged, or requested for this purpose.

Information Contact:

BankAmerica Foundation
Caroline O. Boitano
President and Executive Director
Department 3246
P.O. Box 37000
San Francisco, CA 94137
Phone: 415 953-3175

Beldon Fund

Purpose: Provides support to strengthen national, regional, and statewide organizations that train and organize members and the public to address significant environmental issues. Emphasizes programs that build constituencies, strengthen environmental organizing

and coalitions, and foster interorganizational cooperation. Specific topics addressed include toxics use reduction, pollution prevention, and environmentally sound solid waste policies.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. No aquariums, botanical gardens, educational institutions, individuals, museums, public agencies, religious organizations, research institutions, or zoos.

Types of Support: General purposes, operating costs, projects, and technical assistance.

Financial Information: Total grants awarded for FY94: \$699,550.

Sample of Previous Awards (1994):

Grass Roots Environmental Organization, Inc., Flanders, NJ, \$5,000 to provide technical support for and to organize groups working on toxics and solid waste issues.

Powder River Basin Resource Council, Sheridan, WY, \$7,500 to support local organizing for the sustainable management of waste in Wyoming.

Deadline: None.

Application: Call or send letter of inquiry. The letter should include:

1. Proposal summary, giving contact name and phone number, grant period, and amount requested.
2. Need for program in light of related work by others.
3. Goals, objectives, and action plan.
4. Method of evaluation and, if appropriate, plan for continuity in subsequent year(s).
5. Most recent organizational financial statement, itemized program budget, list of other potential sources of funding for project.
6. Copy of IRS tax-exempt status determination letter.
7. List of board of directors.
8. Background and qualifications of organization and staff.

Information Contact:

Beldon Fund
Diane Ives
2000 P Street NW, Suite 410
Washington, DC 20036
Phone: 202 293-1928

Web site: <<http://www.pprc.org/pprc/rfp/beldon.html>>

The Ben & Jerry's Foundation

Purpose: Supports progressive social change effected on a small scale. Projects should be models of systemic change and examples of creative problem solving. Grants target grassroots efforts and environmental justice, including projects in land management, education on sustainable agriculture, hazardous waste and nuclear issues, and environmental health.

Eligibility: Nonprofit, tax-exempt organizations with Section 501(c)(3) status, or with a sponsoring agency with this status. Excludes educational institutions, individuals, religious organizations, research institutions, and state agencies.

Types of Support: General purposes and pilot projects; single-year grants only.

Financial Information: Total grants awarded for FY94: \$364,506.

Sample of Previous Awards (1997):

Timbisha Shoshone, Death Valley, CA, \$10,000 to restore ancestral homelands to the tribe by calling public attention to the severe environmental degradation of cyanide mining operations and working with public agencies to enforce meaningful environmental oversight of those operations.

Friends of Nitassinan, Burlington, VT, \$3,000 to protect the Quebec-Labrador Peninsula (Nitassinan) and the Innu people who live there by developing a campaign against new and revived hydrologic projects and promoting renewable, local energy sources.

Deadline: Initial applications due at least 8 weeks prior to the quarterly deadline for which you wish to be considered. If an application is chosen for further consideration, the organization will be invited to submit a full proposal which consists of a 5 page application. Deadlines for full proposal for invited applicants are January 1, April 1, July 1, and October 1.

Application: After requesting cover page (from foundation), submit it with 1-page letter of interest copied onto the back, enclosing two copies each. Letter should include:

1. Description of organization and indication of competence in area of the proposed project.
2. Project outline: population to benefit, description of project, outcomes expected.
3. Project budget, income sources, and expenses.

Information Contact:

Ben & Jerry's Foundation
c/o: Rebecca Golden
30 Community Drive
South Burlington, VT 05403-6828
Phone: 802 651-9600

Web site: <www.benjerry.com/foundation>

The Bullitt Foundation

Purpose: Funds projects that protect and restore the natural environment of the Pacific Northwest. Specific areas of funding include reducing waste flow into the Salish Sea, reducing pollution in the Columbia River Basin, sustainable forest management, conserving open space, energy efficiency, and environmental justice.

Eligibility: Nonprofit, tax-exempt organizations with Section 501(c)(3) status in the Pacific Northwest: Washington, Oregon, Idaho, western Montana, and the rainforest region of southern Alaska.

Types of Support: Public education, coalition building, organization building, applied research, litigation, and other activities; single-year grants only.

Financial Information: Total grants awarded for FY97: \$5 million.

Sample of Previous Awards (1994):

American Oceans, Port Townsend, WA, \$30,000 to aid the Tulalip Tribes in addressing environmental issues regarding the Stillaguamish Watershed.

Mineral Policy Center, Washington, DC, \$9,600 for the prevention of environmental damage from hardrock mining.

Deadlines: April 1, August 1, December 1.

Application: Call or access web site to obtain application cover sheets. Submit self-addressed postcard and complete proposal including:

1. Application cover sheet
2. Project description
3. Workplan and timetable
4. Qualifications and why project is worth funding
5. List of officers and board members of organization
6. Documentation of tax-exempt status
7. Detailed budget and funding plan
8. Audited financial statement for prior year (or IRS 990 report)
9. Other supporting materials

Information Contact:

Bullitt Foundation
Emory Bundy
1212 Minor Avenue
Seattle, Washington 98101-2825
Phone: 206 343-0807

E-mail: info@bullitt.org

Web site: <www.bullitt.org/default.htm>

The Bush Foundation

Purpose: Supports projects that improve social welfare through initiatives in areas such as education for Native Americans, community welfare, health, and leadership development. Grants target regional projects in Minnesota, North Dakota, and South Dakota, and have in the past provided support for wetlands and prairie protection and recycling.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Support includes major building construction, renovation, and purchase projects. Contact the foundation for detailed instructions.

Financial Information: Total grants awarded for FY96: \$17 million.

Sample of Previous Awards (1994):

Midwest Assistance Program, Inc., New Prague, MN, \$32,200 for a community recycling program in South Dakota.

Citizens for a Better Environment, Minneapolis, MN, \$40,000 for the Good Neighbor Project.

Deadlines: At least 4 months prior to board meetings. The board meets in February, April, June, and October.

Application: Brief letter of inquiry regarding foundation's possible interest in a project. After receiving response from foundation, submit two copies of proposal and attachments, complete with:

1. Proposal summary, giving grant administrator's name, position, qualifications, address, and telephone number.
2. Description of organization.
3. Need for program in light of related work by others.
4. Goals, objectives, and action plan.
5. Method of evaluation and, if appropriate, plan for continuity in subsequent year(s).
6. Most recent organizational financial statement, itemized program budget, payment timeline, and list of other potential sources of funding for project.
7. Copy of IRS tax-exempt status determination letter, and a current declaration letter signed by officer of the organization that it has not received notice of any adverse action by the IRS with respect to its status or classification.
8. List of board of directors.
9. Background and qualifications of organization and staff.

Information Contact:

The Bush Foundation
E-900 First National Bank Building
332 Minnesota Street
St. Paul, MN 55101
Phone: 612 227-0891

Captain Planet Foundation

Purpose: Sponsors innovative, hands-on projects that empower children worldwide to work individually and collectively to solve environmental problems. Grants provide support for urban gardens, recycling, habitat and wildlife protection, beautification, and water quality.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Includes most educational institutions.

Types of Support: Pilot projects, projects, and seed money. Funding not available for endowments, capital or building campaigns, or general operation expenses.

Financial Information: Total grants awarded for FY95: \$27,897.

Sample of Previous Awards (1996-1997):

M.P. Brown Elementary School, Daly, CA, to fund cleanup of six area beaches.

Ochoa Intermediate School, Hayward, CA, to buy materials to implement a schoolwide recycling program.

Deadline: None.

Application: Initial contact: Proposal to include:

1. Description of proposed project, including number of children participating and relevance of project to them and the community.
2. Line-item budget for project.
3. Organization history.
4. Copy of IRS tax-exempt status determination letter.
5. Timeline for project.
6. Other sources of funding.
7. Funding plans after foundation grant expires.
8. Method of evaluation and monitoring.

Information Contact:

Captain Planet Foundation
One CNN Center, 10 South
Atlanta, GA 30303
Phone: 404 827-4130

Web site: <www.turner.com/cpf>

Carolyn Foundation

Purpose: Supports programs in education, arts, health, environment, and other social issues.

Eligibility: Nonprofit organizations not classified as private foundations. No grants to individuals; political, veteran, or religious organizations; or for fund drives, conferences, or seminars.

Previous Awards: Total grants awarded for FY90: \$1 million.

Application & Deadline: Major grants (over \$10,000) are considered once a year in December; application must be submitted by July 1. Minor grants are allocated by June 1; requests must be submitted no later than March 1.

Information Contact:

Carolyn Foundation
Carol J. Fetzer
2106 First Bank Plaza West
Minneapolis, MN 55402
Phone: 612 339-7101

Mary Flagler Cary Charitable Trust

Purpose: Supports the betterment of the environment through programs focusing on the protection of selected coastal regional ecosystems in the Southeast. Projects address issues ranging from strip mining, to intrusion of roads and bridges, to nonpoint source pollution.

Eligibility: Nonprofit organizations.

Types of Support: General purposes, multiyear grants, operating costs.

Financial Information: Total grants awarded for FY1995: \$8,532,547.

Sample of Previous Awards (1995):

Citizens Committee for New York City, New York, NY, \$167,600 for the Neighborhood Environmental Action Awards Program, which includes support for projects dealing with solid wastes and recycling.

The Trust for Public Land, New York, NY, \$125,000 for the Neighborhood Open Space Management Program.

Deadline: None.

Application: Submit letter including:

1. Concise statement of program or project.
2. Amount of funding requested and how it fits within the overall budget.
3. Brief description of the nature and activities of applicant organization.
4. Organization's legal name.
5. Current list of organization's officers and directors or trustees.

If the trust is interested, it will request additional information, including:

6. Most recent audited financial statement.
7. Copy of IRS tax-exempt status determination letter and tax classification.
8. Official request on the organization's letterhead, signed by the chief executive officer on behalf of its governing body.

Information Contact:

Mary Flagler Cary Charitable Trust
Edward A. Ames
122 East 42nd Street, Room 3505
New York, NY 10168
Phone: 212 953-7700

Chevron USA

Purpose: To support education and environmental efforts.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC; funds cannot be used for buildings or equipment.

Previous Awards: Total grants awarded for FY95: \$11.8 million.

Deadline: March 1, July 1, and November 1.

Application: Call or access web site to obtain application.

Information Contact:

Application guidelines are available from:
Chevron USA
J.W. Rhodes, Jr.
575 Market Street
San Francisco, CA
Phone: 415 894-5464

Web site: <www.chevron.com/chevron_root/community/grants>

Compton Foundation

Purpose: To fund sustainable resource management, public education, energy conservation, and waste reduction. Priority is given to proposals that have more than local application, are replicable, and are likely to be taken over and managed by a long-term funding source.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC; national organizations, generally.

Types of Support: Capital campaign and expenses, continuing support, endowments, and general purposes.

Financial Information: Total grants awarded for FY95: \$2.4 million.

Sample of Previous Awards (1994):

Intertribal Sinkyone Wilderness Council, Ukiah, CA, \$35,000 for Intertribal Park Project.

Land and Water Fund of the Rockies, Boulder, CO, \$20,000 for Idaho Water Policy Reform.

Deadline: March 1 and October 1.

Application: Cover sheet and proposal (3 to 4 pages): Cover sheet should include:

1. Contact information
2. Project title and 1000-word description
3. Amount requested
4. Project and organization budget
5. Other prospective funding sources

Proposal should include:

1. Brief description of organization.
2. Project objectives.
3. Means by which objectives will be accomplished and evaluated.
4. Description of previous work supported by the foundation, if relevant.

Attachments: Qualifications of people involved, project budget, organizational budget, other sources of financial support, list of board members including affiliations, copy of IRS tax-exempt status determination letter.

No faxes accepted. Double-sided use of postconsumer recycled paper is encouraged.

Information Contact:

Compton Foundation, Inc.
Edith T. Eddy
545 Middlefield Road, Suite 178
Menlo Park, CA 94025
Phone: 650 328-0101

The Nathan Cummings Foundation

Purpose: Promotes projects focusing on environmental education at the university level, environmentally sound economic and fiscal policy, uses of spiritual and ethical knowledge to address environmental problems, and environmentally sound transportation.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC; also educational and research institutions; funding not available for buildings or equipment.

Types of Support: Grants are awarded for periods of 1 to 3 years.

Financial Information: Total grants awarded for FY96: \$14 million.

Sample of Previous Awards (1997-1998):

Seventh Generation Fund for Indian Development, Ponsford, MN, \$30,000 to support front-line Native environmental work.

Tides Center, San Francisco, CA, \$30,000 for support of front-line Native environmental groups through regranting, advocacy, media outreach, and leadership development.

Deadline: None.

Application: Initial contact: Letter of inquiry (2-3 pages) to include:

1. Description of applicant organization, including its activities, history, and tax-exempt status.
2. Name, address, phone, and fax number of contact person.
3. Purposes for which funds are requested, including issues and problems proposed project will address.
4. Names and qualifications of staff involved in project.
5. Project and total organization budget.
6. Total amount requested from foundation and time for which funds are requested.

7. Other potential funding sources.

Information Contact:

The Nathan Cummings Foundation
1926 Broadway, Suite 600
New York, NY 10023-6915
Phone: 212 787-7300

E-mail: info@cummings.ncf.org

Web site: www.ncf.org/ncf/aboutncf/apply.html

Davis Conservation Foundation

Purpose: Supports projects focusing on the wise utilization, protection, and advancement of the environment and natural life, particularly projects that strengthen community involvement in New England.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Financial Information: Total grants awarded for FY96: \$367,280.

Sample of Previous Awards (1996):

Vermont Institute of Natural Science, Woodstock, VT, \$5,000 for determination of sources of contamination in New England.

Massachusetts Watershed Coalition, Leominster, MA, \$3,000 for citizens action to protect rivers.

Deadline: April 10 and October 10.

Application: Call or mail letter to request standard application form. Full proposal to include:

1. Completed standard application form signed by an authorized representative of the board of directors.
2. Summary of project (1 page) outlining purpose, expected results, and how results are to be measured.
3. Current list of organization's officers and board of directors.
4. Copy of IRS tax-exempt status determination letter.
5. Projected project funding sources and audit statement.
6. Annual report and/or current operating budget.
7. Other information necessary for a decision.

If pamphlets or brochures (excluding annual reports and audit reports) are provided, nine copies would be appreciated.

Information Contact:

Davis Conservation Foundation
Attn: Nancy M. Winslow, Administrative Director
4 Fundy Road
Falmouth, ME 04105
Phone: 207 781-5504

Geraldine R. Dodge Foundation, Inc.

Purpose: Provides grants for pollution prevention and reduction, ecosystem preservation, energy conservation, and education and communication efforts that lead to more sustainable environmental policy. Focuses on New Jersey and the Northeast.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Continuing support, matching funds, operating costs, pilot projects, and seed money; funding not available for buildings or equipment.

Financial Information: Total grants awarded for FY94: \$11.2 million.

Sample of Previous Awards (1994):

Clean Ocean Action, Highlands, NJ, \$40,000 to develop a management and disposal policy for contaminated materials scraped off the bottom of New York Harbor each year and dumped in the ocean 6 miles off the coast of New Jersey.

Clean Water Fund, New Brunswick, NJ, \$40,000 for education efforts to reduce and prevent mercury contamination and to reduce pesticide use.

Deadline: September 10.

Application: Letter of inquiry (1 page) is encouraged if there is doubt as to whether project falls within foundation guidelines. Full proposal, on letterhead, to include summary (1 page) and description (6 pages) with:

1. Description of proposed project and the need for it.
2. Qualifications and past accomplishments of sponsoring organization.

3. How project will proceed and who will carry it out.
4. Time frame and budget.
5. Benefits to be gained and for whom.
6. Plans for evaluating and funding project in the future.

Attachments:

1. Recent financial statement (audited, if feasible).
2. Names and occupations of trustees of organization.
3. Copy of IRS tax-exempt status determination letter.

The foundation accepts the New York Area Common Application Form. Two-sided copying when possible, no binders or plastic packaging, and no proposals sent by fax.

Information Contact:

Scott McKay, Executive Director
Geraldine R. Dodge Foundation
163 Madison Avenue
P.O. Box 1239
Morristown, NJ 07962-1239
Phone: 973 540-8442

E-mail: info@grdodge.org

Web site: [<www.grdodge.org>](http://www.grdodge.org)

The Educational Foundation of America

Purpose: Funds programs in areas including the environment, Native Americans, education, and energy. The foundation makes an effort to support smaller organizations and projects with potential for long-term environmental impact.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Pilot projects, projects, seed money, technical assistance; funding not available for building programs.

Financial Information: Total grants awarded for FY94: \$6 million.

Sample of Previous Awards (1994): National Tribal Environmental Council, Albuquerque, NM, \$15,000 for Communication and Outreach and Environmental

Education. Provided services including the dissemination of newsletters and position papers on pollution issues.

Vermont Law School, South Royalton, VT, \$74,845 for Environmental Law Center's Native American Fellowship Program.

Deadline: None.

Application: Letter of inquiry (2 pages), signed by an officer of the organization, to include:

1. Identification of the organization: mission, date of founding, location, region of focus, past and current projects, name(s) and brief description of founder(s), affiliation with other organizations.
2. Description of project for funding: purpose, intended results, duration, amount of budget and amount requested, and funding strategy.
3. Append copy of IRS tax-exempt status determination letter. If approved, the foundation will request a full proposal.

Information Contact:

Diane M. Allison, Executive Director
Educational Foundation of America
35 Church Lane
Westport, CT 06880-3515
Phone: 203 226-6498

E-mail: efa@efaw.org

First Nations Development Institute, Eagle Staff Fund

Purpose: The Eagle Staff Fund is a unique program of First Nations Development Institute that combines technical assistance and grants to tribes and reservation or rural Native nonprofits engaged in community-driven, culturally based economic development.

Eligibility: Tribes and nonprofit enterprises.

Types of Support: Seed projects, new ideas, startup efforts, and working capital.

Financial Information: Grants range from \$1,500 to \$300,000.

Sample of Previous Awards:

White Earth Land Recovery Project, White Earth, MN to protect Ojibwe land by promoting market develop-

ment for sustainable foods such as maple sugar and hominy.

Application: Call or write to First Nations Development Institute.

Information Contact:

First Nations Development Institute
The Stores Building
11917 Main Street
Fredericksburg, VA 22408
Phone: 540 371-5615 or 800 682-5384

Web site: <www.firstnations.org>

Ford Foundation

Purpose: Provides grants for programs that address the relationship between community development and environmental management.

Eligibility: Individuals and nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Program-related investments.

Financial Information: Total grants authorized for fiscal year ending September 30, 1995: \$439 million.

Sample of Previous Awards:

National Tribal Environmental Council, Albuquerque, NM, \$300,000 2-year supplement, to develop environmental programs tailored to the specific needs of Native Americans.

Deadline: None.

Application: Brief letter of inquiry to include:

1. Purpose and issues addressed by project
2. Organization information
3. Project budget
4. Time for which funds are requested
5. Qualifications of project participants

Information Contact:

Secretary
Ford Foundation
320 East 43rd Street
New York, NY 10017
Phone: 212 573-5169

Web site: <www.fordfound.org/>

General Service Foundation

Purpose: Funds programs concerned with the use, management, and quality of water in the United States, particularly west of the Mississippi River.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. No grants for operating budgets, capital endowments, annual campaigns, or individuals, nor for relief.

Deadline: February 1 and September 1.

Application: A short letter of inquiry with an executive summary before a formal proposal is submitted. An annual report with guidelines is available.

Previous Awards: More than \$1 million was awarded in 1990.

Information Contact:

General Service Foundation
411 East Main Street, Suite 205
Aspen, CO 81611-2953
Phone: 970 920-6834

Give to the Earth Foundation

Purpose: To support local initiatives involving public education and action. Issues include protecting the integrity of the Earth's natural systems, promoting a sustainable society, and preserving biological diversity.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Continuing support, general purposes, leveraging funds, matching funds, pilot projects, and projects; funding not available for administrative overhead.

Financial Information: Total grants awarded for FY93: \$137,705.

Sample of Previous Awards (1996):

Round River Conservation, \$5,000 to assist the Heiltsuk indigenous people to reestablish themselves as stewards of the lands and waters of their traditional territory in British Columbia.

Ohio Valley Environmental Coalition, \$10,000 to assist the organization in its efforts to discontinue the construction of the largest paper mill in North America by lobbying Congress and the company itself.

Deadline: Proposals are due by the 15th of the month prior to quarterly grant meetings. In 1998, the foundation will meet in February, May, August, and November.

Application: Call or access Web site for application (2 pages). In addition, submit proposal (3 to 5 pages) to include:

1. Organization's name, address, contact person, telephone number, and date of application.
2. Brief description and history of organization.
3. Purpose, need, and time period the grant will cover.
4. Description of results to be accomplished by the project.
5. Amount of request.
6. Method for evaluating program. All funded projects must yield identifiable results.
7. List of funding requested and received for project.
8. Evidence of IRS tax-exempt status.
9. Project and organization budget.
10. Audited financial information.

Information Contact:

Ann Rinkenberger, Executive Director
Give to the Earth Foundation
4000 Pheasant Ridge Drive
Minneapolis, MN 55449
Phone: 612 783-4220 or 800 933-6288

Web site: <www.gtte.org>

Home Depot

Purpose: Grants support affordable housing, at-risk youth, and the environment. Recent grants include support for land conservation, waste management, and energy conservation.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Organizations should be located where Home Depot has a significant presence.

Types of Support: Emergency funding, facilities, and projects.

Financial Information: Total grants awarded for FY94: \$4 million

Sample of Previous Awards (1994):

Keep America Beautiful, Baton Rouge, LA.

Waste Watch Center, Andover, MA.

Deadline: None.

Application: Call to determine local contact, then send proposal to the district manager of store that serves your organization's community.

Proposal to include:

1. Description of organization, its history, achievements, and goals; with official name, address, and telephone number.
2. Copy of IRS tax-exempt status determination letter.
3. List of officers and board members.
4. Contact person and telephone number.
5. Description of project, its purpose, and the population to be served.
6. Description of short- and long-term goals.
7. Evaluation method.
8. Plan for Home Depot volunteer involvement, if any.
9. Projected cost of project.
10. Amount requested and other funding sources.

Information Contact:

Suzanne Apple
The Home Depot
2455 Paces Ferry Road
Atlanta, GA 30339-4024
Phone: 770 433-8211

Web site:

<www.HomeDepot.com/cominfo/social/inbkcvr.html>

The Ittleson Foundation

Purpose: To fund pilot and model projects that will test new approaches to solving problems, bring about changes through policy research, or add to existing working knowledge through applied research. The foundation encourages projects that link formal professional competence with community-based efforts, and has a specific interest in education, particularly of young people.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Deadline: April 1 or September 1.

Application: Letter of inquiry describing the organization and the proposed project, budget, and proof of tax-exempt status. Call or write the foundation to request an annual report that includes grant application procedures and descriptions of previously funded projects.

Information Contact:

Anthony C. Wood, Executive Director
Ittleson Foundation, Inc.
645 Madison Avenue, 16th Floor
New York, NY 10022
Phone: 212 838-5010

W. Alton Jones Foundation, Inc.

Purpose: Grants for environmental protection are available in the areas of pollution prevention, renewable energy, biodiversity conservation, and environmental economics.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Funding not available for building construction or renovation, and not usually for general support.

Financial Information: Total grants awarded for FY94: \$17 million.

Sample of Previous Awards (1995):

Northern Plains Resource Council, Billings, MT, \$30,000 for public education to protect Montana's groundwater, which is threatened by mining and poor agricultural practices.

Mississippi River Basin Alliance, St. Louis, MO, \$25,400 to assist grassroots organizations in the Mississippi River basin in testing the drinking water supplies in their communities for toxic herbicides and pesticides.

Deadline: None.

Application: Letter of inquiry (2 pages maximum) describing project goals, summarizing methods, and specifying the amount of funding requested. If proposal is invited, foundation will outline specific items to be included. While most foundation grant-making occurs through foundation-initiated programs, unsolicited inquiries are considered. Proposals not directed toward the foundation's stated priorities, however, are not likely to be funded.

Information Contact:

W. Alton Jones Foundation, Inc.
232 East High Street
Charlottesville, VA 22902-5178
Phone: 804 295-2134

Web site: <www.wajones.org/guide.html>

The Joyce Foundation

Purpose: Funds several program areas including pollution prevention in the Great Lakes region, sustainable agriculture, energy efficiency, and toxic substance control, with a special interest in public policy reform. Favors creative strategies that promise broad, systemic change over approaches that focus on individual problems or institutions.

Eligibility: Organizations working to improve the quality of life in the Great Lakes region, particularly for people with fewer resources and opportunities. Most funding goes to projects located in Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, and

Wisconsin. Funding generally not available for capital proposals or endowment campaigns.

Financial Information: Total grants authorized for FY97: \$11.5 million.

Sample of Previous Grants (1997):

National Pollution Prevention Roundtable, Washington, DC, \$145,000 to identify opportunities to improve the environmental performance of facilities that use toxic chemicals.

Minnesota Center for Environmental Advocacy, St. Paul, MN, \$138,000 to advocate the systemic collection of data on the use of pesticides.

Deadline: April 15, August 14, and December 15.

Application: Interested parties should request program and grant application guidelines from the foundation. Letter of inquiry (2 to 3 pages) should include:

1. Proposed project and goals.
2. How the project relates to the foundation's interests.
3. The target audience and beneficiaries.
4. The estimated budget and duration.
5. Plans for evaluation and dissemination of findings.

The letter of inquiry should be submitted to the foundation at least 1 month before the proposal deadline. The foundation will contact the applicant for a formal grant proposal if the project meets the program guidelines. The foundation encourages applicants to submit proposals during the first half of the year, as most funding is distributed during this period.

Information Contact:

The Joyce Foundation
Environment Program
Three First National Plaza
70 West Madison Street, Suite 2750
Chicago, IL 60602
Contacts: Julia Klee or Margaret O'Dell
Phone: 312 782-2464

E-mail: info@joycefdn.org

Kongsgaard-Goldman Foundation

Purpose: Provides support for environmental protection, human services, community capacity-building, and cultural arts and humanities. Past grants have been awarded to support wildlife and habitat protection, pollution prevention, recycling, and environmental justice.

Eligibility: Nonprofit organizations in the Pacific Northwest; Washington, Oregon, Idaho, Montana, Alaska.

Types of Support: Capital campaigns and expenses, multiyear grants, operating costs, projects, seed money, and technical assistance.

Financial Information: Total grants awarded for FY94: \$612,850. Grants range from \$1,000 to \$10,000.

Sample of Previous Awards (1994):

Greenpeace USA, Washington, DC, \$2,000 for project working with communities and agencies to develop a catalogue of toxic dump sites in Alaska.

River Network, Portland, OR, \$10,000 to help purchase land to protect the headwaters of rivers.

Deadline: Preapplications due March 16 and September 16. Proposals due April 30 and October 31.

Application: Call or send letter of intent (2 pages) to include:

1. Description of project and organization.
2. Summary of budget.
3. Copy of IRS tax-exempt status determination letter.

The foundation encourages the use of recycled paper and double-sided printing; it discourages the use of folders and plastic binders. It will not accept proposals received via e-mail or videos and cassettes.

Information Contact:

Kongsgaard-Goldman Foundation
Martha Kongsgaard, President
1932 First Avenue, Suite 602
Seattle, WA 98101
Phone: 206 448-1874

E-mail: kgf@kongsgaard-goldman.org

Kresge Foundation

Eligibility: Tax-exempt charitable organizations including higher education, health care, social services, science and the environment, arts and humanities, and public affairs.

Previous Awards: Total grants awarded for FY90: \$57.7 million.

Information Contact:

The Kresge Foundation
Mr. Alfred H. Taylor, Jr.
P.O. Box 3151
3215 West Big Beaver Road
Troy, Michigan 48007-3151
Phone: 248 643-9630

Lannan Foundation

Purpose: Lannan's Indigenous Communities Program supports Native Americans in renewing their communities through their own institutions and traditions. Funding priority is given to projects that are consistent with traditional values in the areas of education, Native cultures, the revival and preservation of languages, legal rights, and environmental protection.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC; programs must be controlled by and serve Native Americans.

Types of Support: Multiyear support, program support, projects; requests for land acquisition, construction, or building improvement funds are not accepted.

Financial Information: First-time requests for specific projects or for general operating costs from \$5,000 to \$50,000 will be considered.

Sample of Previous Awards (1997):

Anishinaabe Nijjii, Hayward, WI, to support the WATER (Watershed Alliance to End Environmental Racism) campaign.

Eastern Navajo Diné Against Uranium Mining, Crownpoint, NM, for effort to stop the construction and operation of three uranium solution mines in northwestern New Mexico.

Deadline: Letters of intent are due January 1 or July 1.

Application: Initial contact: Letter of intent that includes the following information:

1. Description of organization
2. Proposed project summary
3. Need being addressed
4. Total project cost
5. Amount requested from the Lannan Foundation

Program staff are available by telephone to discuss your questions and ideas.

Information Contact:

Director, Indigenous Communities Program
Lannan Foundation
313 Read Street
Santa Fe, NM 87501
Phone: 888 221-3133

The Lindbergh Foundation

Purpose: To support research to further waste minimization and management and conservation of natural resources. Provides support to further the Lindbergh's vision of a balance between the advance of technology and the preservation of the natural and human environment.

Eligibility: Nonprofit organizations, academic institutions, and individuals.

Types of Support: Single-year grants only.

Sample of Previous Awards (1996):

Dr. John Meister, Associate Professor, Department of Chemistry, New Mexico Institute of Mining & Technology, Socorro, New Mexico, to research the use of tree lignin, a byproduct of paper manufacturing, in the production of tires.

Deadline: June 16.

Application: Access web site, call, or write for grant application.

Information Contact:

The Charles A. and Anne Morrow Lindbergh Foundation
708 South Third Street, Suite 110
Minneapolis, MN 55415-1141
Phone: 612 338-1703

E-mail: lindfdtn@mtn.org

Web site: <www.mtn.org/lindfdtn>

MDU Resources Foundation

Purpose: To support educational, civic, and community development endeavors within the service territory of MDU Resources Group, Inc. and its subsidiaries and divisions, including North Dakota, South Dakota, Montana, and Wyoming.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Funding not available for regional or national organizations without local affiliation.

Deadline: October 1.

Information Contact:

MDU Resources Foundation
Mr. Dennis Boyd, Assistant Secretary
Schuchart Building
P.O. Box 5650
Bismark, ND 58506-5650
Phone: 701 222-7829

Merck Family Fund

Purpose: Funds are available for projects that protect and restore vital eastern ecosystems and promote economic practices that assure a sustainable environment for future generations.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Organizations must be located in the eastern United States.

Types of Support: Program development, seed money, general support, and research; no funds available for buildings or equipment.

Financial Information: Total grants awarded for FY94: \$1.1 million.

Sample of Previous Awards (1994):

North Carolina Coastal Federation, Swansboro, NC, \$35,000 to promote growth management planning as a strategy for restoring coastal forest ecosystems in North Carolina.

The Park People, Houston, TX, \$10,000 to support the Urban Harvest Program which helps low-income neighborhoods establish community gardens.

Deadline: None

Application: Brief letter describing the project, its purpose, and its expected results. Proposal, if requested, should include:

1. Statement of need or problem to be addressed.
2. Goals, strategy, and action plan for achieving the goals.
3. Timeline.
4. Expected results and impact of proposed project.
5. Evaluation process.
6. Brief history of organization, including its mission and goals.

Attachments:

1. Project and organizational line-item budget(s).
2. List of other sources of actual and potential funding for project, and a brief description of plans for future funding.
3. Most recent financial statement.
4. Copy of IRS tax-exempt status determination letter.
5. Current annual report.
6. List of board of directors and officers.
7. Résumé(s) of key personnel involved in project.

Information Contact:

Merck Family Fund
6930 Carroll Avenue, Suite 500
Takoma Park, MD 20912
Phone: 301 270-2970

E-mail: merck@igc.apc.org

Charles Stewart Mott Foundation

Purpose: The mission of the Mott Foundation's Environment program is to support efforts to achieve a healthy global environment capable of sustaining all forms of life. Grants support pollution prevention and grassroots efforts.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Single-year and multiyear grants.

Financial Information: Total awarded for FY96: \$11.7 million.

Sample of Previous Awards:

Seventh Generation Fund, Arcata, CA, \$100,000 to provide capacity-building support. The Fund's mission is to rebuild indigenous communities and Native America through community healing, appropriate technologies, traditional living and small-scale development.

White Earth Land Recovery Project, Ponsford, MN, \$30,000 to support the sustainable communities project.

Deadline: None, although applicants are encouraged to submit proposals in the first quarter of the year for which funding is requested.

Application: Letter of inquiry, including a brief description of the project and the range of needed funding. Clearly mark as "Grant Proposal."

Proposals should include:

1. Cover letter detailing amount of money requested and grant period, signed by the persons ultimately responsible for signing grant contracts on behalf of grant applicant.
2. Project description, including an explanation of why the project is needed, who will be served, and what will be accomplished during a specific period of time.
3. Information on the feasibility and sustainability of the proposed grant activity.
4. Information on lasting benefits to the organization, program participants, the community, or other organizations working in the field.
5. Plan for evaluation, reporting, and dissemination.

6. Documented line-item budget and projected sources of funds.
7. Organization information, including staff, board of directors, legal classification, history, and accomplishments.
8. Copies of annual report and audited financial statements (if available). If these are not available, a domestic organization will be asked to submit a copy of its latest IRS Form 990 return.

Information Contact:

Office of Proposal Entry
C.S. Mott Foundation
1200 Mott Foundation Building
Flint, MI 48502-1851
Phone: 810 238-5651

Web site: <www.mott.org>

Edward John Noble Foundation, Inc.

Purpose: To support environmental programs that conserve biodiversity, endangered lands, and other natural resources.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Fellowships, general purposes, internships, multiyear grants, operating costs, and pilot projects.

Financial Information: Total grants awarded for FY96: \$3.2 million.

Sample of Previous Awards (1994):

South Bronx 2000 Local Development Corporation, Bronx, NY, \$60,000 for national recycled-product development center.

Lighthawk, Santa Fe, New Mexico, \$50,000 for the Temperate Forest Conservation Campaign in the northern Rocky Mountains.

Deadline: None.

Application: Brief letter describing project for which support is requested.

Information Contact:

Edward John Noble Foundation, Inc.
32 East 57th Street
New York, NY 10022-2513
Phone: 212 759-4212

Jessie Smith Noyes Foundation

Purpose: Protecting and restoring Earth's natural systems and promoting a sustainable society by strengthening individuals, institutions, and communities pledged to pursuing those goals. Program areas include: sustainable communities, sustainable agriculture, and toxics.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Grants are not normally given to individuals or for capital construction funds or general fundraising activities.

Previous Awards: Total grants awarded for FY96: \$3.8 million.

Deadline: None.

Application: Letter of inquiry, including the following information:

1. Brief statement of the issues to be addressed, the history and goals of the organization, and the organization's involvement with these issues.
2. Brief summary of the activities for which the organization is requesting support, including an outline of objectives, and anticipated outcomes and implications
3. Approximate starting date and duration of the proposed activities.
4. Total amount of funding needed, the amount requested from the foundation, and information about other sources of support, both assured and requested.

Information Contact:

Stephen Viederman, President
The Jessie Smith Noyes Foundation
Six East 39th Street, 12th Floor
New York, NY 10016
Phone: 212 684-6577

E-mail: noyes@igc.apc.org

Web site: <www.noyes.org>

Patagonia, Inc.

Purpose: To fund small, grassroots activist organizations with provocative, direct-action agendas. Program areas include: conservation of forests, water, and biodiversity; resource extraction, social action and environmental education, sustainable agriculture, and media and publications.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Single-year grants only.

Financial Information: Total grants awarded for fiscal year ending April 30, 1995: \$1.4 million.

Sample of Previous Awards:

Diné Citizens Against Ruining our Environment, Durango, CO, \$5,000 to initiate a restoration and conservation effort for Navajo commercial forests.

Honor our Neighbors Origins and Rights (HONOR), Omro, WI, \$2,000 to support the group's work to bolster and mobilize support for Chippewa tribal environmental programs.

Deadline: January or August.

Application: Initial contact: Letter to Patagonia, Inc. requesting grant guidelines.

No telephone inquiries, please.

Information Contact:

Patagonia, Inc.
Grants Program
Jil Zilligen
P.O. Box 150
Ventura, CA 93001-0150

Web site: <www.patagonia.com>

The Pew Charitable Trusts

Purpose: To fund organizations that inform the public about the causes and consequences of environmental problems and that have clear, well-focused strategies likely to produce tangible solutions. Program areas include pollution prevention, forest and marine protection, global warming, and climate change.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. No grants are made to individuals.

Types of Support: Leveraging funds and multiyear grants; not for construction or equipment purchases.

Financial Information: In 1996, for pollution prevention program, \$5.3 million.

Sample of Previous Awards (1995):

Community Farm Alliance, Frankfort, KY, \$130,000 (2 years) for the Southern Sustainable Agriculture Working Group.

People for Puget Sound, Seattle, WA, \$200,000 (2 years) to protect and restore estuary habitat in Puget Sound as part of the National Estuaries Initiative.

Deadline: None.

Application: Letter of inquiry (2 to 3 pages maximum) to include a description of the organization and achievements, statement of the problem, brief description of anticipated outcomes, timeframe for proposed activities, and estimated costs. Do not submit a full proposal unless requested to do so.

Information Contact:

The Pew Charitable Trusts
Joshua S. Reichert
Director, Environment Programs
One Commerce Square
2005 Market Street, Suite 1700
Philadelphia, PA 19103-7017
Phone: 215 575-4740

E-mail: jreichert@pewtrusts.com

Web site: <www.pewtrusts.com/docs/envovv.html>

Philip Morris Companies, Inc.

Purpose: To fund programs that focus on conservation of agricultural and water resources and on municipal solid waste management. Recycling programs, composting initiatives, and other waste treatment options are funded. Special attention is given to community programs that demonstrate successful restoration techniques and/or foster partnerships, educate citizens, and provide sound information on resource management.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, particularly in areas where major company plants are located.

Types of Support: Continuing support, general purpose, multiyear grants, and pilot projects.

Sample of Previous Awards (1995):

Council on the Environment of New York City, New York, NY, to support the Greenmarket program which is dedicated to sustaining local farm production.

World Wildlife Fund, Washington, DC, to support Marine Conservation Program efforts.

Deadline: None.

Application: Brief proposal to include:

1. Describe purpose and mission of organization.
2. Describe organizational activities.
3. Give overview of plans for coming year.
4. Describe unique and special aspects of project.
5. List goals, objectives, and needs to be addressed.
6. Describe plans for implementation and evaluation.

7. Project budget.

Attachments:

1. Copy of IRS tax-exempt status determination letter.
2. Audited financial statement.
3. Most recent annual report.
4. List of board of directors.
5. Total operating budget for current year.
6. List of other corporate, foundation, and government support.

Information Contact:

Philip Morris Companies, Inc.
Environment Specialist
Corporate Contributions
120 Park Avenue, 25th Floor
New York, NY 10017-5592
Phone: 212 880-3038 or 800 883-2422

The Procter & Gamble Fund

Purpose: To provide grants to organizations that enhance the quality of life in communities with concentrations of company employees. Environmental interests are solid waste, air and water quality, land and wildlife, wetlands, and coastal issues.

Eligibility: Nonprofit organizations in U.S. cities where Procter & Gamble has a significant presence.

Types of Support: Operating costs.

Financial Information: Environmental grants awarded 1996-1997: \$367,500.

Sample of Previous Awards (1994):

American Forest Foundation, Washington, DC, \$5,000.

Keep America Beautiful, New York, NY, \$33,000.

Deadline: None.

Application: Short letter describing organization and request.

Information Contact:

The Procter & Gamble Fund
Nathan H. Nattin
P.O. Box 599
Cincinnati, OH 45201
Phone: 513 945-8452

Web site: <www.pg.com>

Public Welfare Foundation, Inc.

Purpose: To support nonprofit organizations providing direct services to disadvantaged populations. Program areas include the environment and community support. An important factor in the foundation's consideration of proposals is the identification of a specific, short-term financial need on which a grant will have an effect.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. If not a nonprofit, there are alternative funding methods available including sponsored grants and expenditure responsibility.

Types of Support: Continuing support, general purposes, operating costs, projects, and technical assistance.

Financial Information: Total environmental grants in 1996: \$2.6 million.

Sample of Previous Awards (1996):

Indigenous Environmental Network, Bemidji, MN, \$40,000 to build the capacity of grassroots indigenous communities to effectively address environmental concerns and economic development needs.

Seventh Generation Fund, Arcata, CA, \$75,000 to support the Environmental Program, which makes direct grants and provides technical assistance to Native groups working to preserve Native land, ecosystems, and culture.

Deadline: None.

Application: Letter of inquiry to include:

Cover Sheet (2 pages maximum):

1. Name and address of organization.
2. Contact person(s) and telephone and fax numbers.
3. Summary of organization's mission (1 paragraph).

4. Summary of purpose of the request (1 paragraph).
5. Relationship of request to organization's mission.
6. Total annual organizational budget and fiscal year.
7. Total project budget (if other than general support).
8. Amount requested.
9. Amount committed from other funding sources.
10. Timeline for project and dates for which funds are needed.
11. Tax-exempt status documentation.

Narrative (3 pages maximum):

1. Purpose of request.
2. Problem or need being addressed.
3. Population or community served by project or organization and how it is involved in the design and implementation of organization's work.
4. Strategy for addressing the problem or need.

Budget showing all funding sources and expenses for organization or project to be funded.

Information Contact:

Review Committee
Public Welfare Foundation
2600 Virginia Avenue, NW, Suite 505
Washington, DC 20037-1977
Phone: 202 965-1800

E-mail: general@publicwelfare.org

Web site: www.publicwelfare.org

The Rathmann Family Foundation

Purpose: To provide funding for programs on environmental education, environmental conservation and preservation, land acquisition, and recycling.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Regions of interest include San Francisco, Philadelphia, Seattle, western Washington, Minneapolis-St. Paul, and surrounding areas.

Types of Support: Internships, leveraging funds, matching funds, pilot projects, single-year grants only.

Financial Information: Total grants awarded for 1997: Environment program: \$135,000.

Sample of Previous Awards (1997):

Bay Institute, San Francisco, CA, \$5,000 for general support.

Rails to Trails Conservancy, Harrisburg, PA, \$15,000 to support rail-trail work in Philadelphia area.

Deadline: For letter of inquiry: April 1. For proposal: August 1.

Application: Letter of inquiry (2 pages) to include:

1. Description of organization: history, programs, past accomplishments, and summary of program evaluations.
2. Organization and program budgets.
3. Explanation of why funds are being requested.
4. Description of what will be accomplished with the funds.

Information Contact:

The Rathmann Family Foundation
Laura Jean Rathmann, Executive Director
P.O. Box 600116
St. Paul, MN 55106
Phone: 612 776-0307 or 612 578-0042

Rockefeller Family Fund, Inc.

Purpose: To support advocacy programs that are action-oriented and likely to yield tangible public policy results. Funds conservation of natural resources, protection of health as affected by the environment, and the cessation and cleanup of pollution caused by the U.S. Department of Defense and the U.S. Department of Energy.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Should be engaged in educational and charitable activities of national significance. Thus, the fund does not ordinarily consider projects that pertain to a single community, except in the rare instance where a project is unique, strategically placed to advance a national issue, or might clearly serve as a national model. No funding for construction or renovation activities

Financial Information: Total grants awarded for FY96: Environment Program: \$635,000.

Sample of Previous Grants (1994 to 1995):

Kentucky Environmental Foundation, Berea, Kentucky, \$30,000 for the Chemical Weapons Working Group Project, a coalition of citizen groups opposed to the U.S. Army's plan to dispose of its chemical weapons stockpile through incineration.

Massachusetts Public Interest Research Group Education Fund, Boston, Massachusetts, \$20,000 to promote newspaper recycling programs in the nation's subways and light rail systems, with particular emphasis on the creation of a model recycling program in Boston.

Deadline: None.

Application: Concisely written proposal:

1. Description of organization seeking funds.
2. Need for and objectives of the proposed program.
3. Strategy and plan of action.
4. Staff and organization's qualifications for carrying out the program.
5. Amount of funding requested and project budget.

Attachments:

1. Overall budget for organization, including project income and its sources.
2. Most recent certified audit, if available.
3. IRS tax-exempt status determination letter.

Information Contact:

Rockefeller Family Fund
1290 Avenue of the Americas, Suite 3450
New York, NY 10104
Phone: 212 373-4252

E-mail: mmccarthy@rffund.org

Web site: www.rffund.org

Seventh Generation Fund

Purpose: To protect reservation ecosystems, highlighting Earth-based, indigenous environmental knowledge and advocating integrated approaches to cultural and ecological preservation.

Eligibility: Nonprofit, tax-exempt organizations, or organizations sponsored by nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: General support, training and technical assistance, and workshop and conference participation.

Sample of Previous Awards:

California Indians for Cultural and Environmental Protection, Santa Ysabel, CA, \$6,500.

Citizen's Alert Native American Program, Reno, NV, \$3,000.

Deadline: None.

Application: Initial Contact: No formal grant application. Letter or telephone call to review criteria for selection prior to submitting a grant proposal.

Information Contact:

Winona LaDuke, Program Director
Environmental Program
The Seventh Generation Fund
Route 1, Box 308
Ponsford, MN 56575
Phone: 218 573-3049

Web site: www.honorearth.com/sgf

Surdna Foundation, Inc.

Purpose: To prevent irreversible damage to the environment; support government, private, and voluntary actions that will produce a sustainable environment; and foster a population of environmentally informed, responsible, activist citizens. Three primary program themes are biological and cultural diversity, energy and transportation, and restoring the environment in urban and suburban areas.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Continuing support, general purposes, leveraging funds, multiyear grants, pilot projects, seed money, and technical assistance.

Financial Information: Total grants authorized for fiscal year ending June 30, 1994: \$18.6 million.

Sample of Previous Awards (1996 to 1997):

Citizen Science Program, Saint Paul, MN, \$30,000 for the development phase of a public and private partnership to create community-based watershed projects that will encourage scientifically informed citizen involvement in resource management decisions.

For A New American Dream, Burlington, VT, \$50,000 for general support for the first year of operation of an organization that seeks to reduce resource consumption while improving quality of life by fostering new consumption patterns and sustainable lifestyles.

Deadline: None.

Application: Letter of inquiry (2-3 pages) to include:

1. Information about applicant organization's purposes and specific activities.
2. Brief description of program for which funds are being sought, including time period to be covered and principal outcome(s) expected.
3. Budget for proposed program and organization and amount requested from foundation.
4. Funding received to date for the program, as well as sources from which funding is being sought.
5. Most recent audited financial statements of organization and its operation of subsidiaries, if any.
6. Names and qualifications of key personnel who will be responsible for the program.
7. Copy of IRS tax-exempt status determination letter.

Information Contact:

Edward Skloot, Executive Director
Surdna Foundation, Inc.
330 Madison Avenue, 30th Floor
New York, NY 10017-5001
Phone: 212 557-0010

E-mail: request@surdna.org

Web site: www.surdna.org

Threshold Foundation

Purpose: To fund programs that support the transformation, growth, and healing of individuals, families, and communities; projects that recognize the sacredness of the earth as a living organism, and that address issues affecting the natural environment and all species; and groups that work for self-empowerment, systemic change, and cultural and economic self-determination.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: Project grants and operating expenses.

Financial Information: Total grants awarded for FY94: \$1.5 million.

Sample of Previous Awards (1994):

Diné CARE (Citizens Against Ruining Our Environment), Durango, CO, \$15,000 to help organize communities on the Navajo reservation to fight culturally inappropriate and environmentally damaging development.

Southern Echo, Jackson, MS, \$25,000 to work with African-American communities on issues of education and empowerment in the context of environmental justice.

Deadline: Letter of inquiry: March 15. Full proposal: April 15.

Application: Letter of inquiry (2 pages) to include:

1. Description of organization
2. Problem being addressed
3. Strategy used to address the problem
4. Organization's total operating budget
5. Amount requested
6. Description of use of funds

Most proposals come to the foundation through sponsorship by a Threshold Foundation member. In rare cases, unsolicited requests progress through the stages of consideration and receive funding.

Information Contact:

Threshold Foundation
Presidio Main Post, Building 37
P.O. Box 29903
San Francisco, CA 94129-9412
Phone: 415 561-6400

The Tides Foundation

Purpose: To fund programs in natural resource conservation, land use, preservation, and stewardship; water issues; recycling; and others.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, or those with a tax-exempt fiscal sponsor.

Types of Support: Continuing support, general purposes, program-related investments, projects, single-year grants only, and technical assistance.

Financial Information: Total grants awarded for fiscal year ending April 30, 1993: \$6.3 million.

Sample of Previous Awards (1996):

Diné Citizens Against Ruining Our Environment (Diné CARE), Durango, CO.

Inter-Tribal Sinkiyone Wilderness Council, Ukiah, CA.

Deadline: None.

Application: Proposal to include:

1. Summary (1 page): purpose of organization, grant purpose, desired outcomes, how grant funds will be spent.
2. Narrative (5 pages): background of organization, funding request, list of other sources solicited for funds, project evaluation criteria.
3. Financial Information: most recent financial statement, operating budget, other sources of income, current budget for project.
4. Other Supporting Materials: list of directors and affiliations, IRS tax-exempt status letter, 1-paragraph résumés for key staff, annual report, examples of recent articles about the organization.

Information Contact:

The Tides Foundation
Jason Sanders
Proposal Coordinator
P.O. Box 29903
San Francisco, CA 94129-0903
Phone: 415 561-6400

E-mail: Tides@tides.org

Town Creek Foundation

Purpose: Preservation and enhancement of the environment in the United States. The foundation has supported protection of wilderness and forests, rivers, coastal lands, wildlife, and oceans; monitoring public lands; and pollution issues.

Eligibility: Nonprofit organizations in the United States working nationally, statewide, or over a large region.

Types of Support: Continuing support, general purposes, matching funds, operating costs and projects.

Financial Information: Total grants authorized for FY94: \$1.2 million.

Sample of Previous Awards (1994):

American Rivers, Inc., Washington, DC, \$25,000.

Pinelands Preservation Alliance, Browns Mills, NJ, \$10,000.

Deadline: January 15, May 15, and September 15.

Application: Full proposal (10 pages) to include:

1. Brief description of organization, goals, and objectives.
2. Needs statement, including amount requested.
3. Project description, goals, methods, strategy, budget, and evaluation.
4. Most recent financial statement.

Additional Information:

1. Copy of IRS tax-exempt status determination letter.
2. List of staff and organization's qualifications.
3. List of other sources of financial support.

Use of recycled paper and double-sided printing is preferred.

Information Contact:

Town Creek Foundation, Inc.
Christine B. Shelton, Executive Director
221 South Street
P.O. Box 159
Oxford, MD 21654
Phone: 410 226-5315

True North Foundation

Purpose: To provide financial support to nonprofit organizations that work to improve the environment and communities. Program interests include natural resource conservation, protection and enhancement of ecosystems and wildlife habitat, strategies for land use planning and growth management, and sustainable development. Small grants program funds small grass-roots groups working on environmental projects with localized impact.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Regions of interest include: northern California (especially the

San Francisco Bay area), Oregon, Alaska, and Washington.

Financial Information: Total grants authorized in FY94: \$1.1 million.

Sample of Previous Awards (1994):

Headwaters Community Association, Ashland, OR, \$67,650 for watershed and forest work.

Yosemite Restoration Trust, San Francisco, CA, \$5,000 for general fund.

Deadline: None.

Application: Brief letter (2 pages) to include:

1. Basic information about organization (purpose, history, activities, etc.).
2. Contact person and title; address and telephone number.
3. Description of grant proposal (project significance, timeline, cooperating agencies).
4. Budget, other sources of financial support, specific request of True North Foundation.

Descriptive brochure may be attached if pertinent to this request.

Information Contact:

True North Foundation
Ms. Kerry K. Hoffman
P.O. Box 271308
Fort Collins, CO 80527-1308
Phone: 970 223-5285

Turner Foundation, Inc.

Purpose: To support activities to preserve the environment, conserve natural resources, protect wildlife, and develop and implement sound population policies. Interests include: water and toxics, energy efficiency, and protection of forests.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, particularly those in Florida, Georgia, Montana, New Mexico, and South Carolina. Other national programs are funded as well.

Types of Support: Continuing support, general purposes, leveraging funds, and projects; not for buildings or individuals.

Financial Information: Total grants awarded for FY95: \$6 million.

Sample of Previous Awards (1995):

Native American Fish and Wildlife Society, Broomfield, CO, \$28,000 to protect and preserve Native American environmental resources by facilitating contact between tribes, advocating better environmental policies, and other environmental initiatives.

Heartwood, Paoli, IN, \$10,000 to support a project aimed at reducing wood consumption by educating people to reduce use and recycle.

Deadline: April 1 and October 1.

Application: Call or access web site for cover sheet. Send cover sheet with full proposal (3 pages maximum) to include:

Narrative:

1. Problem to be solved and issues the solution addresses.
2. Organization's history and accomplishments.
3. Organization's current programs and activities.
4. If other than general operating support, description of project, why project was chosen, and whether it is new or ongoing.
5. Project goals, objectives, activities, strategies, and timeline.
6. Demographics and geographic area affected by the project.

Attachments:

1. Copy of IRS tax-exempt status determination letter.
2. Project budget.
3. List of other funding sources for project, amounts, and, if received, committed, projected, and pending funding.
4. Current annual operating budget.
5. List of organization's top five major funders for past 2 years.
6. Recent newsletter, articles, newspaper clippings, evaluations, or reviews (5 pages).
7. List of board of directors and affiliations.
8. Grant application coversheet and grant application checklist (from foundation).

Information Contact:

Peter Bahouth, Executive Director
Turner Foundation
One CNN Center, Suite 1090 - South Tower
Attn: Program Department
Atlanta, GA 30303
Phone: 404 681-9900

Web site: <www.turnerfoundation.org>

USX Foundation, Inc.

Purpose: Environmental grants are awarded through the Public, Cultural, and Scientific Affairs program. These grants have supported sustainable agriculture, land and wildlife conservation, recycling, recreation, and risk assessment.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, and educational institutions, primarily in areas where USX operates.

Types of Support: Capital campaigns and expenses, facilities, operating costs, projects, and scholarships; not for economic development programs or K-12 education.

Financial Information: Total grants authorized in FY94: \$4.2 million.

Sample of Previous Awards (1994):

National Recycling Coalition, Inc, Washington, DC, \$5,000.

American Farmland Trust, Washington, DC, \$2,500.

Deadline: January 15.

Application: Letter or executive summary stating the organization's mission and need; the letter or executive summary must be accompanied by the following attachments:

1. Copy of the organization's most recent Section 501 (c)(3) determination letter.
2. Brief history or profile of the organization.
3. Full description of the organization's need and projected outcomes.
4. For capital needs, the campaign goal or total cost of the project.
5. For operating needs, the operating budget for the period for which funding is requested.

6. Statement of sources of aid in hand (if any), amount of committed support, and anticipated sources of aid.
7. Copy of the organization's current budget and most recent audited financial statements.
8. List of officers and directors.
9. Signature of an authorized executive of the tax-exempt organization.
10. Signed statement of approval by chief executive officer of parent organization, if applicable.

Information Contact:

USX Foundation, Inc.
James L. Hamilton, III
600 Grant Street, Room 727
Pittsburgh, PA 15219-4776
Phone: 412 433-5237

Web site: <www.usx.com/grant.htm>

Underhill Foundation

Purpose: To provide funding to conserve natural resources and to provide assistance to the socially and economically disadvantaged. Land conservation, sustainable economic development, and water quality are favored.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: General purposes, scholarships.

Financial Information: Total grants awarded for FY96: \$705,000.

Sample of Previous Awards (1996):

Friends of the Hudson Valley, Poughkeepsie, NY, \$10,000 toward sustainable development program initiatives.

American Rivers, Washington, DC, \$15,000 toward efforts to protect and restore American rivers.

Deadline: None.

Application: Letter (2 to 3 pages) to include:

1. Description of applicant.
2. Summary of project goals for which funding is sought.
3. Copy of IRS tax-exempt status determination letter.

Information Contact:

Underhill Foundation
99 Park Avenue, Suite 2200
New York, NY 10016-1601

Union Camp Charitable Trust

Purpose: To support land conservation, coastal issues, water quality, species preservation, and outdoor education.

Eligibility: Nonprofit organizations and educational institutions in areas of company operations.

Types of Support: Continuing support, endowments, fellowships, general purposes, matching funds, seed money, projects.

Financial Information: Total grants awarded for FY94: \$2,118,550.

Sample of Previous Awards (1993):

Chesapeake Bay Foundation, Annapolis, MD, \$2,500.

The Nature Conservancy, Headquarters, Arlington, VA, \$10,000.

Application Due: January to November.

Information Contact:

Union Camp Charitable Trust
Phyllis M. Epp, Manager
Community and State Relations
Union Camp Corporation
1600 Valley Road
Wayne, NJ 07470
Phone: 201 628-2248

The Union Carbide Foundation, Inc.

Purpose: To fund programs stressing education, technological innovation, and sustainable development. Recent grants included support for recycling and conservation.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, and government institutions; especially in communities where the company has a significant presence including Danbury, Connecticut; the Kanawha Valley, West

Virginia; Central New Jersey; Taft, Louisiana; and Seadrift and Texas City, Texas.

Types of Support: Operating costs, emergency funding, and multiyear grants.

Financial Information: Total grants authorized in FY94: \$1,473,360.

Sample of Previous Awards (1994):

The Children's Garbage Museum, Norwalk, CT, \$10,000.

Foundation for Research on Economics and the Environment, Seattle, WA, \$5,000.

Deadline: January 1 and July 1 for geographic priority locations; February 1 and August 1 for national proposals.

Application: Proposal to include:

1. Purpose and amount of request.
2. Expected results of project.
3. Evaluation process.
4. Budget for the project including expected sources of revenue and expenses.
5. Copy of IRS tax-exempt status determination letter.

Information Contact:

If proposal is within one of the geographic priority locations listed above, address proposal to the appropriate site coordinator. Call the national office at 203 794-2000 for site coordinator addresses.

If national in scope, address proposal to:

Union Carbide Foundation, Inc.
Deborah J. Surat, Administrator
Corporate Center, L4-507
39 Old Ridgebury Road
Danbury, CT 06817-0001

Vanguard Public Foundation

Purpose: The major objective of Vanguard's grantmaking is the empowerment of historically disenfranchised sectors of society through community organizing and advocacy. Vanguard funds groups that have the active involvement of their constituents in defining problems, choosing leadership, making policy, and planning and evaluating programs.

Eligibility: New or existing organizations involved in direct organizing or advocacy that are based in northern California, (i.e. all counties north of Monterey). At the time a grant is made, an organization must either be tax-exempt or have a fiscal sponsor.

Types of Support: Priority is given to projects without access to traditional funding sources because they might be thought to be risky, controversial, or of low priority. Coalitions that emphasize joint strategies and projects are encouraged.

Financial Information: *Range and Average of Financial Assistance:* \$1,000 to \$10,000; \$4,500.

Deadlines: June 1, September 1, December 1, and March 1.

Application: Call or write for application process.

Information Contact:

Vanguard Public Foundation
383 Rhode Island, Suite 301
San Francisco, CA 94103
Phone: 415 487-2111

Virginia Environmental Endowment

Purpose: To fund environmental sustainability, natural resources conservation, pollution prevention, and environmental education. Grant awards support research, education, and action projects that promote collaboration by diverse citizen, governmental, and private-sector interests. Local environmental projects should demonstrate the potential to serve as practical models for other communities and include specific plans for promotion and dissemination for that purpose.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC, public agencies, and educational institutions in the following geographic areas: Virginia, the Kanawha and Ohio River Valleys, and the Martins Ferry-Ohio River area.

Types of Support: Continuing support, equipment, leveraging funds, matching funds, operating costs, pilot projects, program-related investments, projects, and seed money.

Financial Information: Total grants authorized for fiscal year ending March 31, 1995: \$915,226.

Sample of Previous Awards (1995):

Environmental Law Institute, Washington, DC, \$50,000 for assistance in promoting sustainable development strategies and coordinating demonstration projects in Virginia.

James River Association, Richmond, VA, \$10,000 for James River Anadromous Fish Restoration Project.

Deadlines: April 15, August 15, and December 15 for the Virginia Mini-Grant Programs. April 15 is the deadline for the other programs.

Application: Full proposal (4 copies), signed by organization's chief executive officer or board chairman, to include:

1. Cover letter identifying applicant, project title, grant request, matching funds, project schedule, and program to which grant application is being submitted.
2. Project description (5 pages) clearly stating need for the project, its goals and objectives and how they will be achieved, and its significance in relation to other work being done in the field.
3. Organization description, names and qualifications of key project personnel, list of members of governing board, and copy of IRS tax-exempt status determination letter, if applicable.
4. Line-item budget for proposed project, showing total project costs, all sources and amounts of matching funds (committed and anticipated), and proposed allocation of grant funds requested from Virginia Environmental Endowment.
5. Project schedule.
6. Detailed plan for evaluating project results, including method and criteria.
7. Plans for continuing project activities and raising financial support beyond the grant period.

Information Contact:

Virginia Environmental Endowment
Three James Center
1051 East Cary Street, Suite 1400
P.O. Box 790
Richmond, VA 23218-0790
Phone: 804 644-5000

Web site: <pprc.pnl.gov/pprc/rfp/virgini.html>

WMX Environmental Grant Program

Purpose: To provide funds to environmental organizations working with solid and hazardous waste regulation, water and air pollution control, source reduction, recycling, and related science and policy areas, such as risk assessment.

Eligibility: Environmental programs of nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC. Most proposals that receive funding are program- or project-specific. Some grants might be for general support of organizations with focused missions.

Types of Support: General purposes, internships, pilot projects and projects.

Deadline: May 31 and September 30.

Application: Brief proposal (2 pages) to include:

1. Summary and statement of need.
2. Copy of IRS tax-exempt status determination letter.
3. History of organization, mission, purpose, and goals.
4. Copy of organization's most recent audited financial statements and approved operating budget.
5. Public and private contributors during the past fiscal year.
6. List of current trustees and directors and their affiliations.
7. Project budget and plan for evaluation of completed project.

Proposals that relate to the Chicago/Oak Brook area of Illinois, or to programs of institutions with a national scope, should be directed to the WMX corporate office. Proposals with a state focus should be directed to the regional office serving that area.

Information Contact:

WMX Technologies, Inc.
Phone: 630 572-3107

Weeden Foundation

Purpose: Foundation grants are awarded for either environmental or population purposes. Overconsumption and conservation of biodiversity and natural resources are major program areas. The foundation is particularly interested in new and innovative efforts that help to develop sustainable models for conservation action. Projects that serve as catalysts inducing others to lend support receive priority consideration.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC.

Types of Support: General purposes, projects, seed money.

Financial Information: Total grants awarded for FY97: \$1 million.

Sample of Previous Awards (1997):

BayKeeper, San Francisco, California, \$10,000 for the first year of their Toxicity Monitoring Project. The project is part of DeltaKeeper, a program that addresses the sources of pollution in San Francisco Bay tributaries.

Save Our Shores, Santa Cruz, California, \$10,000 for support of their Sanctuary Steward Certification Program. SOS works to protect the Monterey Bay National Marine Sanctuary.

Deadlines: Call or e-mail for specific deadlines.

Application: Letter of inquiry before presenting a complete proposal. The foundation will respond to all such letters within a few weeks of receipt. Letters should reflect a basic knowledge of foundation geographic interests and program interests as garnered from the foundation's annual report. Applicants should review the annual report carefully prior to submitting a letter of inquiry or a complete proposal.

Information Contact:

Weeden Foundation
747 Third Avenue, 34th floor
New York, NY 10017
Phone: 212 888-1672

E-mail: weeden@igc.org

Web site: www.weedenfdn.org/weeden/

Weyerhaeuser Company Foundation

Purpose: To provide funds in environmental education, environment-friendly manufacturing innovations, responsible forestry practices, international trade, recycling, and work-force diversity.

Eligibility: Nonprofit, tax-exempt organizations, as defined in Section 501(c)(3) of the IRC; where Weyerhaeuser has a major presence in the community.

Financial Information: Total grants disbursed in FY97: \$6,595,446.

Sample of Previous Awards (1994):

Columbia River Inter-Tribal Fish Commission, Portland, OR, \$5,000 to examine natural resource sustainability issues.

Resources for the Future, Washington, DC, \$50,000 for Forest Economics and Policy program.

Deadline: None.

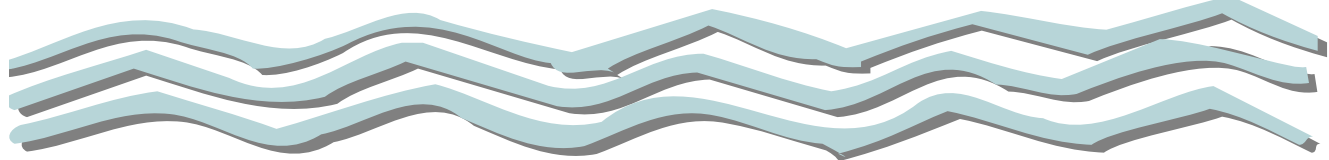
Application: Call the foundation to request application form.

Information Contact:

Weyerhaeuser Company Foundation
Vice President
Weyerhaeuser
CH 1L32
P.O. Box 2999
Tacoma, WA 98477-2999
Phone: 253 924-3159



FOR FURTHER RESEARCH



Federal Resources

Catalog of Federal Domestic Assistance Database

The catalog describes more than 1,000 domestic assistance programs administered by the federal government. It is updated by the U.S. General Services Administration every June and December. As the basic reference source of federal programs, the catalog assists users in identifying programs that meet their objectives and in obtaining information on federal assistance programs. In addition, the catalog is intended to improve coordination and communication between the federal government and state, local, and tribal governments.

Information Contact:

Federal Domestic Assistance Catalog Staff (MVS)
General Services Administration
300 Seventh Street, SW., Suite 101
Washington, DC 20407
Phone: 202 708-5126
Web site: <www.gsa.gov/fdac>

Environmental Finance Program (EFP)

The EFP Web site <www.epa.gov/efinpage> and EFP's Environmental Financial Tools page <www.epa.gov/efinpage/efptools.htm> offer extensive collections of information and links concerning funding sources across all EPA offices.

EPA Region 5 Funding Sources

The Region 5 Funding Sources page <www.epa.gov/seahome/resources/communit.htm> lists over 20 grant

programs. For each program, it provides key information such as priorities, eligibility, and contact points.

EPA Region 9 Funding for Solid Waste Projects

The Region 9 Funding Sources for Solid Waste Projects page <www.epa.gov/region09/waste/rcra/funding.html> lists funding sources to assist organizations that seek financial support for solid waste activities. The list focuses primarily on funding sources available for projects within Region 9, but organizations in other parts of the country may find this list a useful starting point for their funding searches. The funding sources are organized into five categories: education, pollution prevention, recycling, solid waste management planning, and general environmental programs.

EPA's State, Local, and Tribal Environmental (SLATE) Network

This Web site <www.epa.gov/regional/statelocal/funding.htm> provides short descriptions of several grant programs and links to their respective Web pages.

Financing Guide for Recycling Businesses: Investment Forums, Meetings, and Networks, September 1996. EPA530-R-96-012. (78 pages)

Developed as a resource for recycling entrepreneurs and economic developers who work to foster the recycling industry. Presents new strategies developed to make capital markets work more efficiently for small companies in general and recycling companies specifically. Lists associations of small business centers, financial associations, incubators, and other service providers that can provide business planning and financing assistance in a company's region.

Information Contact:

Phone: 800 424-9346

Web site: <www.epa.gov/tribalmsw/finance.htm>

Grant and Fellowship Information

This Web site <www.epa.gov/epahome/grants.htm> features links to grant resources, research and study fellowship information, and other sources of financial assistance.

Jobs Through Recycling

Through its state partners, EPA's JTR program is stimulating economic growth and recycling market development by assisting businesses and entrepreneurs in processing recycled materials or manufacturing recycled-content products. The JTR program brings together the economic development and recycling communities through grants, networking, and information sharing. JTR builds recycling expertise within economic development agencies and places business development tools—technical assistance, financing, and marketing—in the hands of recycling professionals.

The JTR web site <www.epa.gov/jtr> highlights recycling economic development programs and activities around the country, including JTR-funded projects.

Municipal Solid Waste Management in Indian Country Web site

In addition to updated information on funding sources for municipal solid waste management activities <www.epa.gov/tribalmsw/finance.htm>, this Web site <www.epa.gov/tribalmsw> has information on MSW management activities of other tribes and Alaska native villages, applicable laws and regulations, upcoming conferences and training, and related resources.

OECA's Tribal Grants and Regional Funding

This Web site <es.epa.gov/oeca/tribal/grant.html> describes many grant programs available to tribes and lists EPA regional and headquarters personnel whom tribes can contact for grant information.

Performance Partnership Grants (PPGs)

This Web site <www.epa.gov/OW/PPG> explains PPGs, which are multimedia grants available to state and tribal agencies from funds allocated for category grant programs. These grants offer greater flexibility, improved environmental performance, administrative savings, and strengthened grantee-EPA partnerships.

Preparing Successful Grant Proposals, December 1997. EPA530-F-97-020. (4 pages)

Describes procedures that tribes and Alaska native villages can follow when applying for solid waste management grants. Offers tips on proposal preparation and proposal writing. Provides resources for identifying grantors, a checklist for grant proposal writing, and a case study describing the Sitka Tribe of Alaska's successful JTR grant proposal.

Information Contact:

Phone: 800 424-9346

Web site: <www.epa.gov/tribalmsw/finance.htm>

Solid Waste Funding: A Guide to Federal Assistance, January 1998. EPA530-F-97-027. (12 pages)

Describes a variety of funding sources for solid waste research and management programs available to governments, the public, and small businesses. Profiles the goals, funding amounts, eligibility, deadlines, and contact information for each grant, cooperative agreement, and loan program.

Information Contact:

Phone: 800 424-9346

Web site: <www.epa.gov/tribalmsw/finance.htm>

Private Resources

CRC Publishing Company, EagleRock Books

This publishing company offers the following books for sale: *National Directory of Corporate Philanthropy for Native Americans*, *National Directory of Foundation Grants for Native Americans*, *National Directory of Seed Money Grants for American Indian Projects*, and *National Directory of Philanthropy for Native Americans*.

Information Contact:

CRC Publishing Company-EagleRock Books

P.O. Box 22583

Kansas City, MO 64113-2583

Phone: 800 268-2059

Web site: <www.crcpub.com/napubs.htm>

The Foundation Center

The Foundation Center is an independent nonprofit information clearinghouse established in 1956. The center's mission is to foster public understanding of the foundation field by collecting, organizing, analyzing, and disseminating information on foundations, corporate giving, and related subjects. Audiences that

call on the center's resources include prospective grantees, grantors, researchers, policymakers, the media, and the general public. Includes information on regional associations of grantors, grantor Web sites, common grant application forms, a proposal writing short course, and nonprofit resources.

Information Contact:

The Foundation Center
79 Fifth Avenue
New York, NY 10003-3076
Phone: 212 620-4230
Web site: <fdncenter.org>

Fundsnet Online Services

This Web site <www.fundsnet.org> assists nonprofit organizations seeking funding resources on the Internet.

Internet Resources for Grants and Foundations

This article, written by Hilary Sternberg for the American Library Association's College and Research Libraries News <www.ala.org/acrl/resmay97.html>, provides guidance on how to navigate through the Internet quickly to find information on foundations, directories, government funding, and grantwriting. Also provides links to electronic journals and magazines, as well as other interesting sites.

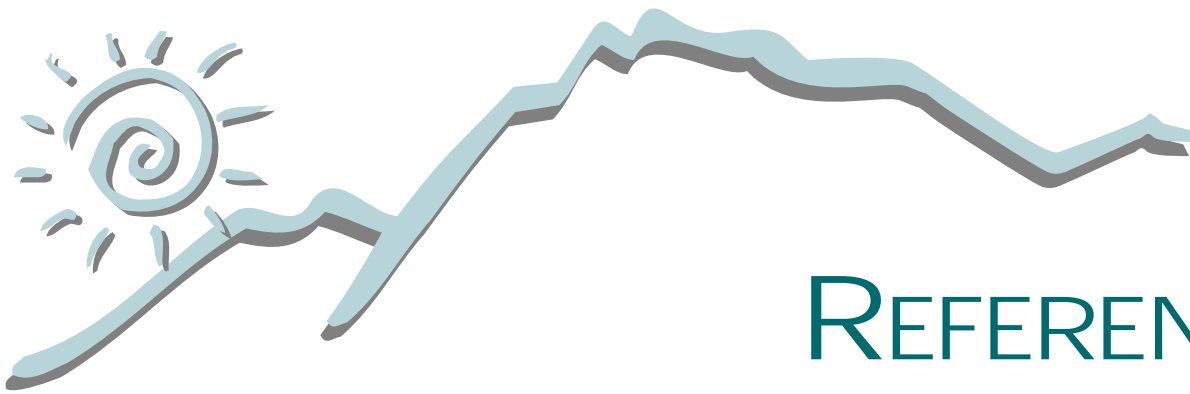
Materials for the Future

The Materials for the Future Foundation <www.materials4future.org> is a nonprofit organization founded in 1992 by a group of San Francisco Bay Area recycling advocates. Their mission is to support community-based initiatives that integrate the environmental goals of resource conservation through waste prevention, reuse, and recycling with the economic development goals of job creation or retention, enterprise development, and local empowerment.

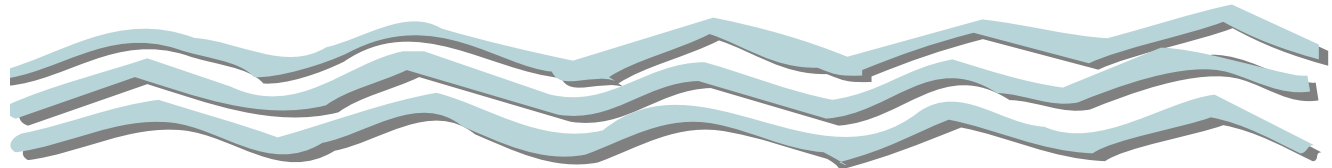
The foundation has a Web guide, *Grant Writing Tips for Community-Based Reuse and Recycling Enterprises*, <www.materials4future.org/grantwrite.html>, which offers tips based on good and bad grant proposals. It covers preparation, grant proposal organization, characteristics of good proposals, and mistakes to avoid.

Pacific Northwest Pollution Prevention Research Center

The Request for Proposals (RFP) Clearinghouse <pprc.pnl.gov/pprc/rfp/rfp.html> provides information on many current and pending pollution prevention RFPs, as well as archives of past solicitations that have appeared on the site.



REFERENCES



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Environmental Data Research Institute. 1996.

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rfp.html](http://pprc.pnl.gov/pprc/rfp/rfp.html)>. June.

South Dakota Department of Environment and
Natural Resources, Division of Water Resources
Management. 1995. The South Dakota nonpoint
source program manual. January.

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EPA530-F-97-051. December.

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jects in EPA Region 9. <[www.epa.gov/region09/
waste/rcra/funding.html](http://www.epa.gov/region09/waste/rcra/funding.html)>. October.

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community organizations, tribal organizations, and
tribal governments. May.

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guidance. July.

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states (PPIS) grant program: Assessment study.
EPA742-R-96-006.

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environmental regulations, but were afraid to ask: A
guide for Indian nations. EPA907-R-95-002. May.



REGIONAL SOLID WASTE INDIAN COORDINATORS

Region 1

JFK Federal Building
Boston, MA 02203-2211

Valerie Ferry
Phone: 617 565-3529
E-mail: ferry.valerie@epamail.epa.gov

Region 2

290 Broadway
New York, NY 10007-1866

Lorraine Graves (2DEPP-RPB)
Phone: 212 637-4099
E-mail: graves.lorraine@epamail.epa.gov

John Filippelli (2DEPP-RPB)
Phone: 212 637-4125
E-mail: filippelli.john@epamail.epa.gov

Region 3

No federally recognized tribes

Region 4

Atlanta Federal Center
61 Forsyth Street, SW.
Atlanta, GA 30303-3104

Bernie Duperray (4WB:RPB:RSS)
Phone: 404 562-8675
E-mail: duperra.bernie@epamail.epa.gov

Patricia Herbert (4WB:RPB:RSS)
Phone: 404 562-8449
E-mail: herbert.patricia@epamail.epa.gov

Region 5

77 West Jackson Boulevard
Chicago, IL 60604

Dolly Tong (DRP-8J)
Phone: 312 886-1019
E-mail: tong.dolly@epamail.epa.gov

Region 6

First Interstate Bank Tower
1445 Ross Avenue, Suite 1200
Dallas, TX 75202-2733

Anan Tanbouz (6H-H)
Phone: 214 665-8195
E-mail: tanbouz.anan@epamail.epa.gov

Region 7

726 Minnesota Avenue
Kansas City, KS 66101

Vickie Pastorino (ARTD/ARTO)
Phone: 913 551-7269
E-mail: pastorino.vickie@epamail.epa.gov

Region 8

999 18th Street, Suite 500
Denver, CO 80202-2466

Linda Walters (8P2-P2)
Phone: 303 312-6385
E-mail: walters.linda@epamail.epa.gov

Randy Brown (8P2-TA)
Phone: 303 312-6048
E-mail: brown.randy@epamail.epa.gov

Region 9

75 Hawthorne Street
San Francisco, CA 94105

Rebecca Jamison (WST-7)
Phone: 415 744-2098
E-mail: jamison.rebecca@epamail.epa.gov

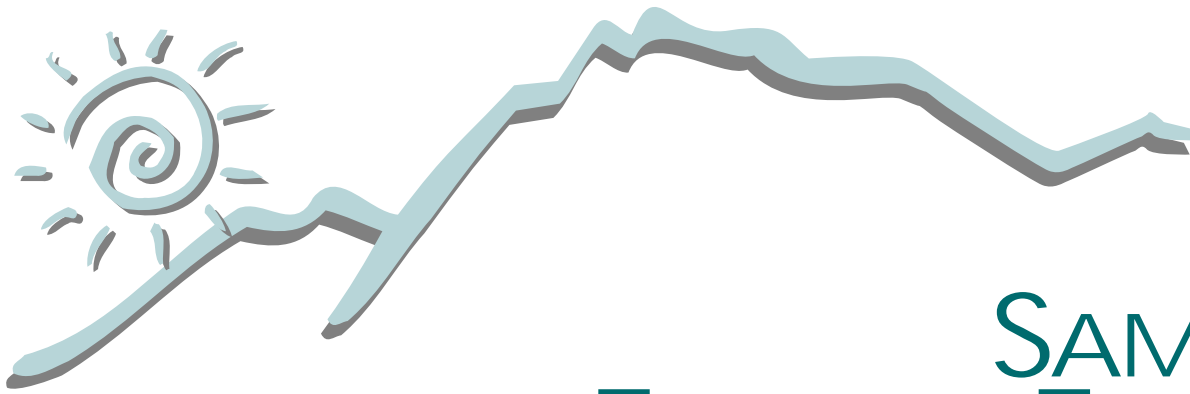
Susanna Trujillo (WST-7)
Phone: 415 744-2099
E-mail: trujillo.susanna@epamail.epa.gov

Region 10

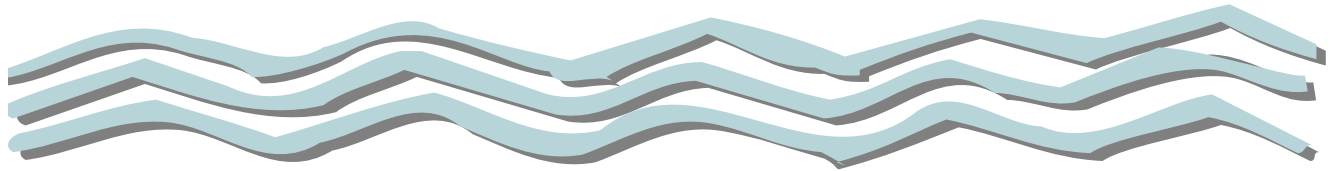
1200 Sixth Avenue
Seattle, WA 98101

Fran Stefan (WCM-128)
Phone: 206 553-6639
E-mail: stefan.fran@epamail.epa.gov

Al Latourette (WCM-128)
Phone: 206 553-8202
E-mail: latourette.al@epamail.epa.gov



SAMPLE FEDERAL FORMS



APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

| | | | |
|--|--|--|------------------------------|
| | | 2. DATE SUBMITTED | Applicant Identifier |
| 1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction | Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction | 3. DATE RECEIVED BY STATE | State Application Identifier |
| | | 4. DATE RECEIVED BY FEDERAL AGENCY | Federal Identifier |
| 5. APPLICANT INFORMATION | | | |
| Legal Name: | | Organizational Unit: | |
| Address (give city, county, State, and zip code): | | Name and telephone number of person to be contacted on matters involving this application (give area code) | |
| 6. EMPLOYER IDENTIFICATION NUMBER (EIN): <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | | 7. TYPE OF APPLICANT: (enter appropriate letter in box) <input type="checkbox"/> | |
| 8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____ | | A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District | |
| | | H. Independent School Dist. <input type="checkbox"/> I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ | |
| | | 9. NAME OF FEDERAL AGENCY: | |
| 10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> | | 11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: | |
| TITLE: | | | |
| 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): | | | |
| 13. PROPOSED PROJECT | | 14. CONGRESSIONAL DISTRICTS OF: | |
| Start Date | Ending Date | a. Applicant | b. Project |
| 15. ESTIMATED FUNDING: | | 16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? | |
| a. Federal | \$.00 | a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW | |
| b. Applicant | \$.00 | | |
| c. State | \$.00 | | |
| d. Local | \$.00 | | |
| e. Other | \$.00 | | |
| f. Program Income | \$.00 | | |
| g. TOTAL | \$.00 | 17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? | |
| | | <input type="checkbox"/> Yes If "Yes," attach an explanation. <input type="checkbox"/> No | |
| 18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED. | | | |
| a. Type Name of Authorized Representative | | b. Title | c. Telephone Number |
| d. Signature of Authorized Representative | | e. Date Signed | |

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

| Grant Program Function or Activity (a) | Catalog of Federal Domestic Assistance Number (b) | Estimated Unobligated Funds | | New or Revised Budget | | |
|--|---|-----------------------------|-----------------|-----------------------|-----------------|-----------|
| | | Federal (c) | Non-Federal (d) | Federal (e) | Non-Federal (f) | Total (g) |
| 1. | | \$ | \$ | \$ | \$ | \$ |
| 2. | | | | | | |
| 3. | | | | | | |
| 4. | | | | | | |
| 5. Totals | | \$ | \$ | \$ | \$ | \$ |

SECTION B - BUDGET CATEGORIES

| 6. Object Class Categories | GRANT PROGRAM, FUNCTION OR ACTIVITY | | | | Total (5) |
|--|-------------------------------------|-----|-----|-----|-----------|
| | (1) | (2) | (3) | (4) | |
| a. Personnel | \$ | \$ | \$ | \$ | \$ |
| b. Fringe Benefits | | | | | |
| c. Travel | | | | | |
| d. Equipment | | | | | |
| e. Supplies | | | | | |
| f. Contractual | | | | | |
| g. Construction | | | | | |
| h. Other | | | | | |
| i. Total Direct Charges (sum of 6a-6h) | | | | | |
| j. Indirect Charges | | | | | |
| k. TOTALS (sum of 6i and 6j) | \$ | \$ | \$ | \$ | \$ |
| 7. Program Income | \$ | \$ | \$ | \$ | \$ |

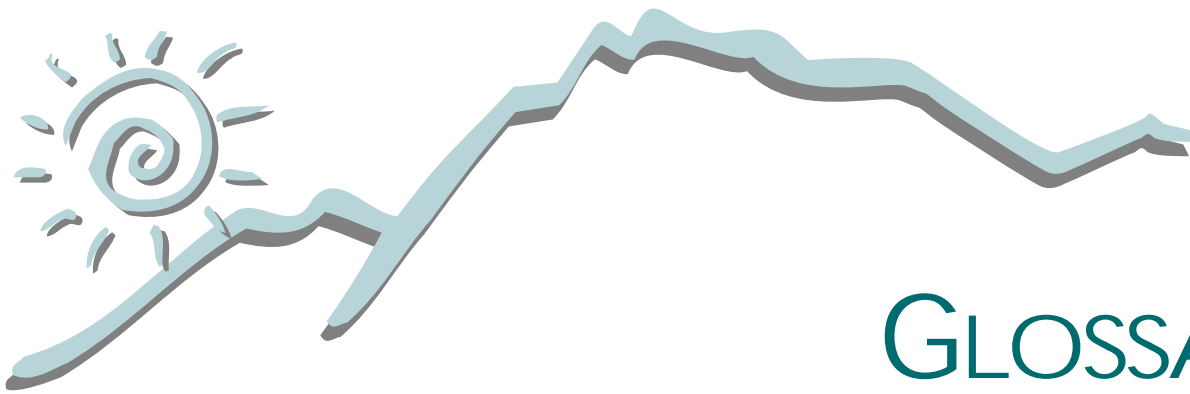
Authorized for Local Reproduction

| SECTION C - NON-FEDERAL RESOURCES | | | | | |
|---|--------------------------------|-----------------------|-------------------|-------------|-------------|
| (a) Grant Program | (b) Applicant | (c) State | (d) Other Sources | (e) TOTALS | |
| 8. | \$ | \$ | \$ | \$ | |
| 9. | | | | | |
| 10. | | | | | |
| 11. | | | | | |
| 12. TOTAL (sum of lines 8-11) | \$ | \$ | \$ | \$ | |
| SECTION D - FORECASTED CASH NEEDS | | | | | |
| | Total for 1st Year | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter |
| 13. Federal | \$ | \$ | \$ | \$ | \$ |
| 14. Non-Federal | | | | | |
| 15. TOTAL (sum of lines 13 and 14) | \$ | \$ | \$ | \$ | \$ |
| SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT | | | | | |
| (a) Grant Program | FUTURE FUNDING PERIODS (Years) | | | | |
| | (b) First | (c) Second | (d) Third | (e) Fourth | |
| 16. | \$ | \$ | \$ | \$ | |
| 17. | | | | | |
| 18. | | | | | |
| 19. | | | | | |
| 20. TOTAL (sum of lines 16-19) | \$ | \$ | \$ | \$ | |
| SECTION F - OTHER BUDGET INFORMATION | | | | | |
| 21. Direct Charges: | | 22. Indirect Charges: | | | |
| 23. Remarks: | | | | | |

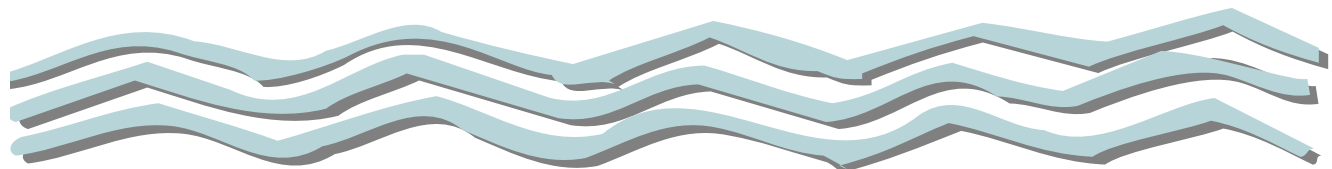
BUDGET INFORMATION - Construction Programs

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

| COST CLASSIFICATION | a. Total Cost | b. Costs Not Allowable for Participation | c. Total Allowable Costs (Columns a-b) |
|---|--|---|---|
| 1. Administrative and legal expenses | \$.00 | \$.00 | \$.00 |
| 2. Land, structures, rights-of-way, appraisals, etc. | \$.00 | \$.00 | \$.00 |
| 3. Relocation expenses and payments | \$.00 | \$.00 | \$.00 |
| 4. Architectural and engineering fees | \$.00 | \$.00 | \$.00 |
| 5. Other architectural and engineering fees | \$.00 | \$.00 | \$.00 |
| 6. Project inspection fees | \$.00 | \$.00 | \$.00 |
| 7. Site work | \$.00 | \$.00 | \$.00 |
| 8. Demolition and removal | \$.00 | \$.00 | \$.00 |
| 9. Construction | \$.00 | \$.00 | \$.00 |
| 10. Equipment | \$.00 | \$.00 | \$.00 |
| 11. Miscellaneous | \$.00 | \$.00 | \$.00 |
| 12. SUBTOTAL (sum of lines 1-11) | \$.00 | \$.00 | \$.00 |
| 13. Contingencies | \$.00 | \$.00 | \$.00 |
| 14. SUBTOTAL | \$.00 | \$.00 | \$.00 |
| 15. Project (program) income | \$.00 | \$.00 | \$.00 |
| 16. TOTAL PROJECT COSTS (subtract #15 from #14) | \$.00 | \$.00 | \$.00 |
| FEDERAL FUNDING | | | |
| 17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share. | Enter eligible costs from line 16c Multiply X _____% | | \$.00 |



GLOSSARY



| | | | |
|----------------|---|----------------|---|
| ANA: | Administration for Native Americans | NEPPS: | National Environmental Performance Partnership System |
| CAA: | Clean Air Act | NOFA: | Notice of Funding Availability |
| CERCLA: | Comprehensive Environmental Response, Compensation, and Liability Act | NTIS: | National Technical Information Service |
| CFR: | Code of Federal Regulations | OEJ: | Office of Environmental Justice |
| CDBG: | Community Development Block Grant | OMB: | Office of Management and Budget |
| CUP: | Community/University Partnership | ONAP: | Office of Native American Programs |
| CWA: | Clean Water Act | ORD: | Office of Research and Development |
| DHHS: | Department of Health and Human Services | OSW: | Office of Solid Waste |
| EJP2: | Environmental Justice Through Pollution Prevention | PPAs: | Performance Partnership Agreements |
| EnPA: | Environmental Performance Agreement | PPGs: | Performance Partnership Grants |
| E.O.: | Executive Order | PPIS: | Pollution Prevention Incentives for States |
| EPA: | Environmental Protection Agency | RCRA: | Resource Conservation and Recovery Act |
| FAADS: | Federal Assistance Awards Data System | RD: | Rural Development Services |
| FIFRA: | Federal Insecticide, Fungicide, and Rodenticide Act | RECD: | Rural Economic and Community Development |
| FR: | Federal Register | RUS: | Rural Utilities Service |
| FY: | Fiscal Year | SARA: | Superfund Amendments and Reauthorization Act |
| HUD: | Department of Housing and Urban Development | SDWA: | Safe Drinking Water Act |
| IHS: | Indian Health Service | SF: | Standard Form |
| IRC: | Internal Revenue Code | STAG: | State and Tribal Assistance Grants |
| IRS: | Internal Revenue Service | SWDA: | Solid Waste Disposal Act |
| JTR: | Jobs Through Recycling | TSCA: | Toxic Substance Control Act |
| | | TEA: | Tribal Environmental Agreement |
| | | U.S.C.: | United States Code |
| | | USDA: | United States Department of Agriculture |



United States
Environmental Protection Agency
401 M Street, SW. (5306W)
Washington, DC 20460

Official Business
Penalty for Private Use
\$300

