



Rule Comments
Proposed Rule on Mutual Fund Disclosure Forms
 (SEC File No. S7-06-04)

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Name: MARY HAUN

Please be aware that all comments we receive will become part of the public record of what we considered in this matter. Please return the comment form to the SEC representative or mail your comments to the following address:

Jonathan G. Katz, Secretary
 U.S. Securities and Exchange Commission
 450 Fifth Street, N.W.
 Washington, D.C. 20549-0609

Comments:

See attached

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TOO LITTLE –TOO LATE! Where was SEC when I filed my complaint? Maybe what you are trying today to correct should have been looked at a few years back when I filed my complaint. My complaint filed with SEC involved a US Bank Corp Investment Financial Planner.(He stated he was certified) moving my funds from Fidelity to Janus funds. My stocks plummeted from \$40,000 to eventually a bottom low of \$12,000 with this bank. Maybe you should take another look at this complaint I even had an eyewitness to the wrongdoing. I felt it was a justified complaint. Nothing happen. The bank and financial planner are still taking advantage of trusting people. SEC let me slip through the cracks. I did receive a letter from SEC in the beginning stating they had forward my complaint on to US Bank and SEC and I would receive a reply. It never happened US Bank did nothing. I wrote back to SEC and nothing was accomplished there either. I feel this man should be in jail for what he did to me and SEC should have made US Bank restore my funds. To add additional injustice I Later learned when I moved my stocks over to Merrill Lynch their representative informed me that the advisor at US Bank Corp got a 9 % commission out of me. At no time was I ever shown any one's commission. Yes this system needs changed by SEC. Someone in your firm should have caught it when the complaint was filed. I often wonder if someone got a cut or kickback. It definitely needs changed to let the customer know up front in simple laymen's terms what commissions are going to be charged and some type of safe guard needs to be added if they do different then what was requested. That money loss has hit me hard. Can you help me now?

Mary Haufl
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